



# Proseminar *Einführung in die Programmanalyse*

**Einführung**

**Sommersemester 2021; 13. April 2021**

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**RWTH Aachen University**

<https://moves.rwth-aachen.de/teaching/ss-21/ipa/>

Einführung

Termine

Modelle

Logiken

Statische Analyseverfahren

Dynamische und Hybride Analyseverfahren

# Traum der Programmanalyse

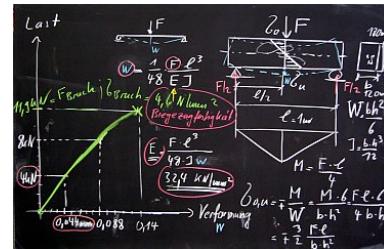
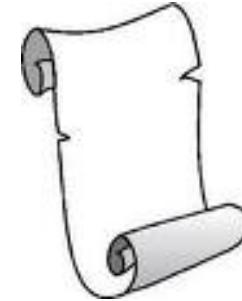
## Programm

```
    socket.error, "urllib.error (errno, strerror):"
    print "ncferror: Socket error (%s) for host %s (%s)" % (errno,
for h3 in page.findall("h3"):
    value = (h3.contents[0])
    if value != "Afdeling":
        print >> txt, value
import codecs
f = codecs.open("alle.txt", "r", encoding="utf-8")
text = f.read()
f.close()
# open the file again for writing
f = codecs.open("alle.txt", "w", encoding="utf-8")
f.write(value+"\n")
# write the original contents
```

## Analyse



## Ergebnis

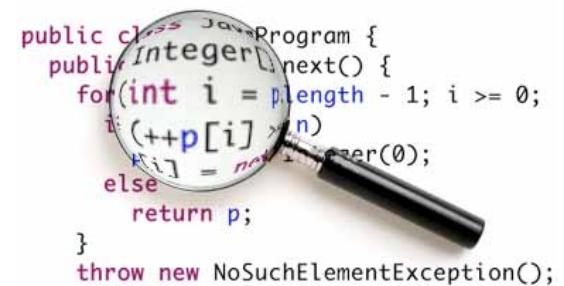


## Spezifikation

## Thema des Proseminars

### Einführung in Grundkonzepte der (automatisierten) Programmanalyse

- Modelle:
  - (abstrakte) Darstellung des Systemverhaltens
  - Spezifikation des erwarteten Verhaltens
- Logiken:
  - formale Beschreibung von (Korrektheits-)Eigenschaften
- Statische Verfahren:
  - automatische Analyse von Programmeigenschaften
  - basierend auf Quellcode der Software
  - üblicherweise vollständige Abdeckung
- Dynamische/hybride Verfahren:
  - automatische Analyse von Programmeigenschaften
  - basierend auf beobachtetem Ausführungsverhalten
  - üblicherweise unvollständige Abdeckung



```
public class Program {
    public Integer next() {
        for(int i = p.length - 1; i >= 0;
            i++) {
            if(p[i] > n)
                p[i] = n + 1;
            else
                return p;
        }
        throw new NoSuchElementException();
    }
}
```

# Zielsetzung

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## Ziele des Proseminars

- Selbstständiges Einarbeiten in ein neues Thema
- Literaturrecherche (Referenz auf Webseite)
- Darstellen des Inhalts in einer **wissenschaftlichen** Ausarbeitung
- Verständliches Präsentieren

## Bearbeitung in Zweiergruppen

- Gemeinsame Anfertigung der Ausarbeitung
- Zwei separate Vorträge (mit nicht notwendigerweise verschiedenen Foliensätzen)

## Ausarbeitung

- Selbstständiges Verfassen einer Ausarbeitung von  $\geq 10$  Seiten
- Vollständiges Literaturverzeichnis
- Korrektes Zitieren
- Plagiarismus:  
Die nicht gekennzeichnete Übernahme fremder Inhalte führt zum sofortigen Ausschluss.
- Schriftgröße 12pt, übliche Seitenränder
- Titelseite mit Thema, Titel Proseminar, Semester, Name, Datum
- L<sup>A</sup>T<sub>E</sub>X-Vorlage wird zur Verfügung gestellt
- Sprache Deutsch oder Englisch
- Korrekte Sprache wird vorausgesetzt:  
 $\geq 10$  Fehler pro Seite  $\Rightarrow$  Abbruch der Korrektur

