

# Concurrency Theory

## Lecture 18: True Concurrency Semantics of Petri Nets (I)

Joost-Pieter Katoen and Thomas Noll

Lehrstuhl für Informatik 2  
Software Modeling and Verification Group

<http://moves.rwth-aachen.de/teaching/ws-1718/ct>

December 14, 2017



## Overview

### 1 Introduction

### 2 Nets and markings

### 3 The true concurrency semantics of Petri nets

### 4 Distributed runs

### 5 Summary

## Overview

### 1 Introduction

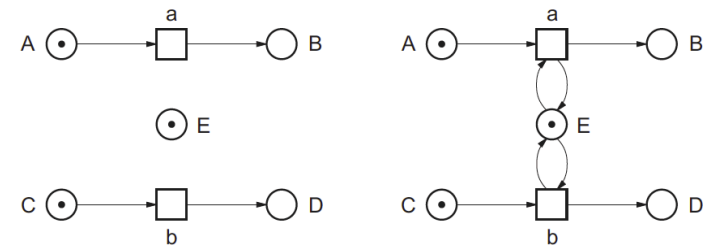
### 2 Nets and markings

### 3 The true concurrency semantics of Petri nets

### 4 Distributed runs

### 5 Summary

## Motivation



Nets with identical sequential runs ( $a$  occurs before  $b$ , or vice versa), but the left net allows the simultaneous execution of  $a$  and  $b$  whereas the right one does not.

Interleaving semantics **cannot** distinguish these nets!

This requires a finer perspective on transition execution.

## Overview

1 Introduction

2 Nets and markings

3 The true concurrency semantics of Petri nets

4 Distributed runs

5 Summary

## Transition occurrence

### Enabling and occurrence of a transition

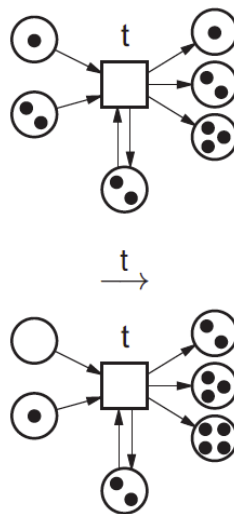
A marking  $M$  **enables** a transition  $t$  if  $M(p) \geq 1$  for each place  $p \in {}^\bullet t$ .

Transition  $t$  can **occur** in marking  $M$  if  $t$  is enabled at  $M$ . Its occurrence leads to marking  $M'$ , denoted  $M \xrightarrow{t} M'$ , defined for place  $p \in P$  by:

$$M'(p) = M(p) - F(p, t) + F(t, p).$$

where we represent  $F$  by its characteristic function.

$M \xrightarrow{t} M'$  is also called a **step** of the net  $N$ .



## Nets

### Net

A **Petri net**  $N$  is a triple  $(P, T, F)$  where:

- ▶  $P$  is the countable set of **places**
- ▶  $T$  is the countable set of **transitions** with  $P \cap T = \emptyset$
- ▶  $F \subseteq (P \times T) \cup (T \times P)$  are the **arcs**.

Places and transitions are generically called **nodes**.

We assume that  ${}^\bullet t$  and  $t^\bullet$  are finite, for each  $t \in T$ .

Note that the set of places and transitions is countable, not necessarily finite (anymore).

### Marking

A **marking**  $M$  of a net  $N = (P, T, F)$  is a mapping  $M : P \rightarrow \mathbb{N}$ .

For net  $N = (P, T, F)$  and marking  $M_0$ , the tuple  $(P, T, F, M_0)$  is called an **elementary system net**.  $M_0$  is the **initial marking** of  $N$ .

## Reachable markings

### Step sequence

A sequence of transitions  $\sigma = t_1 t_2 \dots t_n$  is a **step sequence** if there exist markings  $M_1$  through  $M_n$  such that:

$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_{n-1}} M_{n-1} \xrightarrow{t_n} M_n$$

Marking  $M_n$  is **reached** by the occurrence of  $\sigma$ , denoted  $M_0 \xrightarrow{\sigma} M_n$ .

$M$  is a **reachable marking** if there exists a step sequence  $\sigma$  with  $M_0 \xrightarrow{\sigma} M$ .

## Sequential runs

### Sequential run

Let  $N$  be an elementary net system. A **sequential run** of  $N$  is a sequence

$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots$$

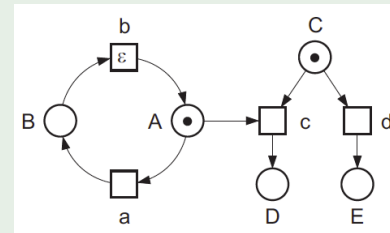
of steps of  $N$  starting with the initial marking  $M_0$ . A run can be finite or infinite. A finite run  $M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_n} M_n$  is **complete** if  $M_n$  does not enable any transition.

## The interleaving semantics of Petri nets

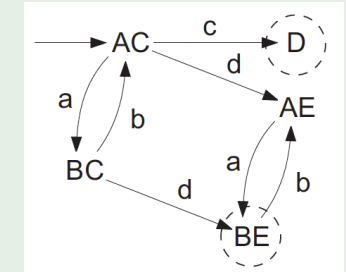
The interleaving semantics of a Petri net is its marking graph.

## Marking graph

The **marking graph** of  $N$  has as nodes the reachable markings of  $N$  and as edges the reachable steps of  $N$ .



