



Static Program Analysis

Lecture 12: Abstract Interpretation II (Safe Approximation)

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<https://moves.rwth-aachen.de/teaching/ws-1617/spa/>

Recap: Galois Connections

Outline of Lecture 12

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Recap: Galois Connections

Galois Connections

Definition (Galois connection)

Let (L, \sqsubseteq_L) and (M, \sqsubseteq_M) be complete lattices. A pair (α, γ) of monotonic functions

$$\alpha : L \rightarrow M \quad \text{and} \quad \gamma : M \rightarrow L$$

is called a **Galois connection** if

$$\forall l \in L : l \sqsubseteq_L \gamma(\alpha(l)) \quad \text{and} \quad \forall m \in M : \alpha(\gamma(m)) \sqsubseteq_M m$$

Interpretation:

- $L = \{\text{sets of concrete values}\}$, $M = \{\text{sets of abstract values}\}$
- $\alpha = \text{abstraction function}$, $\gamma = \text{concretisation function}$
- $l \sqsubseteq_L \gamma(\alpha(l))$: α yields over-approximation
- $\alpha(\gamma(m)) \sqsubseteq_M m$: no loss of precision by abstraction after concretisation
- Usually: $l \neq \gamma(\alpha(l))$, $\alpha(\gamma(m)) = m$



Evariste Galois
(1811–1832)

Recap: Galois Connections

Properties of Galois Connections

Lemma

Let (α, γ) be a Galois connection with $\alpha : L \rightarrow M$ and $\gamma : M \rightarrow L$, and let $I \in L$, $m \in M$, $L' \subseteq L$, $M' \subseteq M$.

1. $\alpha(I) \sqsubseteq_M m \iff I \sqsubseteq_L \gamma(m)$
2. γ is **uniquely determined by** α as follows: $\gamma(m) = \bigsqcup \{I \in L \mid \alpha(I) \sqsubseteq_M m\}$
3. α is **uniquely determined by** γ as follows: $\alpha(I) = \bigsqcap \{m \in M \mid I \sqsubseteq_L \gamma(m)\}$
4. α is **completely distributive**: for every $L' \subseteq L$, $\alpha(\bigsqcup L') = \bigsqcup \{\alpha(I) \mid I \in L'\}$
5. γ is **completely multiplicative**: for every $M' \subseteq M$, $\gamma(\bigsqcap M') = \bigsqcap \{\gamma(m) \mid m \in M'\}$

Proof.

on the board



Recap: Concrete Semantics of WHILE Programs

Outline of Lecture 12

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

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Examples

Recap: Concrete Semantics of WHILE Programs

Execution of Statements I

Definition (Execution relation for statements)

If $c \in \text{Cmd}$ and $\sigma \in \Sigma$, then $\langle c, \sigma \rangle$ is called a **configuration**. The **execution relation**

$$\rightarrow \subseteq (\text{Cmd} \times \Sigma) \times ((\text{Cmd} \cup \{\downarrow\}) \times \Sigma)$$

is defined by the following rules:

$$\frac{}{\text{(skip)} \langle \text{skip}, \sigma \rangle \rightarrow \langle \downarrow, \sigma \rangle}$$

$$\frac{}{\text{(asgn)} \langle x := a, \sigma \rangle \rightarrow \langle \downarrow, \sigma[x \mapsto \text{val}_\sigma(a)] \rangle}$$

$$\frac{\langle c_1, \sigma \rangle \rightarrow \langle c'_1, \sigma' \rangle \quad c'_1 \neq \downarrow}{\text{(seq1)} \langle c_1; c_2, \sigma \rangle \rightarrow \langle c'_1; c_2, \sigma' \rangle}$$

$$\frac{\langle c_1, \sigma \rangle \rightarrow \langle \downarrow, \sigma' \rangle}{\text{(seq2)} \langle c_1; c_2, \sigma \rangle \rightarrow \langle c_2, \sigma' \rangle}$$