## Vortrag

- 20-minütiger Vortrag (zwei pro Thema)
- Zielgruppengerechte Präsentation der Inhalte
- übersichtliche Folien:
  - $\leq 15$  Textzeilen
  - sinnvoller Einsatz von Farben
- `LATEX/beamer`-Vorlage wird zur Verfügung gestellt
- Vortrag in Deutsch oder Englisch

# Übersicht

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# Themenauswahl

## Verfahren

- Foodle-Umfrage unter <https://terminplaner.dfn.de/NrbXZvUt1OeyEzXh>
- Bitte mindestens drei Ja-Stimmen ✓
- Möglichst weitere Vielleicht-Stimmen (✓)
- Als Kommentar angeben:
  - Themenpriorität (falls gewünscht)
  - Wunschpartner(in) (falls vorhanden)
- Ausfüllen bis (spätestens) Sonntag, 18. April
- Wir bemühen uns (ohne Garantie) um ein „optimales“ Matching
- Zuordnung der Themen und Betreuer bis Mitte kommender Woche online

## Rücktritt vom Proseminar

- Bis zu drei Wochen nach Themenvergabe: ohne Folgen
- Danach: Fehlversuch

## Einführung in die Literaturrecherche

- Einweisung in themenspezifische Literaturrecherche
- Teilnahme **für BSc-Studierende verpflichtend**
- [Video hier](#) und auf Seminarseite verlinkt

# Deadlines

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## Deadlines

Folgende Termine sind **verpflichtend**:

- 18. April: Frist für Themenauswahl
- 10. Mai: letzte Rücktrittsmöglichkeit
- 10. Mai: Vorlage der detaillierten Inhaltsübersicht
  - nicht: „1. Einleitung/2. Hauptteil/3. Schluss“
  - sondern: Strukturübersicht (Abschnittsüberschriften, wesentliche Beweise/Theoreme, ...) und Anfang des Hauptteils (eine Seite)
- 7. Juni: vollständige Fassung der Ausarbeitung
- 28. Juni: vollständige Fassung der Folien
- 12. Juli (?): Blockseminar

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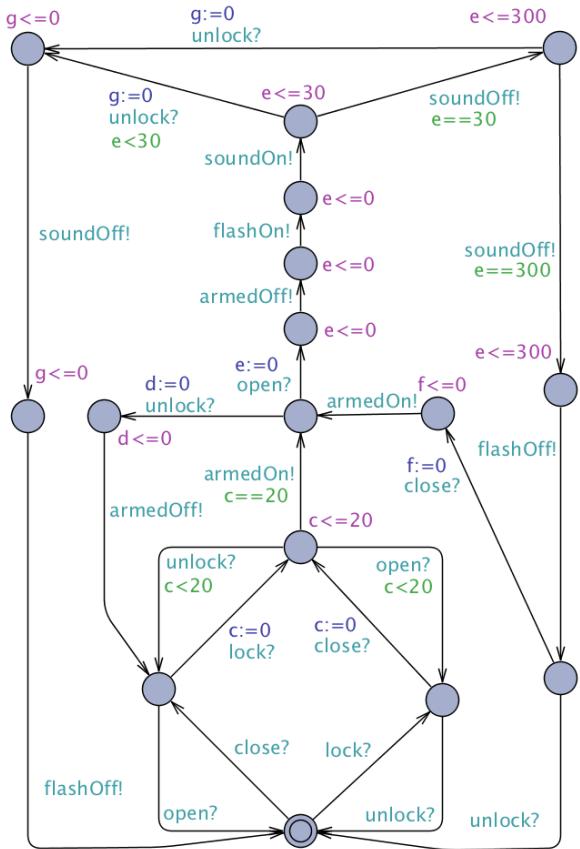
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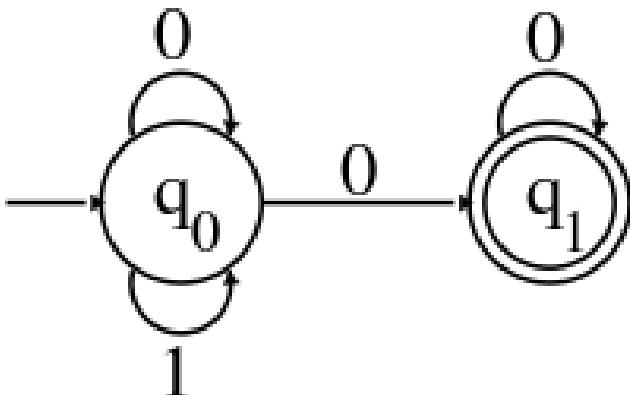
# 1. Timed Automata



