

# Probabilistic Programming

## Lecture #14: Proving Almost-Sure Termination

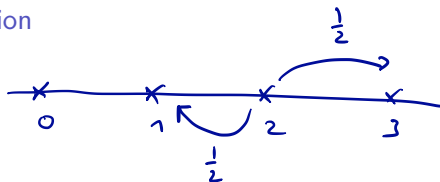
Joost-Pieter Katoen



RWTH Lecture Series on Probabilistic Programming 2018

# Overview

- 1 Motivation
- 2 Proving termination of ordinary programs
- 3 Variant (aka: ranking) functions
- 4 Proving almost-sure termination



# Proving almost-sure termination

- ▶ **What?** Termination with probability one.
- ▶ **Why?**
  - ▶ Termination is an elementary liveness property
  - ▶ Reachability can be encoded as termination
  - ▶ Often a prerequisite for proving correctness
- ▶ **Why is it hard in practice?**
  - ▶ Requires proving lower bound 1 for termination probability
  - ▶ Lower bounds are harder to prove than upper bounds
    - AST
    - positive AST
  - ▶ This is especially true for null-terminating programs

# Our aim

A powerful proof rule at the source code level.

No “descend” into the underlying probabilistic model.



Markov chains

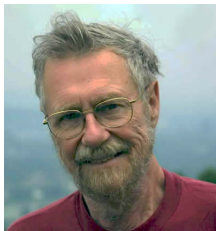


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# Termination by weakest preconditions

Determine  $wp(P, \text{true})$  for program  $P$  and postcondition true.



Edsger Wybe Dijkstra  
A Discipline of Programming  
1976

# How to prove termination?

Use a **variant function** on the program's state space whose value — on each loop iteration — is monotonically decreasing with respect to a (strict) well-founded relation.



Alan Mathison Turing  
Checking a large routine  
1949

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# Well-founded relation

or: Noetherian

## Well-founded relation

Let  $(D, \sqsubset)$  be a strict partial order. The relation  $\sqsubset$  is **well-founded** if there is no infinite sequence  $d_1, d_2, d_3, \dots$  with  $d_i \in D$  such that  $d_{i+1} \sqsubset d_i$  for all  $i \in \mathbb{N}$ .

## Examples

- ▶  $(\mathbb{N}, <)$
- ▶  $(\mathbb{R}^+, <_\varepsilon)$  for  $\varepsilon > 0$  where  $x <_\varepsilon y$  iff  $x \leq y - \varepsilon$
- ▶  $(\mathbb{L}, <)$  for lists  $\mathbb{L}$  where  $\ell_1 < \ell_2$  iff  $|\ell_1| < |\ell_2|$ .

A Noetherian relation is also called terminating.

# Variant functions

## Variant function

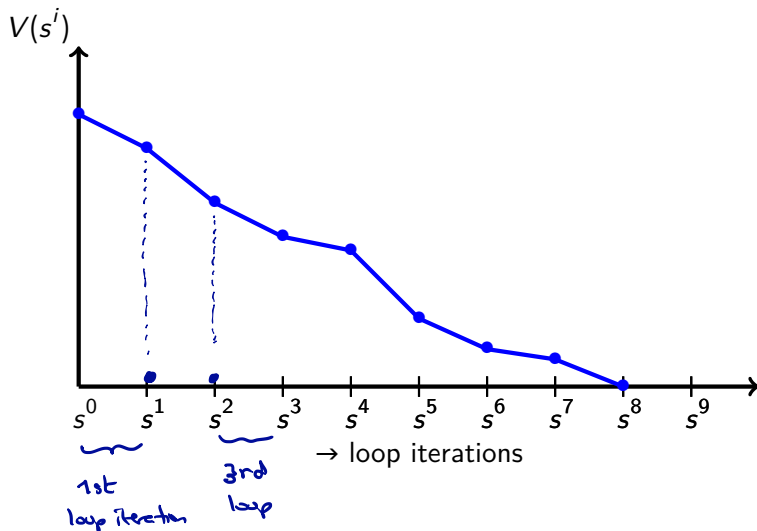
A **variant (aka: ranking) function**  $V : \mathbb{S} \rightarrow \mathbb{R}$  for GCL-loop  $\text{while}(G) P$  is a function that satisfies for every  $s \in \mathbb{S}$ :

1. If  $s \models G$ , then the execution of  $P$  on  $s$  terminates in a state  $t$  with:

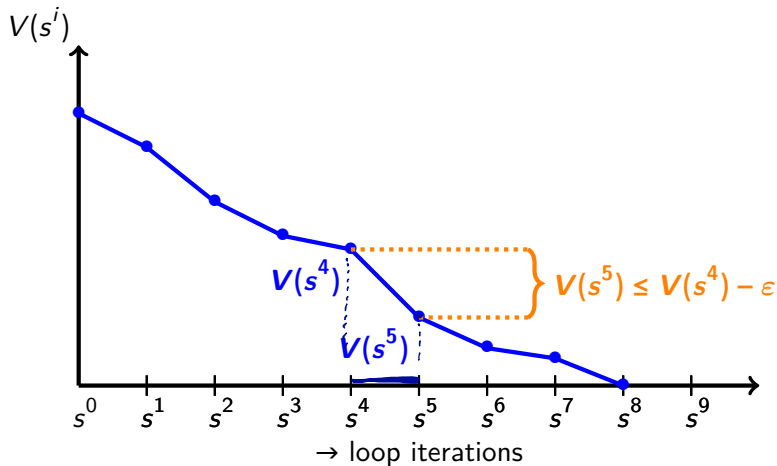
$$V(t) \leq V(s) - \varepsilon \quad \text{for some fixed } \varepsilon > 0, \text{ and}$$

2. If  $V(s) \leq 0$  then  $s \not\models G$ .

# Variant (aka: ranking) functions

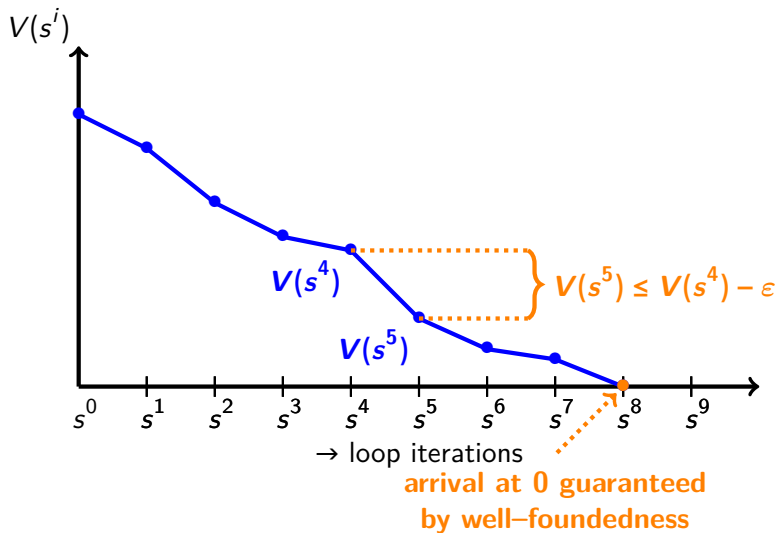


# Variant (aka: ranking) functions





# Variant (aka: ranking) functions



# Termination

Every (universally) terminating loop  $\text{while}(G) P$  has a variant function.

