



# Static Program Analysis

## Lecture 2: Dataflow Analysis I

(Introduction & Available Expressions/Live Variables Analysis)

Winter Semester 2016/17

Thomas Noll

Software Modeling and Verification Group

RWTH Aachen University

<https://moves.rwth-aachen.de/teaching/ws-1617/spa/>

**Terminated**

For  $p$  an arbitrary probability:

---

```
bool c := true;
int i := 0;
while (c) {
    i := i + 1;
    (c := false [p] c := true)
}
```

---

This program **almost surely** terminates. In finite expected time.

# How does this work?

- ✓ Visit lecture on

## Probabilistic Programming

- ✓ When? Intro: **October 24, 16:15**
- ✓ Where? 9U10 (E3)
- ✓ Who? Prof. **Joost-Pieter Katoen**.
- ✓ Needed: **programming, probability, theory**.
- ✓ **Lectures (except intro) in December and January**



## Dataflow Analysis: the Approach

- Traditional form of **program analysis**
- Idea: describe how analysis information **flows** through program
- Distinctions:
  - dependence on statement order:
    - flow-sensitive** vs. **flow-insensitive** analyses
  - direction of flow:
    - forward** vs. **backward** analyses
  - quantification over paths:
    - may (union)** vs. **must (intersection)** analyses
  - procedures:
    - interprocedural** vs. **intraprocedural** analyses

# Preliminaries on Dataflow Analysis

## Labelled Programs

- Goal: **localisation** of analysis information
- Dataflow information will be associated with
  - **skip** statements
  - assignments
  - tests in conditionals (**if**) and loops (**while**)
- Assume set of **labels**  $Lab$  with meta variable  $l \in Lab$  (usually  $Lab = \mathbb{N}$ )

### Definition 2.1 (Labelled WHILE programs)

The **syntax of labelled WHILE programs** is defined by the following context-free grammar:

$$\begin{aligned} a &::= z \mid x \mid a_1 + a_2 \mid a_1 - a_2 \mid a_1 * a_2 \in AExp \\ b &::= t \mid a_1 = a_2 \mid a_1 > a_2 \mid \neg b \mid b_1 \wedge b_2 \mid b_1 \vee b_2 \in BExp \\ c &::= [\text{skip}]' \mid [x := a]' \mid c_1 ; c_2 \mid \\ &\quad \text{if } [b]' \text{ then } c_1 \text{ else } c_2 \text{ end} \mid \text{while } [b]' \text{ do } c \text{ end} \in Cmd \end{aligned}$$

- All labels in  $c \in Cmd$  assumed distinct, denoted by  $Lab_c$
- Labelled fragments of  $c$  called **blocks**, denoted by  $Blk_c$

# Preliminaries on Dataflow Analysis

---

## A WHILE Program **with Labels**

### Example 2.2

```
x := 6;
y := 7;
z := 0;
while x > 0 do
  x := x - 1;
  v := y;
  while v > 0 do
    v := v - 1;
    z := z + 1
  end
end
end
```

# Preliminaries on Dataflow Analysis

## Representing Control Flow I

Every (labelled) statement has a single entry (given by the initial label) and generally multiple exits (given by the final labels):

### Definition 2.3 (Initial and final labels)

The mappings

$$\text{init} : \text{Cmd} \rightarrow \text{Lab} \quad \text{and} \quad \text{final} : \text{Cmd} \rightarrow 2^{\text{Lab}}$$

respectively return the **initial** and **final** label(s) of a statement:

$c \in \text{Cmd}$	$\text{init}(c)$	$\text{final}(c)$
$[\text{skip}]'$	$/$	$\{ / \}$
$[x := a]'$	$/$	$\{ / \}$
$c_1 ; c_2$	$\text{init}(c_1)$	$\text{final}(c_2)$
$\text{if } [b]' \text{ then } c_1 \text{ else } c_2 \text{ end}$	$/$	$\text{final}(c_1) \cup \text{final}(c_2)$
$\text{while } [b]' \text{ do } c \text{ end}$	$/$	$\{ / \}$