A sample elementary net system

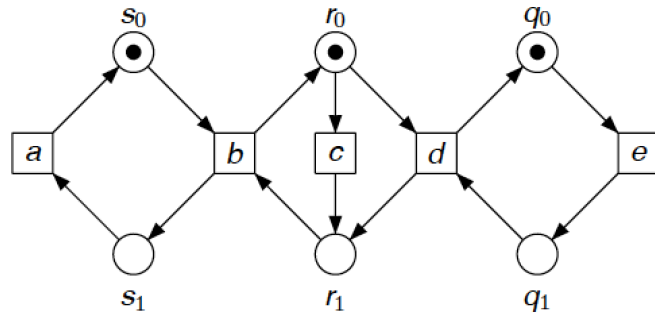


Its marking graph

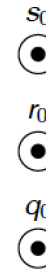
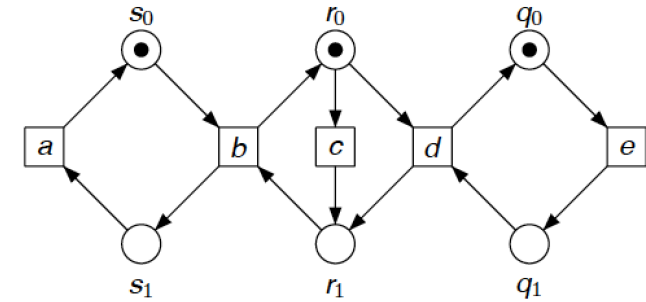
## Overview

- 1 Introduction
- 2 Nets and markings
- 3 The true concurrency semantics of Petri nets
- 4 Distributed runs
- 5 Summary

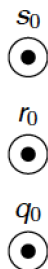
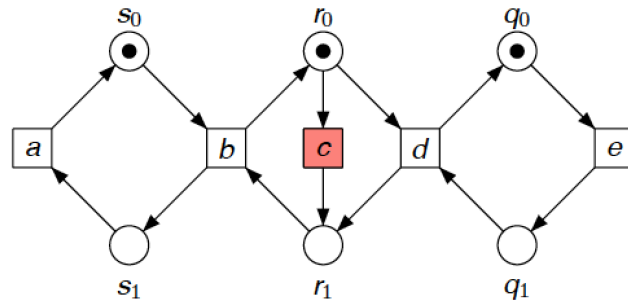
## The true concurrency semantics of Petri nets



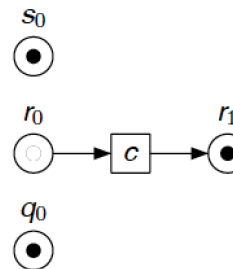
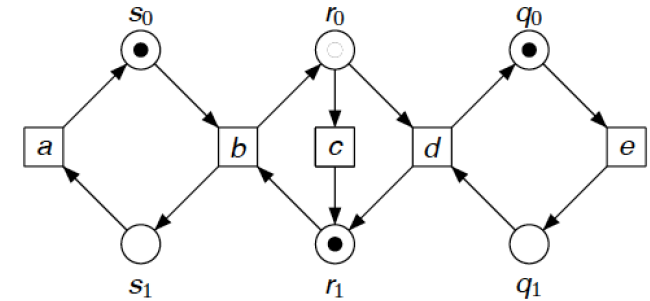
## The true concurrency semantics of Petri nets



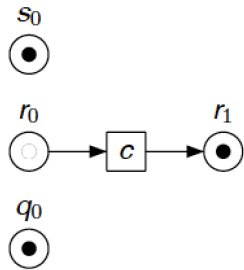
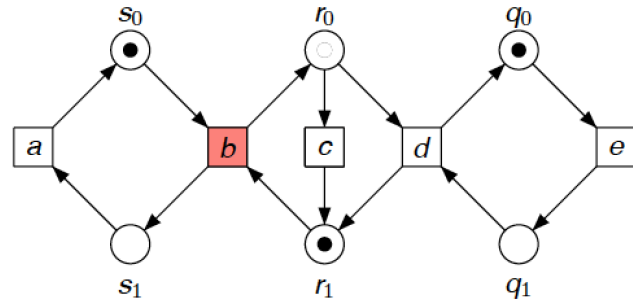
## The true concurrency semantics of Petri nets



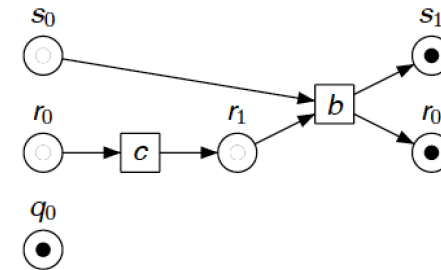
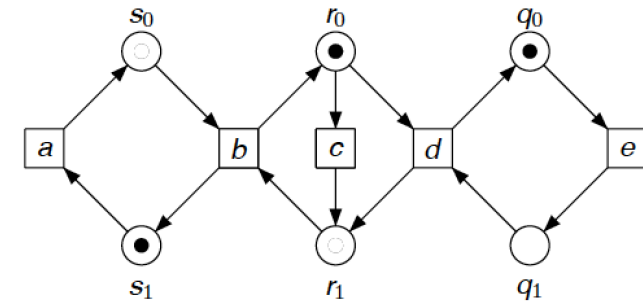
## The true concurrency semantics of Petri nets