## Proof.

(Sketch.)

1. As  $V$  is a variant function, from every state  $s \models G$ , the execution of the loop body  $P$  reaches a state  $t$  whose ranking is at least by  $\varepsilon$  smaller than  $s$ 's ranking, and
2. ensures that if the ranking hits 0 or drops below, this falsifies the loop guard  $G$  and thus causes the loop to terminate.

Therefore, from every state  $s$ , no infinite chain of successor states with ever decreasing ranking can be formed by iterated execution of the loop body  $P$  without eventually falsifying the loop guard  $G$ . Since the length of such a chain is bounded by  $\lceil V(s)/\varepsilon \rceil$ , this ensures certain termination of the loop within at most  $\lceil V(s)/\varepsilon \rceil$  loop iterations.  $\square$

# Examples

---

```
while (x > 0) { x := x-1 }
```

---

Ranking function  $V = x$ .

---

```
x := ... ; y := ... // x and y are positive
while (x != y) {
  if (x > y) { x := x-y } else { y := y-x }
}
```

---

Ranking function  $V = x + y$ .

McCarthy  $g^1$  function:

$n \in \mathbb{N}_{>0}$

$$f(n) = \begin{cases} f(f(n+11)) & \text{if } n \leq 100 \\ n-10 & \text{if } n > 100 \end{cases}$$

$$f(gg) = f(f(110))$$

$$= f(100)$$

$$= f(f(111))$$

$$= f(101)$$

$$= g^1$$

let

$$h(n) = \begin{cases} g^1 & \text{if } n \leq 100 \\ n-10 & \text{if } n > 100 \end{cases}$$

claim:

$$\forall n: f(n) = h(n)$$

imperative program

$$f(n) = g(n, 1)$$

$$g(n, c) = \begin{cases} n & \text{if } c = 0 \\ g(n-10, c-1) & \text{if } c \neq 0 \wedge n > 100 \\ g(n+11, c+1) & \text{if } c \neq 0 \wedge n \leq 100 \end{cases}$$

lexicographic well-founded order:

let  $(D_1, \sqsubset_1)$   $(D_2, \sqsubset_2)$  well-founded

let  $\sqsubset \subseteq (D_1 \times D_2) \times (D_1 \times D_2)$

$(d_1, d_2) \sqsubset (e_1, e_2)$  iff

$(\exists i \in \{1, 2\}. d_i \sqsubset_i e_i \wedge$

$\forall j < i. d_j = e_j)$

Claim:  $(\text{loc} - n + \text{go}, n)$  is a lexicographic order for M97 program (imperative)

Theorem:

If a program has a lexicographic order, then it terminates (on all inputs).

