

# Concurrency Theory

## True Concurrency Semantics of Petri Nets (II)

Joost-Pieter Katoen and Thomas Noll

Lehrstuhl für Informatik 2  
Software Modeling and Verification Group

<http://moves.rwth-aachen.de/teaching/ws-1516/ct>

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# Overview

- 1 Introduction
- 2 Distributed runs
- 3 Branching processes
- 4 The true concurrency semantics of a net
- 5 McMillan's finite prefix
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  - ▶ a distributed run is an acyclic (**causal**) net which contains no choices
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- ▶ **Partial-order semantics** of Petri nets = set of **distributed** runs
  - ▶ a distributed run is an acyclic (**causal**) net which contains no choices
  - ▶ a distributed run is a **partial ordering** of transition occurrences
- ▶ Today: the set of all distributed runs can be represented by a specific **branching process**, the **unfolding**

# Branching process: preamble

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- ▶ The true concurrency semantics of a net is a specific branching process, called **unfolding**.
- ▶ A net unfolding is the true concurrency counterpart of a marking graph.
- ▶ It is the **unique maximal** branching process in a **complete lattice**.
- ▶ The reachable markings of a 1-bounded net are covered by a **finite prefix** of this maximal branching process.

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# Elementary system nets

## Net

An elementary net system  $N$  is a tuple  $(P, T, F, M_0)$  where:

- ▶  $P$  is a countable set of **places**
- ▶  $T$  is a countable set of **transitions** with  $P \cap T = \emptyset$
- ▶  $F \subseteq (P \times T) \cup (T \times P)$  are the **arcs** satisfying:

$$\forall t \in T. \bullet t \text{ and } t^\bullet \text{ are finite and non-empty}$$

- ▶  $M_0 : P \rightarrow \mathbb{N}$  is the **initial marking**.

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**Assumption:** (possibly) infinite elementary nets are 1-bounded. Thus any marking can be viewed as a subset of places.

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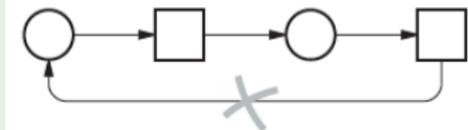
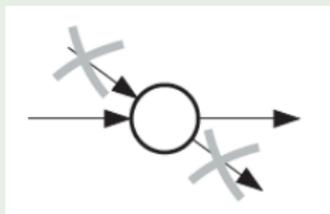
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### Intuition

No place branches, no sequence of arcs forms a loop, and each sequence of arcs has a first node.



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3. for each node  $x \in Q \cup V$ , the set  $\{y \mid (y, x) \in G^+\}$  is finite
4.  $M_0$  equals the minimal set of places in  $K$  under  $G^+$ , i.e.,

$$M_0 = {}^\circ K = \{q \in Q \mid \bullet q = \emptyset\}.$$

# Properties of causal nets

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Every causal net is one-bounded, i.e., in every marking every place will hold at most one token.

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Every causal net is one-bounded, i.e., in every marking every place will hold at most one token.

## Absence of superfluous places and transitions

Every causal net has a step sequence that visits all places and fires every transition.

# What is a distributed run?

## Distributed run

A **distributed run** of a one-bounded elementary net system  $N$  is:

1. a **labeled** causal net  $K$
2. in which each transition  $t$  (with  $\bullet t$  and  $t\bullet$ ) is an **action** of  $N$ .

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Today: a characterization of distributed runs using homomorphisms.

# Net homomorphisms

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<sup>2</sup>Here  $h(X)$  for set  $X$  of nodes is defined by  $h(X) = \bigcup_{x \in X} h(x)$ .

<sup>3</sup>Due to the 1-boundedness, a marking  $M$  is a subset of the set  $P$  of places.

# Net homomorphisms

## Homomorphism

A **homomorphism** from  $N_1 = (P_1, T_1, F_1, M_{0,1})$  to  $N_2 = (P_2, T_2, F_2, M_{0,2})$  is a mapping  $h : P_1 \cup T_1 \rightarrow P_2 \cup T_2$  such that:

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2.  $\forall t \in T_1$ , the restriction of  $h$  to  $\bullet t$  is a bijection between  $\bullet t$  (in  $N_1$ ) and  $\bullet h(t)$  (in  $N_2$ ), and similarly for  $t^\bullet$  and  $h(t)^\bullet$ , and

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## Intuition

A homomorphism is a mapping between nets that preserves the nature of nodes and the environment of nodes. A homomorphism from  $N_1$  to  $N_2$  means that  $N_1$  can be folded onto a part of  $N_2$ , or in other words, that  $N_1$  can be obtained by partially **unfolding** a part of  $N_2$ .

