



Concurrency Theory

Winter Semester 2019/20

Lecture 14: Bisimulation as a Fixed Point and Weak Variants

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<https://moves.rwth-aachen.de/teaching/ws-19-20/ct/>

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Nominate

Rules

You can nominate lecturers and assistants whose teaching you liked. The candidates should be affiliated with the department of Computer Science.

The category should match the teaching. Professors and Post-Docs, who supervise a lecture themselves, belong to the category „Selbstständige Lehre“ (independent teaching). Assistants, who take care of exercises, substitute lecturers or otherwise support teaching belong to the category „Unterstützende Lehre“ (supporting teaching).

Only those people are eligible, who did not win the award in the last two years. You can find a list of the award winners here (<https://www.fsmpi.rwth-aachen.de/pages/studium/lehrpreise/informatik.html>).

Thanks!

Nominate someone!

Your nomination counts for Lehrpreis Informatik / Teaching Award Computer Science 2019, currently. The results will be announced at December 6, 2019.

Nominee

Category

Module

Reason

The nominee is eligible for the award.

Recap: Strong Bisimulation

Outline of Lecture 14

Recap: Strong Bisimulation

Strong Bisimilarity as a Fixed Point

Inadequacy of Strong Bisimilarity

Weak Bisimulation

Properties of Weak Bisimilarity

Observation Congruence

Game Characterisation of Weak Bisimilarity

Recap: Strong Bisimulation

Summary

- Strong bisimulation of processes is based on mutually mimicking each other

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- Strong bisimilarity \sim :
 1. is the largest strong bisimulation
 2. is an equivalence relation
 3. is strictly coarser than LTS isomorphism
 4. is strictly finer than trace equivalence
 5. is a CCS congruence
 6. is deadlock sensitive
 7. can be checked using a two-player game

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 2. is an equivalence relation
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 5. is a CCS congruence
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 7. can be checked using a two-player game
- Strong similarity \sqsubseteq :
 1. is a one-way strong bisimilarity
 2. bi-directional version (strong simulation equivalence) is strictly coarser than \sim

Strong Bisimilarity as a Fixed Point

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Definition (Strong bisimilarity; Definition 12.2)

Processes P and Q are **strongly bisimilar**, denoted $P \sim Q$, iff there is a strong bisimulation ρ with $P \rho Q$. Thus,

$$\sim = \bigcup \{ \rho \subseteq \text{Proc} \times \text{Proc} \mid \rho \text{ is a strong bisimulation} \}.$$

Relation \sim is called **strong bisimilarity**.

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Note that $(2^{\text{Proc} \times \text{Proc}}, \subseteq)$ is a complete lattice (cf. Definition 4.13) with \bigcup and \bigcap as least upper and greatest lower bound, respectively.

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Note that $(2^{\text{Prc} \times \text{Prc}}, \subseteq)$ is a complete lattice (cf. Definition 4.13) with \bigcup and \bigcap as least upper and greatest lower bound, respectively.

We will show that \sim can be characterised as a **fixed point of a monotonic function** on this lattice.

Strong Bisimilarity as a Fixed Point

Fixed-Point Characterisation of Strong Bisimilarity I

Definition 14.1 (Function on relations)

Let $\rho \subseteq Prc \times Prc$. Let $\mathcal{F} : 2^{Prc \times Prc} \rightarrow 2^{Prc \times Prc}$ be defined as follows:
for every $P, Q \in Prc$, $(P, Q) \in \mathcal{F}(\rho)$ iff

1. if $P \xrightarrow{\alpha} P'$, then there exists $Q' \in Prc$ such that $Q \xrightarrow{\alpha} Q'$ and $P' \rho Q'$ and
2. if $Q \xrightarrow{\alpha} Q'$, then there exists $P' \in Prc$ such that $P \xrightarrow{\alpha} P'$ and $P' \rho Q'$.

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Intuition: $\mathcal{F}(\rho)$ contains all pairs of processes from which, in one round of the bisimulation game, the defender can ensure that the players reach a current configuration that is contained in ρ . Note that \mathcal{F} is monotonic.

