

# Theoretical Foundations of the UML

## Lecture 13: Local Choice MSGs and Regular Expressions on MSCs

Joost-Pieter Katoen

Lehrstuhl für Informatik 2  
Software Modeling and Verification Group

`moves.rwth-aachen.de/teaching/ss-20/fuml/`

June 8, 2020

- 1 Introduction
- 2 Local Choice MSGs
- 3 Regular Expressions over MSCs

## 1 Introduction

## 2 Local Choice MSGs

## 3 Regular Expressions over MSCs



## Definition (Realisability of MSGs)

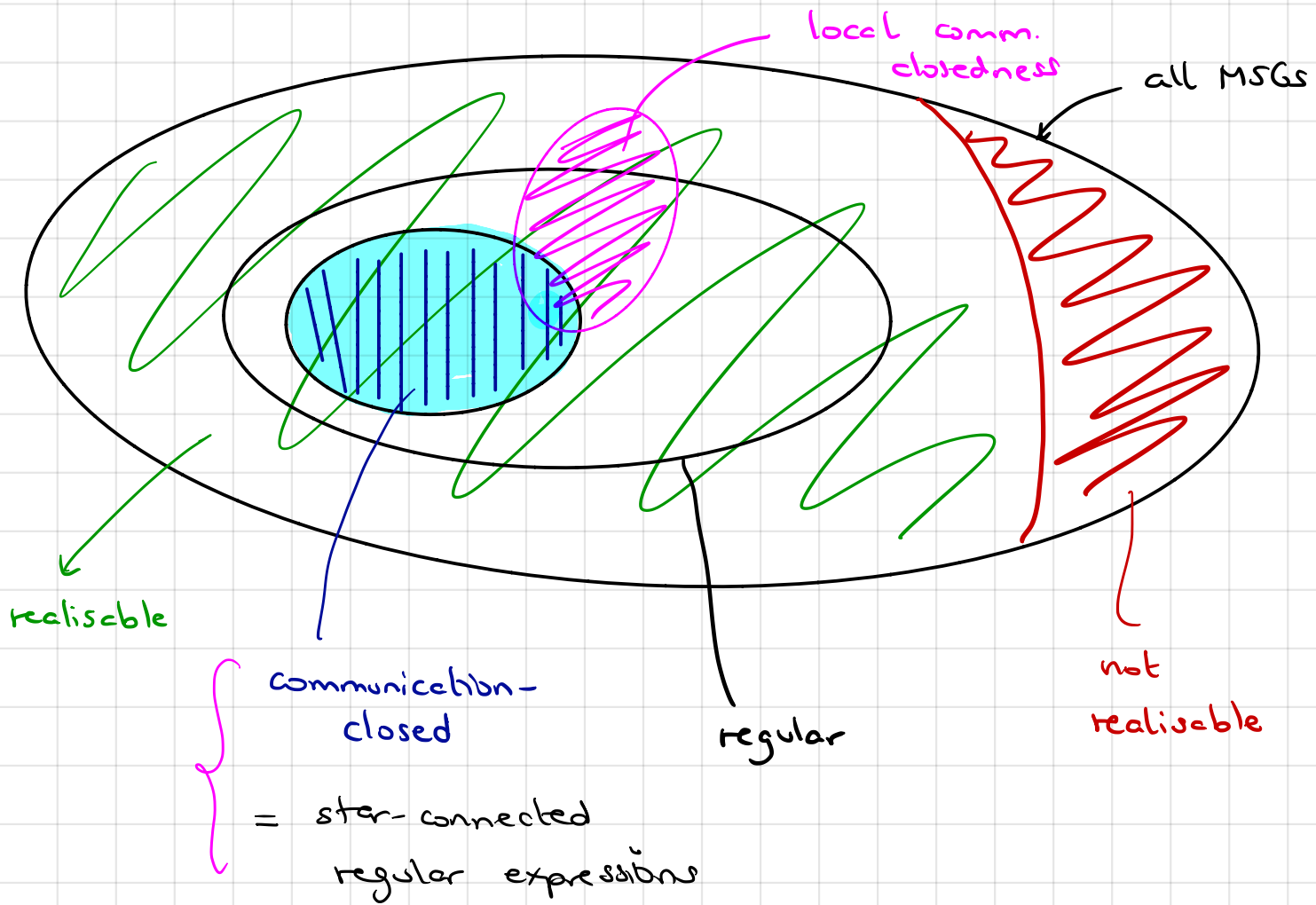
- 1 MSG  $G$  is **realisable** whenever  $\mathcal{L}(G) = \mathcal{L}(\mathcal{A})$  for some CFM  $\mathcal{A}$ .
- 2 MSG  $G$  is **safely realisable** whenever  $\mathcal{L}(G) = \mathcal{L}(\mathcal{A})$  for some deadlock-free CFM  $\mathcal{A}$ .

# Summary of results

necessary + sufficient

## Results so far:

- ① Conditions for (safe) realisability for **finite** sets of MSCs.
- ② Checking these conditions is co-NP complete (in P).
- ③ Regular MSGs are (safely) realisable by  $\forall$ -bounded CFMs.
- ④ Checking regularity of MSGs is undecidable.
- ⑤ Communication-closedness implies regularity; its check is co-NP complete.
- ⑥ Local communication-closedness implies realizability, and can be checked in P.