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# Distributed run using homomorphisms

## Distributed run

[Best and Fernandez, 1988]

A **distributed run** of an elementary net system  $N$  is a pair  $(K, h)$  where  $K$  is a causal net and  $h$  is a homomorphism from  $K$  to  $N$ .<sup>4</sup>

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## Conflict

Let  $N = (P, T, F, M)$  be a net. Nodes  $x_1$  and  $x_2$  are in **conflict**, denoted  $x_1 \# x_2$ , if there exist distinct transitions  $t_1, t_2 \in T$  such that  $\bullet t_1 \cap \bullet t_2 \neq \emptyset$  and  $(t_1, x_1) \in F^*$  and  $(t_2, x_2) \in F^*$ .

Node  $x$  is in **self-conflict** whenever  $x \# x$ .

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Note that in a causal net  $\# = \emptyset$  as  $\bullet t_1 \cap \bullet t_2 = \emptyset$  for any two distinct transitions  $t_1$  and  $t_2$ .

# Occurrence net

## Occurrence net

A net  $K = (Q, V, G, M)$  is an **occurrence** net iff:

1. for each  $q \in Q$ ,  $|\bullet q| \leq 1$
2. the transitive closure  $G^+$  of  $G$  is irreflexive
3. for each node  $x \in Q \cup V$  we have  $\{y \mid (y, x) \in G^+\}$  is finite
4. no transition  $v \in V$  is in self-conflict
5.  $M_0 = {}^\circ K = \{q \in Q \mid \bullet q = \emptyset\}$ .

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## Remark

Since  $\# = \emptyset$  in a causal net, and each causal net fulfils the remaining conditions, every causal net is an occurrence net.

# Example

# Branching process

## Branching process

[Engelfriet 1991]

A **branching process** of net  $N$  is a pair  $(K, h)$  where  $K = (Q, V, G, M)$  is an occurrence net and  $h$  a net homomorphism from  $K$  to  $N$  such that:

$$\forall v, v' \in Q. (\bullet v = \bullet v' \text{ and } h(v) = h(v')) \text{ implies } v = v'.$$

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## Examples

On the black board.

# Overview

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# Relating branching processes

## Homomorphisms and isomorphisms between branching processes

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Being isomorphic is an equivalence relation. Its equivalence classes are called isomorphism classes.

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$B_1$  approximates  $B_2$  whenever every (partial) distributed run in  $B_1$  is also contained in  $B_2$ . In other words,  $B_1$  is isomorphic to an initial part of  $B_2$ . Being an approximation on branching processes is the analogue of being a prefix on sequences.

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## Examples

On the black board. Obviously,  $\sqsubseteq$  is a partial order on branching processes.

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Approximation is preserved by isomorphism: if  $B'_i$  is isomorphic to  $B_i$  (for  $i = 1, 2$ ), then  $B_1 \sqsubseteq B_2$  implies  $B'_1 \sqsubseteq B'_2$ . Thus,  $\sqsubseteq$  can be extended to a partial order on isomorphism classes (of branching processes).

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## Proof.

Home exercise. Basically juggling with homomorphisms. □

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The set of isomorphism classes of branching processes of net  $N$  is a **complete lattice** with respect to the approximation relation  $\sqsubseteq$ . Formally,  $(\mathbb{B}, \sqsubseteq)$  is a complete partial order, where  $\mathbb{B}$  is the set of isomorphism classes of branching processes.

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## Complete lattice

Recall that a complete lattice is a partial order  $(\mathbb{B}, \sqsubseteq)$  such that all subsets of  $\mathbb{B}$  have LUBs and GLBs.

# The true concurrency semantics of a net

## Corollary: the unfolding of a net

Every one-bounded net has a unique maximal (with respect to  $\sqsubseteq$ ) branching process up to isomorphism. This is called the **unfolding** or **true concurrency semantics** of net  $N$ .

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## Example

On the black board.

# The true concurrency semantics of Petri nets

The **true concurrency** semantics of a Petri net is given by its **unfolding**.

Recall: The **interleaving** semantics of a Petri net is given by its **marking graph**.

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### Prefix of maximal branching process

Branching process  $B = (P, T, F, M_0)$  is a prefix of  $B_{\max}$  if  $B \sqsubseteq B_{\max}$  and  $P \subseteq P_{\max}$  and  $T \subseteq T_{\max}$ .  $B$  is finite whenever  $P$  and  $T$  are finite.