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Corollary 14.2

ρ is a strong bisimulation iff $\rho \subseteq \mathcal{F}(\rho)$, and thus:

$$\sim = \bigcup \{ \rho \in \text{Prc} \times \text{Prc} \mid \rho \subseteq \mathcal{F}(\rho) \}.$$

Strong Bisimilarity as a Fixed Point

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Thus: \sim is the LUB of all post-fixed points of \mathcal{F}

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Theorem (Tarski's fixed-point theorem; Definition 5.5)

Let (D, \sqsubseteq) be a complete lattice and $f : D \rightarrow D$ monotonic. Then f has a least fixed point $\text{fix}(f)$ and a greatest fixed point $\text{FIX}(f)$ given by

$$\text{fix}(f) = \bigcap \{ d \in D \mid f(d) \sqsubseteq d \} \quad (\text{GLB of all pre-fixed points of } f)$$

$$\text{FIX}(f) = \bigcup \{ d \in D \mid d \sqsubseteq f(d) \} \quad (\text{LUB of all post-fixed points of } f)$$

Thus: $\sim = \text{FIX}(\mathcal{F})$

Strong Bisimilarity as a Fixed Point

Application to Finite LTS

Theorem (Fixed-point theorem for finite lattices; Theorem 5.7)

Let (D, \sqsubseteq) be a finite complete lattice and $f : D \rightarrow D$ monotonic. Then

$$\text{fix}(f) = f^m(\perp) \quad \text{and} \quad \text{FIX}(f) = f^M(\top)$$

for some $m, M \in \mathbb{N}$ where $f^0(d) := d$ and $f^{k+1}(d) := f(f^k(d))$.

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Corollary 14.3

For *finite-state* process P with state space S , \sim can be computed by:

$$\begin{aligned} \sim &= \bigcap_{i=0}^{\infty} \sim_i \quad \text{where} \\ \sim_0 &:= S \times S \\ \sim_{i+1} &:= \mathcal{F}(\sim_i) \end{aligned}$$

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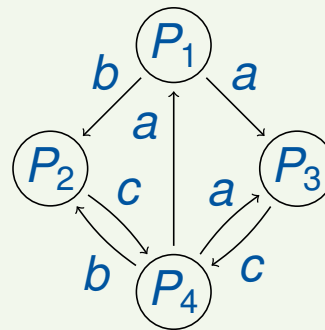
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Equivalence classes:

$$\sim_0 = \{\{P_1, P_2, P_3, P_4\}\}$$

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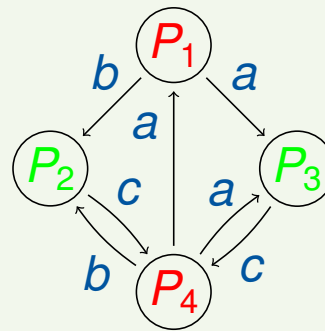
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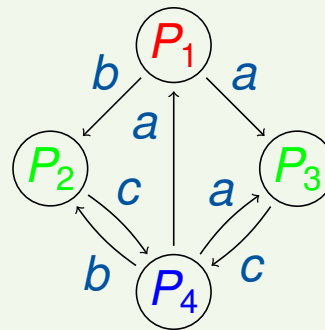
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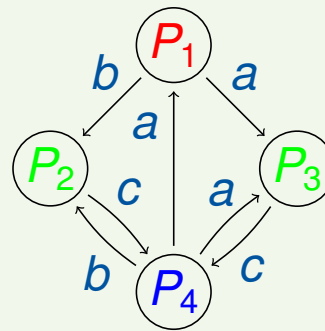
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Strong Bisimilarity as a Fixed Point

Complexity of Checking Strong Bisimilarity

- The previous corollary The fixed yields a **polynomial-time** algorithm.
- More efficient algorithms do exist, but are not topic of this lecture.