- Timed automaton = finite automaton + real-valued clocks
- Clock values increase at same speed
- Can be reset in transitions
- Can be tested in states (invariants) and transitions (guards)
- Enables modelling and analysis of time-dependent system behaviour

## 2. Büchi Automata

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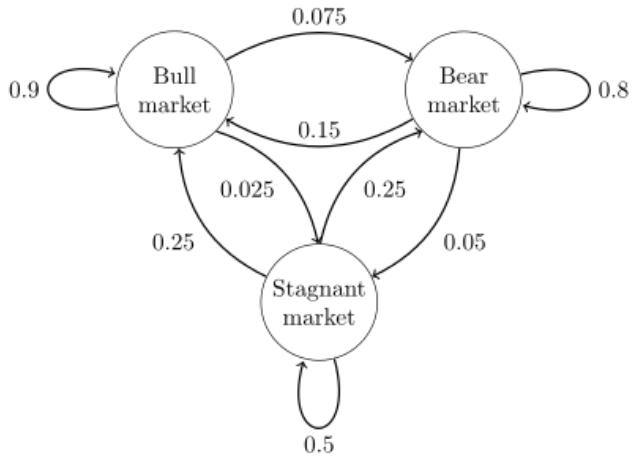


BA for  $(0|1)^*0^\omega$

- Syntax identical to DFA/NFA
- But define languages of infinite words
- Accepts an infinite input sequence if there exists a run that visits one of the final states infinitely often
- Enables analysis of non-terminating system behaviour
- Non-deterministic variant more expressive

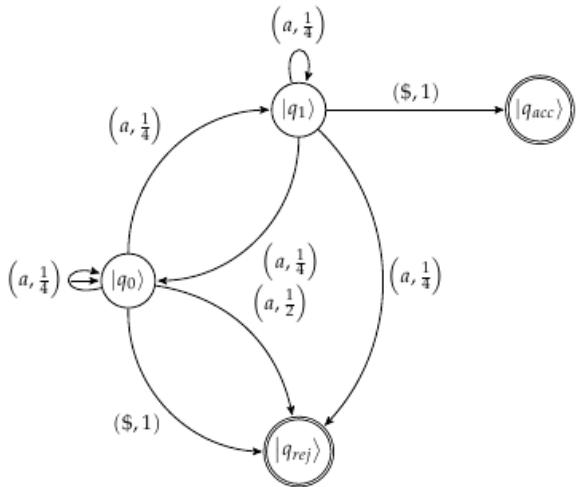
### 3. Markov Chains

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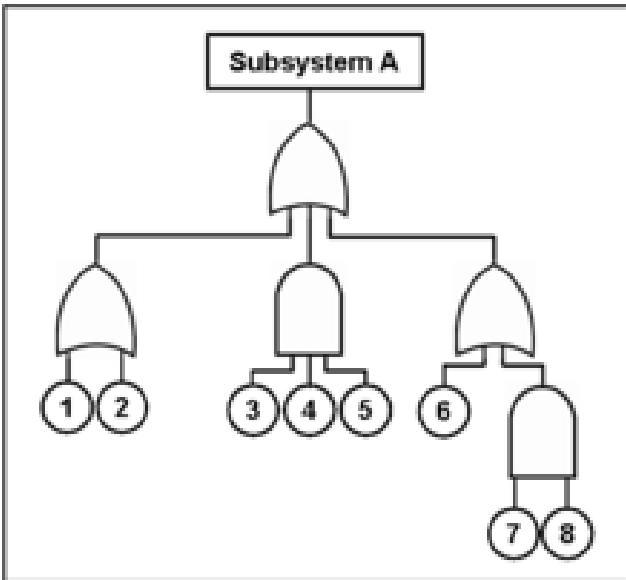
- States + probabilistic transitions
- Models „memoryless“ stochastic behaviour
- Two variants:
  - discrete time (one step per time unit, outgoing probabilities sum up to 1)
  - continuous time (outgoing transitions labelled with exit rates)
- Numerous applications