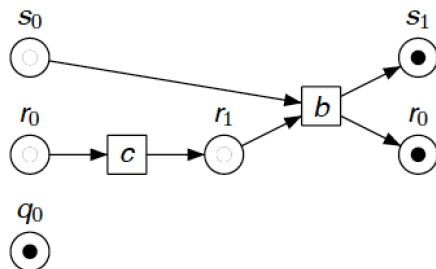
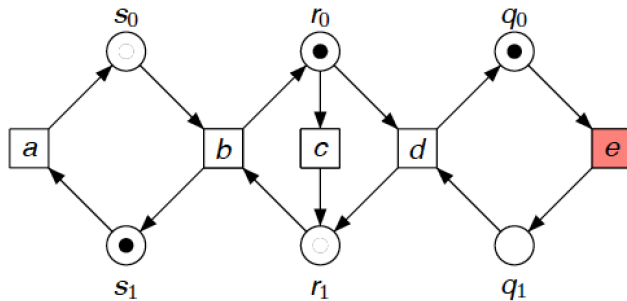
## The true concurrency semantics of Petri nets



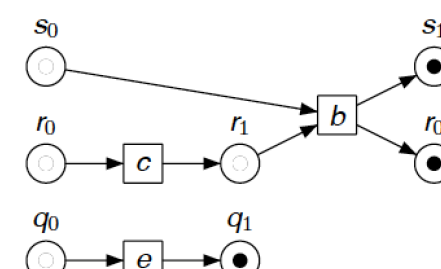
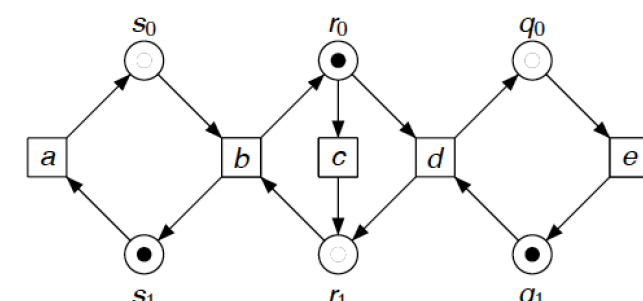
## The true concurrency semantics of Petri nets



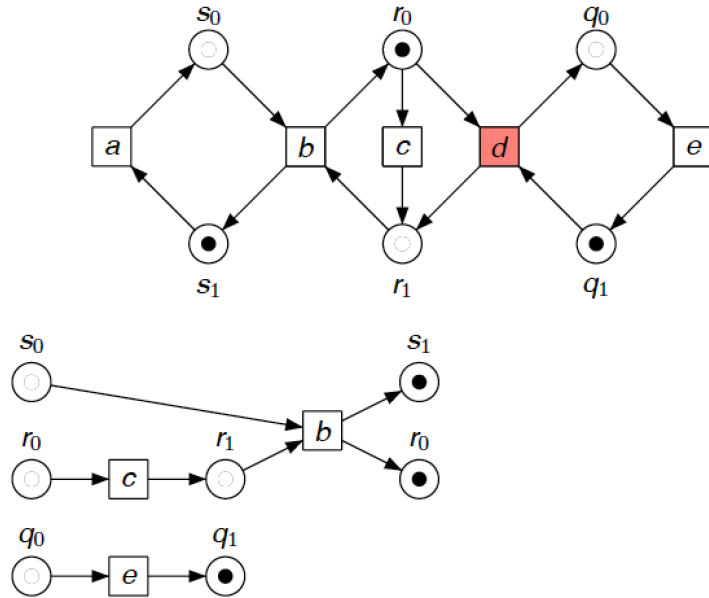
## The true concurrency semantics of Petri nets



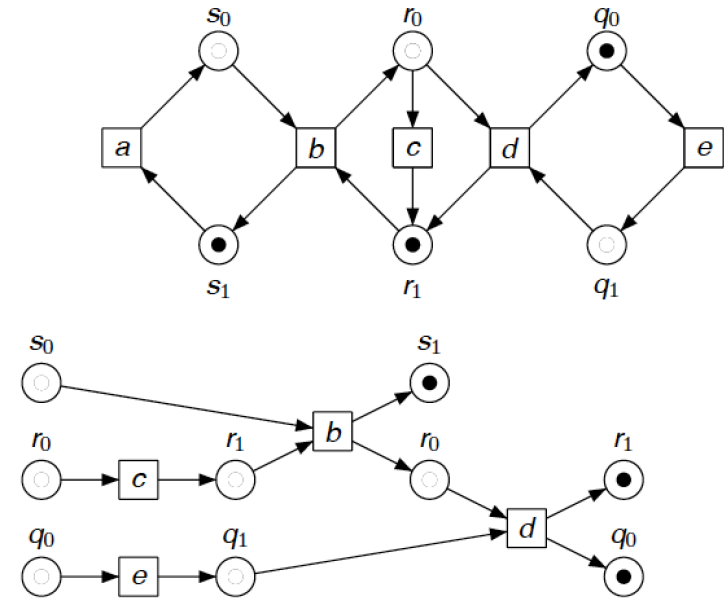
## The true concurrency semantics of Petri nets



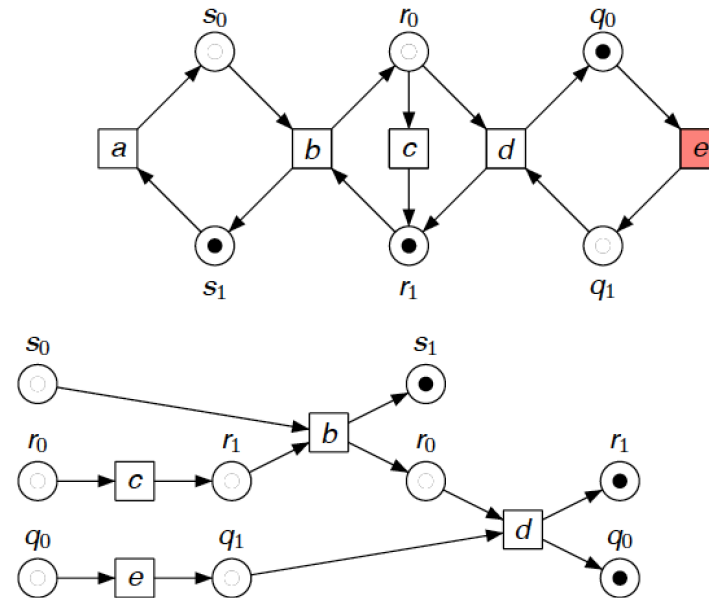
## The true concurrency semantics of Petri nets