Recap: Concrete Semantics of WHILE Programs

Execution of Statements II

Definition (Execution relation for statements; continued)

$$\text{(if1)} \frac{val_{\sigma}(b) = \text{true}}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end, } \sigma \rangle \rightarrow \langle c_1, \sigma \rangle}$$

$$\text{(if2)} \frac{val_{\sigma}(b) = \text{false}}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end, } \sigma \rangle \rightarrow \langle c_2, \sigma \rangle}$$

$$\text{(wh1)} \frac{val_{\sigma}(b) = \text{true}}{\langle \text{while } b \text{ do } c \text{ end, } \sigma \rangle \rightarrow \langle c; \text{while } b \text{ do } c \text{ end, } \sigma \rangle}$$

$$\text{(wh2)} \frac{val_{\sigma}(b) = \text{false}}{\langle \text{while } b \text{ do } c \text{ end, } \sigma \rangle \rightarrow \langle \downarrow, \sigma \rangle}$$

Remark: \downarrow indicates **successful termination** of the program

Recap: Concrete Semantics of WHILE Programs

Determinism Property of Execution Relation

This operational semantics is well defined in the following sense:

Theorem

*The execution relation for statements is **deterministic**, i.e., whenever $c \in \text{Cmd}$, $\sigma \in \Sigma$ and $\kappa_1, \kappa_2 \in (\text{Cmd} \cup \{\downarrow\}) \times \Sigma$ such that $\langle c, \sigma \rangle \rightarrow \kappa_1$ and $\langle c, \sigma \rangle \rightarrow \kappa_2$, then $\kappa_1 = \kappa_2$.*

Proof.

omitted □

Safe Approximation of Functions

Outline of Lecture 12

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Safe Approximation of Functions

Safe Approximation of Functions I

Definition 12.1 (Safe approximation)

Let (α, γ) be a Galois connection with $\alpha : L \rightarrow M$ and $\gamma : M \rightarrow L$, and let $f : L^n \rightarrow L$ and $f^\# : M^n \rightarrow M$ be functions of rank $n \in \mathbb{N}$. Then $f^\#$ is called a **safe approximation** of f if, whenever $m_1, \dots, m_n \in M$,

$$\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n).$$

Moreover, $f^\#$ is called **most precise** if the reverse inclusion is also true.

Abstract		Concrete
\vec{m}	$\xrightarrow{\gamma}$	$\gamma(\vec{m})$
$\downarrow f^\#$		$\downarrow f$
$f^\#(\vec{m}) \sqsupseteq \alpha(f(\gamma(\vec{m})))$	$\xleftarrow{\alpha}$	$f(\gamma(\vec{m}))$

Safe Approximation of Functions

Safe Approximation of Functions I

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\vec{m}	$\xrightarrow{\gamma}$	$\gamma(\vec{m})$
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$f^\#(\vec{m}) \supseteq \alpha(f(\gamma(\vec{m})))$	$\xleftarrow{\alpha}$	$f(\gamma(\vec{m}))$

- **Interpretation:** the abstraction $f^\#$ covers all concrete f -results
- **Note:** monotonicity of f and/or $f^\#$ is *not* required (but usually given; see Lemma 12.3)

Safe Approximation of Functions II

Example 12.2 (Safeness: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$)

1. Parity abstraction (cf. Example 11.2): $L = (2^{\mathbb{Z}}, \subseteq)$, $M = (2^{\{\text{even}, \text{odd}\}}, \subseteq)$

– $n = 0$: for $f = \text{one} \subseteq 2^{\mathbb{Z}} : () \mapsto \{1\}$,

■ $\text{one}^\#() = \{\text{odd}\}$ is most precise: $\alpha(\{1\}) = \{\text{odd}\} = \text{one}^\#()$

■ $\text{one}^\#() = \{\text{even}, \text{odd}\}$ is (only) safe: $\alpha(\{1\}) = \{\text{odd}\} \subseteq \{\text{even}, \text{odd}\} = \text{one}^\#()$

