

# Concurrency Theory

## Weak bisimulation

Joost-Pieter Katoen and Thomas Noll

Lehrstuhl für Informatik 2  
Software Modeling and Verification Group

<http://moves.rwth-aachen.de/teaching/ws-1718/ct>

November 27, 2017



## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## Summary so far

- ▶ Strong bisimulation is based on mutual mimicking of processes
- ▶ Strong bisimilarity ( $\sim$ ) is a congruence, is deadlock sensitive
- ▶ Implies trace equivalence, and can be computed in polynomial time

But  $\sim$  does not distinguish between internal ( $\tau$ -) actions and observable actions.

### Aims of this lecture

1. A notion of bisimulation that treats  $\tau$ -actions as **un**observable
2. How to treat **divergences**, i.e., loops of  $\tau$ -actions?
3. A slight adaptation that yields a CCS congruence

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## Properties of strong bisimilarity

### Properties of strong bisimilarity

1.  $\sim$  is an equivalence relation.
2.  $P \sim Q \implies Tr(P) = Tr(Q)$ .
3.  $\sim$  is a CCS congruence.
4.  $\sim$  is deadlock sensitive.
5. checking  $\sim$  is decidable for finite-state processes and can be done in polynomial time.<sup>1</sup>
6.  $\sim$  has a nice game characterization.

Question: is there a need to consider another behavioural equivalence?

Yes.

<sup>1</sup>In fact, computing  $\sim$  is P-complete. It is thus one of the “hardest problems” admitting a polynomial-time algorithm.

## Strong bisimulation

### Strong bisimulation

[Park, 1981, Milner, 1989]

A binary relation  $\mathcal{R} \subseteq Proc \times Proc$  is a **strong bisimulation** whenever for every  $(P, Q) \in \mathcal{R}$ , and  $\alpha \in Act$ :

1. if  $P \xrightarrow{\alpha} P'$  then there exists  $Q' \in Proc$  s.t.  $Q \xrightarrow{\alpha} Q'$  and  $(P', Q') \in \mathcal{R}$
2. if  $Q \xrightarrow{\alpha} Q'$  then there exists  $P' \in Proc$  s.t.  $P \xrightarrow{\alpha} P'$  and  $(P', Q') \in \mathcal{R}$ .

### Strong bisimilarity

The processes  $P$  and  $Q$  are **strongly bisimilar**, denoted  $P \sim Q$ , iff there is a strong bisimulation  $\mathcal{R}$  with  $(P, Q) \in \mathcal{R}$ . Thus,

$$\sim = \bigcup \{ \mathcal{R} \mid \mathcal{R} \text{ is a strong bisimulation} \}.$$

Relation  $\sim$  is called **strong bisimilarity**.

## Inadequacy of strong bisimilarity

### Sequential two-place buffer

$$\begin{aligned} sB_0 &= in.sB_1 \\ sB_1 &= in.sB_2 + \overline{out}.sB_0 \\ sB_2 &= \overline{out}.sB_1. \end{aligned}$$

### Parallel two-place buffer

$$\begin{aligned} pB &= (oB[f] \parallel oB[g]) \setminus \{ com \} \\ &\text{with } f(in) = in \text{ and } f(out) = com \\ &\text{and } g(in) = com \text{ and } g(out) = out \\ oB &= in.\overline{out}.oB \end{aligned}$$

## Inadequacy of strong bisimilarity

### Sequential buffer $\not\sim$ parallel buffer



Problem: the sequential buffer cannot simulate the (invisible)  $\tau$ -action.

Thus, the requirement in  $\sim$  to **exactly match all actions** is often too strong.

This suggests to weaken this and **not insist on exact matching of  $\tau$ -actions**.

Rationale:  $\tau$ -actions are special as they are **unobservable**.