## 4. Probabilistic Automata



- Generalisation of
  - finite automata: + transition probabilities
  - Markov chain: + transition labels
- Define stochastic languages as sets of words that are recognised with a certain (minimal) probability
  - regular languages are proper subclass

## 5. (Dynamic) Fault Trees



- Visualise possible failure behaviours of HW/SW system
- Represented by tree structure with gates (OR, AND, Priority-AND, ...)
- Applications in safety/reliability engineering

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## 6. Hoare Logic

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- Formal system for reasoning about correctness of computer programs
- Goal: establish partial (or total) correctness properties of the form

$$\{A\} c \{B\}$$

$$\frac{\{A \wedge b\} c \{B\} \quad \frac{(A \wedge \neg b) \Rightarrow B}{\{(A \wedge \neg b)\} \text{ skip } \{B\}}}{\{A\} \text{ if } b \text{ then } c \text{ else skip } \{B\}} \quad \frac{}{\{A\} \text{ if } b \text{ then } c \{B\}}$$

with assertions  $A, B$  and program  $c$

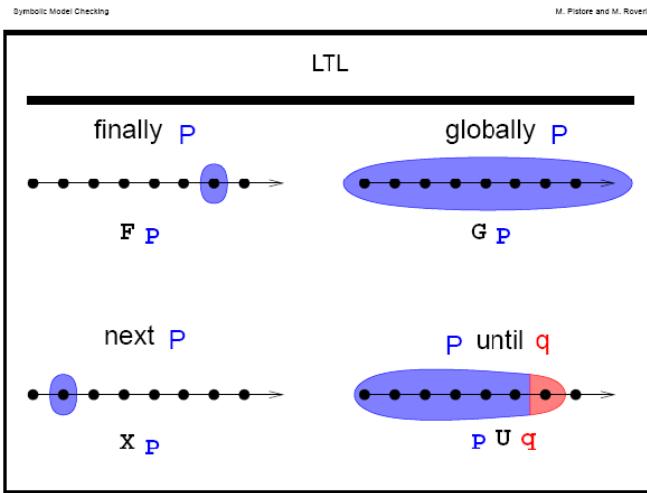
- Systematic construction of proof using logical rules of the form

$$\frac{\text{(Name)} \quad \text{Premise(s)}}{\text{Conclusion}}$$

- Critical part: deriving loop invariants

$$\frac{\text{(while)} \quad \{A \wedge b\} c \{A\}}{\{A\} \text{ while } b \text{ do } c \text{ end } \{A \wedge \neg b\}}$$

## 7. Linear-Time Temporal Logic (LTL)



- Modal temporal logic
- Formulae specify properties of infinite system traces
- Safety: something bad never happens

$$G(\neg \text{Bad})$$

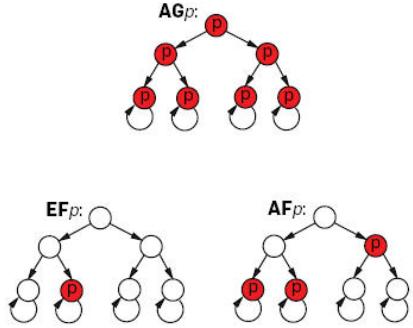
- Liveness: something good will happen

$$G(\text{Request} \implies F \text{ Response})$$

## 8. Computation Tree Logic (CTL)

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Figure 1. Basic temporal operators.



