



Compiler Construction

Lecture 13: Semantic Analysis II (Circularity Check)

Winter Semester 2018/19

Thomas Noll

Software Modeling and Verification Group

RWTH Aachen University

<https://moves.rwth-aachen.de/teaching/ws-1819/cc/>

Recap: Attribute Grammars

Outline of Lecture 13

Recap: Attribute Grammars

Checking Attribute Grammars for Circularity

The Circularity Check

Correctness and Complexity of the Circularity Check

Recap: Attribute Grammars

Formal Definition of Attribute Grammars

Definition (Attribute grammar)

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$ with $X := N \uplus \Sigma$.

- Let $Att = Syn \uplus Inh$ be a set of (synthesised or inherited) attributes, and let $V = \bigcup_{\alpha \in Att} V^{\alpha}$ be a collection of value sets.
- Let $att : X \rightarrow 2^{Att}$ be an attribute assignment, and let $\text{syn}(Y) := att(Y) \cap Syn$ and $\text{inh}(Y) := att(Y) \cap Inh$ for every $Y \in X$.

- Every production $\pi = Y_0 \rightarrow Y_1 \dots Y_r \in P$ determines the set

$$Var_{\pi} := \{\alpha.i \mid \alpha \in att(Y_i), i \in \{0, \dots, r\}\}$$

of attribute variables of π with the subsets of internal and external variables:

$$Int_{\pi} := \{\alpha.i \mid (i = 0, \alpha \in \text{syn}(Y_i)) \text{ or } (i \in [r], \alpha \in \text{inh}(Y_i))\} \quad Ext_{\pi} := Var_{\pi} \setminus Int_{\pi}$$

- A semantic rule of π is an equation of the form

$$\alpha_0.i_0 = f(\alpha_1.i_1, \dots, \alpha_n.i_n)$$

where $n \in \mathbb{N}$, $\alpha_0.i_0 \in Int_{\pi}$, $\alpha_j.i_j \in Ext_{\pi}$, and $f : V^{\alpha_1} \times \dots \times V^{\alpha_n} \rightarrow V^{\alpha_0}$.

- For each $\pi \in P$, let E_{π} be a set with exactly one semantic rule for every internal variable of π , and let $E := (E_{\pi} \mid \pi \in P)$.

Then $\mathfrak{A} := \langle G, E, V \rangle$ is called an attribute grammar: $\mathfrak{A} \in AG$.

Recap: Attribute Grammars

Attribution of Syntax Trees

Definition (Attribution of syntax trees)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$, and let t be a syntax tree of G with the set of nodes K .

- K determines the set of **attribute variables of t** :

$$Var_t := \{\alpha.k \mid k \in K \text{ labelled with } Y \in X, \alpha \in \text{att}(Y)\}.$$

- Let $k_0 \in K$ be an (inner) node where production $\pi = Y_0 \rightarrow Y_1 \dots Y_r \in P$ is applied, and let $k_1, \dots, k_r \in K$ be the corresponding successor nodes. The **attribute equation system E_{k_0}** of k_0 is obtained from E_π by substituting every attribute index $i \in \{0, \dots, r\}$ by k_i .
- The **attribute equation system** of t is given by

$$E_t := \bigcup \{E_k \mid k \text{ inner node of } t\}.$$

Recap: Attribute Grammars

Solvability of Attribute Equation System

Definition (Solution of attribute equation system)

Let $\mathfrak{A} = \langle G, E, V \rangle \in AG$, and let t be a syntax tree of G . A **solution** of E_t is a mapping

$$v : Var_t \rightarrow V$$

such that, for every $\alpha_0.k_0 \in Var_t$ and $\alpha_0.k_0 = f(\alpha_1.k_1, \dots, \alpha_n.k_n) \in E_t$,

$$v(\alpha_0.k_0) = f(v(\alpha_1.k_1), \dots, v(\alpha_n.k_n)).$$

In general, the attribute equation system E_t of a given syntax tree t can have

- no solution,
- exactly one solution, or
- several solutions.

Recap: Attribute Grammars

Circularity of Attribute Grammars

Goal: **unique solvability** of equation system

⇒ avoid cyclic dependencies

Definition (Circularity)

An attribute grammar $\mathcal{A} = \langle G, E, V \rangle \in AG$ is called **circular** if there exists a syntax tree t such that the attribute equation system E_t is recursive (i.e., some attribute variable of t depends on itself). Otherwise it is called **noncircular**.