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 **Weak bisimulation**
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## The rationales for abstracting from $\tau$

- ▶ The  $\tau$ -actions are **internal** and thus **unobservable**.
- ▶ This is natural in parallel communication yielding  $\tau$ : synchronization in CCS is binary and as observation means communication with the process, the **result of any communication is unobservable**
- ▶ Strong bisimilarity treats  $\tau$ -actions as any other action.
- ▶ Can we yield the nice properties of  $\sim$  while “**abstracting**” from  $\tau$ -actions?

## Weak transition relation

### Weak transition relation

$$\xRightarrow{\alpha} = \begin{cases} (-\tau \rightarrow)^* \circ \xrightarrow{\alpha} \circ (-\tau \rightarrow)^* & \text{if } \alpha \neq \tau \\ (-\tau \rightarrow)^* & \text{if } \alpha = \tau. \end{cases}$$

where  $(-\tau \rightarrow)^*$  is the reflexive and transitive closure of the relation  $-\tau \rightarrow$ .

### Informal meaning

1. If  $\alpha \neq \tau$ , then  $s \xRightarrow{\alpha} t$  means that from  $s$  we can get to  $t$  by doing zero or more  $\tau$  actions, followed by the action  $\alpha$ , followed by zero or more  $\tau$  actions.
2. If  $\alpha = \tau$ , then  $s \xRightarrow{\alpha} t$  means that from  $s$  we can reach  $t$  by doing zero or more  $\tau$  actions.

## Weak bisimulation

### Weak bisimulation

[Milner, 1989]

A binary relation  $\mathcal{R} \subseteq Proc \times Proc$  is a **weak bisimulation** whenever for every  $(P, Q) \in \mathcal{R}$ , and  $\alpha \in Act$  (including  $\alpha = \tau$ ):

1. if  $P \xrightarrow{\alpha} P'$  then there exists  $Q' \in Proc$  s.t.  $Q \xRightarrow{\alpha} Q'$  and  $(P', Q') \in \mathcal{R}$
2. if  $Q \xrightarrow{\alpha} Q'$  then there exists  $P' \in Proc$  s.t.  $P \xRightarrow{\alpha} P'$  and  $(P', Q') \in \mathcal{R}$ .

### Weak bisimilarity

The processes  $P$  and  $Q$  are **weakly bisimilar**, denoted  $P \approx Q$ , iff there is a weak bisimulation  $\mathcal{R}$  with  $(P, Q) \in \mathcal{R}$ . Thus,

$$\approx = \bigcup \{ \mathcal{R} \mid \mathcal{R} \text{ is a weak bisimulation} \}.$$

Relation  $\approx$  is called an **observational equivalence** or **weak bisimilarity**.

## Explanation

### Weak bisimulation

[Milner, 1989]

A binary relation  $\mathcal{R} \subseteq Proc \times Proc$  is a **weak bisimulation** whenever for every  $(P, Q) \in \mathcal{R}$ , and  $\alpha \in Act$  (including  $\alpha = \tau$ ):

1. if  $P \xrightarrow{\alpha} P'$  then there exists  $Q' \in Proc$  s.t.  $Q \xRightarrow{\alpha} Q'$  and  $(P', Q') \in \mathcal{R}$
2. if  $Q \xrightarrow{\alpha} Q'$  then there exists  $P' \in Proc$  s.t.  $P \xRightarrow{\alpha} P'$  and  $(P', Q') \in \mathcal{R}$ .

### Remark

Each clause in the definition of weak bisimulation subsumes **two cases**:

- ▶  $P \xrightarrow{\alpha} P'$  where  $\alpha \neq \tau$   
implies ex.  $Q' \in Proc$  with  $Q (\xrightarrow{\tau})^* \xrightarrow{\alpha} (\xrightarrow{\tau})^* Q'$  and  $(P', Q') \in \mathcal{R}$
- ▶  $P \xrightarrow{\tau} P'$   
implies ex.  $Q' \in Proc$  such that  $Q (\xrightarrow{\tau})^* Q'$  and  $(P', Q') \in \mathcal{R}$   
(where  $Q' = Q$  is admissible)

