



# Semantics and Verification of Software

Winter Semester 2017/18

Lecture 8: Denotational Semantics of WHILE III  
(Fixpoint & Coincidence Theorem)

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# Recap: CCPOs and Continuous Functions

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## Characterisation of $\text{fix}(\Phi)$ II

### Goals:

- Prove **existence** of  $\text{fix}(\Phi)$  for  $\Phi(f) = \text{cond}(\mathcal{B}[[b]], f \circ \mathcal{C}[[c]], \text{id}_\Sigma)$
- Show how it can be “computed” (more exactly: **approximated**)

### Sufficient conditions:

on domain  $\Sigma \dashrightarrow \Sigma$ : **chain-complete partial order**

on function  $\Phi$ : **monotonicity** and **continuity**

# Recap: CCPOs and Continuous Functions

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## Chains and Least Upper Bounds

### Definition (Chain, (least) upper bound)

Let  $(D, \sqsubseteq)$  be a partial order and  $S \subseteq D$ .

1.  $S$  is called a **chain** in  $D$  if, for every  $s_1, s_2 \in S$ ,

$$s_1 \sqsubseteq s_2 \text{ or } s_2 \sqsubseteq s_1$$

(that is,  $S$  is a totally ordered subset of  $D$ ).

2. An element  $d \in D$  is called an **upper bound** of  $S$  if  $s \sqsubseteq d$  for every  $s \in S$  (notation:  $S \sqsubseteq d$ ).
3. An upper bound  $d$  of  $S$  is called **least upper bound (LUB)** or **supremum** of  $S$  if  $d \sqsubseteq d'$  for every upper bound  $d'$  of  $S$  (notation:  $d = \bigsqcup S$ ).

# Recap: CCPOs and Continuous Functions

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## Chain Completeness

### Definition (Chain completeness)

A partial order is called **chain complete (CCPO)** if each of its chains has a least upper bound.

### Example

1.  $(2^{\mathbb{N}}, \subseteq)$  is a CCPO with  $\bigsqcup S = \bigcup_{M \in S} M$  for every chain  $S \subseteq 2^{\mathbb{N}}$ .
2.  $(\mathbb{N}, \leq)$  is not chain complete (since, e.g., the chain  $\mathbb{N}$  has no upper bound).

# Recap: CCPOs and Continuous Functions

## Monotonicity

### Definition (Monotonicity)

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be partial orders, and let  $F : D \rightarrow D'$ .  $F$  is called **monotonic** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every  $d_1, d_2 \in D$ ,

$$d_1 \sqsubseteq d_2 \Rightarrow F(d_1) \sqsubseteq' F(d_2).$$

**Interpretation:** monotonic functions “preserve information”

### Example

1. Let  $T := \{S \subseteq \mathbb{N} \mid S \text{ finite}\}$ . Then  $F_1 : T \rightarrow \mathbb{N} : S \mapsto \sum_{n \in S} n$  is monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$  and  $(\mathbb{N}, \leq)$ .
2.  $F_2 : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : S \mapsto \mathbb{N} \setminus S$  is not monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$  (since, e.g.,  $\emptyset \subseteq \mathbb{N}$  but  $F_2(\emptyset) = \mathbb{N} \not\subseteq F_2(\mathbb{N}) = \emptyset$ ).

# Recap: CCPOs and Continuous Functions

## Continuity

A function  $F$  is continuous if applying  $F$  and taking LUBs is commutable:

### Definition (Continuity)

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be CCPOs and  $F : D \rightarrow D'$  monotonic. Then  $F$  is called **continuous** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every non-empty chain  $S \subseteq D$ ,

$$F\left(\bigsqcup S\right) = \bigsqcup F(S).$$

### Lemma

Let  $b \in BExp$ ,  $c \in Cmd$ , and  $\Phi(f) := \text{cond}(\mathfrak{B}[[b]], f \circ \mathfrak{C}[[c]], \text{id}_\Sigma)$ . Then  $\Phi$  is continuous w.r.t.  $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$ .