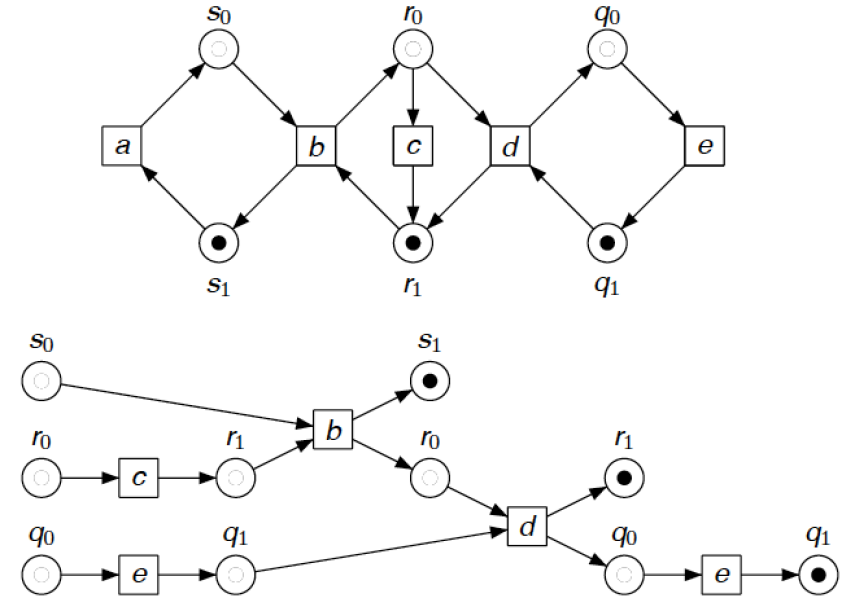
## The true concurrency semantics of Petri nets



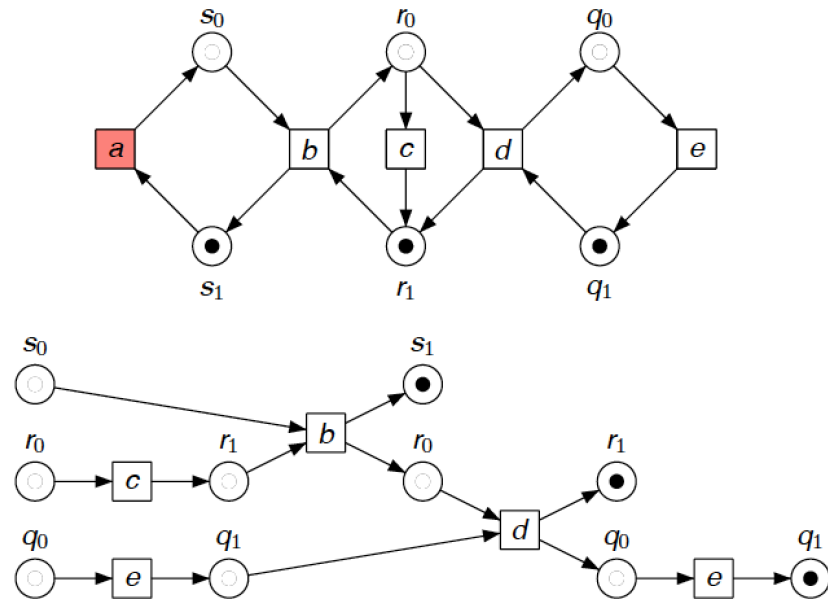
## The true concurrency semantics of Petri nets



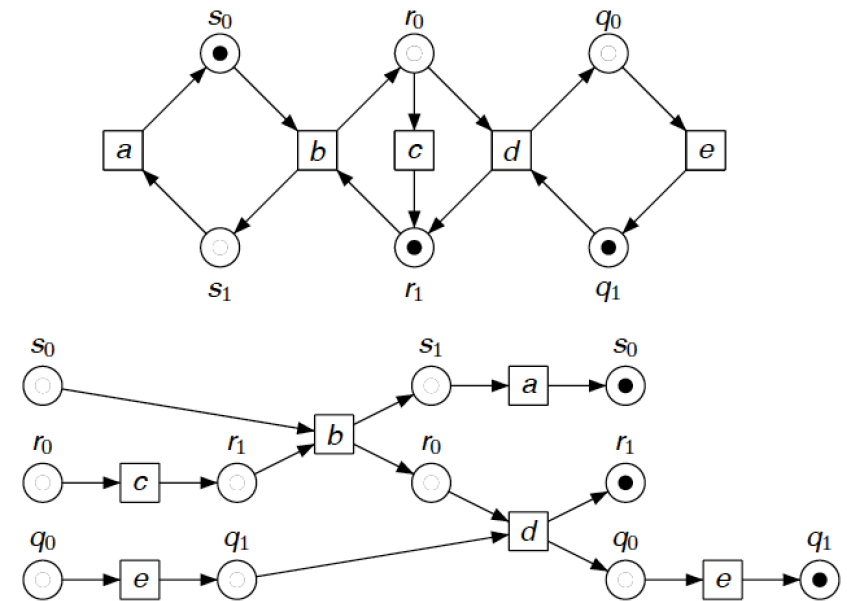
## The true concurrency semantics of Petri nets