## Representing Control Flow II

### Definition 2.4 (Flow relation)

Given a statement  $c \in \text{Cmd}$ , the (control) flow relation

$$\text{flow}(c) \subseteq \text{Lab} \times \text{Lab}$$

is defined by

$$\text{flow}([\text{skip}]') := \emptyset$$

$$\text{flow}([x := a]') := \emptyset$$

$$\text{flow}(c_1 ; c_2) := \text{flow}(c_1) \cup \text{flow}(c_2) \cup \text{final}(c_1) \times \{\text{init}(c_2)\}$$

$$\text{flow}(\text{if } [b]' \text{ then } c_1 \text{ else } c_2 \text{ end}) := \text{flow}(c_1) \cup \text{flow}(c_2) \cup \{(l, \text{init}(c_1)), (l, \text{init}(c_2))\}$$

$$\text{flow}(\text{while } [b]' \text{ do } c \text{ end}) := \text{flow}(c) \cup \{(l, \text{init}(c))\} \cup \text{final}(c) \times \{l\}$$



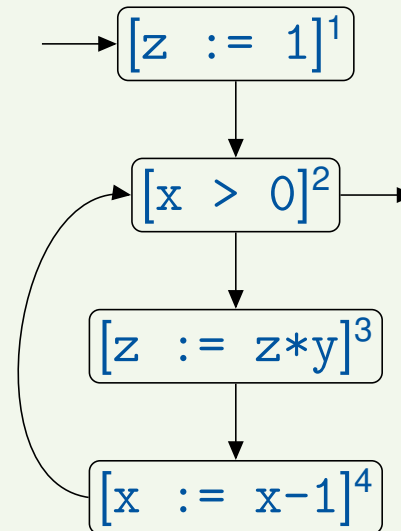
## Representing Control Flow III

### Example 2.5

```
c = [z := 1]1;  
  while [x > 0]2 do  
    [z := z*y]3;  
    [x := x-1]4  
  end
```

```
init(c) = 1  
final(c) = {2}  
flow(c) = {(1, 2), (2, 3), (3, 4), (4, 2)}
```

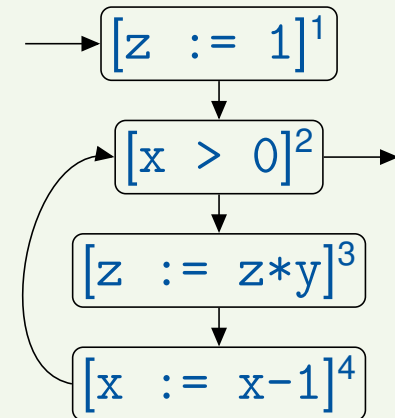
Visualisation by (control) flow graph:



## Representing Control Flow IV

- To simplify the presentation we will often assume that the program  $c \in \text{Cmd}$  under consideration has an **isolated entry**, meaning that
$$\{l \in \text{Lab} \mid (l, \text{init}(c)) \in \text{flow}(c)\} = \emptyset$$
(which is the case when  $c$  does not start with a **while** loop)
- Similarly:  $c \in \text{Cmd}$  has **isolated exits** if
$$\{l' \in \text{Lab} \mid (l, l') \in \text{flow}(c) \text{ for some } l \in \text{final}(c)\} = \emptyset$$
(which is the case when no final label identifies a loop header)

### Example 2.6 (cf. Ex. 2.5)



has an isolated entry  
but not isolated exits

# An Example: Available Expressions Analysis

## Goal of Available Expressions Analysis

### Available Expressions Analysis

The goal of **Available Expressions Analysis** is to determine, for each program point, which (complex) expressions *must* have been computed, and not later modified, on all paths to the program point.