## Weak bisimulation

$$\begin{array}{l} P \xrightarrow{\alpha} P' \\ \mathcal{R} \\ Q \end{array} \quad \text{can be completed to} \quad \begin{array}{l} P \xrightarrow{\alpha} P' \\ \mathcal{R} \\ Q \xRightarrow{\alpha} Q' \end{array}$$

and

$$\begin{array}{l} P \\ \mathcal{R} \\ Q \xrightarrow{\alpha} Q' \end{array} \quad \text{can be completed to} \quad \begin{array}{l} P \xRightarrow{\alpha} P' \\ \mathcal{R} \\ Q \xrightarrow{\alpha} Q' \end{array}$$

## Examples

### Weak bisimulation

[Milner, 1989]

A binary relation  $\mathcal{R} \subseteq Proc \times Proc$  is a **weak bisimulation** whenever for every  $(P, Q) \in \mathcal{R}$ , and  $\alpha \in Act$  (including  $\alpha = \tau$ ):

1. if  $P \xrightarrow{\alpha} P'$  then there exists  $Q' \in Proc$  s.t.  $Q \xRightarrow{\alpha} Q'$  and  $(P', Q') \in \mathcal{R}$
2. if  $Q \xrightarrow{\alpha} Q'$  then there exists  $P' \in Proc$  s.t.  $P \xRightarrow{\alpha} P'$  and  $(P', Q') \in \mathcal{R}$ .

### A first example

Let  $P = \tau.a.nil$  and  $Q = a.nil$ . Then  $P \not\approx Q$ . Claim:  $P \approx Q$ . Rewrite  $P$  as:  $P = \tau.P_1$  with  $P_1 = a.nil$ . Let  $\mathcal{R} = \{ (P, Q), (P_1, Q), (nil, nil) \}$ . Check that  $\mathcal{R}$  is a weak bisimulation. As  $(P, Q) \in \mathcal{R}$ , it follows  $P \approx Q$ .

### Buffers

Check that the parallel and sequential buffer are weakly bisimilar.

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## Divergence

### A polling process

[Koomen, 1982]

Let:

$$A? = a.nil + \tau.B?$$

$$B? = b.nil + \tau.A?$$

Claim:  $A? \approx B? \approx a.nil + b.nil$ . (Check this!)

But note that  $A? \xrightarrow{\tau} B? \xrightarrow{\tau} A?$  is a  $\tau$ -loop, whereas  $a.nil + b.nil$  does not have a loop, not even a  $\tau$ -loop.

Thus,  $\approx$  assumes that if a process can escape from a  $\tau$ -loop, it eventually will do so.<sup>2</sup>

Note that also  $Div \approx nil$  where  $Div = \tau.Div$ . Thus, a **deadlock process is weakly bisimilar to a process that can only diverge**. This is justified by the fact that “observations” can only be made by interacting with the process.

<sup>2</sup>This is called **fair abstraction from divergence**. Divergence is a  $\tau$ -loop.

## Divergence

For every  $P \in Proc$ ,

$$P \approx \tau.P$$

### Proof.

We show that

$$\mathcal{R} = \{(P, \tau.P)\} \cup id_{Proc}$$

is a weak bisimulation:

1. every transition of  $P$ ,  $P \xrightarrow{\alpha} P'$  can be simulated by  $\tau.P \xrightarrow{\tau} P \xrightarrow{\alpha} P'$  i.e., equivalently  $\tau.P \xrightarrow{\alpha} P'$  with  $(P', P') \in \mathcal{R}$  (since  $id_{Proc} \subseteq \mathcal{R}$ )
2. the only transition of  $\tau.P$  is  $\tau.P \xrightarrow{\tau} P$ ; it is simulated by  $P \xrightarrow{\tau} P$  with  $(P, P) \in \mathcal{R}$  (since  $id_{Proc} \subseteq \mathcal{R}$ ).