# Some remaining questions

- Can results be obtained for **other classes** of MSGs?
- What happens if we allow **synchronisation messages**?
  - recall that weak CFMs do not involve synchronisation messages
- How do we obtain a CFM realising an MSG **algorithmically**?
  - in particular, for **local choice** MSGs

# Today's topics

## The next two lectures

Safe realisability of (a somewhat restricted class of) MSGs. So as to obtain deadlock-free CFMs, the input MSG is required to be **local choice**. The CFMs are no longer weak. They exploit synchronisation messages.

## Results:

- 1 Realisability for certain regular expressions of local-choice MSGs.
- 2 An algorithm that generates a CFM from such local-choice MSG.

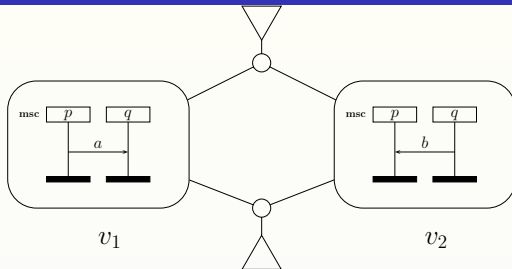
1 Introduction

2 Local Choice MSGs

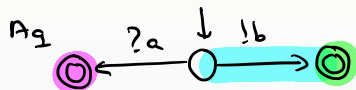
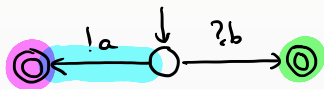
3 Regular Expressions over MSCs

# Non-local choice

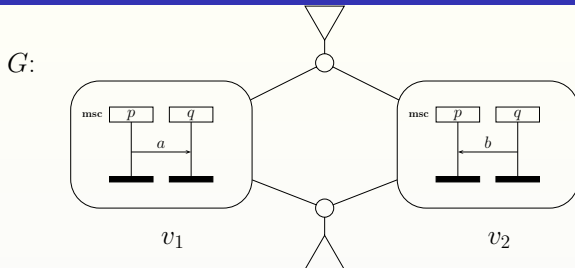
$G$ :



$A_p$



# Non-local choice



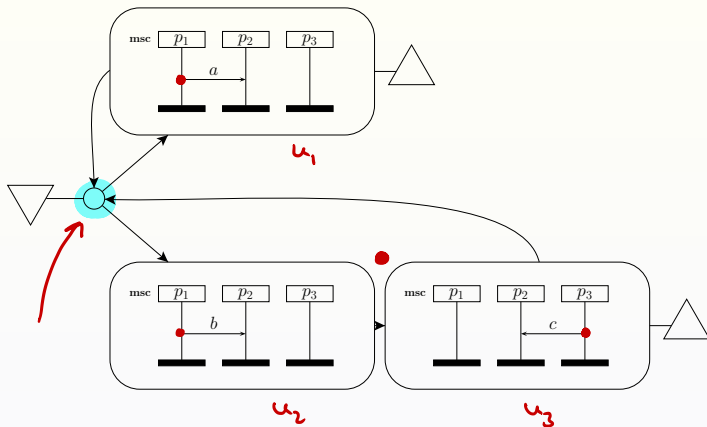
**Inconsistency** if process  $p$  behaves according to vertex  $v_1$   
and process  $q$  behaves according to vertex  $v_2$

$\Rightarrow$  realisation by a CFM may yield a deadlock

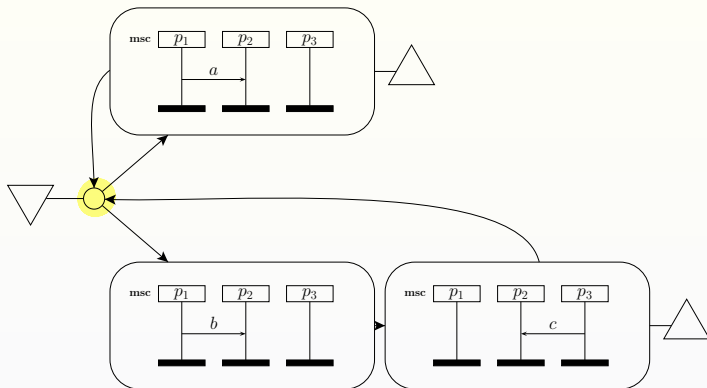
## Problem:

Subsequent behavior in  $G$  is determined by **distinct** processes. When several processes independently decide to initiate behavior, they might start executing different successor MSCs (= vertices). This is called a **non-local choice**.

# A (more involved) non-local choice

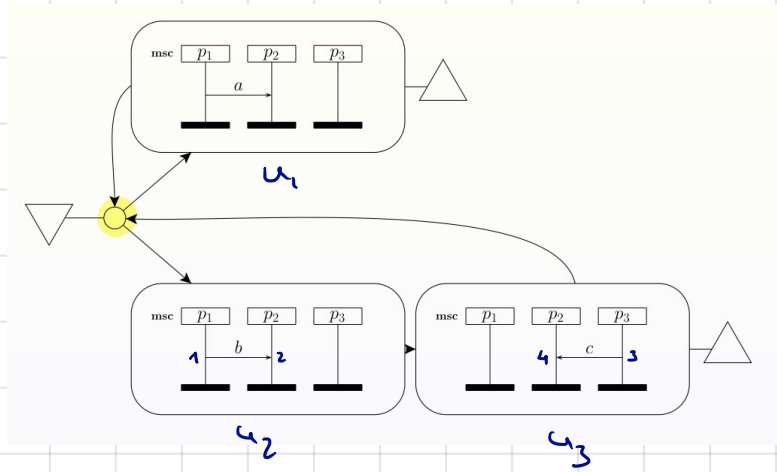


# A (more involved) non-local choice



## Problem:

Inconsistency if  $p_1$  decides to send  $a$  and  $p_3$  decides to send  $c$ .  
Which branch to take in the initial vertex?