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Theorem 14.5 (Complexity)

(Balcázar et al. 1992)

*Deciding strong bisimilarity between finite LTSs is P-complete.*¹

¹Recall that checking trace equivalence is PSPACE-complete.

Inadequacy of Strong Bisimilarity

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Example 14.6 (Two-place buffers; cf. Example 2.5)

1. Sequential two-place buffer:

$$B_0 = in.B_1$$

$$B_1 = \overline{out}.B_0 + in.B_2$$

$$B_2 = \overline{out}.B_1$$

2. Parallel two-place buffer:

$$B_{||} = (B[f] || B[g]) \setminus com$$

$$B = in.\overline{out}.B$$

$$(f := [out \mapsto com], g := [in \mapsto com])$$

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Conclusion

- The requirement in \sim to **exactly match all actions** is often too strong.
- This suggests to weaken this and **not insist on exact matching of τ -actions**.
- Rationale: τ -actions are special as they are **unobservable**.

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 - synchronization in CCS is binary handshaking
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 - thus the **result of any communication is unobservable**
- Strong bisimilarity treats τ -actions as any other action.
- Can we retain the nice properties of \sim while “**abstracting**” from τ -actions?

Weak Bisimulation

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Weak Transition Relation

Definition 14.7 (Weak transition relation)

For $\alpha \in Act$, $\Longrightarrow_\alpha \subseteq Prc \times Prc$ is given by

$$\Longrightarrow_\alpha := \begin{cases} \left(\overset{\tau}{\longrightarrow} \right)^* \circ \overset{\alpha}{\longrightarrow} \circ \left(\overset{\tau}{\longrightarrow} \right)^* & \text{if } \alpha \neq \tau \\ \left(\overset{\tau}{\longrightarrow} \right)^* & \text{if } \alpha = \tau. \end{cases}$$

where $\left(\overset{\tau}{\longrightarrow} \right)^*$ denotes the reflexive and transitive closure of relation $\overset{\tau}{\longrightarrow}$.

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Informal meaning

- If $\alpha \neq \tau$, then $s \Longrightarrow_\alpha t$ means that from s we can get to t by doing zero or more τ actions, followed by the action α , followed by zero or more τ actions.

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- If $\alpha \neq \tau$, then $s \Longrightarrow_{\alpha} t$ means that from s we can get to t by doing zero or more τ actions, followed by the action α , followed by zero or more τ actions.
- If $\alpha = \tau$, then $s \Longrightarrow_{\alpha} t$ means that from s we can reach t by doing zero or more τ actions.

Weak Bisimulation

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Definition 14.8 (Weak bisimulation)

(Milner 1989)

A binary relation $\rho \subseteq Proc \times Proc$ is a **weak bisimulation** whenever for every $(P, Q) \in \rho$ and $\alpha \in Act$ (including $\alpha = \tau$):

1. if $P \xrightarrow{\alpha} P'$, then there exists $Q' \in Proc$ such that $Q \xRightarrow{\alpha} Q'$ and $P' \rho Q'$, and
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Relation \approx is called **observational equivalence** or **weak bisimilarity**.

Weak Bisimulation

Explanation

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Remark

Each clause in the definition of weak bisimulation subsumes **two cases**:

- $P \xrightarrow{\alpha} P'$ where $\alpha \neq \tau$:
implies ex. $Q' \in Prc$ such that $Q (\xrightarrow{\tau})^* \xrightarrow{\alpha} (\xrightarrow{\tau})^* Q'$ and $P' \rho Q'$
- $P \xrightarrow{\tau} P'$:
implies ex. $Q' \in Prc$ such that $Q (\xrightarrow{\tau})^* Q'$ and $P' \rho Q'$
(where $Q' = Q$ is admissible)

Examples

Example 14.10

1. Let $P = \tau.Q$ with $Q = a.nil$.

- obviously $P \not\approx Q$; claim: $P \approx Q$
- proof: $\rho = \{(P, Q), (Q, Q), (nil, nil)\}$ is a weak bisimulation with $P \rho Q$

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2. More general: for every $P \in Prc$, $P \approx \tau.P$.