- Similar to LTL but supports branching time
- Time is tree structured with several possible futures
- Path operators:
  - $A\varphi$  (all):  $\varphi$  has to hold on all paths starting from current state
  - $E\varphi$  (exists): there exists at least one path starting from current state where  $\varphi$  holds
- State operators:
  - $X\varphi$  (next):  $\varphi$  has to hold at next state
  - $G\varphi$  (globally):  $\varphi$  has to hold on entire subsequent path
  - $F\varphi$  (finally):  $\varphi$  has to hold somewhere on subsequent path
  - $\varphi U \psi$  (until):  $\varphi$  has to hold until  $\psi$  holds

## 9. Separation Logic

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Frame rule of SL:

$$\frac{\text{(frame)} \quad \{P\} c \{Q\}}{\{P * R\} c \{Q * R\}}$$

if  $\text{mod}(c) \cap \text{free}(R) = \emptyset$

- Extension of Hoare logic to reason about programs that manipulate pointer data structures
  - dereferencing invalid pointers
  - creation of memory leaks
  - invalidation of data structures
- Additional operator  $*$  (separating conjunction): expresses that heap can be split into two disjoint parts where its two arguments respectively hold

## 10. Hennessy–Milner Logic

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- Modal logic used to specify properties of labelled transition systems

- Constructs:

$\text{tt}$  satisfied by all states

$\text{ff}$  satisfied by no state

$\varphi \wedge \psi$  satisfied by all states that satisfy both  $\varphi$  and  $\psi$

$\varphi \vee \psi$  satisfied by all states that satisfy either  $\varphi$  or  $\psi$  or both

$\langle \alpha \rangle \varphi$  satisfied by all states that afford an  $\alpha$ -labelled transition to a state satisfying  $\varphi$   
(possibility)

$[\alpha] \varphi$  satisfied by all states such that all their  $\alpha$ -labelled transitions lead to a state  
satisfying  $\varphi$  (necessity)

- Example: responsiveness

$[\text{request}] \langle \text{reply} \rangle \text{tt}$

- Extension by recursion (least and greatest fixed points) to support reasoning about arbitrarily long computations (e.g., „no deadlock state reachable“)

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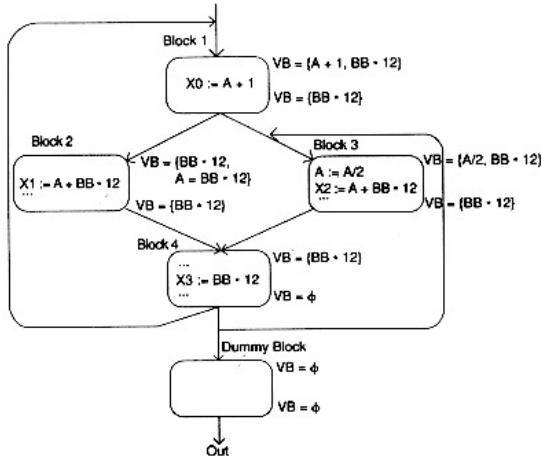
Logiken

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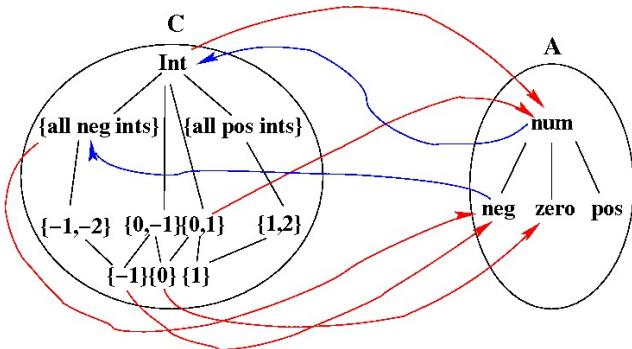
# 11. Data-Flow Analysis

- Technique for associating information with control points of computer program
  - values of variables
  - available expressions
  - live variables, ...
- Distinctions:
  - dependence on statement order:
    - flow-sensitive vs. flow-insensitive analyses
  - direction of flow:
    - forward vs. backward analyses
  - quantification over paths:
    - may (union) vs. must (intersection) analyses
  - procedures:
    - interprocedural vs. intraprocedural analyses
- Approach: solution of data-flow equation system by fixpoint iteration