### Proof.

omitted □

# The Fixpoint Theorem

## The Fixpoint Theorem



Alfred Tarski (1901–1983)



Bronislaw Knaster (1893–1990)

### Theorem 8.1 (Fixpoint Theorem by Tarski and Knaster)

Let  $(D, \sqsubseteq)$  be a CCPO and  $F : D \rightarrow D$  continuous. Then

$$\text{fix}(F) := \bigsqcup \left\{ F^n \left( \bigsqcup \emptyset \right) \mid n \in \mathbb{N} \right\}$$

is the **least fixpoint** of  $F$  where  $F^0(d) := d$  and  $F^{n+1}(d) := F(F^n(d))$ .

Proof.

on the board



# The Fixpoint Theorem

## An Example

### Example 8.2

- **Domain:**  $(2^{\mathbb{N}}, \subseteq)$  (CCPO with  $\bigsqcup S = \bigcup_{N \in S} N$  – see Example 7.7)
- **Function:**  $F : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : N \mapsto N \cup A$  for some fixed  $A \subseteq \mathbb{N}$ 
  - $F$  monotonic:  $M \subseteq N \Rightarrow F(M) = M \cup A \subseteq N \cup A = F(N)$
  - $F$  continuous:  $F(\bigsqcup S) = F(\bigcup_{N \in S} N) = (\bigcup_{N \in S} N) \cup A = \bigcup_{N \in S} (N \cup A) = \bigcup_{N \in S} F(N) = \bigsqcup F(S)$
- **Fixpoint iteration:**  $N_n := F^n(\bigsqcup \emptyset)$  where  $\bigsqcup \emptyset = \emptyset$ 
  - $N_0 = \bigsqcup \emptyset = \emptyset$
  - $N_1 = F(N_0) = \emptyset \cup A = A$
  - $N_2 = F(N_1) = A \cup A = A = N_n$  for every  $n \geq 1$ $\Rightarrow \text{fix}(F) = A$
- **Alternatively:**  $F(N) := N \cap A$  $\Rightarrow \text{fix}(F) = \emptyset$



## Application to $\text{fix}(\Phi)$

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### Application to $\text{fix}(\Phi)$

Altogether this completes the definition of  $\mathcal{C}[\cdot]$ . In particular, for the `while` statement:

#### Corollary 8.3

Let  $b \in BExp$ ,  $c \in Cmd$ , and  $\Phi(f) := \text{cond}(\mathcal{B}[b], f \circ \mathcal{C}[c], \text{id}_\Sigma)$ . Then

$$\text{graph}(\text{fix}(\Phi)) = \bigcup_{n \in \mathbb{N}} \text{graph}(\Phi^n(f_\emptyset))$$

#### Proof.

#### Using

- Lemma 7.9
  - $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$  CCPO with least element  $f_\emptyset$
  - LUB = union of graphs
- Lemma 7.16 ( $\Phi$  continuous)
- Theorem 8.1 (Fixpoint Theorem)



## Denotational Semantics of Factorial Program I

### Example 8.4 (Factorial program)

- Let  $c \in \text{Cmd}$  be given by  $y:=1; \text{ while } \neg(x=1) \text{ do } y:=y*x; x:=x-1 \text{ end}$
- For every initial state  $\sigma_0 \in \Sigma$ , Definition 6.3 yields:

$$\mathcal{C}[[c]](\sigma_0) = \text{fix}(\Phi)(\sigma_1)$$

where  $\sigma_1 := \sigma_0[y \mapsto 1]$  and, for every  $f : \Sigma \dashrightarrow \Sigma$  and  $\sigma \in \Sigma$ ,

$$\begin{aligned} \Phi(f)(\sigma) &= \text{cond}(\mathfrak{B}[\neg(x=1)], f \circ \mathcal{C}[[y:=y*x; x:=x-1]], \text{id}_\Sigma)(\sigma) \\ &= \begin{cases} \sigma & \text{if } \sigma(x) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \end{aligned}$$

with  $\sigma' := \sigma[y \mapsto \sigma(y) * \sigma(x), x \mapsto \sigma(x) - 1]$ .