Remark: because of the division of Var_π into Int_π and Ext_π , cyclic dependencies cannot occur at production level.

Recap: Attribute Grammars

Attribute Dependency Graphs I

Goal: graphical representation of attribute dependencies

Definition (Production dependency graph)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$. Every production $\pi \in P$ determines the **dependency graph** $D_\pi := \langle Var_\pi, \rightarrow_\pi \rangle$ where the set of edges $\rightarrow_\pi \subseteq Var_\pi \times Var_\pi$ is given by

$$x \rightarrow_\pi y \quad \text{iff} \quad y = f(\dots, x, \dots) \in E_\pi.$$

Corollary

The dependency graph of a production is acyclic (since $\rightarrow_\pi \subseteq Ext_\pi \times Int_\pi$ and $Ext_\pi \cap Int_\pi = \emptyset$).

Recap: Attribute Grammars

Attribute Dependency Graphs II

Just as the attribute equation system E_t of a syntax tree t is obtained from the semantic rules of the contributing productions, the dependency graph of t is obtained by “glueing together” the dependency graphs of the productions.

Definition (Tree dependency graph)

Let $\mathfrak{A} = \langle G, E, V \rangle \in AG$, and let t be a syntax tree of G .

- The **dependency graph** of t is defined by $D_t := \langle Var_t, \rightarrow_t \rangle$ where the set of edges, $\rightarrow_t \subseteq Var_t \times Var_t$, is given by

$$x \rightarrow_t y \quad \text{iff} \quad y = f(\dots, x, \dots) \in E_t.$$

- D_t is called **cyclic** if there exists $x \in Var_t$ such that $x \rightarrow_t^+ x$.

Corollary

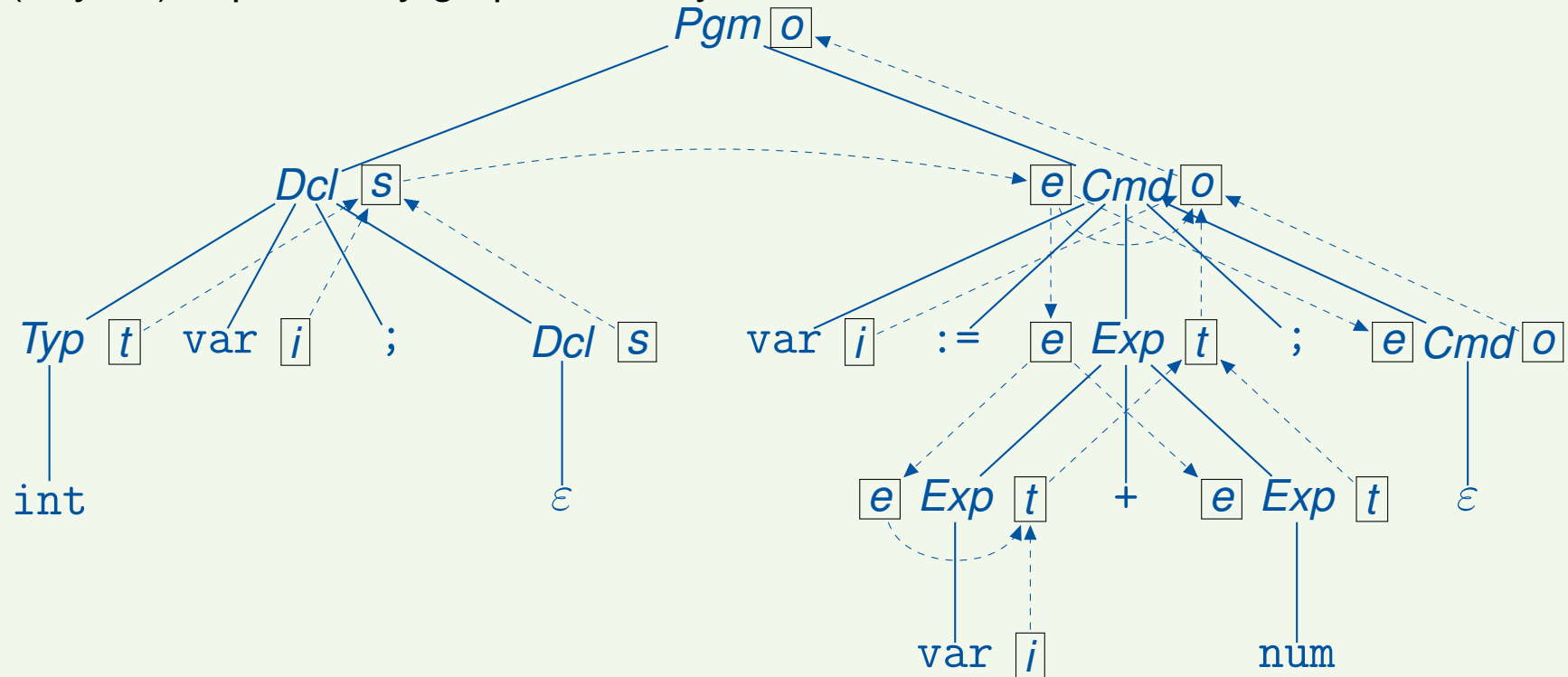
An attribute grammar $\mathfrak{A} = \langle G, E, V \rangle \in AG$ is **circular** iff there exists a syntax tree t of G such that D_t is **cyclic**.