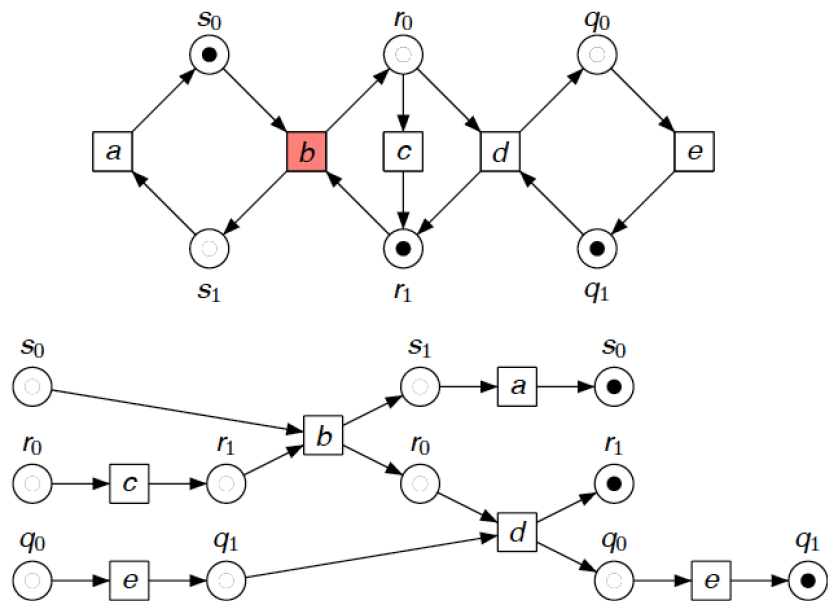
## The true concurrency semantics of Petri nets



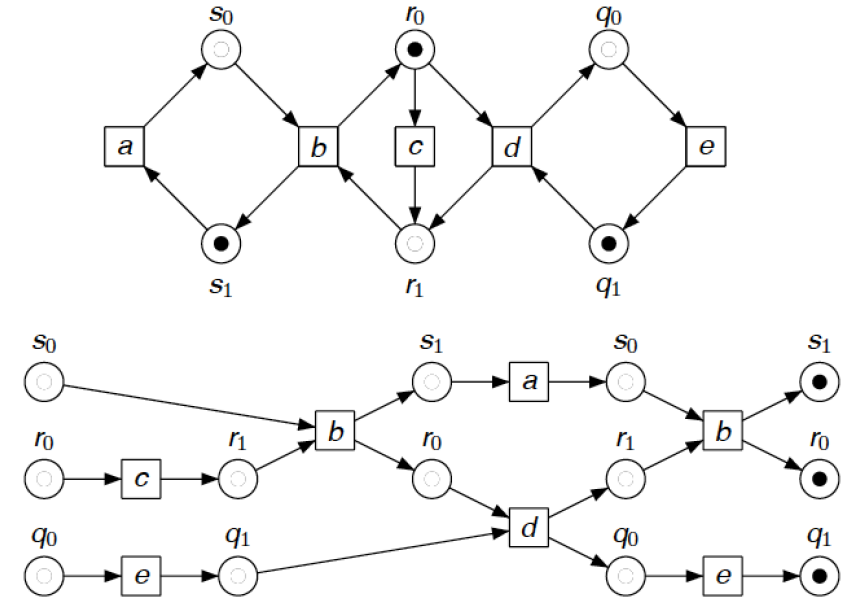
## The true concurrency semantics of Petri nets



## The true concurrency semantics of Petri nets



## The true concurrency semantics of Petri nets



## Interleaving versus true concurrency

### The interleaving thesis:

*The total order assumption is a reasonable abstraction, adequate for practical purposes, and leading to nice mathematics*

### The true concurrency thesis:

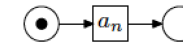
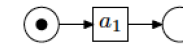
*The total order assumption does not correspond to physical reality and leads to awkward representations of simple phenomena*

## Overview

- 1 Introduction
- 2 Nets and markings
- 3 The true concurrency semantics of Petri nets
- 4 Distributed runs
- 5 Summary

## Interleaving versus true concurrency

In interleaving semantics, a system composed of  $n$  independent components



has  $n!$  different executions

The automaton accepting them has  $2^n$  states

In true concurrency semantics, it has only one nonsequential execution

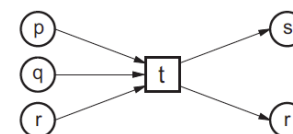
## Actions

A distributed run of a net is a partial-order represented as a net whose basic building blocks are **actions**<sup>1</sup>, simple nets

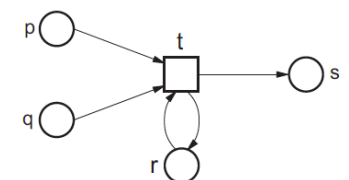
### Action

An **action** is a labeled net  $A = (Q, \{v\}, G)$  with  $\bullet v \cap v^\bullet = \emptyset$  and  $\bullet v \cup v^\bullet = Q$ .

Actions are used to represent transition occurrences of elementary net systems. If  $A$  represents transition  $t$ , then elements of  $Q$  are labeled with in- and output places of  $t$  and  $v$  is labeled  $t$ .