Proof: $\rho = \{(P, \tau.P)\} \cup id_{Prc}$ is a weak bisimulation:

i. every transition $P \xrightarrow{\alpha} P'$ can be simulated by $\tau.P \xrightarrow{\tau} P \xrightarrow{\alpha} P'$ (i.e., $\tau.P \xRightarrow{\alpha} P'$)
with $P' \rho P' \in \rho$ (since $id_{Prc} \subseteq \rho$)

Weak Bisimulation

Examples

Example 14.10

1. Let $P = \tau.Q$ with $Q = a.nil$.

– obviously $P \not\approx Q$; claim: $P \approx Q$

– proof: $\rho = \{(P, Q), (Q, Q), (nil, nil)\}$ is a weak bisimulation with $P \rho Q$

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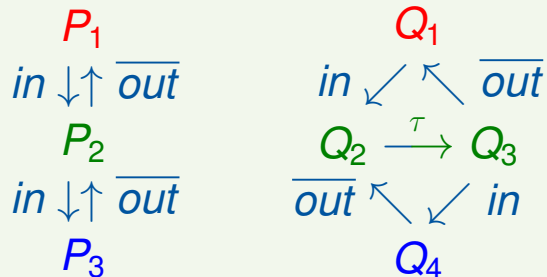
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3. Sequential and parallel two-place buffer are weakly bisimilar:



$$\rho = \{(P_1, Q_1), (P_2, Q_2), (P_2, Q_3), (P_3, Q_4)\}$$

Properties of Weak Bisimilarity

Outline of Lecture 14

Recap: Strong Bisimulation

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Weak Bisimulation

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Observation Congruence

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Properties of Weak Bisimilarity

Divergence

Example 14.11 (A polling process)

(Koomen 1982)

$$A? = a.nil + \tau.B?$$

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Divergence is a τ -loop.

²This is called **fair abstraction from divergence**.

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Properties of Weak Bisimilarity

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- Thus, \approx assumes that **if a process can escape from a τ -loop, it eventually will do so.**² Divergence is a τ -loop.
- Also note that $Div \approx nil$ where $Div = \tau.Div$.
- Thus, a **deadlock process is weakly bisimilar to a process that can only diverge.**
- This is justified by the fact that “observations” can only be made by interacting with the process.

²This is called **fair abstraction from divergence**.

Properties of Weak Bisimilarity

Properties of Weak Bisimilarity

Lemma 14.12 (Properties of \approx)

1. $P \sim Q$ implies $P \approx Q$.
2. \approx is an equivalence relation (reflexive, symmetric, transitive).
3. \approx is the largest weak bisimulation.
4. \approx is (non- τ) deadlock sensitive.³
5. \approx abstracts from τ -loops.

³Where w -deadlocks are considered on observable traces – see following slide.

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Proof.

1. Straightforward (as $\xrightarrow{\alpha} \subseteq \Longrightarrow^{\alpha}$)
2. Similar to Lemma 12.6(1) for \sim
3. Similar to Lemma 12.6(2) for \sim
4. Similar to Theorem 13.1 for \sim
5. Previous slide



³Where w -deadlocks are considered on observable traces – see following slide.

Properties of Weak Bisimilarity

Weak Bisimilarity vs. Trace Equivalence

Definition 14.13 (Observational trace language)

The **observational trace language** of $P \in Prc$ is defined by:

$$ObsTr(P) := \{\hat{w} \in (Act \setminus \{\tau\})^* \mid \exists P' \in Prc. P \xrightarrow{w} P'\}$$

where \hat{w} is obtained from w by removing all τ -actions.

Properties of Weak Bisimilarity

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$P \approx Q$ implies that P and Q are observational trace equivalent. The reverse does not hold.