Recap: Attribute Grammars

Attribute Dependency Graphs III

Example (cf. Example 12.1)

(Acyclic) dependency graph of the syntax tree for `int x; x := x+1;`



Checking Attribute Grammars for Circularity

Outline of Lecture 13

Recap: Attribute Grammars

Checking Attribute Grammars for Circularity

The Circularity Check

Correctness and Complexity of the Circularity Check

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity I

Observation: a cycle in the dependency graph D_t of a given syntax tree t is caused by the occurrence of a “cover” production $\pi = A_0 \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ in a node k_0 of t such that

- the dependencies in E_{k_0} yield the “upper end” of the cycle and
- for at least one $i \in [r]$, some attributes in $\text{syn}(A_i)$ depend on attributes in $\text{inh}(A_i)$.

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity I

Observation: a cycle in the dependency graph D_t of a given syntax tree t is caused by the occurrence of a “cover” production $\pi = A_0 \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ in a node k_0 of t such that

- the dependencies in E_{k_0} yield the “upper end” of the cycle and
- for at least one $i \in [r]$, some attributes in $\text{syn}(A_i)$ depend on attributes in $\text{inh}(A_i)$.

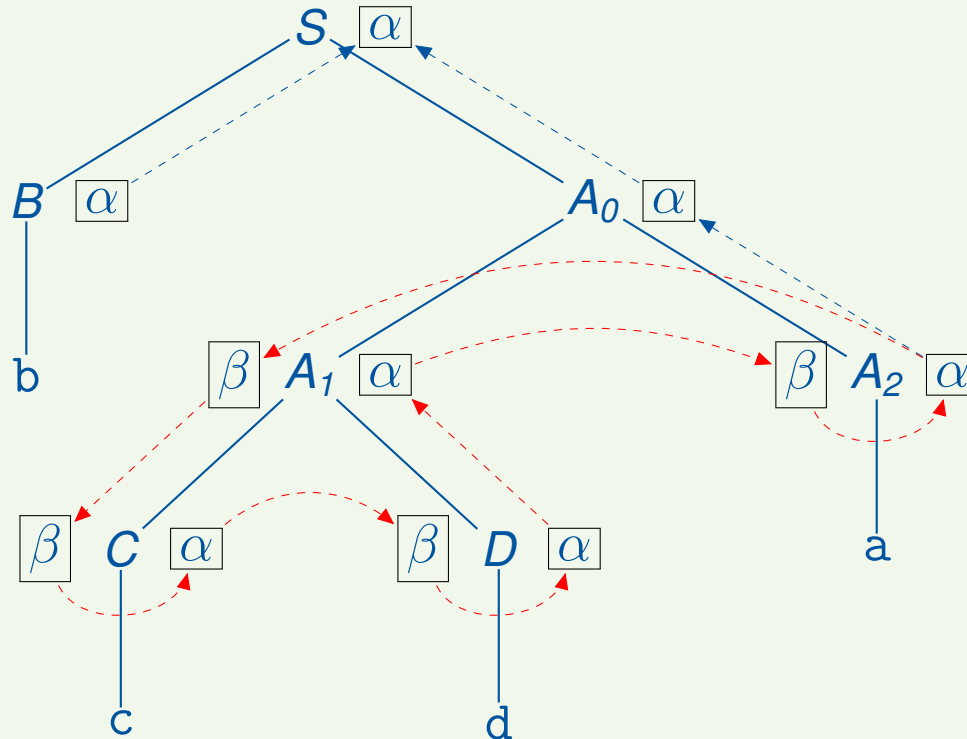
To identify such “critical” situations we need to determine for each $i \in [r]$ the possible ways in which attributes in $\text{syn}(A_i)$ can depend on attributes in $\text{inh}(A_i)$.

