



Compiler Construction

Lecture 15: Code Generation I (Intermediate Code)

Winter Semester 2018/19

Thomas Noll

Software Modeling and Verification Group

RWTH Aachen University

<https://moves.rwth-aachen.de/teaching/ws-1819/cc/>

Generation of Intermediate Code

Outline of Lecture 15

Generation of Intermediate Code

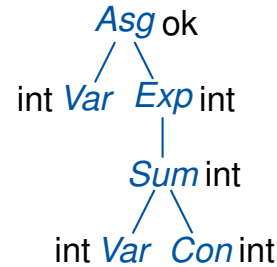
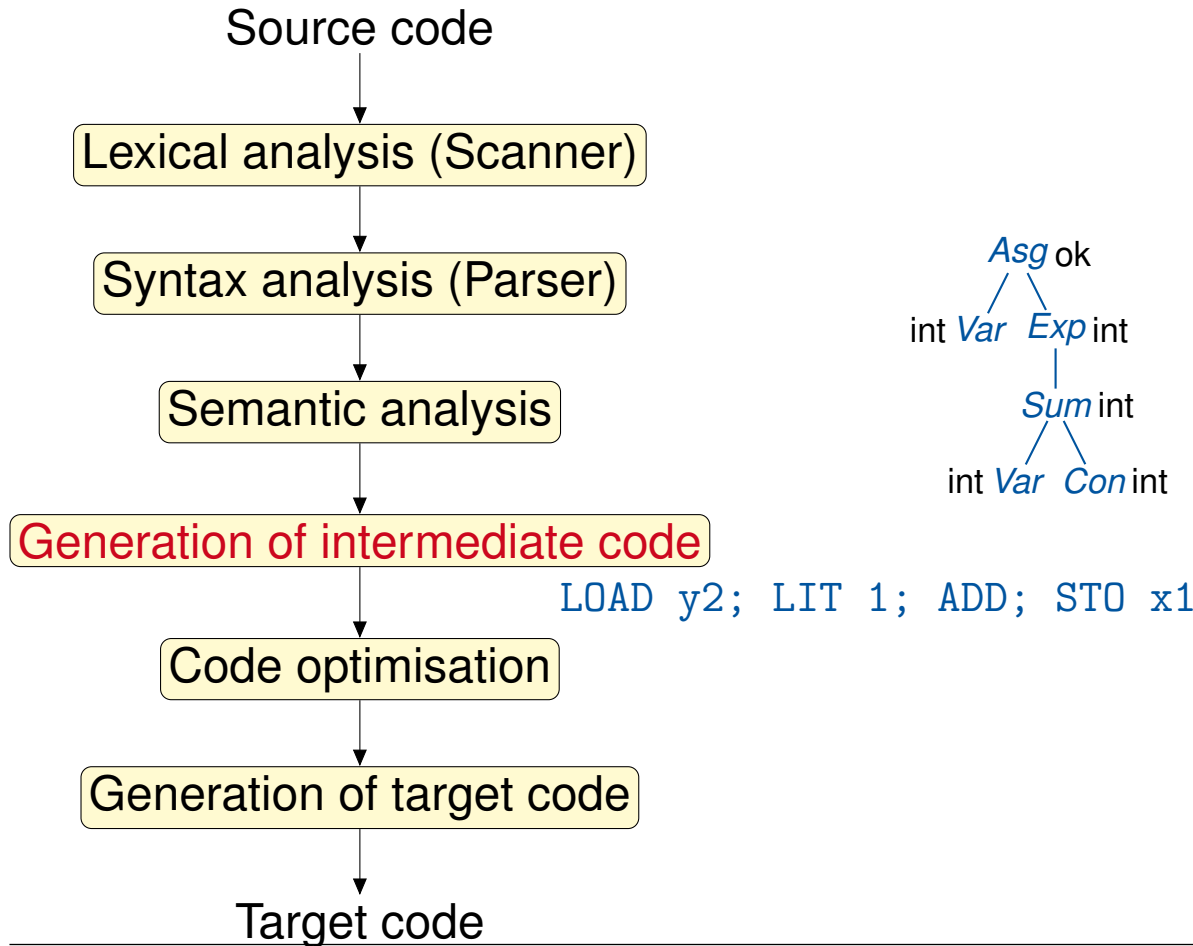
The Example Programming Language EPL