$$\pi = \mu_2 \mu_3$$

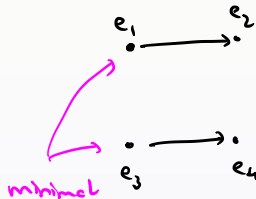
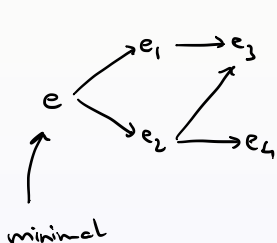
$$\min(\pi) = \{1, 3\}$$

$$i_1 \rightarrow i_2$$

$$i_3 \rightarrow i_4$$

## Definition (Minimal event)

Let  $(E, \preceq)$  be a poset. Event  $e \in E$  is a **minimal** event wrt.  $\preceq$  if  $\neg(\exists e' \neq e. e' \preceq e)$ .



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Let  $(E, \preceq)$  be a poset. Event  $e \in E$  is a **minimal** event wrt.  $\preceq$  if  $\neg(\exists e' \neq e. e' \preceq e)$ .

Intuition: there is no event that has to happen before  $e$  happens.  
That is to say: the occurrence of  $e$  does not depend on any other event.

## Definition (Partial order of a path)

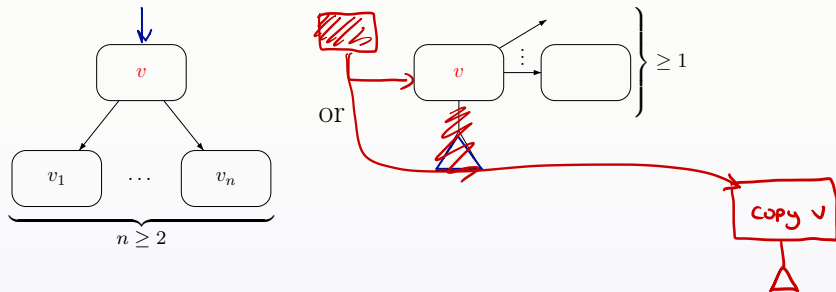
For finite path  $\pi = v_1 \dots v_n$  in MSG  $G$ , let  $<_{M(\pi)}$  be the partial order of the MSC  $M(\pi) = \lambda(v_1) \bullet \dots \bullet \lambda(v_n)$ .

Let  $\min(\pi)$  be the **set of minimal events** wrt.  $<_{M(\pi)}$  along finite path  $\pi$ .

# Branching vertices

A branching vertex in MSG  $G$  either has at least two successors, or is a final vertex with at least one successor.

Pictorially, vertex  $v$  is **branching** if either:

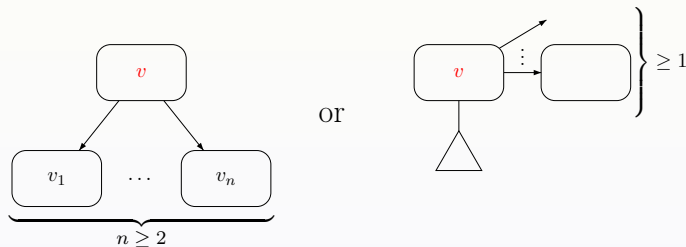


Without loss of generality we assume that branching final vertices do not occur.

# Branching vertices

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Pictorially, vertex  $v$  is **branching** if either:



Without loss of generality we assume that branching final vertices do not occur. They can be always be removed at the expense of copying such vertices.

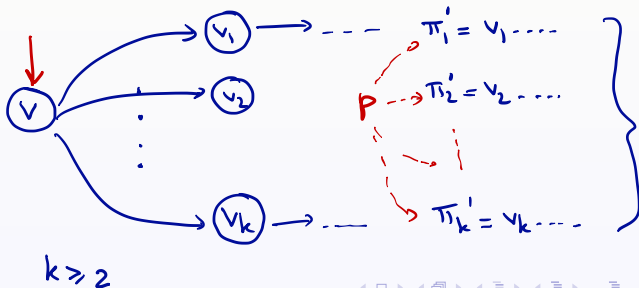
# Local choice property

## Definition (Local choice)

Let MSG  $G = (V, \rightarrow, v_0, F, \lambda)$ . MSG  $G$  is local choice if for every branching vertex  $v \in V$  it holds:

$$\exists \text{process } p. (\forall \pi \in \text{Paths}(v). |\min(\pi')| = 1 \wedge \min(\pi') \subseteq E_p)$$

where for  $\pi = \underline{v}v_1v_2 \dots v_n$  we have  $\pi' = \underline{v_1}v_2 \dots v_n$ .



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## Intuition:

There is a single process that initiates behavior along every path from the branching vertex  $v$ .