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity II

Example 13.1

Typical situation (with “cover” production $\pi = A_0 \rightarrow A_1 A_2 \in P$):



Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity III

Definition 13.2 (Attribute dependence)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$.

- If t is a syntax tree with root label $A \in N$ and root node k , $\alpha \in \text{syn}(A)$, and $\beta \in \text{inh}(A)$ such that $\beta.k \rightarrow_t^+ \alpha.k$, then α is dependent on β below A in t (notation: $\beta \xrightarrow{A} \alpha$).

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity III

Definition 13.2 (Attribute dependence)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$.

- If t is a syntax tree with root label $A \in N$ and root node k , $\alpha \in \text{syn}(A)$, and $\beta \in \text{inh}(A)$ such that $\beta.k \rightarrow_t^+ \alpha.k$, then α is dependent on β below A in t (notation: $\beta \xrightarrow{A} \alpha$).
- For every syntax tree t with root label $A \in N$,

$$is(A, t) := \{(\beta, \alpha) \in \text{inh}(A) \times \text{syn}(A) \mid \beta \xrightarrow{A} \alpha \text{ in } t\}.$$

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity III

Definition 13.2 (Attribute dependence)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$.

- If t is a syntax tree with root label $A \in N$ and root node k , $\alpha \in \text{syn}(A)$, and $\beta \in \text{inh}(A)$ such that $\beta.k \rightarrow_t^+ \alpha.k$, then α is dependent on β below A in t (notation: $\beta \xrightarrow{A} \alpha$).
- For every syntax tree t with root label $A \in N$,

$$is(A, t) := \{(\beta, \alpha) \in \text{inh}(A) \times \text{syn}(A) \mid \beta \xrightarrow{A} \alpha \text{ in } t\}.$$

- For every $A \in N$,

$$IS(A) := \{is(A, t) \mid t \text{ syntax tree with root label } A\} \subseteq 2^{\text{Inh} \times \text{Syn}}.$$

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity III

Definition 13.2 (Attribute dependence)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$.

- If t is a syntax tree with root label $A \in N$ and root node k , $\alpha \in \text{syn}(A)$, and $\beta \in \text{inh}(A)$ such that $\beta.k \rightarrow_t^+ \alpha.k$, then α is dependent on β below A in t (notation: $\beta \xrightarrow{A} \alpha$).
- For every syntax tree t with root label $A \in N$,

$$is(A, t) := \{(\beta, \alpha) \in \text{inh}(A) \times \text{syn}(A) \mid \beta \xrightarrow{A} \alpha \text{ in } t\}.$$

- For every $A \in N$,

$$IS(A) := \{is(A, t) \mid t \text{ syntax tree with root label } A\} \subseteq 2^{\text{Inh} \times \text{Syn}}.$$

Remark: it is important that $IS(A)$ is a **system** of attribute dependence sets, not a **union** (otherwise: **strong noncircularity** – see later).

Checking Attribute Grammars for Circularity

Attribute Dependency Graphs and Circularity III

Definition 13.2 (Attribute dependence)

Let $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$.

- If t is a syntax tree with root label $A \in N$ and root node k , $\alpha \in \text{syn}(A)$, and $\beta \in \text{inh}(A)$ such that $\beta.k \rightarrow_t^+ \alpha.k$, then α is **dependent on β below A in t** (notation: $\beta \xrightarrow{A} \alpha$).
- For every syntax tree t with root label $A \in N$,

$$is(A, t) := \{(\beta, \alpha) \in \text{inh}(A) \times \text{syn}(A) \mid \beta \xrightarrow{A} \alpha \text{ in } t\}.$$

- For every $A \in N$,

$$IS(A) := \{is(A, t) \mid t \text{ syntax tree with root label } A\} \subseteq 2^{\text{Inh} \times \text{Syn}}.$$

Remark: it is important that $IS(A)$ is a **system** of attribute dependence sets, not a **union** (otherwise: **strong noncircularity** – see later).