Properties of Weak Bisimilarity

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Proof.

similar to Theorem 12.8 □

Properties of Weak Bisimilarity

Milner's τ -Laws

Lemma 14.16 (Milner's τ -laws)

$$\begin{aligned}\alpha.\tau.P &\approx \alpha.P \\ P + \tau.P &\approx \tau.P \\ \alpha.(P + \tau.Q) &\approx \alpha.(P + \tau.Q) + \alpha.Q\end{aligned}$$

Properties of Weak Bisimilarity

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Proof.

by constructing appropriate weak bisimulation relations (left as an exercise) □

Properties of Weak Bisimilarity

Congruence

Lemma 14.17 (Partial CCS congruence property of \approx)

Whenever $P, Q \in \text{Prc}$ such that $P \approx Q$,

$$\begin{array}{ll} \alpha.P \approx \alpha.Q & \text{for every action } \alpha \\ P \parallel R \approx Q \parallel R & \text{for every process } R \\ P \setminus L \approx Q \setminus L & \text{for every set } L \subseteq A \\ P[f] \approx Q[f] & \text{for every relabelling } f : A \rightarrow A \end{array}$$

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omitted □

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Proof.

omitted □

What about choice?

- $\tau.a.\text{nil} \approx a.\text{nil}$ (cf. Ex. 14.10(1)) and $b.\text{nil} \approx b.\text{nil}$ (reflexivity)

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- $\tau.a.\text{nil} \approx a.\text{nil}$ (cf. Ex. 14.10(1)) and $b.\text{nil} \approx b.\text{nil}$ (reflexivity)
- but $\tau.a.\text{nil} + b.\text{nil} \not\approx a.\text{nil} + b.\text{nil}$ (why?).
- Thus, weak bisimilarity is **not** a CCS congruence, which motivates a slight adaptation of \approx .

Observation Congruence

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Observation Congruence

Definition 14.18 (Observation congruence)

(Milner 1989)

$P, Q \in Prc$ are **observationally congruent**, denoted $P \approx^c Q$, if for every $\alpha \in Act$ (including $\alpha = \tau$):

1. if $P \xrightarrow{\alpha} P'$, then there is a sequence of transitions $Q \xRightarrow{\tau} \circ \xrightarrow{\alpha} \circ \xRightarrow{\tau} Q'$ such that $P' \approx Q'$ and
2. if $Q \xrightarrow{\alpha} Q'$, then there is a sequence of transitions $P \xRightarrow{\tau} \circ \xrightarrow{\alpha} \circ \xRightarrow{\tau} P'$ such that $P' \approx Q'$.

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Remark

- \approx^c differs from \approx only in that \approx^c requires **τ -moves** by P or Q to be mimicked by at least one τ -move in the other process.
- This only applies to the **first step**; the successors just have to satisfy $P' \approx Q'$ (and not necessarily $P' \approx^c Q'$).

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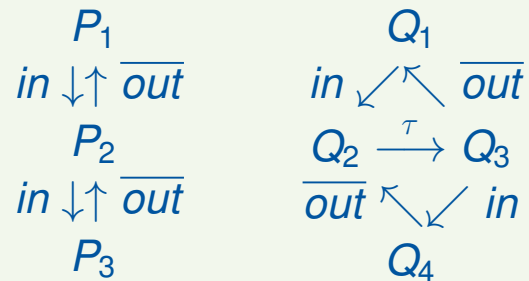
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- This only applies to the **first step**; the successors just have to satisfy $P' \approx Q'$ (and not necessarily $P' \approx^c Q'$).
- Thus: if $P \not\xrightarrow{\tau}$ and $Q \not\xrightarrow{\tau}$, then $P \approx^c Q$ iff $P \approx Q$.

Observation Congruence

Examples

Example 14.19

1. Sequential and parallel two-place buffer:



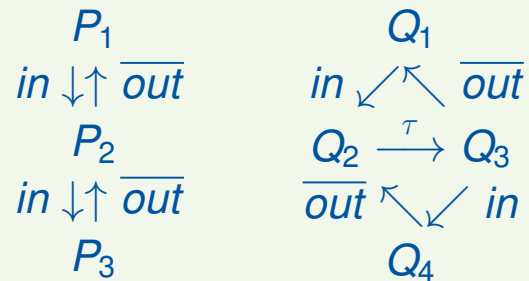
$P_1 \approx^c Q_1$ since $P_1 \approx Q_1$ (cf. Example 14.10(3)) and neither P_1 nor Q_1 has initial τ -steps.