■ $\text{one}^\#() = \{\text{even}\}$ is unsafe: $\alpha(\{1\}) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \text{one}^\#()$

Safe Approximation of Functions

Safe Approximation of Functions II

Example 12.2 (Safeness: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$)

1. Parity abstraction (cf. Example 11.2): $L = (2^{\mathbb{Z}}, \subseteq)$, $M = (2^{\{\text{even}, \text{odd}\}}, \subseteq)$
 - $n = 0$: for $f = \text{one} \subseteq 2^{\mathbb{Z}} : () \mapsto \{1\}$,
 - $\text{one}^\#() = \{\text{odd}\}$ is most precise: $\alpha(\{1\}) = \{\text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}, \text{odd}\}$ is (only) safe: $\alpha(\{1\}) = \{\text{odd}\} \subsetneq \{\text{even}, \text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}\}$ is unsafe: $\alpha(\{1\}) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \text{one}^\#()$
 - $n = 1$: for $f = \text{dec} : 2^{\mathbb{Z}} \rightarrow 2^{\mathbb{Z}} : Z \mapsto \{z - 1 \mid z \in Z\}$,
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}\}$ is most precise: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}, \text{even}\}$ is (only) safe:
 $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \subsetneq \{\text{odd}, \text{even}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \emptyset$ is unsafe: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \not\subseteq \emptyset = \text{dec}^\#(\{\text{even}\})$

Safe Approximation of Functions

Safe Approximation of Functions II

Example 12.2 (Safeness: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$)

1. Parity abstraction (cf. Example 11.2): $L = (2^{\mathbb{Z}}, \subseteq)$, $M = (2^{\{\text{even}, \text{odd}\}}, \subseteq)$

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 - $\text{one}^\#() = \{\text{even}, \text{odd}\}$ is (only) safe: $\alpha(\{1\}) = \{\text{odd}\} \subsetneq \{\text{even}, \text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}\}$ is unsafe: $\alpha(\{1\}) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \text{one}^\#()$
- $n = 1$: for $f = \text{dec} : 2^{\mathbb{Z}} \rightarrow 2^{\mathbb{Z}} : Z \mapsto \{z - 1 \mid z \in Z\}$,
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}\}$ is most precise: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}, \text{even}\}$ is (only) safe:
 $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \subsetneq \{\text{odd}, \text{even}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \emptyset$ is unsafe: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \not\subseteq \emptyset = \text{dec}^\#(\{\text{even}\})$
- $n = 2$: for $f = + : 2^{\mathbb{Z}} \times 2^{\mathbb{Z}} \rightarrow 2^{\mathbb{Z}} : (z_1, z_2) \mapsto z_1 + z_2$,
 - $\{\text{even}\} +^\# \{\text{odd}\} = \{\text{odd}\}$ is m.p.: $\alpha(\gamma(\{\text{even}\}) + \gamma(\{\text{odd}\})) = \{\text{odd}\} = \{\text{even}\} +^\# \{\text{odd}\}$
 - $\{\text{even}\} +^\# \{\text{odd}\} = \{\text{even}, \text{odd}\}$ is (only) safe:
 $\alpha(\gamma(\{\text{even}\}) + \gamma(\{\text{odd}\})) = \{\text{odd}\} \subsetneq \{\text{even}, \text{odd}\} = \{\text{even}\} +^\# \{\text{odd}\}$
 - $\{\text{even}\} +^\# \{\text{odd}\} = \{\text{even}\}$ is unsafe:
 $\alpha(\gamma(\{\text{even}\}) + \gamma(\{\text{odd}\})) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \{\text{even}\} +^\# \{\text{odd}\}$

Safe Approximation of Functions

Safe Approximation of Functions III

Reminder: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$

Example 12.2 (continued)

Most precise approximations (with $L = (2^{\mathbb{Z}}, \subseteq)$):