# Ranking functions for probabilistic programs

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```

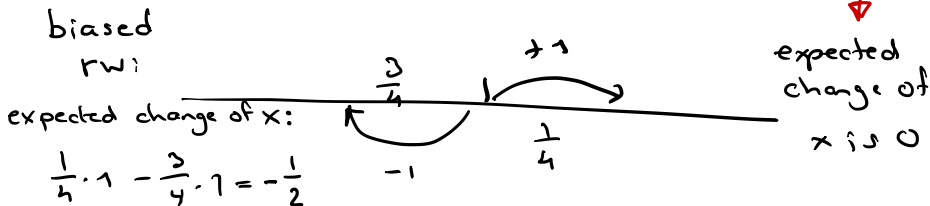
while (x > 0) {
  { x := x-1 } [1/2] { skip }
}

```

---

Ranking function  $V = x$  does not guarantee to decrease  $x$ .

But every loop iteration decreases  $x$  “in expectation”.



# A proof rule for positive almost-sure termination

## Proving positive almost-sure termination

[Chakarov *et al.*, 2013]

Let  $\text{while}(G) P$  be a loop where  $P$  terminates universally certainly (e.g.,  $P$  is loop-free), and let  $I \in \mathbb{E}$  be a **ranking super-invariant** of the loop w.r.t. expectation  $\mathbf{0}$ , i.e.,  $I \leq \infty$  and for some constants  $\varepsilon$  and  $K$  with  $0 < \varepsilon < K$  it holds:

$$[\neg G] \cdot I \leq K \quad \text{and} \quad [G] \cdot K \leq [G] \cdot I + [\neg G] \quad \text{and} \quad \Phi(I) \leq [G] \cdot (I - \varepsilon).$$

↑  
loop iteration  
terminates

characteristic  
wp-function of the loop

$$\phi(x) = [G] \cdot \text{wp}(P, x) + [\neg G] \cdot 0$$

# A proof rule for positive almost-sure termination

## Proving positive almost-sure termination

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$$\underbrace{[\neg G] \cdot I \leq K} \quad \text{and} \quad \underbrace{[G] \cdot K \leq [G] \cdot I + [\neg G]} \quad \text{and} \quad \underbrace{\Phi(I) \leq [G] \cdot (I - \varepsilon)}.$$

**Then:**  $\text{while}(G) P$  terminates universally positively almost surely.

①

②

③

## Example

On the black board.



P:: while ( $x > 0$ ) {  $x \leftarrow \lfloor \frac{1}{2} \rfloor$  skip }

$$\Phi_0(x) = [x > 0] \cdot \frac{1}{2} (x(x-1) + x)$$

Claim  $I = [x \geq -1] \cdot x + 1$  is a rank 1 superinvariant.

Proof:

$$\textcircled{1} \quad \underbrace{[x \leq 0]}_{\neg G} \cdot I = [x \leq 0] ([x \geq -1] \cdot x + 1) \leq 1 = k \quad \begin{array}{l} \text{choose e.g.} \\ k=1 \end{array}$$

$$\textcircled{2} \quad [G] \cdot k \leq [G] \cdot I + [\neg G] ?$$

$$\Leftrightarrow [x > 0] \cdot 1 \leq [x > 0] (x+1) + [x \leq 0]$$

$$\Leftrightarrow \leq [x > 0] ([x \geq -1] \cdot x + 1) + [x \leq 0]$$

$$\Leftrightarrow = [x > 0] \cdot I + [x \leq 0]$$

$$\textcircled{3} \quad \Phi_0(I) \leq [G] \cdot (I - \varepsilon) \quad ?$$

$$\Phi_0(I) = [x > 0] \cdot \frac{1}{2} (I(x := x-1) + I)$$

$$= (* \quad I = [x \geq -1] \cdot x + 1 \quad *)$$

.....

$$= [x > 0] \cdot \left( \underbrace{[x > 0] \cdot x + 1}_{= I} - \underbrace{\frac{1}{2}}_{= \varepsilon} \right)$$

$$= [x > 0] \cdot (I - \varepsilon)$$

$$\text{for } \varepsilon = \frac{1}{2}$$

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# AST by weakest preconditions

Determine  $wp(P, 1)$  for program  $P$  and postcondition  $1$ .



Dexter Kozen

A probabilistic PDL

1983

# A zero-one law for termination

# A zero-one law for termination

$$[\mathcal{I}] \leq \mathbb{E}([\mathcal{I}])$$

## Zero-one law for probabilistic termination

Let  $I \in \mathbb{P}$  such that  $[I]$  is a wp-subinvariant of  $\text{while}(G)P$  with respect to post-expectation  $[I]$ . Furthermore, let  $\varepsilon > 0$  a constant such that:

$$\varepsilon \cdot [I] \leq \underbrace{wp(\text{while}(G)P, 1)}_{\text{termination probability of while}(G)P}.$$

termination probability  
of  $\text{while}(G)P$

# A zero-one law for termination

## Zero-one law for probabilistic termination

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$$\varepsilon \cdot [I] \leq \text{wp}(\text{while}(G) P, 1) .$$

Then:

$$[I] \leq \text{wp}(\text{while}(G) P, (\neg G \wedge I)) .$$

# A zero-one law for termination

## Zero-one law for probabilistic termination

Let  $I \in \mathbb{P}$  such that  $[I]$  is a **wp-subinvariant** of  $\text{while}(G) P$  with respect to post-expectation  $[I]$ . Furthermore, let  $\varepsilon > 0$  a constant such that:

$$\varepsilon \cdot [I] \leq \text{wp}(\text{while}(G) P, 1).$$

Then:

$$[I] \leq \text{wp}(\text{while}(G) P, (\neg G \wedge I)).$$

### Proof.

On the black board.



related to "total correctness rule"  
in lecture 8+9



Slide 27, lec 8+9:

let  $f \in \mathbb{E}$  with  $f \leq k$  for some  $k \in \mathbb{N}$

$J \in \mathbb{E}$ ,  $k$ -banded

$$I = [\neg G] \cdot f + [G] \cdot J$$

and  $I$  is a wp-subinvariant of  $\text{while}(G)P$   
w.r.t.  $f$

Then:

$\varepsilon \cdot I \leq \text{wp}(\text{while}(G)P, 1)$  for some  $\varepsilon > 0$

$$\Rightarrow I \leq \text{wp}(\text{while}(G)P, f).$$

---

Proof of 0-1 law for termination:

instantiate the theorem above with:

$$f = [\neg G \wedge I]$$

$$J = [I]$$

then  $[\neg G] \cdot f + [G] \cdot [I]$  is a  
wp-subinvariant of  $\text{while}(G)P$  wrt  $f$ .

# A zero-one law for termination

## Zero-one law for probabilistic termination

Let  $I \in \mathbb{P}$  such that  $[I]$  is a wp-subinvariant of  $\text{while}(G) P$  with respect to post-expectation  $[I]$ . Furthermore, let  $\varepsilon > 0$  a constant such that:

$$\varepsilon \cdot [\cancel{I}] \leq \text{wp}(\text{while}(G) P, 1).$$

Then:

$$1 \leq \text{wp}(\text{while}(G) P, (\neg G \cancel{I})).$$

has to find  
this  
termination  
probability?

## Proof.

On the black board. □

A special case is obtained for invariant  $I$  equals true.

# A large body of existing works

Hart/Sharir/Pnueli: Termination of Probabilistic Concurrent Programs. POPL 1982

Bournez/Garnier: Proving Positive Almost-Sure Termination. RTA 2005

McIver/Morgan: Abstraction, Refinement and Proof for Probabilistic Systems. 2005

Esparza *et al.*: Proving Termination of Probabilistic Programs Using Patterns. CAV 2012

Chakarov/Sankaranarayanan: Probabilistic Program Analysis w. Martingales. CAV 2013

Fioriti/Hermanns: Probabilistic Termination: Soundness, Completeness, and Compositionality. POPL 2015

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Agrawal/Chatterjee/Novotný: Lexicographic Ranking Supermartingales. POPL 2018

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.....

Key ingredient: super- (or some form of) martingales

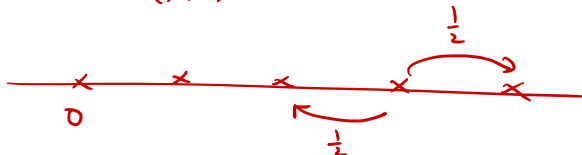
# On super-martingales

while  $\{x \neq \lfloor \frac{1}{2} \rfloor x++\}$   
 $(x > 0)$

ranking function

$$V = x$$

(is a random variable!)



A stochastic process  $X_1, X_2, \dots$  is a **martingale** whenever:

$$\mathbb{E}(X_{n+1} \mid X_1, \dots, X_n) = X_n$$

It is a **super**-martingale whenever:

$$\mathbb{E}(X_{n+1} \mid X_1, \dots, X_n) \leq X_n$$

$X_1, X_2, X_3 \dots$

$1 \quad \frac{1}{2} \quad \frac{1}{4} \quad \frac{1}{8} \quad \frac{1}{16} \quad \frac{1}{32} \dots$

# A historical perspective

A countable Markov process is “non-dissipative”  
if almost every infinite path eventually enters  
— and remains in — positive recurrent states.

A sufficient condition for being non-dissipative is:

$$\sum_{j \geq 0} j \cdot p_{ij} \leq i \quad \text{for all states } i$$

F. Gordon Foster	
Born	24 February 1921 Belfast, United Kingdom
Died	20 December 2010 (aged 89) Dublin, Ireland
Nationality	Irish
Known for	Foster's theorem
Scientific career	
Doctoral advisor	David George Kendall

Frederic Gordon Foster

Markoff chains with an enumerable number of states  
and a class of cascade processes

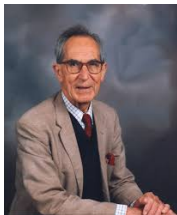
1951

# Kendall's variation

A Markov process is non-dissipative if for some function  $V : \Sigma \rightarrow \mathbb{R}$ :

$$\sum_{j \geq 0} V(j) \cdot p_{ij} \leq V(i) \quad \text{for all states } i$$

and for each  $r$  there are finitely many states  $i$  with  $V(i) \leq r$



David George Kendall

On non-dissipative Markoff chains  
with an enumerable infinity of states

1951

# On positive recurrence

Every irreducible **positive recurrent** Markov chain is non-dissipative.

A Markov process is positive recurrent iff there is a Lyapunov function  $V : \Sigma \rightarrow \mathbb{R}_{\geq 0}$  with for finite  $F \subseteq \Sigma$  and  $\varepsilon > 0$ :

$$\begin{aligned} \sum_j V(j) \cdot p_{ij} &< \infty && \text{for } i \in F, \text{ and} \\ \sum_j V(j) \cdot p_{ij} &< V(j) - \varepsilon && \text{for } i \notin F. \end{aligned}$$

[Markov Chains](#) pp 167-193 | [Cite as](#)

Lyapunov Functions and Martingales

Authors [Authors and affiliations](#)

Pierre Brémaud

**Pierre Brémaud** 1999

**Frederic Gordon Foster**

On the stochastic matrices associated  
with certain queuing processes

1953



# Proving almost-sure termination

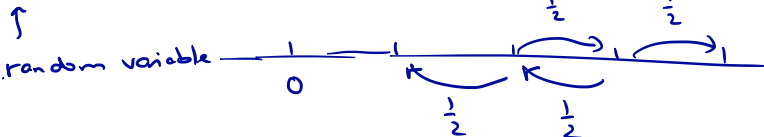
variant function

$$V: \mathcal{S} \rightarrow \mathbb{R}_{\geq 0}$$

The symmetric random walk:

```
while (x > 0) { x := x-1 [0.5] x := x+1 }
```

$$V = x$$



expected decrease  
of  $V$  on each iteration

$$\begin{aligned} \mathbb{E}(\Delta V) &= \frac{1}{2} \cdot -1 + \frac{1}{2} \cdot 1 \\ &= 0 \end{aligned}$$

# Proving almost-sure termination

The symmetric random walk:

```
while (x > 0) { x := x-1 [0.5] x := x+1 }
```

Is **out-of-reach** for many proof rules.

A loop iteration decreases  $x$  by one with probability  $1/2$

↑  
✓      decrease  
         = 1

# Proving almost-sure termination

The symmetric random walk:

```
while (x > 0) { x := x-1 [0.5] x := x+1 }
```

Is **out-of-reach** for many proof rules.

A loop iteration decreases  $x$  by one with probability  $1/2$

This observation is enough to witness almost-sure termination!

random  
variable  
 $= y$

decrease  
 $d(y)$

probability  
 $p(y)$

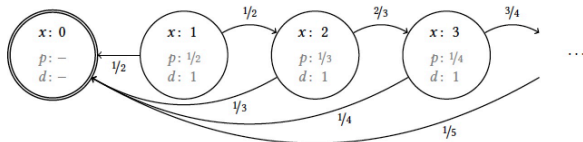
# Do these programs almost surely terminate?

---

```

while (x > 0) {
  p := 1/(x+1);
  x := 0 [p] x++}
  
```

---

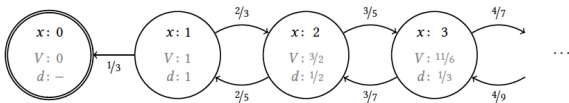



---