## 12. Abstract Interpretation

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- Theory of (sound) approximation of the semantics of computer programs
  - integer values  $\rightsquigarrow$  signs
  - integer values  $\rightsquigarrow$  value intervals (array bounds checking)
  - concrete values  $\rightsquigarrow$  types (JVM byte code verifier)
- Formalisation by abstraction and concretisation mappings that form a Galois connection
- Soundness: all concrete computations captured by abstraction

## 13. Type Systems

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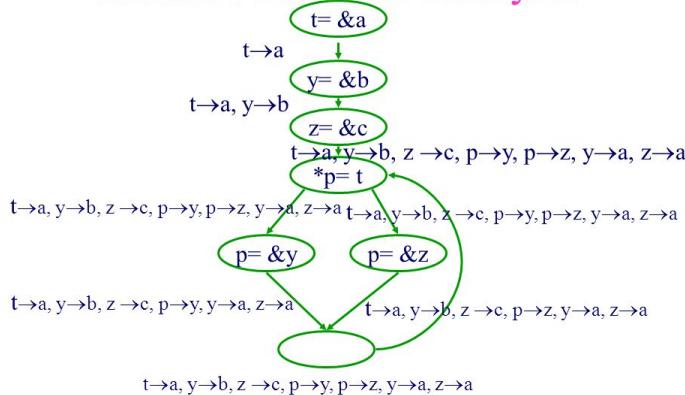
$$\frac{(add) \quad e_1 : \text{int} \quad e_2 : \text{int}}{e_1 + e_2 : \text{int}}$$

- Type system: collection of rules that assign a type property to constructs of computer program
  - variables, expressions, functions, modules, ...
- Main purpose: reduce possibilities for bugs in computer programs
  - definition of interfaces between program parts
  - checking that parts have been connected in a consistent way
- Static vs. dynamic
- „Strong“ vs. „weak“

## 14. Pointer Analysis

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### Iterative Points-to Analysis



- Static code analysis technique that establishes which pointers (heap references) can point to which variables (storage locations)
- Often a basic component of more complex analyses such as escape analysis (topic 16)
- Example:

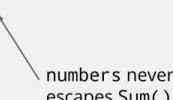
```
int x;
int y;
int* p = unknown() ? &x : &y;
yields {x, y} as points-to set of p
```

# 15. Escape Analysis

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## Escape analysis example

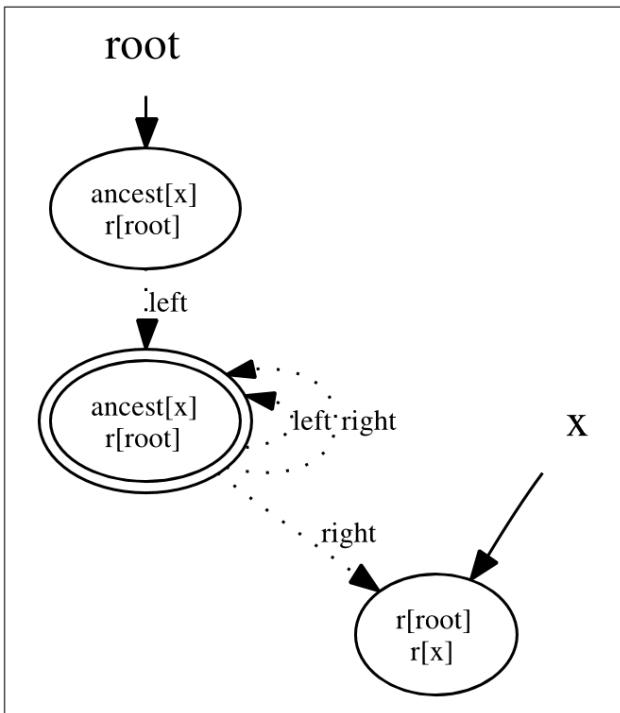
```
// Sum returns the sum of the numbers 1 to 100.
func Sum() int {
    numbers := make([]int, 100)
    for i := range numbers {
        numbers[i] = i + 1
    }
    var sum int
    for _, i := range numbers {
        sum += i
    }
    return sum
}
```



numbers never escapes Sum()