□

## Properties of weak bisimilarity

### Properties of $\approx$

1.  $P \sim Q$  implies  $P \approx Q$ .
2.  $\approx$  is an equivalence relation (reflexive, symmetric, transitive).
3.  $\approx$  is the largest weak bisimulation.
4.  $\approx$  is (non- $\tau$ ) deadlock sensitive.<sup>3</sup>
5.  $\approx$  abstracts from  $\tau$ -loops.

### Proof.

1. Straightforward. 2.–4. Similar to the proofs for  $\sim$ . Left as an exercise.
5. Previous slide.

□

<sup>3</sup>Where  $w$ -deadlocks are considered on observable traces  $w$ .

## Weak bisimilarity versus trace equivalence

### Observational trace language

The **observational trace language** of  $P \in Prc$  is defined by:

$$ObsTr(P) = \{ \hat{w} \in Act^* \mid \exists P' \in Prc. P \xrightarrow{w} P' \}$$

where  $\hat{w}$  is obtained from  $w$  by omitting all  $\tau$ -actions.

### Trace equivalence

$P, Q \in Prc$  are **observational trace equivalent** if  $ObsTr(P) = ObsTr(Q)$ .

### Theorem

$P \approx Q$  implies that  $P$  and  $Q$  are observational trace equivalent. The reverse does not hold.

## Congruence

### CCS congruence

Let  $P, Q \in Prc$  be CCS processes. Assume  $P \approx Q$ . Then:

$$\begin{aligned} \alpha.P &\approx \alpha.Q \text{ for every action } \alpha \\ P \parallel R &\approx Q \parallel R \text{ for every process } R \\ P \setminus L &\approx Q \setminus L \text{ for every set } L \subseteq A \\ P[f] &\approx Q[f] \text{ for every relabelling } f. \end{aligned}$$

### What about choice?

$$\tau.a.nil \approx a.nil \quad \text{but} \quad \tau.a.nil + b.nil \not\approx a.nil + b.nil.$$

Thus, weak bisimilarity is **not** a congruence for CCS.  
This motivates a slight adaptation of  $\approx$ .

## Milner's $\tau$ -laws

### Milner's $\tau$ -laws

$$\begin{aligned} \alpha.\tau.P &\approx \alpha.P \\ P + \tau.P &\approx \tau.P \\ \alpha.(P + \tau.Q) &\approx \alpha.(P + \tau.Q) + \alpha.Q. \end{aligned}$$

### Proof.

Left as an exercise. Build appropriate weak bisimulation relations.  $\square$

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## Observation congruence

### Observation congruence

[Milner, 1989]

$P, Q \in \text{Prc}$  are **observationally congruent**, denoted  $P \approx^c Q$ , if for every  $\alpha \in \text{Act}$  (including  $\alpha = \tau$ ):

1. if  $P \xrightarrow{\alpha} P'$  then there is a sequence of transitions  $Q \xrightarrow{\tau} Q_1 \xrightarrow{\alpha} Q_2 \xrightarrow{\tau} Q'$  such that  $P' \approx Q_2$
2. if  $Q \xrightarrow{\alpha} Q'$  then there is a sequence of transitions  $P \xrightarrow{\tau} P_1 \xrightarrow{\alpha} P_2 \xrightarrow{\tau} P'$  such that  $P' \approx P_2$ .

### Remark

$\approx^c$  differs from  $\approx$  only in that  $\approx^c$  requires  $\tau$ -moves by  $P$  or  $Q$  to be mimicked by at least one  $\tau$ -move in the other process. This only applies to the first step; the successors just have to satisfy  $P' \approx Q'$  (and not necessarily  $P' \approx^c Q'$ ).