```

while (x > 0) {
  p := x/(2*x+1);
  x-- [p] x++}
  
```

---



# Proving almost-sure termination

**Goal:** prove a.s.-termination of `while(G) P`

# Proving almost-sure termination

**Goal:** prove a.s.-termination of  $\text{while}(G) \ P$

**Ingredients:**

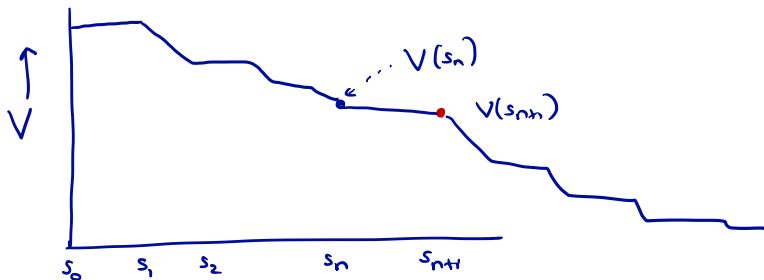
- ▶ A **supermartingale**  $V$  mapping states onto non-negative reals

- ▶  $\mathbb{E}\{V(s_{n+1}) \mid V(s_0), \dots, V(s_n)\} \leq V(s_n)$

supermartingale

- ▶ Running body  $P$  on state  $s \models G$  does not increase  $\mathbb{E}(V(s))$

- ▶ Loop iteration ceases if  $V(s) = 0$



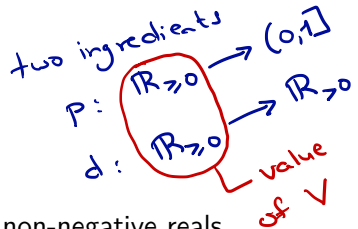
$V$  is a random variable  
 $\$ \rightarrow \mathbb{R}_{\geq 0}$

# Proving almost-sure termination

**Goal:** prove a.s.-termination of `while(G) P`

**Ingredients:**

- ▶ A **supermartingale**  $V$  mapping states onto non-negative reals
  - ▶  $\mathbb{E}\{V(s_{n+1}) \mid V(s_0), \dots, V(s_n)\} \leq V(s_n)$
  - ▶ Running body  $P$  on state  $s \models G$  does not increase  $\mathbb{E}(V(s))$
  - ▶ Loop iteration ceases if  $V(s) = 0$
- ▶ ..... and a **progress** condition: on each loop iteration in  $s^i$ 
  - ▶  $V(s^i) = v$  decreases by  $\geq d(v)$  with probability  $\geq p(v)$
  - ▶ with antitone  $p$  ("probability") and  $d$  ("decrease") on  $V$ 's values



$$V \leq W \quad \text{implies} \quad \begin{array}{c} p(W) \leq p(V) \\ \curvearrowright \\ d(W) \leq d(V) \end{array}$$

# Proving almost-sure termination

$$d: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$$

**Goal:** prove a.s.-termination of `while(G) P`

$$V: S \rightarrow \mathbb{R}_{\geq 0}$$

**Ingredients:**

$$p: \mathbb{R}_{\geq 0} \rightarrow [0,1]$$

- ▶ A **supermartingale**  $V$  mapping states onto non-negative reals
  - ▶  $\mathbb{E}\{V(s_{n+1}) \mid V(s_0), \dots, V(s_n)\} \leq V(s_n)$
  - ▶ Running body  $P$  on state  $s \models G$  does not increase  $\mathbb{E}(V(s))$
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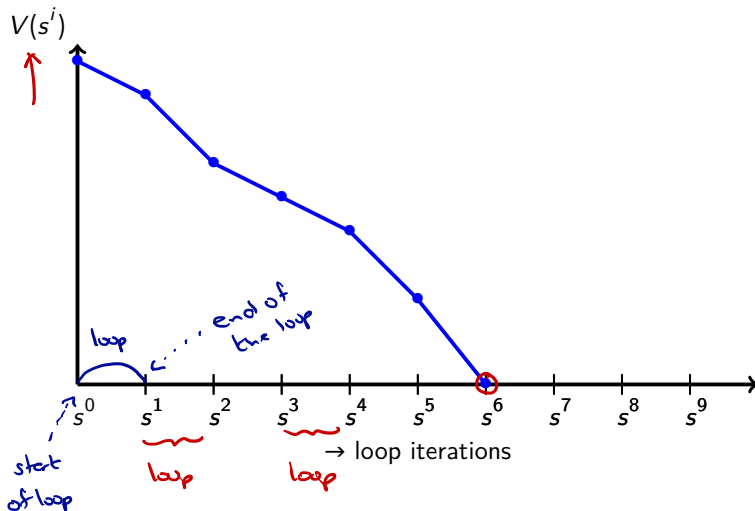