Semantics of EPL

Intermediate Code for EPL

Generation of Intermediate Code

Conceptual Structure of a Compiler



tree translations

Generation of Intermediate Code

Modularisation of Code Generation I

Splitting of code generation for programming language PL:

$$PL \xrightarrow{\text{trans}} IC \xrightarrow{\text{code}} MC$$

Frontend: `trans` generates **machine-independent intermediate code** (IC) for abstract (stack) machine

Backend: `code` generates **actual machine code** (MC)

Generation of Intermediate Code

Modularisation of Code Generation I

Splitting of code generation for programming language PL:

$$PL \xrightarrow{\text{trans}} IC \xrightarrow{\text{code}} MC$$

Frontend: `trans` generates **machine-independent intermediate code** (IC) for abstract (stack) machine

Backend: `code` generates **actual machine code** (MC)

Advantages: IC machine independent \implies

Portability: much easier to write IC compiler/interpreter for a new machine (as opposed to rewriting the whole compiler)

Fast compiler implementation: generating IC much easier than generating MC

Code size: IC programs usually smaller than corresponding MC programs

Code optimisation: division into machine-independent and machine-dependent parts

Generation of Intermediate Code

Modularisation of Code Generation II

Example 15.1

1. UNiversal Computer-Oriented Language (UNCOL; \approx 1960;
<https://en.wikipedia.org/wiki/UNCOL>): **universal** intermediate language for compilers
(never fully specified or implemented; too ambitious)



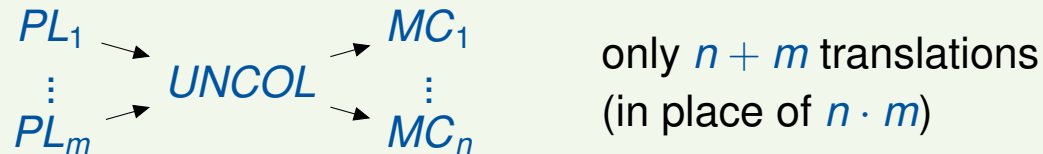
only $n + m$ translations
(in place of $n \cdot m$)

Generation of Intermediate Code

Modularisation of Code Generation II

Example 15.1

1. UNiversal Computer-Oriented Language (UNCOL; \approx 1960;
<https://en.wikipedia.org/wiki/UNCOL>): **universal** intermediate language for compilers
(never fully specified or implemented; too ambitious)



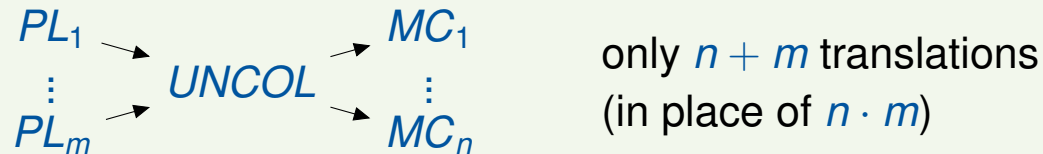
2. Pascal's portable code (P-code; \approx 1970;
https://en.wikipedia.org/wiki/P-Code_machine)

Generation of Intermediate Code

Modularisation of Code Generation II

Example 15.1

1. UNiversal Computer-Oriented Language (UNCOL; \approx 1960;
<https://en.wikipedia.org/wiki/UNCOL>): **universal** intermediate language for compilers
(never fully specified or implemented; too ambitious)