$p$

# Local choice property

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Let  $\text{MSG } G = (V, \rightarrow, v_0, F, \lambda)$ .  $\text{MSG } G$  is **local choice** if for every branching vertex  $v \in V$  it holds:

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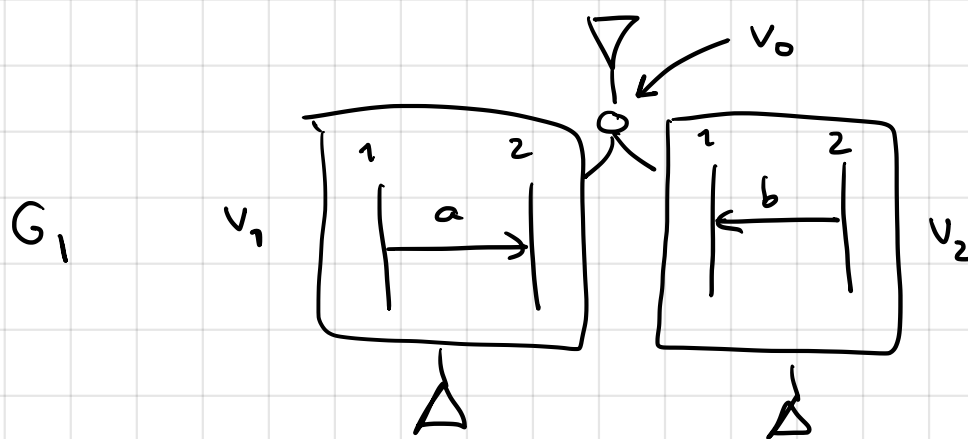
## Intuition:

There is a single process that initiates behavior along every path from the branching vertex  $v$ . This process decides how to proceed. In a realisation by a CFM, it can inform the other processes how to proceed.

## Local choice or not?

Deciding whether  $\text{MSG } G$  is local choice or not is in P. (Exercise.)

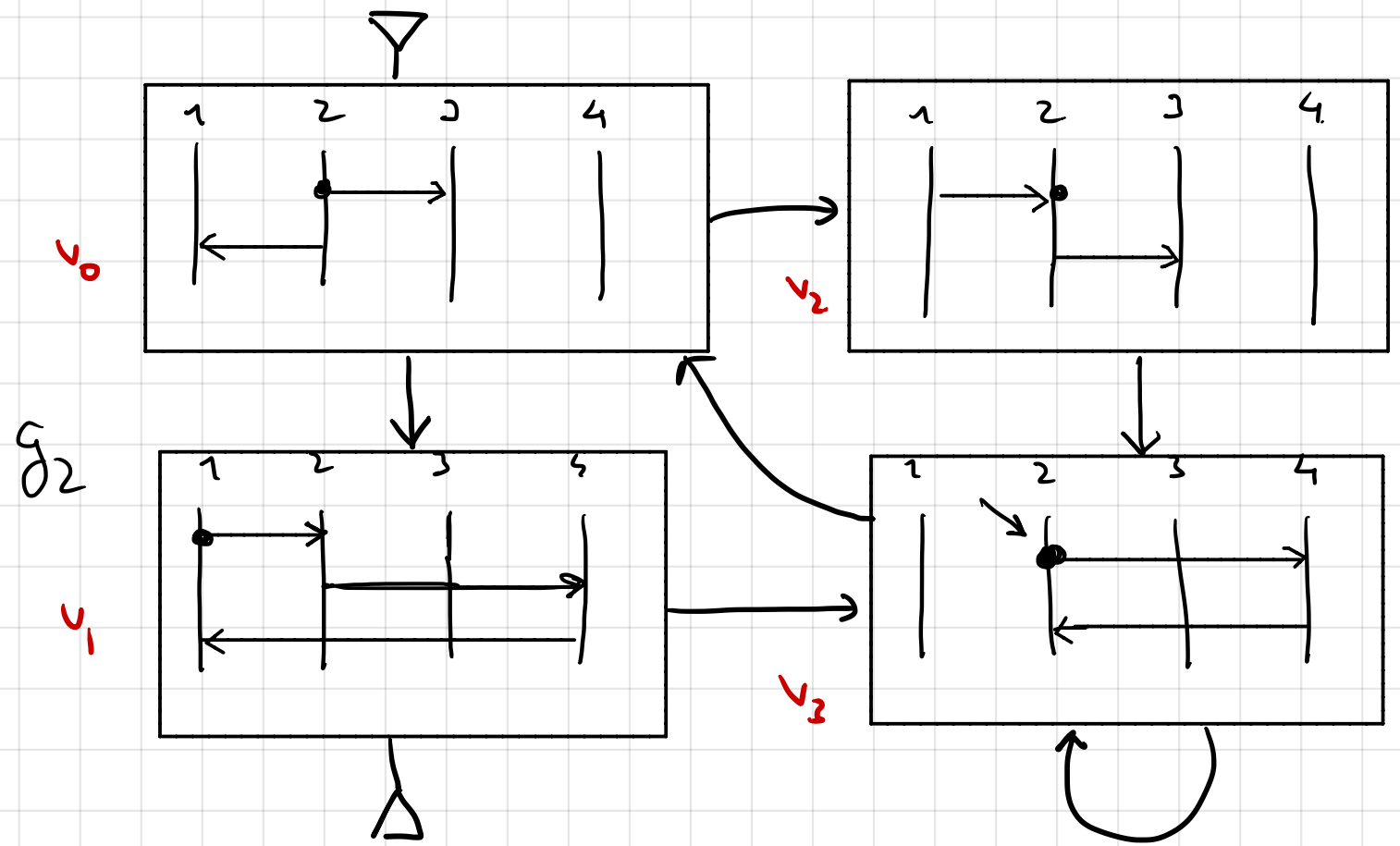
# local choice MSG



$G_1$  is non-local choice       $\text{Paths}(v_0) = \{ \underbrace{v_0 v_1}_{\pi_1}, \underbrace{v_0 v_2}_{\pi_2} \}$

$\pi_1' = v_1$        $\min(\pi_1') = !a \rightarrow \text{process } 1$   
 $\pi_2' = v_2$        $\min(\pi_2') = !b \rightarrow \text{process } 2$

$\neq \Rightarrow$  non local choice.