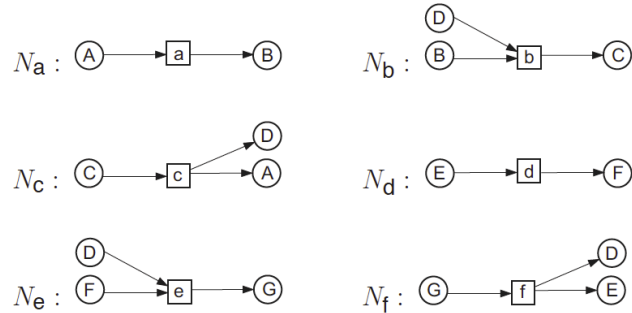
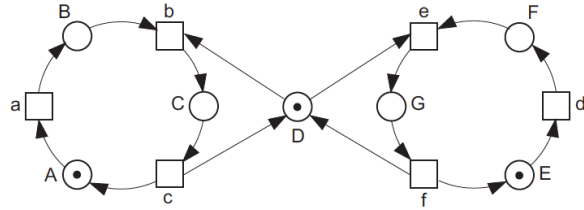
represents



<sup>1</sup>Not to be confused with the notion of action in transition systems.



## Mutual exclusion net and its actions



## Causal nets

A **causal** net constitutes the basis for a distributed run.

It is a possibly infinite net which satisfies:

1. Has no place branches: at most one arc ends or starts in a place
2. Is acyclic
3. Each sequence of arcs (flows) has a first element
4. The initial marking contains all places without incoming arcs

### Causal net

A (possibly infinite) net  $K = (Q, V, G, M_0)$  is called a **causal** net iff:

1. for each  $q \in Q$ ,  $|\bullet q| \leq 1$  and  $|q\bullet| \leq 1$
2. the transitive closure (called **causal order**)  $G^+$  of  $G$  is irreflexive
3. for each node  $x \in Q \cup V$ , the set  $\{y \mid (y, x) \in G^+\}$  is finite
4.  $M_0$  equals the minimal set of places in  $K$  under  $G^+$ , i.e.,

$$M_0 = {}^\circ K = \{q \in Q \mid \bullet q = \emptyset\}.$$

Note: the “runs” of the example net (with initial marking) are all causal nets.

## Causal nets

A **causal** net constitutes the basis for a “distributed” run.

It is a (possibly infinite) net which satisfies:

1. It has no place branches: at most one arc ends or starts in a place
2. It is acyclic
3. Each sequence of arcs (flows) has a unique first element
4. The initial marking contains all places without incoming arcs.

### Intuition

No place branches, no sequence of arcs forms a loop, and each sequence of arcs has a first node.



## Properties of causal nets

### Lemma

Let  $N = (P, T, F, M_0)$  be a causal net. Then every step sequence:

$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_k} M_k$$

of net  $N$  satisfies  $M_j \cap t_k^\bullet = \emptyset$  for all  $j = 0, \dots, k-1$ .

### Proof.

By contraposition. Consider a step sequence of net  $N$  and suppose that  $p \in M_j \cap t_k^\bullet$  for some  $p \in P$  and some  $0 \leq j < k$ . This is impossible for  $j = 0$ , as by definition of causal nets,  $M_0$  has no ingoing arcs, and thus  $M_0 \cap t^\bullet = \emptyset$  for each  $t \in T$ . Hence,  $j > 0$ . Given that  $p \in M_j$  (for some  $j$ ) and  $p \notin M_0$ , it follows  $p \in t_i^\bullet$  for some  $0 < i \leq j$ . (Some transition before reaching  $M_k$  must have put a token on  $p$ .) Thus  $t_i, t_k \in \bullet p$ , where  $t_i \neq t_k$  as  $F$  is well-founded. But by definition every place in a causal net is non-branching. So also  $p$ . Contradicting  $t_i, t_k \in \bullet p$  for  $t_i \neq t_k$ .  $\square$

## Boundedness of causal nets

### Lemma

Let  $N = (P, T, F, M_0)$  be a causal net. Then every step sequence:

$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_k} M_k$$

of net  $N$  satisfies  $M_j \cap t_k^\bullet = \emptyset$  for all  $j = 0, \dots, k-1$ .

### Boundedness of causal nets

Every causal net is one-bounded, i.e., in every marking every place will hold at most one token.

### Proof.

Follows directly from the fact that the initial marking  $M_0$  is one-bounded, and by the above lemma.  $\square$

## Outset and end of a causal net

### Outset and end of a causal net

The **outset** and **end** of causal net  $K = (Q, V, G, M)$  are defined by:

$${}^\circ K = \{q \in Q \mid {}^\bullet q = \emptyset\} \quad \text{and} \quad K^\circ = \{q \in Q \mid q^\bullet = \emptyset\}.$$

Places without an incoming arc form the outset  ${}^\circ K$ . The places without an outgoing arc form the end  $K^\circ$ .

## Completeness of a causal net

### Absence of superfluous places and transitions

Let  $N = (P, T, F, M_0)$  be a causal net. Then there exists a possibly infinite step sequence

$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_k} M_k \xrightarrow{t_{k+1}} \dots$$

of  $N$  such that  $P = \bigcup_{k \geq 0} M_k$  and  $T = \{t_k \mid k > 0\}$ .

### Proof.

On the black board.  $\square$

A causal net thus contains no superfluous places and transitions, as every place is visited and every transition is fired in the above step sequence.