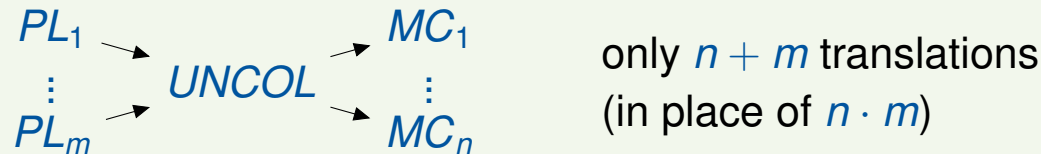
2. Pascal's portable code (P-code; \approx 1970;
https://en.wikipedia.org/wiki/P-Code_machine)
3. Java Virtual Machine bytecode (JVM; Sun; \approx 1995;
https://en.wikipedia.org/wiki/Java_Virtual_Machine)

Generation of Intermediate Code

Modularisation of Code Generation II

Example 15.1

1. UNiversal Computer-Oriented Language (UNCOL; \approx 1960;
<https://en.wikipedia.org/wiki/UNCOL>): **universal** intermediate language for compilers
(never fully specified or implemented; too ambitious)



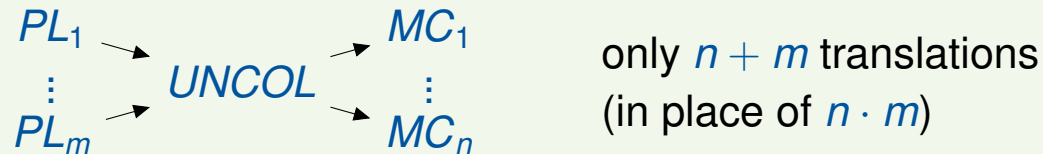
2. Pascal's portable code (P-code; \approx 1970;
https://en.wikipedia.org/wiki/P-Code_machine)
3. Java Virtual Machine bytecode (JVM; Sun; \approx 1995;
https://en.wikipedia.org/wiki/Java_Virtual_Machine)
4. Intermediate Representation code in LLVM framework (IR; U Illinois; \approx 2000;
<https://llvm.org/>)

Generation of Intermediate Code

Modularisation of Code Generation II

Example 15.1

1. UNiversal Computer-Oriented Language (UNCOL; ≈ 1960 ;
<https://en.wikipedia.org/wiki/UNCOL>): **universal** intermediate language for compilers
(never fully specified or implemented; too ambitious)



2. Pascal's portable code (P-code; ≈ 1970 ;
https://en.wikipedia.org/wiki/P-Code_machine)
3. Java Virtual Machine bytecode (JVM; Sun; ≈ 1995 ;
https://en.wikipedia.org/wiki/Java_Virtual_Machine)
4. Intermediate Representation code in LLVM framework (IR; U Illinois; ≈ 2000 ;
<https://llvm.org/>)
5. Common Intermediate Language (CIL; Microsoft .NET; ≈ 2002 ;
https://en.wikipedia.org/wiki/Common_Intermediate_Language)

Language Structures I

Structures in high-level (imperative) programming languages

- Basic data types and basic operations
- Static and dynamic data structures
- Expressions and assignments
- Control structures (branching, loops, ...)
- Procedures and functions
- Modularity: blocks, modules, and classes

Generation of Intermediate Code

Language Structures I

Structures in high-level (imperative) programming languages

- Basic data types and basic operations
- Static and dynamic data structures
- Expressions and assignments
- Control structures (branching, loops, ...)
- Procedures and functions
- Modularity: blocks, modules, and classes

Stack-based memory allocation for procedures

- FORTRAN: non-recursive and non-nested procedures (requirements statically determined)
⇒ **static** memory management
- C: recursive and non-nested procedures (requirements only known at runtime)
⇒ dynamic memory management using **runtime stack**, no static links
- Algol-like languages (Pascal, Modula): recursive and nested procedures
⇒ dynamic memory management using **runtime stack with static links**

Generation of Intermediate Code

Language Structures I

Structures in high-level (imperative) programming languages

- Basic data types and basic operations
- Static and dynamic data structures
- Expressions and assignments
- Control structures (branching, loops, ...)
- Procedures and functions
- Modularity: blocks, modules, and classes