Claim:  $g_2$  is local choice

branching vertices =  $\{v_0, v_3\}$

a.  $v_0$ :

$$\pi_1 = v_0 \underline{v_1 v_3} \quad \min(\pi_1') = !(1,3)$$

$$\pi_2 = v_0 \underline{v_2 v_3} \dots \quad \min(\pi_2') = !(1,2)$$

at process 1

local  
choice

b.  $v_3$ :

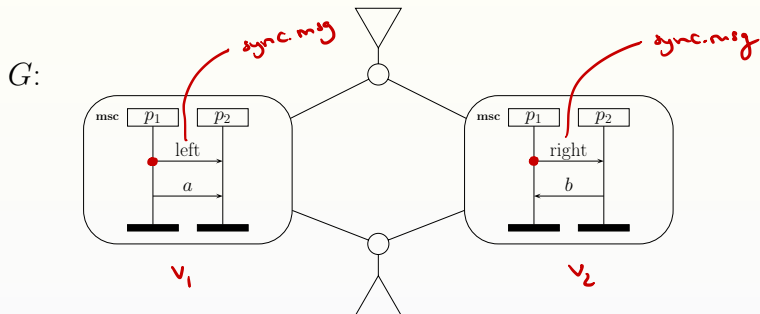
$$\pi_3 = v_3 v_3 \dots \quad \min(\pi_3') = !(2,4)$$

$$\pi_4 = v_3 v_0 \dots \quad \min(\pi_4') = !(2,3)$$

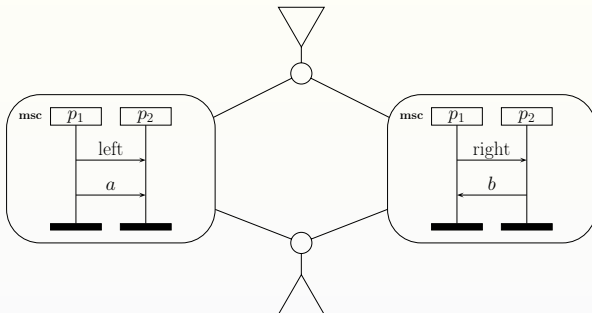
at process 2

local  
choice

# Local choice



$G$ :



How to resolve a non-local choice?

Amend your MSG and add control messages (cf. above example)

↓  
synchronisation data

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## Definition (Asynchronous iteration)

For  $\mathcal{M}_1, \mathcal{M}_2 \subseteq \mathbb{M}$  sets of MSCs, let:

$$\mathcal{M}_1 \bullet \mathcal{M}_2 = \{ M_1 \bullet M_2 \mid M_1 \in \mathcal{M}_1, M_2 \in \mathcal{M}_2 \}$$

For  $\mathcal{M} \subseteq \mathbb{M}$  let

$$\mathcal{M}^i = \begin{cases} \{M_\epsilon\} & \text{if } i=0, \text{ where } M_\epsilon \text{ denotes the empty MSC} \\ \mathcal{M} \bullet \mathcal{M}^{i-1} & \text{if } i > 0 \end{cases}$$

The **asynchronous iteration** of  $\mathcal{M}$  is now defined by:

$$\mathcal{M}^* = \bigcup_{i \geq 0} \mathcal{M}^i.$$

# Regular expressions over MSCs

## Definition (Regular expressions over MSCs)

The set  $\text{REX}_{\mathbb{M}}$  of **regular expressions** over  $\mathbb{M}$  is given by the grammar:

$$\alpha ::= \emptyset \mid \underline{M} \mid \underline{\alpha_1 \cdot \alpha_2} \mid \underline{\alpha_1 + \alpha_2} \mid \underline{\alpha^*}$$

where MSC  $M \in \mathbb{M}$ .

↳ branching vertex

## Definition (Semantics of regular expressions, $\mathcal{L}(\cdot) : \text{REX}_{\mathbb{M}} \rightarrow 2^{\mathbb{M}}$ )

✓ •  $\mathcal{L}(\emptyset) = \emptyset$  ← empty set of MSCs

✓ •  $\mathcal{L}(M) = \{M\}$

✓ •  $\mathcal{L}(\alpha_1 \cdot \alpha_2) = \mathcal{L}(\alpha_1) \bullet \mathcal{L}(\alpha_2)$

lifting of • to  
sets of MSCs  
(cf. previous slide)

✓ •  $\mathcal{L}(\alpha_1 + \alpha_2) = \mathcal{L}(\alpha_1) \cup \mathcal{L}(\alpha_2)$

✓ •  $\mathcal{L}(\alpha^*) = \mathcal{L}(\alpha)^*$  ← asynchronous on  $\mathbb{M}$  (cf. previous slide)

set of  
MSCs

## Definition (Locally accepting CFM)

CFM  $\mathcal{A} = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F)$  is locally accepting (la, for short) if

$$F = \prod_{p \in \mathcal{P}} F_p \quad \text{where} \quad F_p \subseteq S_p.$$

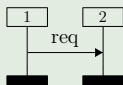
same as for weak CFMs, but now  $|\mathbb{D}| > 1$ .