## What is a distributed run?

### Distributed run

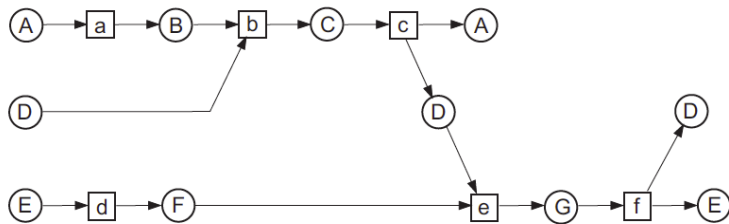
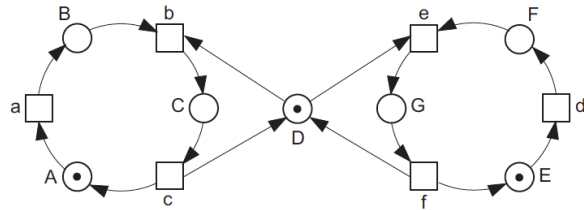
A **distributed run** of a one-bounded elementary net system  $N$  is:

1. a **labeled** causal net  $K_N$
2. in which each transition  $t$  (with  ${}^\bullet t$  and  $t^\bullet$ ) is an **action** of  $N$ .

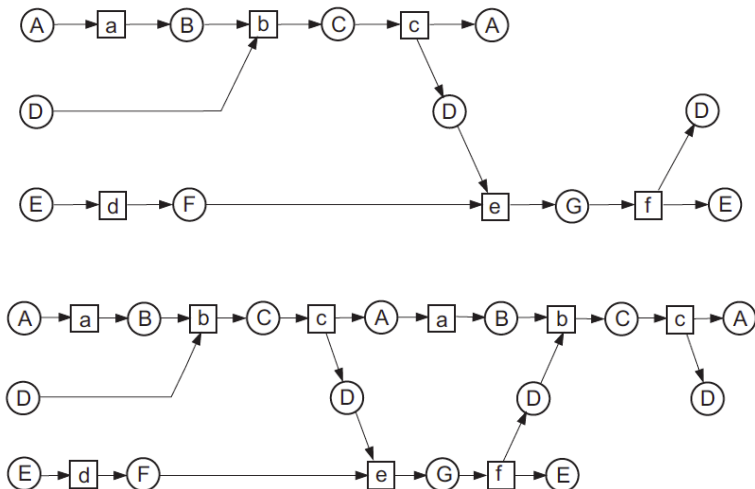
A distributed run  $K_N$  of  $N$  is **complete** iff (the marking)  ${}^\circ K$  represents the initial marking of  $N$  and (the marking)  $K_N^\circ$  does not enable any transition.

If  $N$  is clear from the context we just write  $K$  for  $K_N$ .

## A distributed run for mutual exclusion

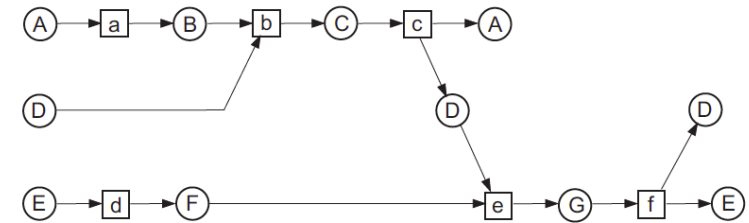


## Expansion of a distributed run for mutual exclusion



A distributed run (top) and its extension with actions  $b$  and  $c$ .

## A distributed run for mutual exclusion



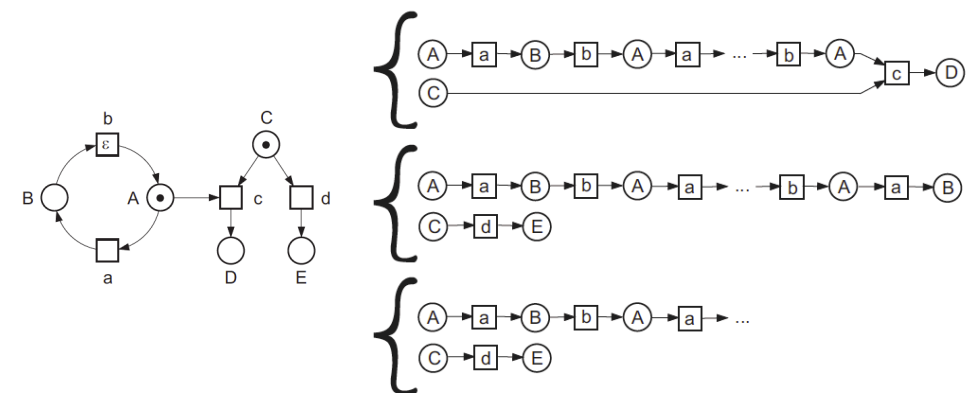
Distributed run of the mutual exclusion algorithm.

Actions  $N_a$ ,  $N_b$ ,  $N_c$  and  $N_d$  **causally precede**  $N_e$ . They form a chain.

$N_a$  and  $N_d$  are not linked by actions; they are **causally independent**.

The same applies to  $N_b$  and  $N_d$  and  $N_c$  and  $N_d$ .

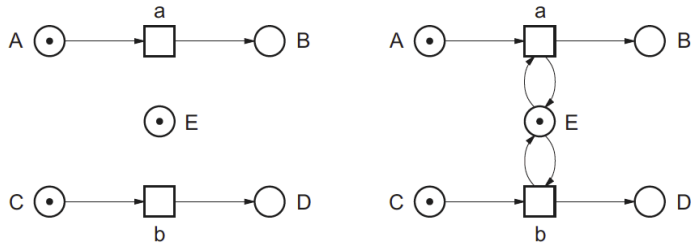
## More distributed runs



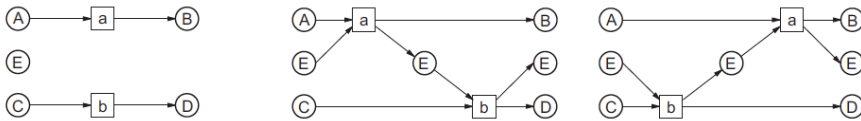
Various finite distributed runs and an infinite distributed run (right) of net (left).