- Approximations of least fixpoint of  $\Phi$  according to Theorem 8.1:

$$\text{fix}(\Phi) = \bigsqcup \{ \Phi^n(f_\emptyset) \mid n \in \mathbb{N} \}$$

(where  $\text{graph}(f_\emptyset) = \emptyset$ )

# Application to $\text{fix}(\Phi)$

## Denotational Semantics of Factorial Program II

**Reminder:**  $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(\mathbf{x}), \mathbf{x} \mapsto \sigma(\mathbf{x}) - 1]$

### Example 8.4 (Factorial program; continued)

$$\begin{aligned} f_0(\sigma) &:= \Phi^0(f_\emptyset)(\sigma) \\ &= f_\emptyset(\sigma) \\ &= \text{undefined} \\ f_1(\sigma) &:= \Phi^1(f_\emptyset)(\sigma) \\ &= \Phi(f_0)(\sigma) \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f_0(\sigma') & \text{otherwise} \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \text{undefined} & \text{otherwise} \end{cases} \\ f_2(\sigma) &:= \Phi^2(f_\emptyset)(\sigma) \\ &= \Phi(f_1)(\sigma) \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f_1(\sigma') & \text{otherwise} \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) = 1 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) \neq 1 \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) = 2 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma(\mathbf{x}) \neq 2 \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma[y \mapsto 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 2 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma(\mathbf{x}) \neq 2 \end{cases} \end{aligned}$$

# Application to $\text{fix}(\Phi)$

## Denotational Semantics of Factorial Program III

**Reminder:**  $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(\mathbf{x}), \mathbf{x} \mapsto \sigma(\mathbf{x}) - 1]$

### Example 8.4 (Factorial program; continued)

$$\begin{aligned} f_3(\sigma) &:= \Phi^3(f_0)(\sigma) \\ &= \Phi(f_2)(\sigma) \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f_2(\sigma') & \text{otherwise} \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) = 1 \\ \sigma'[y \mapsto 2 * \sigma'(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) = 2 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) \neq 2 \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) = 2 \\ \sigma'[y \mapsto 2 * \sigma'(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 3 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \notin \{1, 2, 3\} \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma[y \mapsto 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 2 \\ \sigma[y \mapsto 3 * 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 3 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \notin \{1, 2, 3\} \end{cases} \end{aligned}$$

## Application to $\text{fix}(\Phi)$

### Denotational Semantics of Factorial Program IV

**Reminder:**  $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(x) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(x), x \mapsto \sigma(x) - 1]$

#### Example 8.4 (Factorial program; continued)

- $n$ -th approximation:

$$\begin{aligned} f_n(\sigma) &:= \Phi^n(f_\emptyset)(\sigma) \\ &= \begin{cases} \sigma[y \mapsto \sigma(x) * (\sigma(x) - 1) * \dots * 2 * \sigma(y), x \mapsto 1] & \text{if } 1 \leq \sigma(x) \leq n \\ \text{undefined} & \text{if } \sigma(x) \notin \{1, \dots, n\} \end{cases} \\ &= \begin{cases} \sigma[y \mapsto (\sigma(x))! * \sigma(y), x \mapsto 1] & \text{if } 1 \leq \sigma(x) \leq n \\ \text{undefined} & \text{if } \sigma(x) \notin \{1, \dots, n\} \end{cases} \end{aligned}$$

- Fixpoint:

$$\mathcal{C}[[c]](\sigma_0) = \text{fix}(\Phi)(\sigma_1) = \begin{cases} \sigma[y \mapsto (\sigma(x))!, x \mapsto 1] & \text{if } \sigma(x) \geq 1 \\ \text{undefined} & \text{otherwise} \end{cases}$$

## Summary: Denotational Semantics

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### Summary: Denotational Semantics

- Semantic model: **partial state transformations** ( $\Sigma \dashrightarrow \Sigma$ )
- **Compositional definition** of functional  $\mathcal{C}[\cdot] : \mathit{Cmd} \rightarrow (\Sigma \dashrightarrow \Sigma)$
- Capturing the recursive nature of loops by a **fixpoint definition** (for a continuous function on a CCPO)
- Approximation by **fixpoint iteration**

# Equivalence of Operational and Denotational Semantics

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## Equivalence of Semantics I

**Remember:** in Definition 4.1,  $\mathcal{D}[\cdot] : Cmd \rightarrow (\Sigma \dashrightarrow \Sigma)$  was given by

$$\mathcal{D}[c](\sigma) = \sigma' \iff \langle c, \sigma \rangle \rightarrow \sigma'$$

### Theorem 8.5 (Coincidence Theorem)

For every  $c \in Cmd$ ,

$$\mathcal{D}[c] = \mathcal{E}[c],$$

i.e.,  $\langle c, \sigma \rangle \rightarrow \sigma'$  iff  $\mathcal{E}[c](\sigma) = \sigma'$ , and thus  $\mathcal{D}[\cdot] = \mathcal{E}[\cdot]$ .