Stack-based memory allocation for procedures

- FORTRAN: non-recursive and non-nested procedures (requirements statically determined)
⇒ **static** memory management
- C: recursive and non-nested procedures (requirements only known at runtime)
⇒ dynamic memory management using **runtime stack**, no static links
- Algol-like languages (Pascal, Modula): recursive and nested procedures
⇒ dynamic memory management using **runtime stack with static links**

Heap-based memory allocation for dynamic data structures

- Imperative languages (Pascal, C): dynamic memory allocation
- Object-oriented languages (C++, Java): object creation and removal

Language Structures II

Structures in machine code (von Neumann/SISD)

Memory hierarchy: accumulators, registers, caches, main memory, background storage

Instruction types: arithmetic/Boolean/... operation, test/branch instruction, transfer instruction, I/O instruction, ...

Addressing modes: direct/indirect, absolute/relative, ...

Architectures: RISC (few [fast but simple] instructions, many registers), CISC (many [complex but slow] instructions, few registers)

Generation of Intermediate Code

Language Structures II

Structures in machine code (von Neumann/SISD)

Memory hierarchy: accumulators, registers, caches, main memory, background storage

Instruction types: arithmetic/Boolean/... operation, test/branch instruction, transfer instruction, I/O instruction, ...

Addressing modes: direct/indirect, absolute/relative, ...

Architectures: RISC (few [fast but simple] instructions, many registers), CISC (many [complex but slow] instructions, few registers)

Structures in intermediate code

- **Data types and operations** like PL
- **Data stack** with basic operations
- **Branching instructions** for control structures
- **Runtime stack** for blocks, procedures, and static data structures
- **Heap** for dynamic data structures

The Example Programming Language EPL

Outline of Lecture 15

Generation of Intermediate Code

The Example Programming Language EPL

Semantics of EPL

Intermediate Code for EPL

The Example Programming Language EPL

The Example Programming Language EPL

Structures of EPL:

- Only integer and Boolean **values**
- Arithmetic and Boolean **expressions** with strict and non-strict semantics
- **Control structures**: sequence, branching, iteration
- Nested **blocks** and recursive **procedures** with local and global variables
(\implies dynamic memory management using runtime stack with static links)
- (not/later considered: procedure **parameters** and [dynamic] **data structures**)

The Example Programming Language EPL

Syntax of EPL

Definition 15.2 (Syntax of EPL)

The (ambiguous) **syntax of EPL** is defined as follows:

$$\begin{aligned} \mathbb{Z} &: z && (* z \text{ is an integer} *) \\ Ide &: I && (* I \text{ is an identifier} *) \\ AExp &: A ::= z \mid I \mid A_1 + A_2 \mid \dots \\ BExp &: B ::= A_1 < A_2 \mid \text{not } B \mid B_1 \text{ and } B_2 \mid B_1 \text{ or } B_2 \\ Cmd &: C ::= I := A \mid C_1; C_2 \mid \text{if } B \text{ then } C_1 \text{ else } C_2 \mid \text{while } B \text{ do } C \mid I() \\ Dcl &: D ::= D_C D_V D_P \\ & D_C ::= \varepsilon \mid \text{const } l_1 := z_1, \dots, l_n := z_n; \\ & D_V ::= \varepsilon \mid \text{var } l_1, \dots, l_n; \\ & D_P ::= \varepsilon \mid \text{proc } l_1; K_1; \dots; \text{proc } l_n; K_n; \\ Blk &: K ::= D C \\ Pgm &: P ::= \text{in/out } l_1, \dots, l_n; K. \end{aligned}$$

The Example Programming Language EPL

EPL Example: Factorial Function

Example 15.3 (Factorial function)

```
in/out x;  
var y;  
proc F;  
    if x > 1 then  
        y := y * x;  
        x := x - 1;  
        F()  
    y := 1;  
    F();  
    x := y.
```

Semantics of EPL

Outline of Lecture 15

Generation of Intermediate Code

The Example Programming Language EPL

Semantics of EPL

Intermediate Code for EPL

Semantics of EPL

Static Semantics of EPL

- Usage of identifiers must be **consistent** with declaration:
 - $I := \dots J \dots \implies I$ variable, J constant or variable
 - $I() \implies$ procedure

Static Semantics of EPL

- Usage of identifiers must be **consistent** with declaration:
 - $I := \dots J \dots \implies I$ variable, J constant or variable
 - $I() \implies$ procedure
- All identifiers within a single declaration D have to be **different**.