## Properties of observation congruence

### Theorem

For every  $P, Q \in \text{Prc}$ , it holds:

1.  $P \sim Q$  implies  $P \approx^c Q$ , and  $P \approx^c Q$  implies  $P \approx Q$
2.  $\approx^c$  is a CCS congruence
3.  $P \approx^c Q$  if and only if  $P + R \approx Q + R$  for every  $R \in \text{Prc}$
4.  $\approx^c$  is an equivalence relation
5.  $P \approx Q$  if and only if ( $P \approx^c Q$  or  $P \approx^c \tau.Q$  or  $\tau.P \approx^c Q$ )

### Proof.

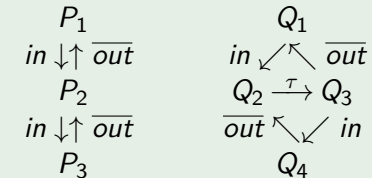
Omitted. □

Note: as  $\approx$  implies trace equivalence and is (non- $\tau$ ) deadlock-sensitive,  $\approx^c$  implies trace equivalence and is (non- $\tau$ ) deadlock-sensitive.

## Examples

### Example

1. Sequential and parallel two-place buffer:



$P_1 \approx^c Q_1$  since  $P_1 \approx Q_1$  and neither  $P_1$  nor  $Q_1$  has initial  $\tau$ -steps.

2.  $\tau.b.\text{nil} \not\approx^c b.\text{nil}$  (since  $\tau.b.\text{nil} \xrightarrow{\tau}$  but  $b.\text{nil} \not\xrightarrow{\tau}$ ) thus the counterexample to congruence of  $\approx$  for  $+$  does not apply.
3.  $b.\tau.\text{nil} \approx^c b.\text{nil}$  (since  $\tau.\text{nil} \approx \text{nil}$ ).

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary

## Weak bisimilarity as a game

### Game rules

In each round the current configuration  $(s, t)$  is changed as follows:

1. the attacker chooses one of the processes in the current configuration, say  $t$ , and makes an  $\xrightarrow{\alpha}$ -move for some  $\alpha \in Act$  to  $t'$ , say, and
2. the defender must respond by making an  $\xRightarrow{\alpha}$ -move in the other process  $s$  of the current configuration under the same action  $\alpha$ , yielding  $s \xRightarrow{\alpha} s'$ .

The new pair of processes  $(s', t')$  becomes the current configuration. The game continues with another round.

### Game results

1. If one player cannot move, the other player wins.
2. If the game can be played *ad infinitum*, the defender wins.

## Deciding weak bisimilarity

Checking whether  $P \approx Q$  (or  $P \approx^c Q$ ) over finite-state processes can be reduced to checking strong bisimilarity  $\sim$ , using a technique called **saturation**.

Intuitively, saturation amounts to:

1. First pre-computing the weak transition relation  $\Rightarrow$ , and then
2. Constructing a new pair of finite-state processes whose original transitions are replaced by weak transitions.

The question whether  $P \approx Q$  now boils down to checking  $\sim$  on the saturated processes. (Details are outside the scope of this lecture.)

As computing  $\Rightarrow$  and  $\sim$  can be done in polynomial time,  $P \approx Q$  can be checked in polynomial time.

## Game characterization of weak bisimilarity

### Theorem

[Stirling, 1995], [Thomas, 1993]

1.  $s \approx t$  iff the defender has a **universal** winning strategy from configuration  $(s, t)$ .
2.  $s \not\approx t$  iff the attacker has a **universal** winning strategy from configuration  $(s, t)$ .

(By means of a universal winning strategy, a player can always win, regardless of how the other player selects her moves.)

### Proof.

Similar as for strong bisimilarity. Left as an exercise. □

## Overview

- 1 Aim of this lecture
- 2 Introduction
- 3 Weak bisimulation
- 4 Properties of weak bisimilarity
- 5 Observation congruence
- 6 Deciding weak bisimilarity and game interpretation
- 7 Summary



## Summary

1. Weak bisimilarity is based on mutual mimicking processes
2. But:  $\tau$ -actions do not need to be mimicked, as they are internal
3. Weak bisimilarity is not a congruence for choice (+)
4. Observation congruence remedies this by forcing initial  $\tau$ -actions to be mimicked
5. Divergence is weakly bisimilar to a deadlock process
6. Checking (non-)weak bisimilarity can be done using a two-player game
7. Weak bisimilarity can be determined in polynomial-time