2. Sign abstraction (cf. Example 11.3): $M = (2^{\{+, -, 0\}}, \subseteq)$

- $n = 0$: $\text{one}^\#() = \{+\}$
- $n = 1$: $\text{dec}^\#(\{+\}) = \{+, 0\}$, $-^\#(\{+\}) = \{-\}$
- $n = 2$: $\{+\} +^\# \{+\} = \{+\}$, $\{+\} -^\# \{+\} = \{+, -, 0\}$, $\{+\} \cdot^\# \{-\} = \{-\}$

Safe Approximation of Functions

Safe Approximation of Functions III

Reminder: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$

Example 12.2 (continued)

Most precise approximations (with $L = (2^{\mathbb{Z}}, \subseteq)$):

2. Sign abstraction (cf. Example 11.3): $M = (2^{\{+, -, 0\}}, \subseteq)$

- $n = 0$: $\text{one}^\#() = \{+\}$
- $n = 1$: $\text{dec}^\#(\{+\}) = \{+, 0\}$, $-^\#(\{+\}) = \{-\}$
- $n = 2$: $\{+\} +^\# \{+\} = \{+\}$, $\{+\} -^\# \{+\} = \{+, -, 0\}$, $\{+\} \cdot^\# \{-\} = \{-\}$

3. Interval abstraction (cf. Example 11.4): $M = ((\mathbb{Z} \cup \{-\infty\}) \times (\mathbb{Z} \cup \{+\infty\}) \cup \{\emptyset\}, \subseteq)$

- $n = 0$: $\text{one}^\#() = [1, 1]$
- $n = 1$: $\text{dec}^\#([z_1, z_2]) = [z_1 - 1, z_2 - 1]$, $-^\#([z_1, z_2]) = [-z_2, -z_1]$
- $n = 2$: $[y_1, y_2] +^\# [z_1, z_2] = [y_1 + z_1, y_2 + z_2]$
 $[y_1, y_2] -^\# [z_1, z_2] = [y_1 - z_2, y_2 - z_1]$
 $[y_1, y_2] \cdot^\# [z_1, z_2] = [\bigsqcap\{y_1z_1, y_1z_2, y_2z_1, y_2z_2\}, \bigsqcup\{y_1z_1, y_1z_2, y_2z_1, y_2z_2\}]$

(thus, $+^\#/-^\#/\cdot^\# = \oplus/\ominus/\odot$ from Slide 7.20)

Safe Approximation of Functions

Safe Approximation of Functions IV

Lemma 12.3

If $f : L^n \rightarrow L$ and $f^\# : M^n \rightarrow M$ are monotonic, then $f^\#$ is a safe approximation of f iff, for all $l_1, \dots, l_n \in L$,

$$\alpha(f(l_1, \dots, l_n)) \sqsubseteq_M f^\#(\alpha(l_1), \dots, \alpha(l_n)).$$

Safe Approximation of Functions

Safe Approximation of Functions IV

Lemma 12.3

If $f : L^n \rightarrow L$ and $f^\# : M^n \rightarrow M$ are monotonic, then $f^\#$ is a safe approximation of f iff, for all $l_1, \dots, l_n \in L$,

$$\alpha(f(l_1, \dots, l_n)) \sqsubseteq_M f^\#(\alpha(l_1), \dots, \alpha(l_n)).$$

Proof.

on the board



Safe Approximation of Execution Relations

Outline of Lecture 12

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Safe Approximation of Execution Relations

Encoding Execution Relations by Transition Functions I

- **Reminder: concrete semantics** of WHILE

- **statements** $\text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end} \mid \text{while } b \text{ do } c \text{ end} \in \text{Cmd}$
- **states** $\Sigma := \{\sigma \mid \sigma : \text{Var} \rightarrow \mathbb{Z}\}$ (Definition 11.6)
- **execution relation** $\rightarrow \subseteq (\text{Cmd} \times \Sigma) \times ((\text{Cmd} \cup \{\downarrow\}) \times \Sigma)$ (Definition 11.9)