Static Semantics of EPL

- Usage of identifiers must be **consistent** with declaration:
 - $I := \dots J \dots \implies I$ variable, J constant or variable
 - $I() \implies$ procedure
- All identifiers within a single declaration D have to be **different**.
- Every identifier occurring in the command C of a block $D C$ must be **declared**
 - in D or
 - in the declaration list of a surrounding block.

Static Semantics of EPL

- Usage of identifiers must be **consistent** with declaration:
 - $I := \dots J \dots \implies I$ variable, J constant or variable
 - $I() \implies$ procedure
- All identifiers within a single declaration D have to be **different**.
- Every identifier occurring in the command C of a block $D C$ must be **declared**
 - in D or
 - in the declaration list of a surrounding block.
- **Multiple declarations** of an identifier in different blocks are possible. Each usage in a command refers to the **“innermost” declaration**.

Static Semantics of EPL

- Usage of identifiers must be **consistent** with declaration:
 - $I := \dots J \dots \implies I$ variable, J constant or variable
 - $I() \implies$ procedure
- All identifiers within a single declaration D have to be **different**.
- Every identifier occurring in the command C of a block $D C$ must be **declared**
 - in D or
 - in the declaration list of a surrounding block.
- **Multiple declarations** of an identifier in different blocks are possible. Each usage in a command refers to the **“innermost” declaration**.
- **Static scoping**: the usage of an identifier in the body of a called procedure refers to its declaration environment (and not to its calling environment; cf. following slide).

Scoping and Visibility of Identifiers

Scope of an identifier

The **scope** (or: range of validity/visibility) of an identifier's declaration refers to the part of the program where a usage of that identifier can refer to that declaration.

Scoping and Visibility of Identifiers

Scope of an identifier

The **scope** (or: range of validity/visibility) of an identifier's declaration refers to the part of the program where a usage of that identifier can refer to that declaration.

Static vs. dynamic scoping

Static (aka “lexical”) **scoping**: name resolution at **compile time**, depends on location in source code and lexical context (here)

- “program part” = area of source code (**block**)
- usually admits at most one declaration of same identifier per block
- but allows additional declarations within **nested** blocks
- **innermost** principle: hide outer declarations (still **valid**, but not **visible**)

Dynamic scoping: name resolution at **runtime**, depends on execution context

- “program part” = runtime history, esp. procedure calls

Levels of scope: expression/**block**/procedure/file/module/...

Static Scoping by Example

Example 15.4

```
in/out x;
  const c = 10;
  var y;
  proc P;
    var y, z;
    proc Q;
      var x, z;
      [... z := 1; P() ...]
      [... P() ... R() ...]
    proc R;
      [... P() ...]
    [... x := 0; P() ...] .
```

Static Scoping by Example

Example 15.4

```
in/out x;  
  const c = 10;  
  var y;  
  proc P;  
    var y, z;  
    proc Q;  
      var x, z;  
      [... z := 1; P() ...]  
      [... P() ... R() ...]  
    proc R;  
      [... P() ...]  
  [... x := 0; P() ...] .
```

- “Innermost” principle: use of `x` in main program

Static Scoping by Example

Example 15.4

```
in/out x;  
  const c = 10;  
  var y;  
  proc P;  
    var y, z;  
    proc Q;  
      var x, z;  
      [... z := 1; P() ...]  
      [... P() ... R() ...]  
    proc R;  
      [... P() ...]  
  [... x := 0; P() ...] .
```

- “Innermost” principle: use of `x` in main program
- “Innermost” principle: use of `z` in `Q`