- Can be used for **Common Subexpression Elimination**:  
replace subexpression by variable that contains up-to-date value
- Only interesting for non-trivial (i.e., complex) arithmetic expressions

### Example 2.7 (Available Expressions Analysis)

```
[x := a+b]1; [y := a*b]2;  
while [y > a+b]3 do  
  [a := a+1]4;  
  [x := a+b]5  
end
```

- a+b available at label 3
- a+b not available at label 5
- possible optimization:  
while [y > x]<sup>3</sup> do

# An Example: Available Expressions Analysis

---

## Formalizing Available Expressions Analysis I

- Given  $a \in AExp$ ,  $b \in BExp$ ,  $c \in Cmd$ ,
  - $Var_a/Var_b/Var_c$  denotes the set of all **variables** occurring in  $a/b/c$
  - $CExp_b/CExp_c$  denote the sets of all **complex arithmetic expressions** occurring in  $b/c$
- An expression  $a$  is **killed** in a block  $B$  if any of the variables in  $a$  is modified in  $B$
- Formally:  $kill_{AE} : Blk_c \rightarrow 2^{CExp_c}$  is defined by

$$\begin{aligned}kill_{AE}([skip]') &:= \emptyset \\kill_{AE}([x := a]') &:= \{a' \in CExp_c \mid x \in Var_{a'}\} \\kill_{AE}([b]') &:= \emptyset\end{aligned}$$

- An expression  $a$  is **generated** in a block  $B$  if it is evaluated in and none of its variables are modified by  $B$
- Formally:  $gen_{AE} : Blk_c \rightarrow 2^{CExp_c}$  is defined by

$$\begin{aligned}gen_{AE}([skip]') &:= \emptyset \\gen_{AE}([x := a]') &:= \{a \mid x \notin Var_a\} \\gen_{AE}([b]') &:= CExp_b\end{aligned}$$

# An Example: Available Expressions Analysis

## Formalizing Available Expressions Analysis II

### Example 2.8 ( $\text{kill}_{\text{AE}}$ / $\text{gen}_{\text{AE}}$ functions)

```
c = [x := a+b]1;  
    [y := a*b]2;  
    while [y > a+b]3 do  
        [a := a+1]4;  
        [x := a+b]5  
    end
```

- $CExp_c = \{a+b, a*b, a+1\}$

- | $Lab_c$ | $\text{kill}_{\text{AE}}(B')$ | $\text{gen}_{\text{AE}}(B')$ |
|---------|-------------------------------|------------------------------|
| 1       | $\emptyset$                   | $\{a+b\}$                    |
| 2       | $\emptyset$                   | $\{a*b\}$                    |
| 3       | $\emptyset$                   | $\{a+b\}$                    |
| 4       | $\{a+b, a*b, a+1\}$           | $\emptyset$                  |
| 5       | $\emptyset$                   | $\{a+b\}$                    |

# An Example: Available Expressions Analysis

## The Equation System I

- Analysis itself defined by setting up an **equation system**
- For each  $l \in Lab_c$ ,  $AE_l \subseteq CExp_c$  represents the **set of available expressions at the entry of block  $B^l$**
- Formally, for  $c \in Cmd$  with isolated entry:

$$AE_l = \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{ \varphi_{l'}(AE_{l'}) \mid (l', l) \in \text{flow}(c) \} & \text{otherwise} \end{cases}$$

where  $\varphi_{l'} : 2^{CExp_c} \rightarrow 2^{CExp_c}$  denotes the **transfer function** of block  $B^{l'}$ , given by

$$\varphi_{l'}(A) := (A \setminus \text{kill}_{AE}(B^{l'})) \cup \text{gen}_{AE}(B^{l'})$$

- Characterization of analysis:
  - flow-sensitive**: results depending on order of assignments
  - forward**: starts in  $\text{init}(c)$  and proceeds downwards
  - must**:  $\bigcap$  in equations for  $AE_l$
- Later: solution **not necessarily unique**
  - $\implies$  choose **greatest one**