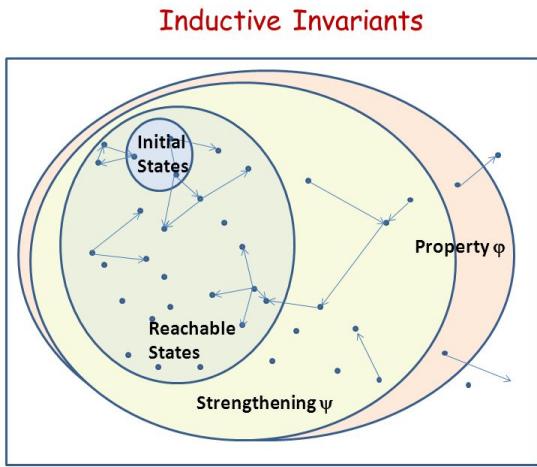
- Method for determining the dynamic scope of pointers
- When an object is allocated in a subroutine, a pointer to that object can escape to other contexts
- Non-escaping results can be used as basis for (compiler) optimisation:
  - converting heap allocations to stack allocations (avoids garbage collection)
  - removal of redundant synchronisation operations (if object accessible from one thread only)

## 16. Shape Analysis



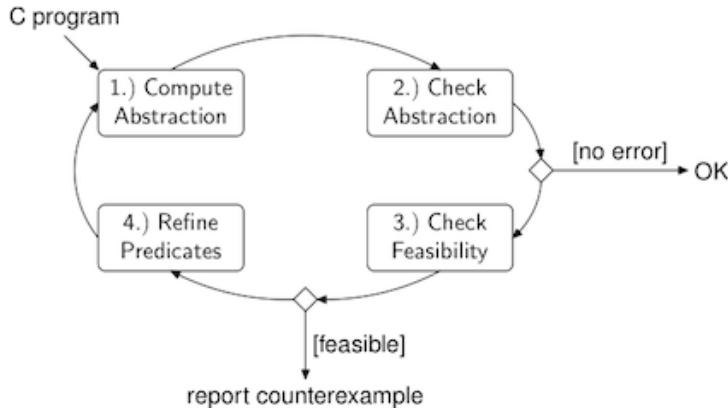
- Technique that discovers and verifies properties of linked, dynamically allocated data structures
- Used at compile time to find elementary bugs or to verify high-level correctness properties of programs
  - absence of memory leaks
  - non-destructive traversal
  - correctness of list-sorting method
- Finite-state abstraction of state space through summary nodes

# 17. Inductive Invariants



- Technique to establish invariant safety properties: „it is never the case that ...“
  - the value of variable  $x$  becomes zero
  - more than one process is in the critical section
  - ...
- Inductive:
  - satisfied by initial state(s) of program
  - closed under execution steps

## 18. Counterexample-Guided Abstraction Refinement (CEGAR)



- Iterative procedure for checking safety properties
- To cope with state explosion problem in state-space exploration
- Start with simple abstraction of system with only few states
- In each iteration, check whether abstract system satisfies property
  - if yes, system is safe
  - if no, check feasibility of counterexample
    - if feasible, system is unsafe
    - otherwise, refine abstraction