Safe Approximation of Execution Relations

Encoding Execution Relations by Transition Functions I

- **Reminder: concrete semantics** of WHILE
 - **statements** $\text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end} \mid \text{while } b \text{ do } c \text{ end} \in \text{Cmd}$
 - **states** $\Sigma := \{\sigma \mid \sigma : \text{Var} \rightarrow \mathbb{Z}\}$ (Definition 11.6)
 - **execution relation** $\rightarrow \subseteq (\text{Cmd} \times \Sigma) \times ((\text{Cmd} \cup \{\downarrow\}) \times \Sigma)$ (Definition 11.9)
- Yields **concrete domain** $L := (2^\Sigma, \subseteq)$ and concrete transition function:

Definition 12.4 (Concrete transition function)

The **concrete transition function** of WHILE is defined by the family of functions

$$\text{next}_{c,c'} : 2^\Sigma \rightarrow 2^\Sigma$$

where $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$ and, for every $S \subseteq \Sigma$,

$$\text{next}_{c,c'}(S) := \{\sigma' \in \Sigma \mid \exists \sigma \in S : \langle c, \sigma \rangle \rightarrow \langle c', \sigma' \rangle\}.$$

Safe Approximation of Execution Relations

Encoding Execution Relations by Transition Functions II

Remarks: `next` satisfies the following properties

- “**Determinism**” (cf. Theorem 11.11):
 - for all $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$ and $\sigma \in \Sigma$, $|\text{next}_{c,c'}(\{\sigma\})| \leq 1$
 - for all $c \in \text{Cmd}$ and $\sigma \in \Sigma$ there exists exactly one $c' \in \text{Cmd} \cup \{\downarrow\}$ such that $\text{next}_{c,c'}(\{\sigma\}) \neq \emptyset$

Safe Approximation of Execution Relations

Encoding Execution Relations by Transition Functions II

Remarks: `next` satisfies the following properties

- “**Determinism**” (cf. Theorem 11.11):
 - for all $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$ and $\sigma \in \Sigma$, $|\text{next}_{c,c'}(\{\sigma\})| \leq 1$
 - for all $c \in \text{Cmd}$ and $\sigma \in \Sigma$ there exists exactly one $c' \in \text{Cmd} \cup \{\downarrow\}$ such that $\text{next}_{c,c'}(\{\sigma\}) \neq \emptyset$
- When is $\text{next}_{c,c'}(S) = \emptyset$? Possible reasons:
 1. $S = \emptyset$
 2. c' is not a possible successor statement of c , e.g.,
 - $c = (x := 0)$
 - $c' = \text{skip}$
 3. c' is unreachable for all $\sigma \in S$, e.g.,
 - $c = (\text{if } x = 0 \text{ then } x := 1 \text{ else skip end})$
 - $c' = \text{skip}$
 - $\sigma(x) = 0$ for each $\sigma \in S$

Safe Approximation of Execution Relations

Safe Approximation of Execution Relations

Reminder: abstraction determined by **Galois connection** (α, γ) with $\alpha : L \rightarrow M$,
 $\gamma : M \rightarrow L$

- here: $L := 2^\Sigma$, M not fixed
- usually $M = \text{Var} \rightarrow \dots$ (more efficient) or $M = 2^{\text{Var} \rightarrow \dots}$ (more precise)
- write Abs in place of M
- thus $\alpha : 2^\Sigma \rightarrow Abs$ and $\gamma : Abs \rightarrow 2^\Sigma$

Safe Approximation of Execution Relations

Safe Approximation of Execution Relations

Reminder: abstraction determined by **Galois connection** (α, γ) with $\alpha : L \rightarrow M$,
 $\gamma : M \rightarrow L$

- here: $L := 2^\Sigma$, M not fixed
- usually $M = \text{Var} \rightarrow \dots$ (more efficient) or $M = 2^{\text{Var} \rightarrow \dots}$ (more precise)
- write *Abs* in place of M
- thus $\alpha : 2^\Sigma \rightarrow \text{Abs}$ and $\gamma : \text{Abs} \rightarrow 2^\Sigma$