# An Example: Available Expressions Analysis

## The Equation System II

**Reminder:**

$$AE_l = \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{ \varphi_{l'}(AE_{l'}) \mid (l', l) \in \text{flow}(c) \} & \text{otherwise} \end{cases}$$

$$\varphi_{l'}(E) = (E \setminus \text{kill}_{AE}(B^{l'})) \cup \text{gen}_{AE}(B^{l'})$$

### Example 2.9 (AE equation system)

```

c = [x := a+b]1;
    [y := a*b]2;
    while [y > a+b]3 do
      [a := a+1]4;
      [x := a+b]5
    end
  
```

Equations:

$$AE_1 = \emptyset$$

$$AE_2 = \varphi_1(AE_1) = AE_1 \cup \{a+b\}$$

$$AE_3 = \varphi_2(AE_2) \cap \varphi_5(AE_5) \\ = (AE_2 \cup \{a*b\}) \cap (AE_5 \cup \{a+b\})$$

$$AE_4 = \varphi_3(AE_3) = AE_3 \cup \{a+b\}$$

$$AE_5 = \varphi_4(AE_4) = AE_4 \setminus \{a+b, a*b, a+1\}$$

(Unique) solution:

$$AE_1 = \emptyset$$

$$AE_2 = \{a+b\}$$

$$AE_3 = \{a+b\}$$

$$AE_4 = \{a+b\}$$

$$AE_5 = \emptyset$$

$l \in Lab_c$	$\text{kill}_{AE}(B^l)$	$\text{gen}_{AE}(B^l)$
1	$\emptyset$	$\{a+b\}$
2	$\emptyset$	$\{a*b\}$
3	$\emptyset$	$\{a+b\}$
4	$\{a+b, a*b, a+1\}$	$\emptyset$
5	$\emptyset$	$\{a+b\}$

# Another Example: Live Variables Analysis

---

## Goal of Live Variables Analysis

### Live Variables Analysis

The goal of **Live Variables Analysis** is to determine, for each program point, which variables *may* be live at the exit from the point.

- A variable is called **live** at the exit from a block if there exists a path from the block to a use of the variable that does not re-define the variable
- All variables considered to be live at the **end** of the program (alternative: restriction to output variables)
- Can be used for **Dead Code Elimination**:  
remove assignments to non-live variables



# Another Example: Live Variables Analysis

## An Example

### Example 2.10 (Live Variables Analysis)

```
[x := 2]1;  
[y := 4]2;  
[x := 1]3;  
if [y > 0]4 then  
  [z := x]5  
else  
  [z := y*y]6  
end;  
[x := z]7
```

- x not live at exit from label 1
- y live at exit from 2
- x live at exit from 3
- z live at exits from 5 and 6
- possible optimization: remove [x := 2]<sup>1</sup>

# Another Example: Live Variables Analysis

---

## Formalizing Live Variables Analysis I

- A variable on the left-hand side of an assignment is **killed** by the assignment; tests and `skip` do not kill
- Formally:  $\text{kill}_{LV} : Blk_c \rightarrow 2^{Var_c}$  is defined by

$$\begin{aligned}\text{kill}_{LV}([\text{skip}]') &:= \emptyset \\ \text{kill}_{LV}([x := a]') &:= \{x\} \\ \text{kill}_{LV}([b]') &:= \emptyset\end{aligned}$$

- Every reading access **generates** a live variable
- Formally:  $\text{gen}_{LV} : Blk_c \rightarrow 2^{Var_c}$  is defined by

$$\begin{aligned}\text{gen}_{LV}([\text{skip}]') &:= \emptyset \\ \text{gen}_{LV}([x := a]') &:= Var_a \\ \text{gen}_{LV}([b]') &:= Var_b\end{aligned}$$