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### Finite prefix existence theorem

[McMillan, 1992]

For every finite one-bounded net  $N$ , there exists a finite prefix  $B_{\text{fin}}$  of  $B_{\max}$  that covers all reachable markings of  $N$ . The size of the finite prefix can maximally be exponential in the size of  $N$ .

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### Proof.

Follows directly from two facts:

1. Every reachable marking is represented by some **cut** of  $B_{\max}$ , and
2. The set of reachable markings of a finite one-bounded net is finite.

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1.  $x \in C$  implies  $y \in C$ , for all  $y \preceq x$  (downward-closed wrt.  $\preceq$ )
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## Fact

For configuration  $C$  of  $B_{\max}$  (of net  $N$ ), and  $x_1 \dots x_n$  a **linearisation** of the transitions in  $C$  (respecting  $\preceq$ ), the sequence  $h_{\max}(x_1) \dots h_{\max}(x_n)$  is a **sequential run** of the original net  $N$ .

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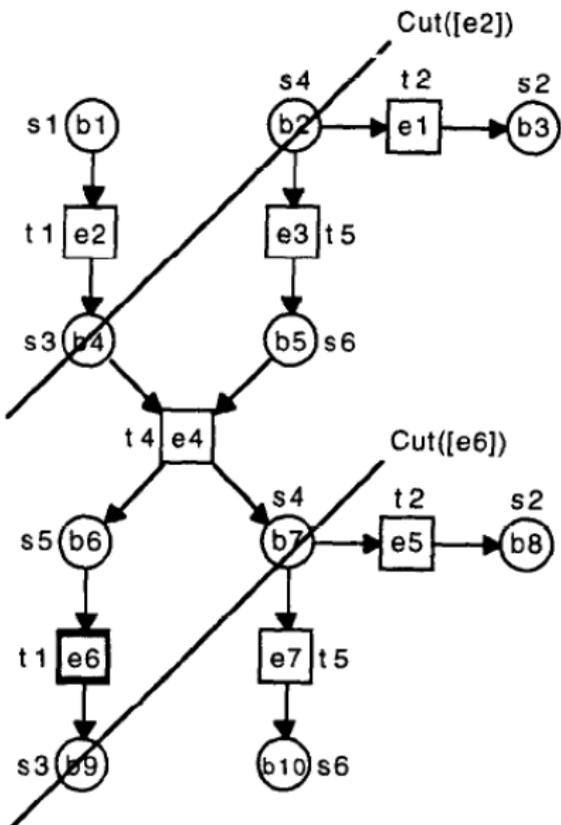
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## Intuition

Cuts correspond to markings reached by firing all transitions in a given finite configuration.

# Example



# Transition causes

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Let  $K = (Q, V, G)$  be an occurrence net and  $v \in V$ . The set  $[v]$  of **causes** of  $v$  is defined by:

$$[v] = \{v' \in V \mid v' \preceq v\}.$$

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## Facts

1. For each  $v$ ,  $[v]$  is a finite configuration.
2. For every configuration  $C$  of  $K$ , either  $v \notin C$  or  $[v] \subseteq C$ .

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Let  $B_{\max} = ((P_{\max}, T_{\max}, G_{\max}), h_{\max})$ . Transition  $t \in T_{\max}$  is a **cut-off** transition if there exists a transition  $t' \in T_{\max} \cup \{\perp\}$  such that:

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Remark:  $\perp$  is a dummy transition having no input places and  ${}^{\circ}B_{\max}$  as output places, for which we let  $[\perp] = \emptyset$ . This yields that if  $M([t]) = M_0$ , then  $t$  is a cut-off transition.

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## Fact

If  $|[t']| < |[t]|$  and  $M([t]) = M([t'])$ , then the “continuations” of  $B_{\max}$  from  $Cut([t])$  and  $Cut([t'])$  are isomorphic.

# McMillan prefix

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## McMillan prefix

The **McMillan prefix** of one-bounded net  $N$  is the branching process  $B_{\text{fin}}$ , the unique prefix of  $B_{\text{max}}$  having  $T_{\text{fin}}$  as set of transitions satisfying for each  $t \in T_{\text{max}}$ :

$t \in T_{\text{fin}}$  iff no transition  $t' \prec t$  is a cut-off transition.