**Then:** `while(G) P` **a.s.-terminates on every input**

monotone  $x \leq y \rightarrow f(x) \leq f(y)$

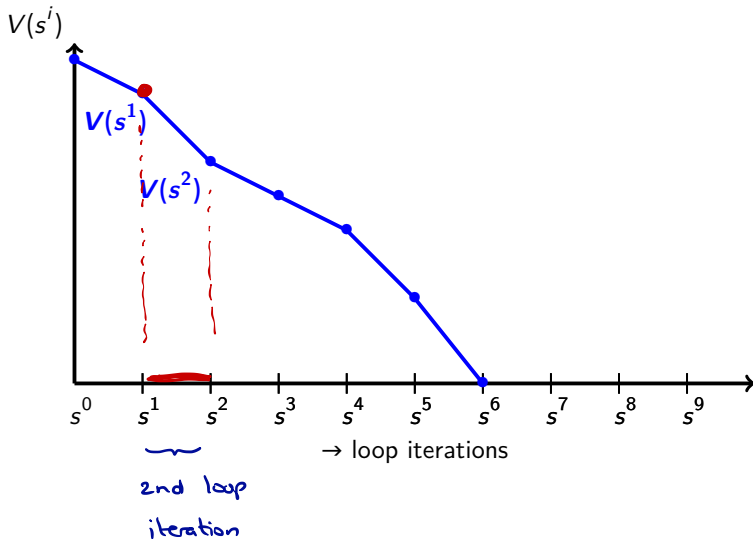
antitone  $x \leq y \rightarrow f(x) \geq f(y)$



# Proving almost-sure termination

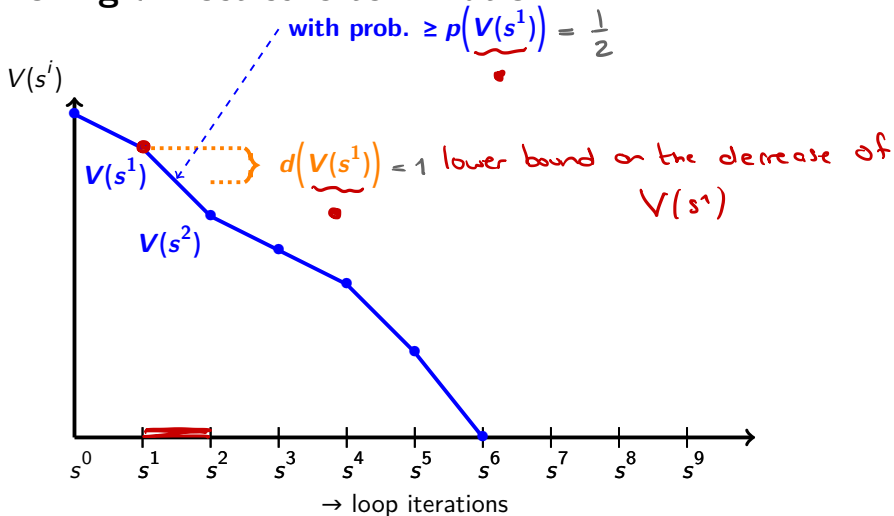


# Proving almost-sure termination

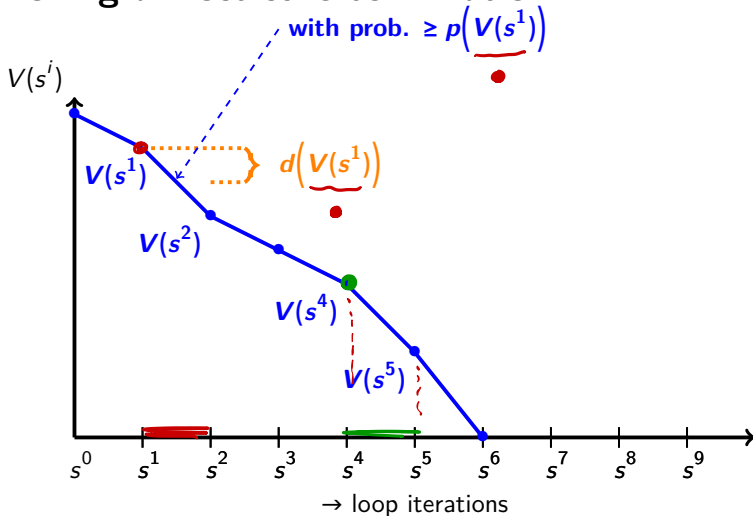


random walk

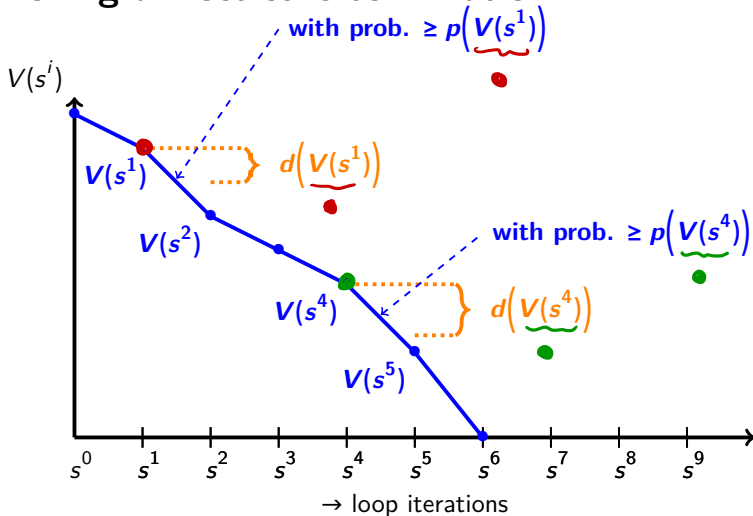
# Proving almost-sure termination



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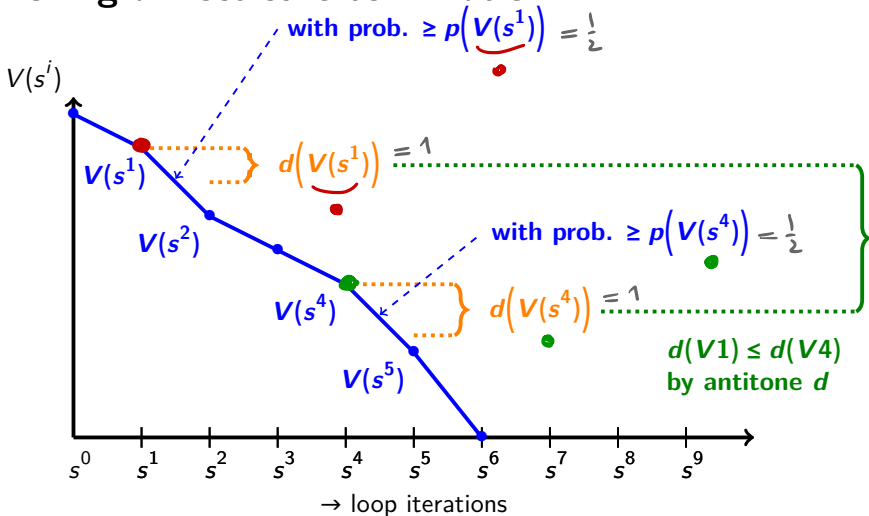


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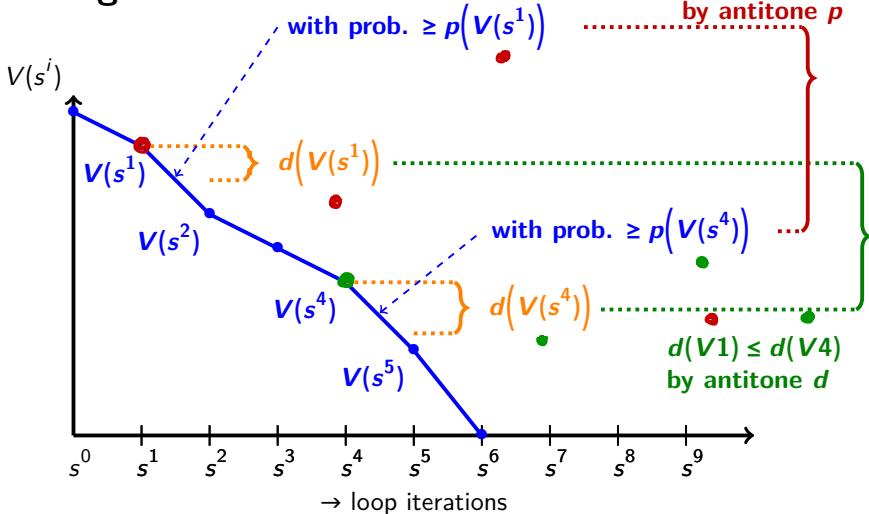


random walk

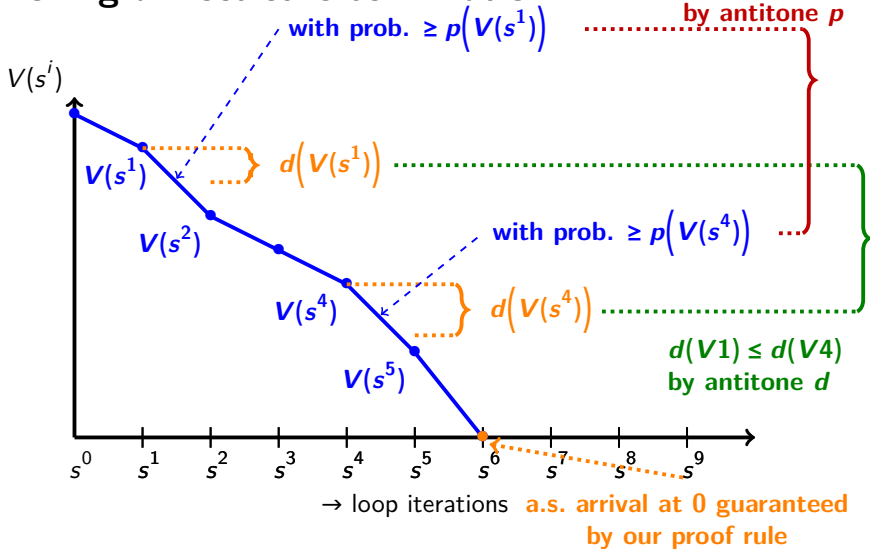
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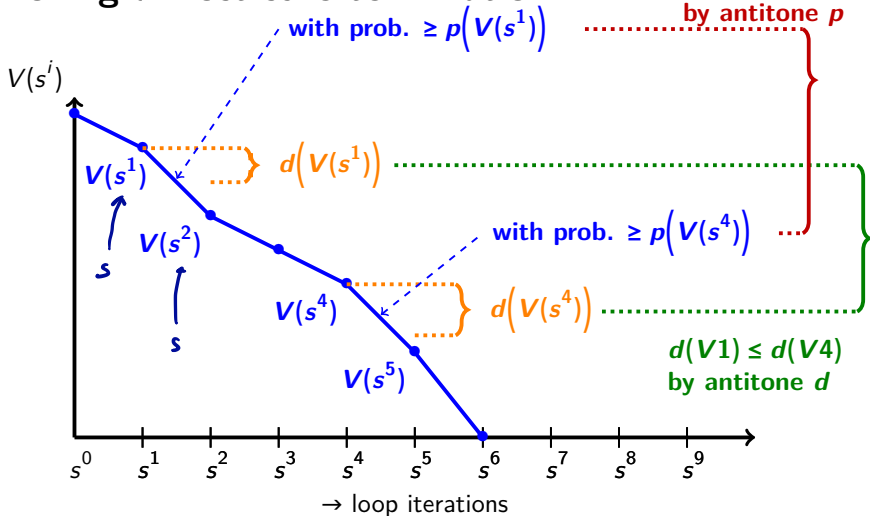


# Proving almost-sure termination





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The closer to termination, the more  $V$  decreases and this becomes more likely

# The formal proof rule for almost-sure termination

## Proof rule for almost-sure termination

[McIver *et al.*, 2018]

Let  $I \in \mathbb{P}$ , (variant) function  $V : \mathbb{S} \rightarrow \mathbb{R}_{\geq 0}$ , (probability) function  $p : \mathbb{R}_{\geq 0} \rightarrow (0, 1]$  be antitone, (decrease) function  $d : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{> 0}$  be antitone. If:

1.  $[I]$  is a wp-subinvariant of  $\text{while}(G) P$  w.r.t.  $[I]$
2.  $V = 0$  indicates termination, i.e.  $[\neg G] = [V = 0]$
3.  $V$  is a super-invariant of  $\text{while}(G) P$  w.r.t.  $V$
4.  $V$  satisfies the progress condition:

wp-characteristic function of the loop  
 $\Phi(V) \leq V$

function composition

$$(p \circ V).[G] \cdot [I] \leq \lambda s. \text{wp}(P, [V \leq V(s) - d(V(s))])(s)$$

Then: the loop  $\text{while}(G) P$  terminates from any state  $s$  satisfying the invariant  $I$ , i.e.,

$$[I] \leq \text{wp}(\text{while}(G) P, 1).$$

if  $I = \text{true} = 1$

# The symmetric random walk

► Recall:

```
while (x > 0) { x := x-1 [0.5] x := x+1 }
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► Witnesses of almost-sure termination:

*I = true*

- $V = x$
- $p(v) = 1/2$  and  $d(v) = 1$

# The symmetric random walk

► Recall:

```
while (x > 0) { x := x-1 [0.5] x := x+1 }
```

► Witnesses of almost-sure termination:

- $V = x$
- $p(v) = 1/2$  and  $d(v) = 1$

That's all you need to prove almost-sure termination!

$$I = \text{true} \quad V = x \quad p = \frac{1}{2} \quad d = 1$$

$$\text{while } (x > 0) \quad \{ x \leftarrow \left\lceil \frac{1}{2} \right\rceil x ++ \}$$

⑤  $p$  and  $d$  are antitone.  $\text{ThViel}$ .

①  $I$  is a wp-subinvariant.  $\text{ThViel}$ .

$$\textcircled{2} \quad [G] = [V=0] \quad \text{ThViel}.$$

$$\textcircled{3} \quad \Phi(V) \leq V ? \quad V = x$$

$$\text{iff} \quad [x \leq 0] \cdot \overset{V}{x} + [x > 0] \cdot \text{wp}(\text{body}, \overset{V}{x}) \leq x$$

$$\text{iff} \quad [x \leq 0] \cdot x + [x > 0] \cdot \frac{1}{2} (x-1 + x+1) \leq x$$

$$\text{iff} \quad \underbrace{[x \leq 0] \cdot x} + \underbrace{[x > 0] \cdot x} \leq x$$

$$\text{iff} \quad x \leq x. \quad \text{True.}$$

$$\textcircled{4} (p \circ V) \cdot [G] \leq \lambda s. \text{wp}(\text{body}, [V \leq \underbrace{V(s) - d(V(s))}_1])(s)$$

$$\Leftrightarrow \underbrace{\left(\frac{1}{2} \circ x\right)}_{=\frac{1}{2}} \cdot [x > 0] = \lambda s. \text{wp}(\text{body}, [x \leq x(s) - 1])(s)$$

$$\Leftrightarrow \frac{1}{2} \cdot [x > 0] \leq$$

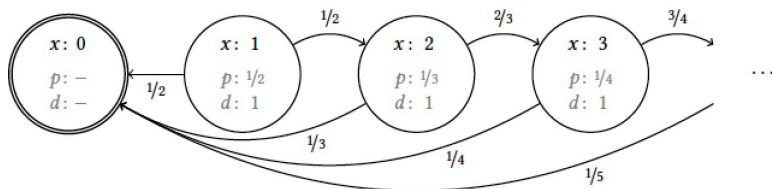
$$\lambda s. \left( \frac{1}{2} \cdot ([x-1 \leq \overset{x}{x(s)-1}] + [x+1 \leq \overset{x}{x(s)-1}]) \right) (s)$$

$$\Leftrightarrow \frac{1}{2} \cdot [x > 0] \leq \frac{1}{2} \left( \underbrace{[x-1 \leq x-1]}_1 + \underbrace{[x+1 \leq x-1]}_0 \right)$$

$$\Leftrightarrow \frac{1}{2} \cdot [x > 0] \leq \frac{1}{2} (1 + 0)$$

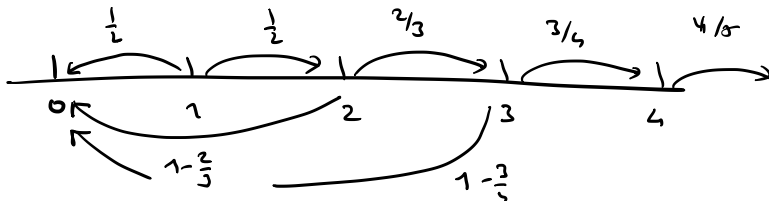
$$\Leftrightarrow \frac{1}{2} \cdot [x > 0] \leq \frac{1}{2} .$$