## Causal order

Opposed to sequential runs, distributed runs show the **causal order** of actions.



Nets with identical sequential runs ( $a$  occurs before  $b$ , or vice versa), but the left net has the left distributed run below, the right net both other ones:



## What is a distributed run?

### Distributed run

A **distributed run** of a one-bounded elementary net system  $N$  is:

1. a **labeled** causal net  $K$
2. in which each transition  $t$  (with  $\bullet t$  and  $t^\bullet$ ) is an **action** of  $N$ .

A distributed run  $K$  of  $N$  is **complete** iff (the marking)  $^\circ K$  represents the initial marking of  $N$  and (the marking)  $K^\circ$  does not enable any transition.

Examples on the black board.

Today: a characterization of distributed runs using homomorphisms.

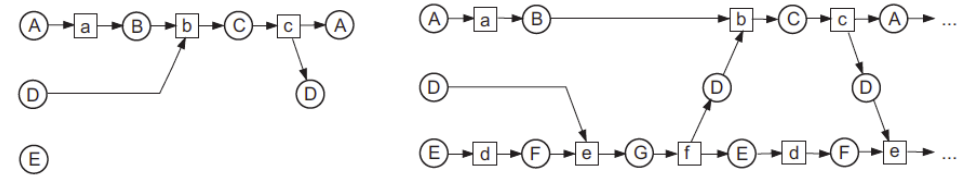
## Composition of distributed runs

### Composition of distributed runs

For  $i = 1, 2$ , let  $K_i = (Q_i, V_i, G_i)$  be causal nets, labeled with  $\ell_i$ . Let  $(Q_1 \cup V_1) \cap (Q_2 \cap V_2) = K_1^\circ = {}^\circ K_2$  and for each place  $p \in K_1^\circ$  let  $\ell_1(p) = \ell_2(p)$ . Then the **composition** of  $K_1$  and  $K_2$ , denoted  $K_1 \bullet K_2$ , is the causal net  $(Q_1 \cup Q_2, V_1 \cup V_2, G_1 \cup G_2)$  labeled with  $\ell$  with  $\ell(x) = \ell_i(x)$ .

### Intuition

The composition  $K \bullet L$  is formed by identifying the end  $K^\circ$  of  $K$  with the outset  ${}^\circ L$  of  $L$ . To do this,  $K^\circ$  and  ${}^\circ L$  must represent the same marking.



## Net homomorphisms

### Homomorphism

A **homomorphism** from  $N_1 = (P_1, T_1, F_1, M_{0,1})$  to  $N_2 = (P_2, T_2, F_2, M_{0,2})$  is a mapping  $h : P_1 \cup T_1 \rightarrow P_2 \cup T_2$  such that:<sup>2</sup>

1.  $h(P_1) \subseteq P_2$  and  $h(T_1) \subseteq T_2$ , and
2.  $\forall t \in T_1$ , the restriction of  $h$  to  $\bullet t$  is a bijection between  $\bullet t$  (in  $N_1$ ) and  $\bullet h(t)$  (in  $N_2$ ), and similarly for  $t^\bullet$  and  $h(t)^\bullet$ , and
3. the restriction of  $h$  to  $M_{0,1}$  is a bijection between  $M_{0,1}$  and  $M_{0,2}$ .<sup>3</sup>

### Intuition

A homomorphism is a mapping between nets that preserves the nature of nodes and the environment of nodes. A homomorphism from  $N_1$  to  $N_2$  means that  $N_1$  can be folded onto a part of  $N_2$ , or in other words, that  $N_1$  can be obtained by partially **unfolding** a part of  $N_2$ .

<sup>2</sup>Here  $h(X)$  for set  $X$  of nodes is defined by  $h(X) = \bigcup_{x \in X} h(x)$ .

<sup>3</sup>Due to the 1-boundedness, a marking  $M$  is a subset of the set  $P$  of places.

## Distributed run using homomorphisms

### Distributed run

[Best and Fernandez, 1988]

A **distributed run** of an elementary net system  $N$  is a pair  $(K, h)$  where  $K$  is a causal net and  $h$  is a homomorphism from  $K$  to  $N$ .<sup>4</sup>

### Intuition

A distributed run  $(K, h)$  of  $N$  may be viewed as a net  $K$  of which the places and transitions are labeled by places and transitions of  $N$  such that the labeling  $h$  forms a net homomorphism from  $K$  to  $N$ .<sup>5</sup>

<sup>4</sup>Best and Fernandez called this a process of a net.

<sup>5</sup>In the previous lecture, the labeling  $h$  was explicitly given as  $\ell$ .

## Overview

1 Introduction

2 Nets and markings

3 The true concurrency semantics of Petri nets

4 Distributed runs

5 Summary

## Examples

## Summary

- ▶ A causal net is a possibly infinite net which is:
  - ▶ well-founded, acyclic, and has no place branching, and
  - ▶ whose initial marking are the places without incoming arcs
- ▶ Causal nets are one-bounded, and contain no redundant nodes
- ▶ A distributed run of  $N$  is a causal net whose nodes are labeled with nodes from  $N$
- ▶ A distributed run can be obtained by composing causal nets
- ▶ Nets that have the same causal nets are causally equivalent
- ▶ Distributed run = the “true concurrency” analogue to a sequential run