# Computing the McMillan prefix

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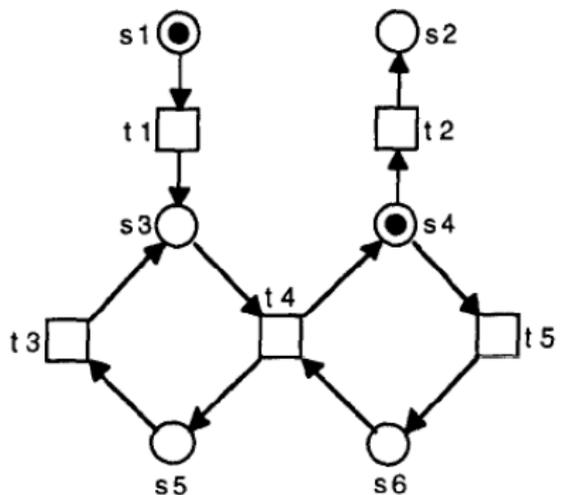
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## Remark

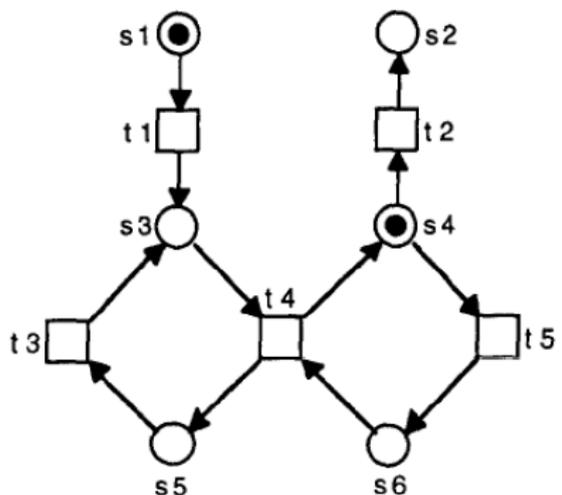
Termination is ensured by the finiteness of the number of reachable markings on  $N$ , as  $N$  is one-bounded.