Thus: every combination of local accept states is a global accept state of the CFM.

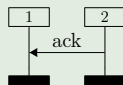
# Regular expressions for MSCs

Let  $\mathcal{P} = \{1, 2, 3, 4\}$  and  $\mathcal{C} = \{\text{req}, \text{ack}\}$ .

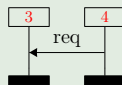
## Example



A



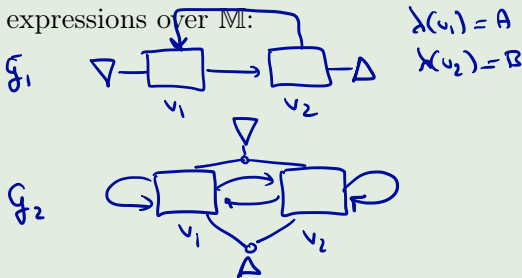
B



C

Consider the following regular expressions over  $\mathbb{M}$ :

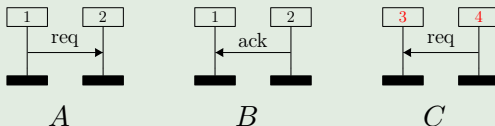
- $\alpha_1 = (A \cdot B)^*$
- $\alpha_2 = (A + B)^*$
- $\alpha_3 = (A \cdot C)^*$
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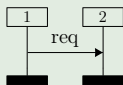
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How about realisability of  $\mathcal{L}(\alpha_i)$ ?

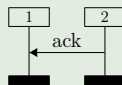
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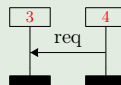
## Example



$A$



$B$



$C$

Consider the following regular expressions over  $\mathbb{M}$ :

- $\alpha_1 = (A \cdot B)^*$  det.  $\forall 1$ -bounded dl-free weak CFM
- $\alpha_2 = (A + B)^*$
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$A_P$

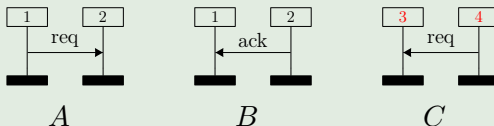


How about realisability of  $\mathcal{L}(\alpha_i)$ ?

# Regular expressions for MSCs

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## Example



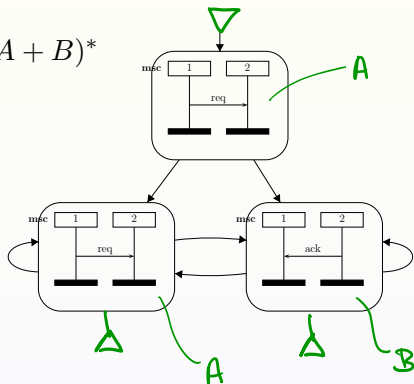
Consider the following regular expressions over  $\mathbb{M}$ :

- $\alpha_1 = (A \cdot B)^*$  det.  $\forall 1$ -bounded dl-free weak CFM
- $\alpha_2 = (A + B)^*$  det.  $\exists 1$ -bounded la CFM
- $\alpha_3 = (A \cdot C)^*$  not realisable
- •  $\alpha_4 = A \cdot (A + B)^*$   $\exists 1$ -bounded dl-free locally accepting CFM

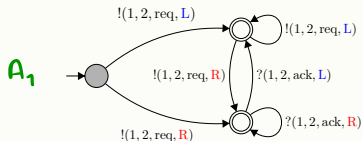
How about realisability of  $\mathcal{L}(\alpha_i)$ ?

# Realising local-choice expressions by deadlock-free CFMs

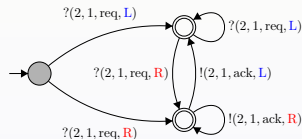
$$A \cdot (A + B)^*$$



CFM



A<sub>2</sub>

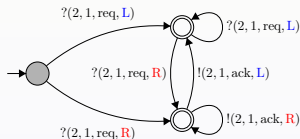
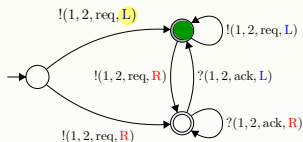
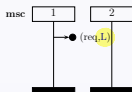
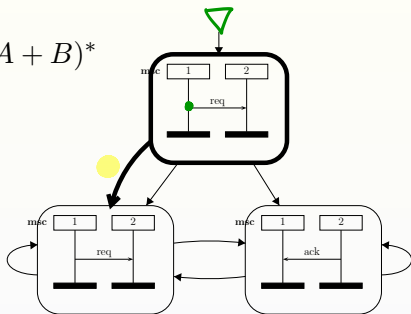


FIFO  
queues

1 → 2 :	empty
2 → 1 :	