Static Scoping by Example

Example 15.4

```
in/out x;
  const c = 10;
  var y;
  proc P;
    var y, z;
    proc Q;
      var x, z;
      [... z := 1; P() ...]
      [... P() ... R() ...]
    proc R;
      [... P() ...]
    [... x := 0; P() ...] .
```

- “Innermost” principle: use of `x` in main program
- “Innermost” principle: use of `z` in `Q`
- **Static scoping**: call of `P` in `Q` can refer to `x`, `y`, `z`

Static Scoping by Example

Example 15.4

```
in/out x;
  const c = 10;
  var y;
  proc P;
    var y, z;
    proc Q;
      var x, z;
      [... z := 1; P() ...]
      [... P() ... R() ...]
  proc R;
    [... P() ...]
  [... x := 0; P() ...] .
```

- “Innermost” principle: use of `x` in main program
- “Innermost” principle: use of `z` in `Q`
- Static scoping: call of `P` in `Q` can refer to `x`, `y`, `z`
- **Later declaration**: call of `R` in `P` followed by declaration (in older languages: `forward` declarations for one-pass compilation)

Dynamic Semantics of EPL

(omitting the details; cf. *Semantics of Programming Languages*)

- To “run” program, execute main block in **state** (memory location \mapsto value) determined by input values

Dynamic Semantics of EPL

(omitting the details; cf. *Semantics of Programming Languages*)

- To “run” program, execute main block in **state** (memory location \mapsto value) determined by input values
- **Effect of declaration** = modification of **environment**
 - constant \mapsto value, variable \mapsto memory location, procedure \mapsto state transformation

Dynamic Semantics of EPL

(omitting the details; cf. *Semantics of Programming Languages*)

- To “run” program, execute main block in **state** (memory location \mapsto value) determined by input values
- **Effect of declaration** = modification of **environment**
 - constant \mapsto value, variable \mapsto memory location, procedure \mapsto state transformation
- **Effect of statement** = modification of **state**
 - assignment $I := A$: update of I 's location by current value of A
 - composition $C_1 ; C_2$: sequential execution
 - branching **if** B **then** C_1 **else** C_2 : test of B , followed by jump to respective branch
 - iteration **while** B **do** C : execution of C as long as B is true
 - call $I()$: transfer control to body of I and return to subsequent statement afterwards

Dynamic Semantics of EPL

(omitting the details; cf. *Semantics of Programming Languages*)

- To “run” program, execute main block in **state** (memory location \mapsto value) determined by input values
- **Effect of declaration** = modification of **environment**
 - constant \mapsto value, variable \mapsto memory location, procedure \mapsto state transformation
- **Effect of statement** = modification of **state**
 - assignment $l := A$: update of l 's location by current value of A
 - composition $C_1; C_2$: sequential execution
 - branching **if** B **then** C_1 **else** C_2 : test of B , followed by jump to respective branch
 - iteration **while** B **do** C : execution of C as long as B is true
 - call $l()$: transfer control to body of l and return to subsequent statement afterwards
- EPL program $P = \text{in/out } l_1, \dots, l_n; K. \in \text{Pgm}$ has as **semantics** a function

$$\llbracket P \rrbracket : \mathbb{Z}^n \dashrightarrow \mathbb{Z}^n$$

Semantics of EPL

Dynamic Semantics of EPL

(omitting the details; cf. *Semantics of Programming Languages*)

- To “run” program, execute main block in **state** (memory location \mapsto value) determined by input values
- **Effect of declaration** = modification of **environment**
 - constant \mapsto value, variable \mapsto memory location, procedure \mapsto state transformation
- **Effect of statement** = modification of **state**
 - assignment $I := A$: update of I 's location by current value of A
 - composition $C_1; C_2$: sequential execution
 - branching **if** B **then** C_1 **else** C_2 : test of B , followed by jump to respective branch
 - iteration **while** B **do** C : execution of C as long as B is true
 - call $I()$: transfer control to body of I and return to subsequent statement afterwards
- EPL program $P = \text{in/out } I_1, \dots, I_n; K. \in \text{Pgm}$ has as **semantics** a function

$$\llbracket P \rrbracket : \mathbb{Z}^n \dashrightarrow \mathbb{Z}^n$$

Example 15.5 (Factorial function; cf. Example 15.3)

here $n = 1$ and $\llbracket P \rrbracket(x) = x!$ (where $x! := 1$ for $x \leq 0$)