# Another Example: Live Variables Analysis

## Formalizing Live Variables Analysis II

### Example 2.11 ( $\text{kill}_{LV}/\text{gen}_{LV}$ functions)

```
c = [x := 2]1;  
    [y := 4]2;  
    [x := 1]3;  
    if [y > 0]4 then  
      [z := x]5  
    else  
      [z := y*y]6  
    end;  
    [x := z]7
```

- $\text{Var}_c = \{x, y, z\}$
- $l \in \text{Lab}_c$   $\text{kill}_{LV}(B^l)$   $\text{gen}_{LV}(B^l)$

1	{x}	∅
2	{y}	∅
3	{x}	∅
4	∅	{y}
5	{z}	{x}
6	{z}	{y}
7	{x}	{z}

# Another Example: Live Variables Analysis

---

## The Equation System I

- For each  $I \in Lab_c$ ,  $LV_I \subseteq Var_c$  represents the set of **live variables at the exit of block  $B^I$**
- Formally, for a program  $c \in Cmd$  with isolated exits:

$$LV_I = \begin{cases} Var_c & \text{if } I \in final(c) \\ \bigcup \{ \varphi_{I'}(LV_{I'}) \mid (I, I') \in flow(c) \} & \text{otherwise} \end{cases}$$

where  $\varphi_{I'} : 2^{Var_c} \rightarrow 2^{Var_c}$  denotes the **transfer function** of block  $B^{I'}$ , given by

$$\varphi_{I'}(V) := (V \setminus kill_{LV}(B^{I'})) \cup gen_{LV}(B^{I'})$$

- Characterization of analysis:
  - flow-sensitive**: results depending on order of assignments
  - backward**: starts in  $final(c)$  and proceeds upwards
  - may**:  $\bigcup$  in equations for  $LV_I$
- Later: solution **not necessarily unique**  
 $\implies$  choose **least one**

# Another Example: Live Variables Analysis

## The Equation System II

**Reminder:**  $LV_l = \begin{cases} Var_c & \text{if } l \in \text{final}(c) \\ \bigcup \{ \varphi_{l'}(LV_{l'}) \mid (l, l') \in \text{flow}(c) \} & \text{otherwise} \end{cases}$   
 $\varphi_{l'}(V) = (V \setminus \text{kill}_{LV}(B^{l'})) \cup \text{gen}_{LV}(B^{l'})$

### Example 2.12 (LV equation system)

```
c = [x := 2]1;  
    [y := 4]2;  
    [x := 1]3;  
    if [y > 0]4 then  
      [z := x]5  
    else  
      [z := y*y]6  
    end;  
    [x := z]7
```

$l \in Lab_c$	$\text{kill}_{LV}(B^l)$	$\text{gen}_{LV}(B^l)$
1	{x}	∅
2	{y}	∅
3	{x}	∅
4	∅	{y}
5	{z}	{x}
6	{z}	{y}
7	{x}	{z}

**Equations:**  $LV_1 = \varphi_2(LV_2) = LV_2 \setminus \{y\}$   
 $LV_2 = \varphi_3(LV_3) = LV_3 \setminus \{x\}$   
 $LV_3 = \varphi_4(LV_4) = LV_4 \cup \{y\}$   
 $LV_4 = \varphi_5(LV_5) \cup \varphi_6(LV_6)$   
 $= ((LV_5 \setminus \{z\}) \cup \{x\}) \cup ((LV_6 \setminus \{z\}) \cup \{y\})$   
 $LV_5 = \varphi_7(LV_7) = (LV_7 \setminus \{x\}) \cup \{z\}$   
 $LV_6 = \varphi_7(LV_7) = (LV_7 \setminus \{x\}) \cup \{z\}$   
 $LV_7 = \{x, y, z\}$

**(Unique) solution:**  $LV_1 = \emptyset$   
 $LV_2 = \{y\}$   
 $LV_3 = \{x, y\}$   
 $LV_4 = \{x, y\}$   
 $LV_5 = \{y, z\}$   
 $LV_6 = \{y, z\}$   
 $LV_7 = \{x, y, z\}$