# Realising local-choice expressions by deadlock-free CFMs

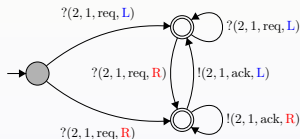
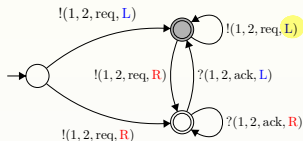
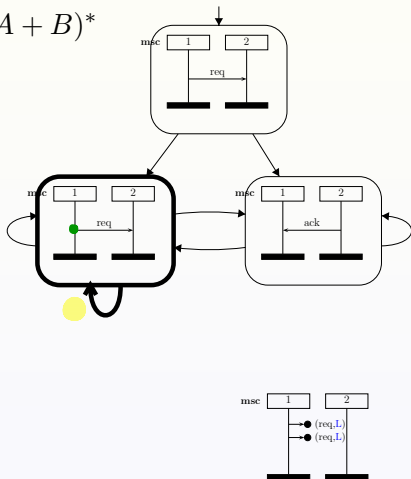
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 : (\text{req}, L)$ $2 \rightarrow 1 :$
--

# Realising local-choice expressions by deadlock-free CFMs

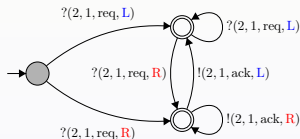
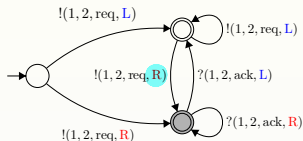
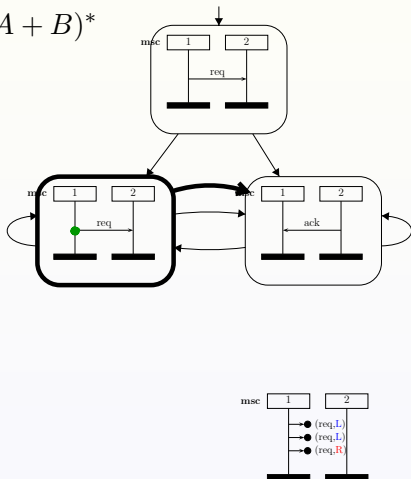
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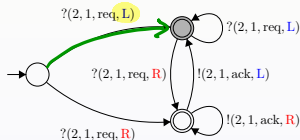
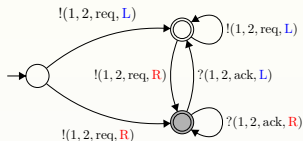
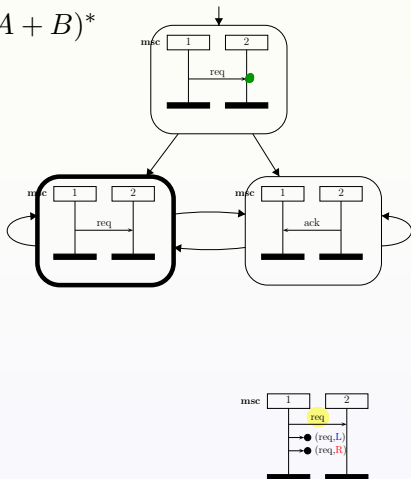
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$1 \rightarrow 2 : (\text{req}, L) (\text{req}, L) (\text{req}, R)$ $2 \rightarrow 1 :$
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# Realising local-choice expressions by deadlock-free CFMs

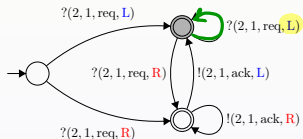
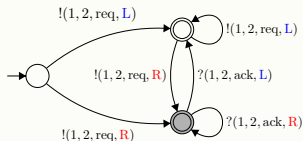
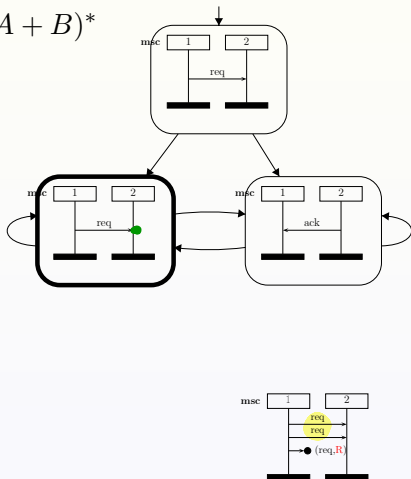
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 : (\text{req}, L) (\text{req}, R)$ $2 \rightarrow 1 :$
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# Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$

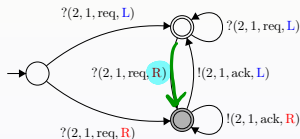
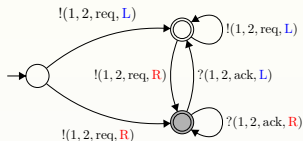
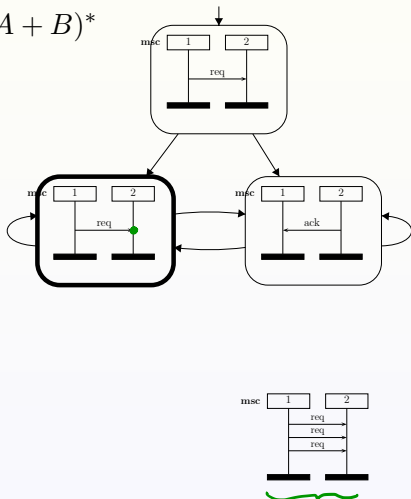


```

1 → 2 : (req, R)
2 → 1 :
    
```