# The escaping spline



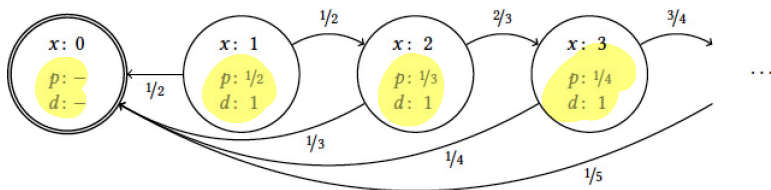
► Consider the program:

```
while (x > 0) { p := 1/(x+1); x := 0 [p] x++; }
```





# The escaping spline



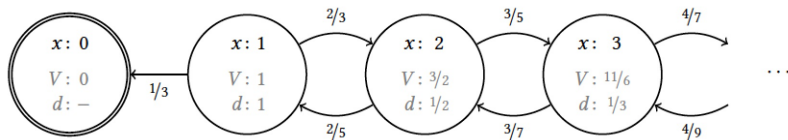
- Consider the program:

```
while (x > 0) { p := 1/(x+1); x := 0 [p] x++; }
```

- Witnesses of almost-sure termination:

- $V = x$
- $p(v) = \frac{1}{v+1}$  and  $d(v) = 1$

# A symmetric-in-the-limit random walk



- Consider the program:

```
while (x > 0) { p := x/(2*x+1) ; x-- [p] x++ }
```

$$I = \text{true} \quad V = x \quad d(v) = 1 \quad p(v) = \frac{1}{v+1}$$

①  $p$  and  $d$  are arbitrary.  $\text{ThViol}$

②  $\text{true}$  is wp-subinv.  $\text{ThViol}$

③  $[\neg G] = [V=0]$   $\text{ThViol}$

④  $\Phi(v) \leq V$

while  
( $x > 0$ ) {

$x := 0$  [...]

$x++$

$\frac{1}{x+1}$

$$\Leftrightarrow \underbrace{[x \leq 0]}_{\neg G} \cdot x + [x > 0] \text{wp}(\text{body}, x) \leq x$$

$$\Leftrightarrow [x \leq 0] \cdot x + [x > 0] \cdot \left( \underbrace{\frac{1}{x+1} \cdot 0}_{\text{"escape"}} + \left(1 - \frac{1}{x+1}\right)(x+1) \right) \leq x$$

.....

$$x \leq x$$

④ progress condition

$$(P \circ V) \cdot [G] \leq \lambda s. \text{wp}(\text{body}, [V \leq V(s) - d(V(s))]) (s)$$

$$\Leftrightarrow \underbrace{\lambda v. \frac{1}{v+1}}_{=P} \circ \underbrace{x}_{=V} \cdot \underbrace{[x > 0]}_G \leq \lambda s. (\text{body}, \overset{x}{[V \leq V(s) - 1]}) (s)$$

$$\Leftrightarrow \frac{1}{x+1} \cdot [x > 0] \leq$$

$$\lambda s. \left( \frac{1}{x+1} [0 \leq x(s) - 1] + \frac{x}{x+1} [x+1 \leq x(s) - 1] \right) (s)$$

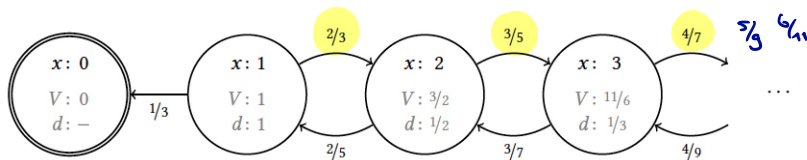
$\Leftrightarrow$

$$\frac{1}{x+1} \cdot [x > 0] \leq \underbrace{\frac{1}{x+1} \cdot [0 \leq x-1]}_{x > 0} + \underbrace{\frac{x}{x+1} \cdot [x+1 \leq x-1]}_{=0}$$

$= 0$

$$\Leftrightarrow \frac{1}{x+1} \cdot [x > 0] \leq \frac{1}{x+1} [x > 0]. \quad \text{True.}$$

# A symmetric-in-the-limit random walk



- Consider the program:

```
while (x > 0) { p := x/(2*x+1) ; x-- [p] x++ }
```

- Witnesses of almost-sure termination:

- $V = H_x$ , where  $H_x$  is  $x$ -th Harmonic number  $1 + \frac{1}{2} + \dots + \frac{1}{x}$

- $p(v) = \frac{1}{3}$  and  $d(v) = \begin{cases} \frac{1}{x} & \text{if } v > 0 \text{ and } H_{x-1} < v \leq H_x \\ 1 & \text{if } v = 0 \end{cases}$

$$P :: \text{while } (x > 0) \{ x \leftarrow \left\lfloor \frac{x}{2} \right\rfloor; x++ \}$$

$$I = \text{true}, V = H_x \quad d(v) = \begin{cases} \frac{1}{x} & \text{if } v > 0 \text{ and } v \in (H_{x-1}, H_x] \\ 1 & \text{if } v = 0 \end{cases}$$

$$P = \frac{1}{3}$$

⑤  $P, d$  are additive. Trivial.

①  $I$ . Trivial

②  $[V = 0] = [x \leq 0]$ . Trivial.

③  $\Phi(\psi) \leq V$

$$\Leftrightarrow [x \leq 0] \cdot H_x + [x > 0] \cdot \text{wp}(\text{body}, H_x) \leq H_x$$

$$\Leftrightarrow [x \leq 0] \cdot H_x + [x > 0] \cdot \left( \frac{x}{2x+1} \cdot H_{x-1} + \left(1 - \frac{x}{2x+1}\right) \cdot H_{x+1} \right) \leq H_x$$

$$\Leftrightarrow [x \leq 0] \cdot H_x + [x > 0] \cdot \left( \frac{x}{2x+1} \cdot \left(H_x - \frac{1}{x}\right) + \left(\dots\right) \cdot \left(H_x + \frac{1}{x+1}\right) \right) \leq H_x$$

.....

$$\Leftrightarrow \underbrace{[x \leq 0] \cdot H_x + [x > 0] \cdot H_x}_{= H_x} \leq H_x$$

$$\textcircled{4} (P \circ V) \cdot [G] \leq \lambda s. \dots \left[ V \leq V(s) - \underbrace{d(V/s)}_{\perp H_{x(s)}} \right]$$

$$\left( \frac{1}{3} \circ H_x \right) \cdot [x > 0] \leq \lambda s. \text{wp}(\text{body}, H_{x(s)})$$

# Expressiveness

This proof rule covers many a.s.-terminating programs that are out-of-reach for almost all existing proof rules