Intermediate Code for EPL

Outline of Lecture 15

Generation of Intermediate Code

The Example Programming Language EPL

Semantics of EPL

Intermediate Code for EPL

The Abstract Machine AM

Definition 15.6 (Abstract machine for EPL)

The **abstract machine for EPL (AM)** is defined by the **state space**

$$S := PC \times DS \times PS$$

with

- the **program counter** $PC := \mathbb{N}$,
- the **data stack** $DS := \mathbb{Z}^*$ (top of stack to the right), and
- the **procedure stack** (or: **runtime stack**) $PS := \mathbb{Z}^*$ (top of stack to the left).

The Abstract Machine AM

Definition 15.6 (Abstract machine for EPL)

The **abstract machine for EPL (AM)** is defined by the **state space**

$$S := PC \times DS \times PS$$

with

- the **program counter** $PC := \mathbb{N}$,
- the **data stack** $DS := \mathbb{Z}^*$ (top of stack to the right), and
- the **procedure stack** (or: **runtime stack**) $PS := \mathbb{Z}^*$ (top of stack to the left).

Thus a state $s = (pc, d, p) \in S$ is given by

- a program counter $pc \in PC$,
- a data stack $d = d.r : \dots : d.1 \in DS$, and
- a procedure stack $p = p.1 : \dots : p.t \in PS$.

AM Instructions

Definition 15.7 (AM instructions)

The set of **AM instructions** is divided into

arithmetic instructions: ADD, MULT, ...

Boolean instructions: NOT, AND, OR, LT, ...

branching instructions: JMP(*ca*), JFALSE(*ca*) ($ca \in PC$)

procedure instructions: CALL(*ca*, *dif*, *loc*) ($ca \in PC, dif, loc \in \mathbb{N}$), RET

transfer instructions: LOAD(*dif*, *off*), STORE(*dif*, *off*) ($dif, off \in \mathbb{N}$), LIT(*z*) ($z \in \mathbb{Z}$)

Semantics of Instructions

Definition 15.8 (Semantics of AM instructions (1st part))

The **semantics of an AM instruction** O

$$\llbracket O \rrbracket : S \dashrightarrow S$$

is defined as follows:

$$\llbracket \text{ADD} \rrbracket (pc, d : z_1 : z_2, p) := (pc + 1, d : z_1 + z_2, p)$$

$$\llbracket \text{NOT} \rrbracket (pc, d : b, p) := (pc + 1, d : \neg b, p) \quad \text{if } b \in \{0, 1\}$$

$$\llbracket \text{AND} \rrbracket (pc, d : b_1 : b_2, p) := (pc + 1, d : b_1 \wedge b_2, p) \quad \text{if } b_1, b_2 \in \{0, 1\}$$

$$\llbracket \text{OR} \rrbracket (pc, d : b_1 : b_2, p) := (pc + 1, d : b_1 \vee b_2, p) \quad \text{if } b_1, b_2 \in \{0, 1\}$$

$$\llbracket \text{LT} \rrbracket (pc, d : z_1 : z_2, p) := \begin{cases} (pc + 1, d : 1, p) & \text{if } z_1 < z_2 \\ (pc + 1, d : 0, p) & \text{if } z_1 \geq z_2 \end{cases}$$

$$\llbracket \text{JMP}(ca) \rrbracket (pc, d, p) := (ca, d, p)$$

$$\llbracket \text{JFALSE}(ca) \rrbracket (pc, d : b, p) := \begin{cases} (ca, d, p) & \text{if } b = 0 \\ (pc + 1, d, p) & \text{if } b = 1 \end{cases}$$