# Example net and one of its branching processes

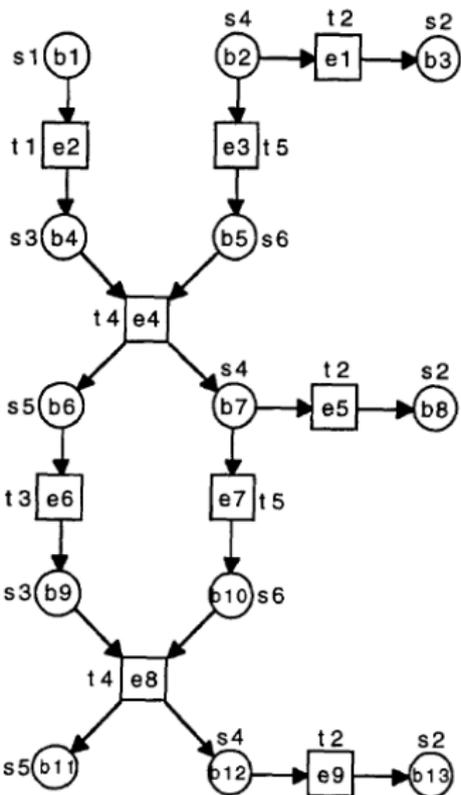


A sample one-bounded elementary  
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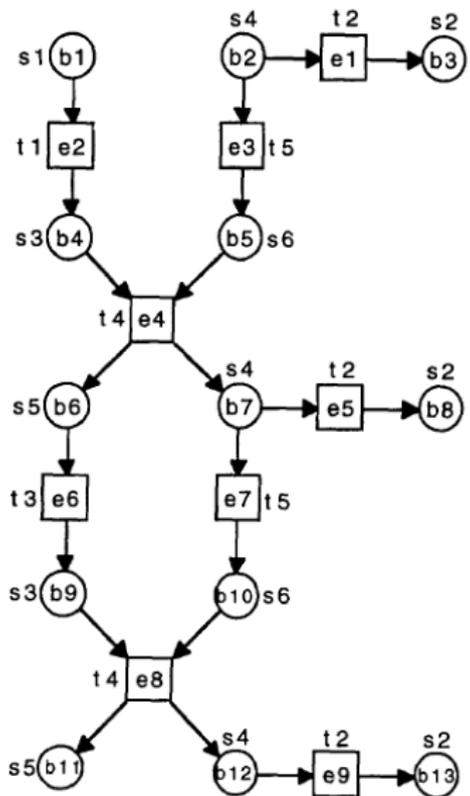
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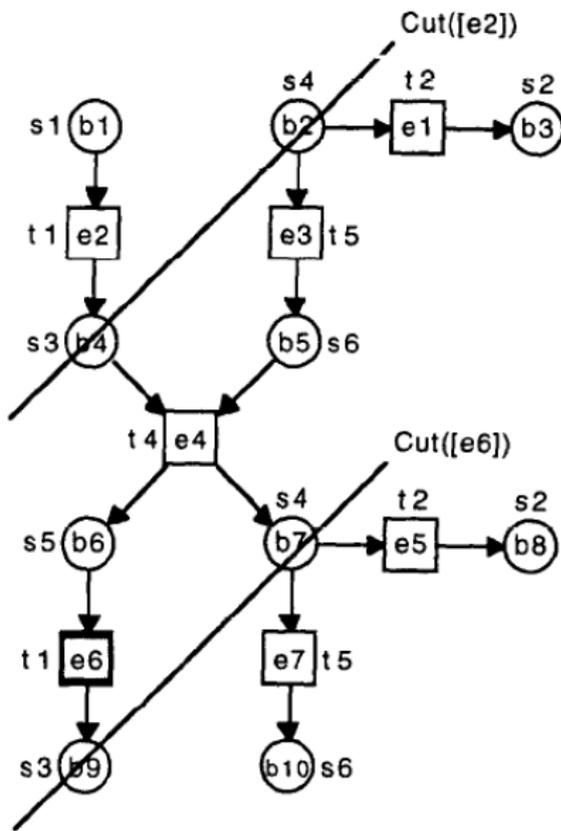
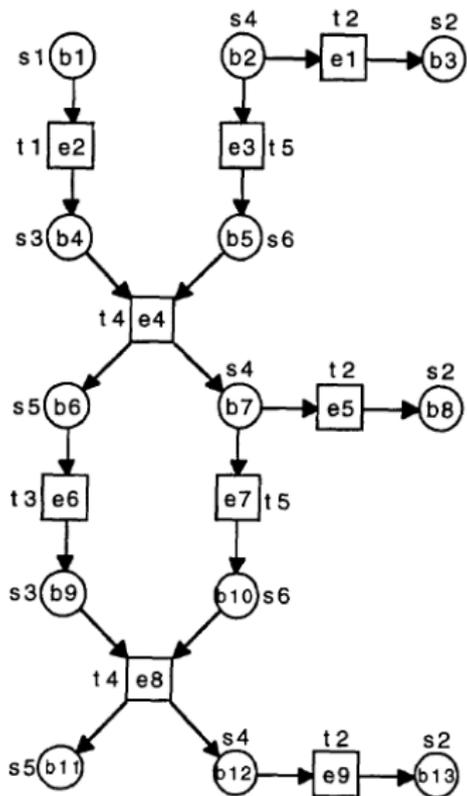
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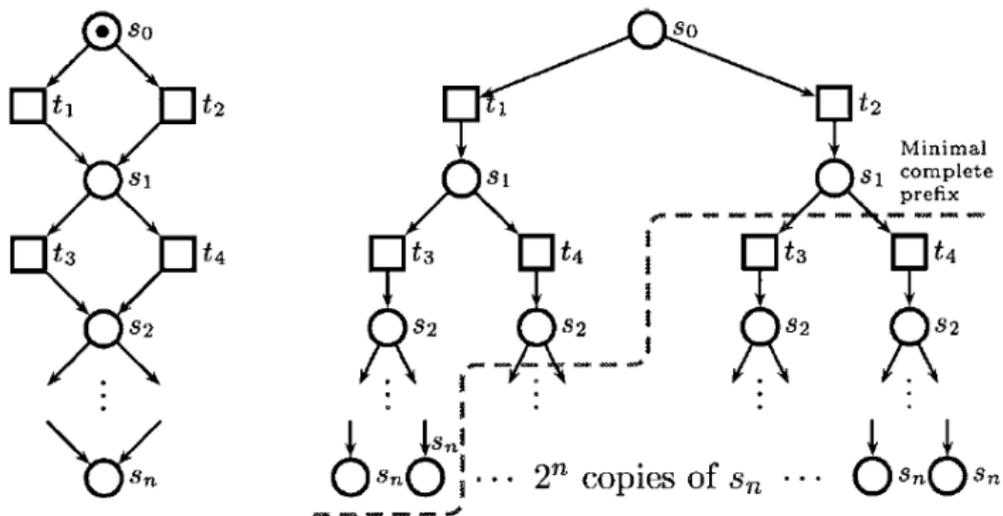
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# An exponentially-sized McMillan prefix



For every marking  $M$  all the configurations  $[t]$  satisfying  $M([t]) = M$  have the same size, and therefore there exist no cut-off events [Kishinevsky and Taubin]

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- ▶ For 1-bounded nets, the McMillan prefix covers all reachable markings