Definition 12.5 (Abstract semantics of WHILE)

Given $\alpha : 2^\Sigma \rightarrow \text{Abs}$, an **abstract semantics** is defined by a family of functions

$$\text{next}_{c,c'}^\# : \text{Abs} \rightarrow \text{Abs}$$

where $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$, and each $\text{next}_{c,c'}^\#$ is a safe approximation of $\text{next}_{c,c'}$, i.e.,

$$\alpha(\text{next}_{c,c'}(\gamma(\text{abs}))) \sqsubseteq_{\text{Abs}} \text{next}_{c,c'}^\#(\text{abs})$$

for every $\text{abs} \in \text{Abs}$ (notation: $\langle c, \text{abs} \rangle \Rightarrow \langle c', \text{abs}' \rangle$ for $\text{next}_{c,c'}^\#(\text{abs}) = \text{abs}'$).

Examples

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Examples

Examples

Example: Parity Abstraction

Example 12.6 (Parity abstraction (cf. Example 11.2))

- $Var = \{n\}$
- $Abs = 2^{Var \rightarrow \{even, odd\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$

Examples

Example: Parity Abstraction

Example 12.6 (Parity abstraction (cf. Example 11.2))

- $Var = \{n\}$
- $Abs = 2^{Var \rightarrow \{even, odd\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$
- Some abstract transitions:

$$\langle n := 3 * n + 1, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto even]\} \rangle$$

Examples

Example: Parity Abstraction

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$$\langle n := 2 * n + 1, \{[n \mapsto even], [n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end, } \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

Examples

Example: Parity Abstraction

Example 12.6 (Parity abstraction (cf. Example 11.2))

- $Var = \{n\}$
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- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$
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$$\langle n := 3 * n + 1, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto even]\} \rangle$$

$$\langle n := 2 * n + 1, \{[n \mapsto even], [n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle c; \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle$$

Examples

Example: Parity Abstraction

Example 12.6 (Parity abstraction (cf. Example 11.2))

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- $Abs = 2^{Var \rightarrow \{even, odd\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$
- Some abstract transitions:

$$\langle n := 3 * n + 1, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto even]\} \rangle$$

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$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle c; \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto even]\} \rangle \Rightarrow \langle \downarrow, \emptyset \rangle$$

Examples

Example: Parity Abstraction

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- $Var = \{n\}$
- $Abs = 2^{Var \rightarrow \{even, odd\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$
- Some abstract transitions:

$$\langle n := 3 * n + 1, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto even]\} \rangle$$

$$\langle n := 2 * n + 1, \{[n \mapsto even], [n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle c; \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto even]\} \rangle \Rightarrow \langle \downarrow, \emptyset \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto even]\} \rangle \Rightarrow \langle c; \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto even]\} \rangle$$

Examples

Example: Hailstone Sequences

Example 12.7 (Hailstone Sequences)

```
[skip]1;  
while [¬(n = 1)]2 do  
  if [even(n)]3 then  
    [n := n / 2]4;[skip]5  
  else  
    [n := 3 * n + 1]6;[skip]7  
  end  
end
```

- `skip` statements only for labels
- abstract transition system for $\sigma(n) \in \mathbb{Z}_{\text{odd}}$:
on the board
- formal derivation later

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- **Collatz Conjecture:** given any $n > 0$, the program finally returns 1
(that is, every Hailstone Sequence terminates)
- see http://en.wikipedia.org/wiki/Collatz_conjecture
- aka $3n + 1$ Conjecture, Ulam Conjecture, Kakutani's Problem, Thwaites' Conjecture, Hasse's Algorithm, or Syracuse Problem
- Latest proof attempt by Gerhard Opfer from Hamburg University
(<http://preprint.math.uni-hamburg.de/public/papers/hbam/hbam2011-09.pdf>)