Observation Congruence

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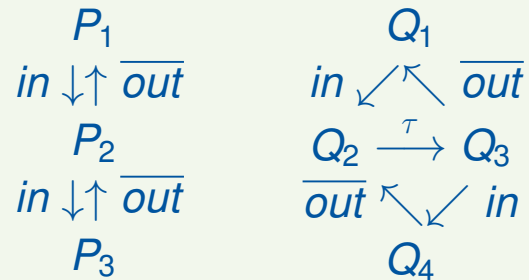
- $P_1 \approx^c Q_1$ since $P_1 \approx Q_1$ (cf. Example 14.10(3)) and neither P_1 nor Q_1 has initial τ -steps.
2. $\tau.a.nil \not\approx^c a.nil$ (since $\tau.a.nil \xrightarrow{\tau}$ but $a.nil \not\xrightarrow{\tau}$);
thus the counterexample to congruence of \approx for $+$ does not apply.

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- $P_1 \approx^c Q_1$ since $P_1 \approx Q_1$ (cf. Example 14.10(3)) and neither P_1 nor Q_1 has initial τ -steps.
- $\tau.a.nil \not\approx^c a.nil$ (since $\tau.a.nil \xrightarrow{\tau}$ but $a.nil \not\xrightarrow{\tau}$);
thus the counterexample to congruence of \approx for $+$ does not apply.
- $a.\tau.nil \approx^c a.nil$ (since $\tau.nil \approx nil$).

Observation Congruence

Properties of Observation Congruence

Lemma 14.20

For every $P, Q \in \text{Prc}$,

1. \approx^c is an equivalence relation
2. $P \sim Q$ implies $P \approx^c Q$, and $P \approx^c Q$ implies $P \sim Q$
3. \approx^c is a CCS congruence
4. \approx^c is (non- τ) deadlock-sensitive
5. $P \approx^c Q$ if and only if $P + R \sim Q + R$ for every $R \in \text{Prc}$
6. $P \sim Q$ if and only if ($P \approx^c Q$ or $P \approx^c \tau.Q$ or $\tau.P \approx^c Q$)

Proof.

omitted □

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6. $P \sim Q$ if and only if $(P \approx^c Q \text{ or } P \approx^c \tau.Q \text{ or } \tau.P \approx^c Q)$

Proof.

omitted □

Note: (5) states that \approx^c is the “minimal fix” to establish congruence of \sim .

Game Characterisation of Weak Bisimilarity

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Weak Bisimilarity as a Game

Rules

In each round, the current configuration (s, t) is changed as follows:

1. the **attacker** chooses one of the processes in the current configuration, say t , and makes an $\xrightarrow{\alpha}$ -move for some $\alpha \in Act$ to t' , say,

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The pair of processes (s', t') becomes the new current configuration.

The game continues with another round.

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The game continues with another round.

Results

1. If one player cannot move, the other player wins.
 - attacker cannot move if $s \not\rightarrow$ and $t \not\rightarrow$
 - defender cannot move if no matching transition available
2. If the game can be played *ad infinitum*, the defender wins.

Game Characterisation of Weak Bisimilarity

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Theorem 14.21 (Game characterisation of weak bisimilarity) (Stirling 1995, Thomas 1993)

1. $s \approx t$ iff *the defender has a universal winning strategy* from configuration (s, t) .
2. $s \not\approx t$ iff *the attacker has a universal winning strategy* from configuration (s, t) .

(By means of a universal winning strategy, a player can always win, regardless of how the other player selects their moves.)

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Proof.

by relating winning strategy of defender/attacker to existence/non-existence of weak bisimulation relation □