# Equivalence of Operational and Denotational Semantics

## Equivalence of Semantics II

The proof of Theorem 8.5 employs the following auxiliary propositions:

### Lemma 8.6

1. For every  $a \in AExp$ ,  $\sigma \in \Sigma$ , and  $z \in \mathbb{Z}$ :

$$\langle a, \sigma \rangle \rightarrow z \iff \mathcal{A}[[a]](\sigma) = z.$$

2. For every  $b \in BExp$ ,  $\sigma \in \Sigma$ , and  $t \in \mathbb{B}$ :

$$\langle b, \sigma \rangle \rightarrow t \iff \mathcal{B}[[b]](\sigma) = t.$$

### Proof.

1. structural induction on  $a$
2. structural induction on  $b$





# Equivalence of Operational and Denotational Semantics

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## Equivalence of Semantics III

Proof (Theorem 8.5).

We have to show that

$$\langle c, \sigma \rangle \rightarrow \sigma' \iff \mathcal{E}[[c]](\sigma) = \sigma'$$

$\Rightarrow$  by structural induction over the derivation tree of  $\langle c, \sigma \rangle \rightarrow \sigma'$

$\Leftarrow$  by structural induction over  $c$  (with a nested complete induction over fixpoint index  $n$ )

(on the board)



# Equivalence of Operational and Denotational Semantics

## Overview: Operational/Denotational Semantics

### Definition (3.2; Execution relation for statements)

$$\begin{array}{c} \text{(skip)} \frac{}{\langle \text{skip}, \sigma \rangle \rightarrow \sigma} \qquad \text{(asgn)} \frac{\langle a, \sigma \rangle \rightarrow z}{\langle x := a, \sigma \rangle \rightarrow \sigma[x \mapsto z]} \\ \text{(seq)} \frac{\langle c_1, \sigma \rangle \rightarrow \sigma' \quad \langle c_2, \sigma' \rangle \rightarrow \sigma''}{\langle c_1 ; c_2, \sigma \rangle \rightarrow \sigma''} \qquad \text{(if-t)} \frac{\langle b, \sigma \rangle \rightarrow \text{true} \quad \langle c_1, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}, \sigma \rangle \rightarrow \sigma'} \\ \text{(if-f)} \frac{\langle b, \sigma \rangle \rightarrow \text{false} \quad \langle c_2, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}, \sigma \rangle \rightarrow \sigma'} \qquad \text{(wh-f)} \frac{\langle b, \sigma \rangle \rightarrow \text{false}}{\langle \text{while } b \text{ do } c \text{ end}, \sigma \rangle \rightarrow \sigma} \\ \text{(wh-t)} \frac{\langle b, \sigma \rangle \rightarrow \text{true} \quad \langle c, \sigma \rangle \rightarrow \sigma' \quad \langle \text{while } b \text{ do } c \text{ end}, \sigma' \rangle \rightarrow \sigma''}{\langle \text{while } b \text{ do } c \text{ end}, \sigma \rangle \rightarrow \sigma''} \end{array}$$

### Definition (6.3; Denotational semantics of statements)

$$\begin{aligned} \mathcal{C}[\text{skip}] &:= \text{id}_{\Sigma} \\ \mathcal{C}[x := a] \sigma &:= \sigma[x \mapsto \mathcal{A}[a] \sigma] \\ \mathcal{C}[c_1 ; c_2] &:= \mathcal{C}[c_2] \circ \mathcal{C}[c_1] \\ \mathcal{C}[\text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}] &:= \text{cond}(\mathcal{B}[b], \mathcal{C}[c_1], \mathcal{C}[c_2]) \\ \mathcal{C}[\text{while } b \text{ do } c \text{ end}] &:= \text{fix}(\Phi) \text{ where } \Phi(f) := \text{cond}(\mathcal{B}[b], f \circ \mathcal{C}[c], \text{id}_{\Sigma}) \end{aligned}$$