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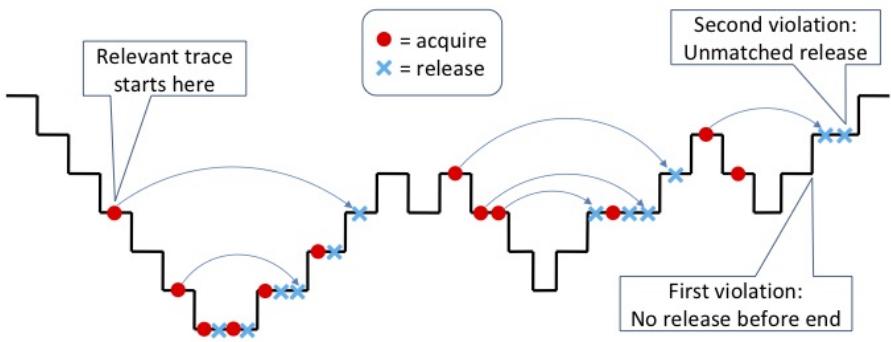
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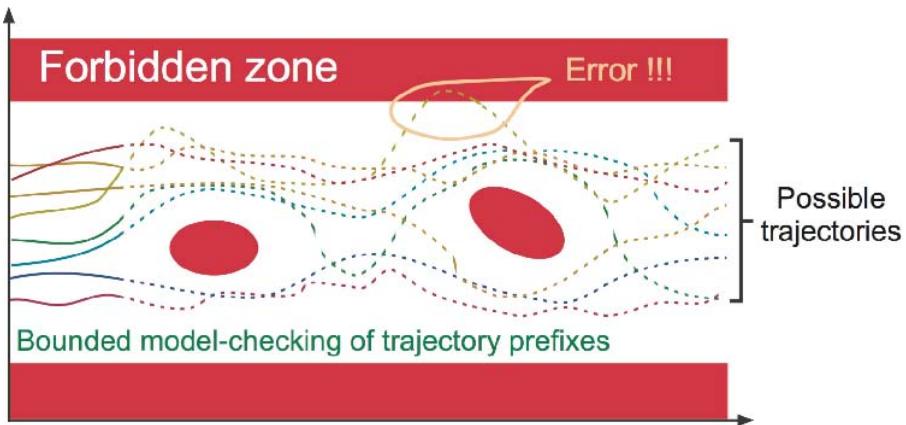
## 19. Runtime Verification



- Observation of running system to detect (and possibly react to) behaviours violating certain properties
  - deadlocks
  - data races
  - improper use of synchronisation mechanisms
  - ...
- Desired properties specified by predicates over execution traces
  - finite automata/regular expressions
  - context-free grammars
  - linear temporal logics (LTL, ...)
  - ...

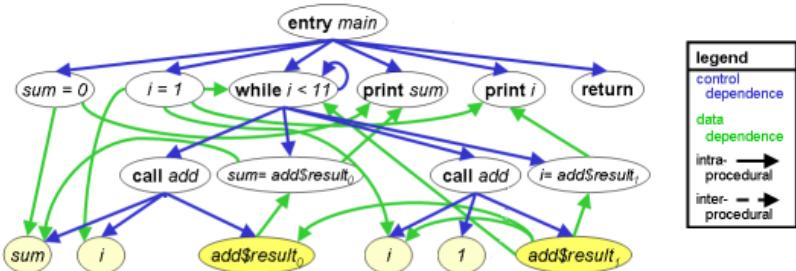
## 20. Bounded Model Checking

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- Model checking: exploration of state space of a system to match against specification
- Usually exhaustive  
     $\Rightarrow$  state-space explosion problem
- Bounded model checking: fast exploration of bounded fragment of state space

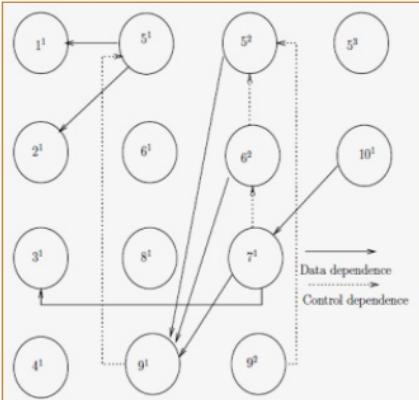
# 21. Program Slicing



## Dynamic Slicing: Example cont...

```
1 scanf ("%d", &N);
2 i = 1;
3 s = 0;
4 p = 1;
5 while(i < N){
6     if(i % 2 == 0){
7         s = s + i;};
8     else{p = p*i;};
9     i = i + 1;};
10 printf("%d%d", s, p);
```

For N=3



Slice has following statement instances  
10<sup>1</sup>, 7<sup>1</sup>, 6<sup>2</sup>, 5<sup>2</sup>, 9<sup>1</sup>, 3<sup>1</sup>, 5<sup>1</sup>, 2<sup>1</sup>, 1<sup>1</sup> i.e., {1,2,3,5,6,7,9,10}

- Computation of the part of program („program slice“) that may affect the values at some point of interest („slicing criterion“)
- Static slicing:
  - no assumptions regarding input
  - based on program dependence graph
  - applications: software maintenance (regression testing), information flow control
- Dynamic slicing:
  - assumes fixed input for program
  - based on execution trace
  - applications: debugging