Example 13.3

on the board

The Circularity Check

Outline of Lecture 13

Recap: Attribute Grammars

Checking Attribute Grammars for Circularity

The Circularity Check

Correctness and Complexity of the Circularity Check

The Circularity Check

The Circularity Check I

In the circularity check, the dependency systems $IS(A)$ are iteratively computed. The following notation is employed:

Definition 13.4

Given $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \subseteq \text{inh}(A_i) \times \text{syn}(A_i)$ for each $i \in [r]$,

$$is[\pi; is_1, \dots, is_r] \subseteq \text{inh}(A) \times \text{syn}(A)$$

is defined by

$$is[\pi; is_1, \dots, is_r] := \left\{ (\beta, \alpha) \mid (\beta.0, \alpha.0) \in (\rightarrow_\pi \cup \bigcup_{i=1}^r \{(\beta'.p_i, \alpha'.p_i) \mid (\beta', \alpha') \in is_i\})^+ \right\}$$

where $p_i := \sum_{j=1}^i |w_{j-1}| + i$.

The Circularity Check

The Circularity Check I

In the circularity check, the dependency systems $IS(A)$ are iteratively computed. The following notation is employed:

Definition 13.4

Given $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \subseteq \text{inh}(A_i) \times \text{syn}(A_i)$ for each $i \in [r]$,

$$is[\pi; is_1, \dots, is_r] \subseteq \text{inh}(A) \times \text{syn}(A)$$

is defined by

$$is[\pi; is_1, \dots, is_r] := \left\{ (\beta, \alpha) \mid (\beta.0, \alpha.0) \in (\rightarrow_\pi \cup \bigcup_{i=1}^r \{(\beta'.p_i, \alpha'.p_i) \mid (\beta', \alpha') \in is_i\})^+ \right\}$$

where $p_i := \sum_{j=1}^i |w_{j-1}| + i$.

Example 13.5

on the board

The Circularity Check

The Circularity Check II

Algorithm 13.6 (Circularity check for attribute grammars)

Input: $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$

The Circularity Check

The Circularity Check II

Algorithm 13.6 (Circularity check for attribute grammars)

Input: $\mathcal{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$

Procedure: 1. for every $A \in N$, **iteratively construct** $IS(A)$ as follows:

i. if $\pi = A \rightarrow w \in P$, then

$$is[\pi] \in IS(A)$$

ii. if $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \in IS(A_i)$ for every $i \in [r]$, then

$$is[\pi; is_1, \dots, is_r] \in IS(A)$$

The Circularity Check

The Circularity Check II

Algorithm 13.6 (Circularity check for attribute grammars)

Input: $\mathfrak{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$

Procedure: 1. for every $A \in N$, **iteratively construct** $IS(A)$ as follows:

i. if $\pi = A \rightarrow w \in P$, then

$$is[\pi] \in IS(A)$$

ii. if $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \in IS(A_i)$ for every $i \in [r]$, then

$$is[\pi; is_1, \dots, is_r] \in IS(A)$$

2. **test whether** \mathfrak{A} **is circular** by checking if there exist $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \in IS(A_i)$ for every $i \in [r]$ such that the following relation is cyclic:

$$\rightarrow_{\pi} \cup \bigcup_{i=1}^r \{(\beta.p_i, \alpha.p_i) \mid (\beta, \alpha) \in is_i\}$$

(where $p_i := \sum_{j=1}^i |w_{j-1}| + i$)

The Circularity Check

The Circularity Check II

Algorithm 13.6 (Circularity check for attribute grammars)

Input: $\mathfrak{A} = \langle G, E, V \rangle \in AG$ with $G = \langle N, \Sigma, P, S \rangle$

Procedure: 1. for every $A \in N$, **iteratively construct** $IS(A)$ as follows:

i. if $\pi = A \rightarrow w \in P$, then

$$is[\pi] \in IS(A)$$

ii. if $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \in IS(A_i)$ for every $i \in [r]$, then

$$is[\pi; is_1, \dots, is_r] \in IS(A)$$

2. **test whether** \mathfrak{A} **is circular** by checking if there exist $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$ and $is_i \in IS(A_i)$ for every $i \in [r]$ such that the following relation is cyclic:

$$\rightarrow_{\pi} \cup \bigcup_{i=1}^r \{(\beta.p_i, \alpha.p_i) \mid (\beta, \alpha) \in is_i\}$$

(where $p_i := \sum_{j=1}^i |w_{j-1}| + i$)