# Realising local-choice expressions by deadlock-free CFMs

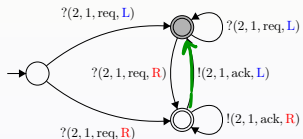
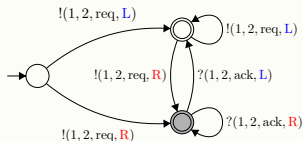
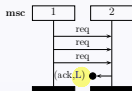
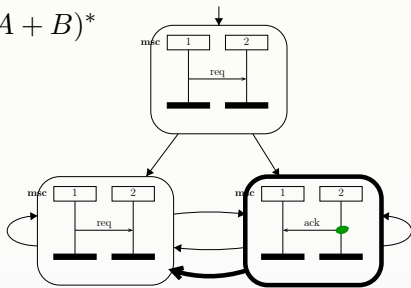
$$A \cdot (A + B)^*$$



1 → 2 :	empty
2 → 1 :	

# Realising local-choice expressions by deadlock-free CFMs

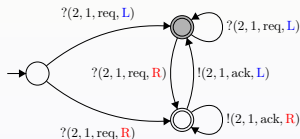
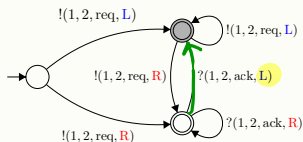
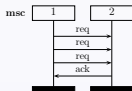
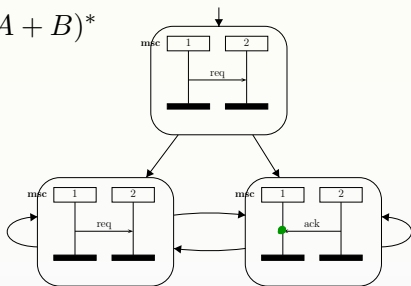
$$A \cdot (A + B)^*$$



1 → 2 :  
2 → 1 : (ack,L)

# Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$



1 → 2 :
2 → 1 :

# Star-connected regular expressions

## Definition (Connected MSC)

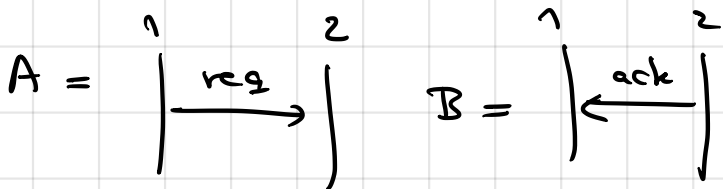
An MSC  $M = (\mathcal{P}, E, \mathcal{C}, l, m, < ) \in \mathbb{M}$  is **connected** if its communication graph is strongly connected.

## Definition (Star-connected)

Regular expression  $\alpha \in \text{REX}_{\mathbb{M}}$  is **star-connected** if, for any subexpression  $\beta^*$  of  $\alpha$ ,  $\mathcal{L}(\beta)$  is a set of connected MSCs.

Examples on the black board.

①  $\alpha_1 = (\underbrace{A \cdot B}_{\beta})^*$



$\beta = A \cdot B$

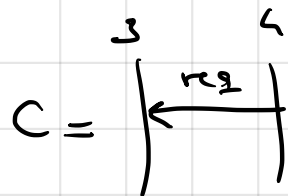
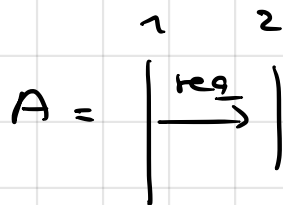
$L(A \cdot B)$



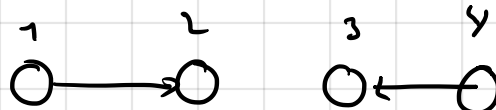
connected

$\Rightarrow \alpha_1$  is star-connected.

②  $\alpha_3 = (\underbrace{A \cdot C}_{\beta})^*$



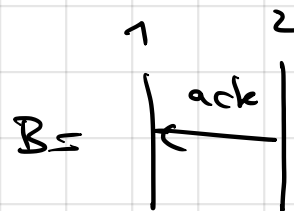
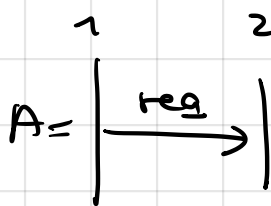
$L(A \cdot C)$



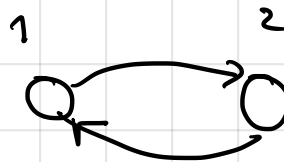
not strongly connected

$\Rightarrow \alpha_3$  is not star-connected.

③  $\alpha_4 = A \cdot (\underbrace{A + B}_{\beta})^*$



$L(A + B)$



$\Rightarrow \alpha_4$  is star-connected.

## Definition (Finitely generated)

Set of MSCs  $\mathcal{M} \subseteq \mathbb{M}$  is **finitely generated** if there is a finite set of MSCs  $\widehat{\mathcal{M}} \subseteq \mathbb{M}$  such that  $\mathcal{M} \subseteq \widehat{\mathcal{M}}^*$ .

## Theorem

[Morin 2002]

Let  $\mathcal{M}$  be finitely generated. Then:

$\mathcal{M}$  is regular *(thus realisable)*

iff

there exists a **star-connected** regular expression  $\alpha$  with  $\underline{\mathcal{L}(\alpha)} = \underline{\mathcal{M}}$ .