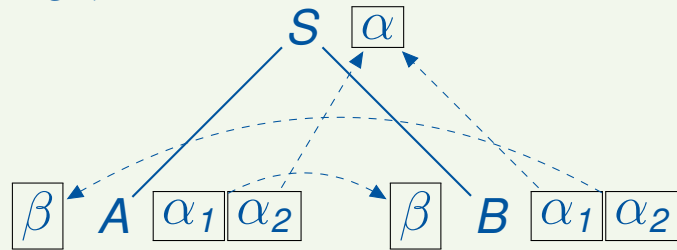
Output: “yes” or “no”

The Circularity Check

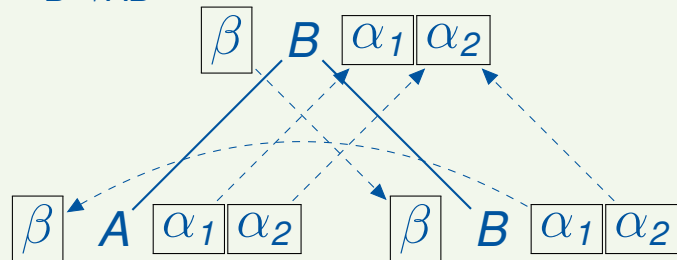
The Circularity Check III

Example 13.7

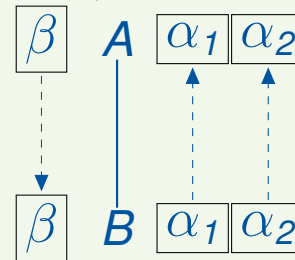
$D_{S \rightarrow AB}$:



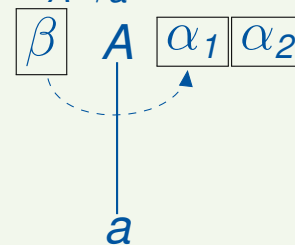
$D_{B \rightarrow AB}$:



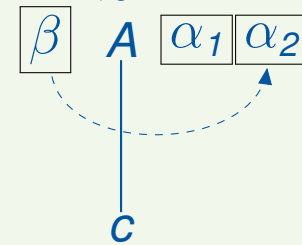
$D_{A \rightarrow B}$:



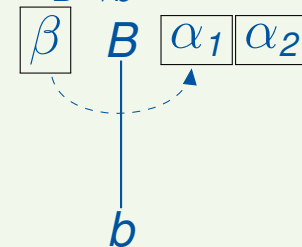
$D_{A \rightarrow a}$:



$D_{A \rightarrow c}$:



$D_{B \rightarrow b}$:



Application of Algorithm 13.6: on the board

Correctness and Complexity of the Circularity Check

Outline of Lecture 13

Recap: Attribute Grammars

Checking Attribute Grammars for Circularity

The Circularity Check

Correctness and Complexity of the Circularity Check

Correctness and Complexity of the Circularity Check

Correctness and Complexity of Circularity Check

Theorem 13.8 (Correctness of circularity check)

An attribute grammar is circular iff Algorithm 13.6 yields the answer “yes”

Correctness and Complexity of the Circularity Check

Correctness and Complexity of Circularity Check

Theorem 13.8 (Correctness of circularity check)

An attribute grammar is circular iff Algorithm 13.6 yields the answer “yes”

Proof.

by induction on the syntax tree t with cyclic D_t



Correctness and Complexity of the Circularity Check

Correctness and Complexity of Circularity Check

Theorem 13.8 (Correctness of circularity check)

An attribute grammar is circular iff Algorithm 13.6 yields the answer “yes”

Proof.

by induction on the syntax tree t with cyclic D_t □

Lemma 13.9

*The time complexity of the circularity check is **exponential** in the size of the attribute grammar (= maximal length of right-hand sides of productions).*

Correctness and Complexity of the Circularity Check

Correctness and Complexity of Circularity Check

Theorem 13.8 (Correctness of circularity check)

An attribute grammar is circular iff Algorithm 13.6 yields the answer “yes”

Proof.

by induction on the syntax tree t with cyclic D_t □

Lemma 13.9

*The time complexity of the circularity check is **exponential** in the size of the attribute grammar (= maximal length of right-hand sides of productions).*

Proof.

by reduction of the word problem of alternating Turing machines (see M. Jazayeri: *A Simpler Construction for Showing the Intrinsically Exponential Complexity of the Circularity Problem for Attribute Grammars*, Comm. ACM 28(4), 1981, pp. 715–720) □