

Theoretical Foundations of the UML

Lecture 5+6: Compositional Message Sequence Graphs

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May 5, 2020

Outline

- 1 A non-decomposable MSC
- 2 Compositional Message Sequence Charts
- 3 Compositional Message Sequence Graphs
- 4 Safe Compositional Message Sequence Graphs

5 Existence of Safe Paths

6 Universality of Safe Paths



two decision problems

undecidable

decidable

Solution: drop restriction that e and $m(e)$ belong to the same MSC
 (= allow for incomplete message transfer)

Definition (Compositional MSC)

$M = (\mathcal{P}, E, \mathcal{C}, l, m, \preceq)$ is a **compositional MSC** (CMSC, for short) where $\mathcal{P}, E, \mathcal{C}$ and l are defined as before, and

- $m : E_! \rightarrow E_?$ is a **partial, injective** function such that (as before):

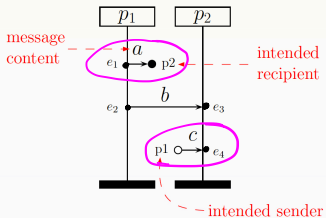
$$m(e) = e' \wedge l(e) = !(p, q, a) \quad \text{implies} \quad l(e') = ?(q, p, a)$$

- $\preceq = \underbrace{\left(\bigcup_{p \in \mathcal{P}} <_p \right)} \cup \underbrace{\left\{ (e, m(e)) \mid e \in \underbrace{\text{dom}(m)}_{\substack{\text{domain of } m \\ \text{"}m(e) \text{ is defined"}}} \right\}}^*$

Note:

An MSC is a CMSC where m is total and bijective.

CMSC example



- $m(e_2) = e_3$ ✓
- $e_1 \notin \text{dom}(m)$ ✓
- $e_4 \notin \text{rng}(m)$ ✓

$m(e_1)$ is not defined

$$E_1 = \{e_1, e_2\}$$

$$E_2 = \{e_3, e_4\}$$

$$\neg \exists e. m(e) = e_4$$

Paths

Let $G = (\underline{V}, \rightarrow, v_0, F, \underline{\lambda})$ be a CMSG.

$$\lambda: V \rightarrow CM$$

Definition (Path in a CMSG)

A **path** π of G is a finite sequence

$$\pi = u_0 u_1 \dots u_n \text{ with } u_i \in V \text{ } (0 \leq i \leq n) \text{ and } u_i \rightarrow u_{i+1} \text{ } (0 \leq i < n)$$

Definition (Accepting path of a CMSG)

Path $\pi = u_0 \dots u_n$ is **accepting** if: $u_0 = v_0$ and $\underline{u_n} \in F$.

Definition (CMSC of a path)

The CMSC of a path $\pi = \underline{u_0 \dots u_n}$ is:

$$\underline{M(\pi)} = (\dots (\underbrace{\lambda(u_0)} \bullet \underbrace{\lambda(u_1)}) \bullet \underbrace{\lambda(u_2)} \dots) \bullet \underbrace{\lambda(u_n)}$$

where CMSC concatenation is left associative.

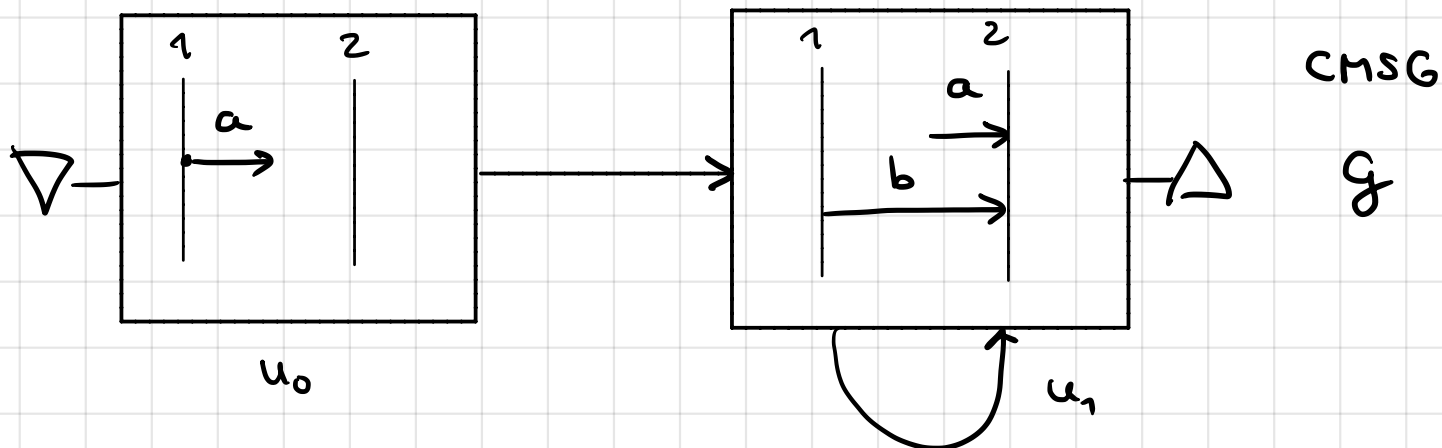
The MSC language of a CMSG

Definition (Language of a CMSG)

The (MSC) language of CMSG G is defined by:

$$L(G) = \{ \underbrace{M(\pi) \in \mathbf{M}}_{\text{only "real" MSCs}} \mid \text{\pi is an accepting path of } G\text{} \}.$$

Note: Accepting paths that give rise to an CMSC (which is not an MSC) are not part of $L(G)$.



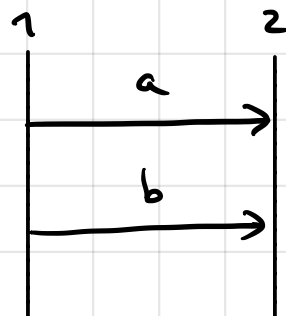
accepting
path:

$$\pi = u_0 u_1$$

$$M(\pi)$$

$$\in \mathcal{M}$$

thus $M(\pi) \in L(g)$



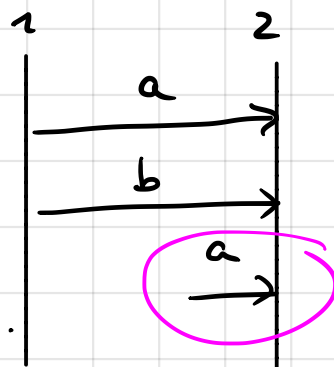
accepting
path

$$\pi' = u_0 u_1 u_1$$

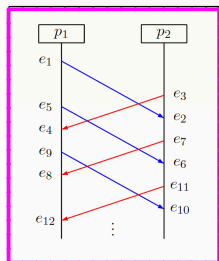
$$M(\pi')$$

$$\notin \mathcal{M}$$

$$M(\pi') \notin L(g).$$



Yannakakis' example as compositional MSG

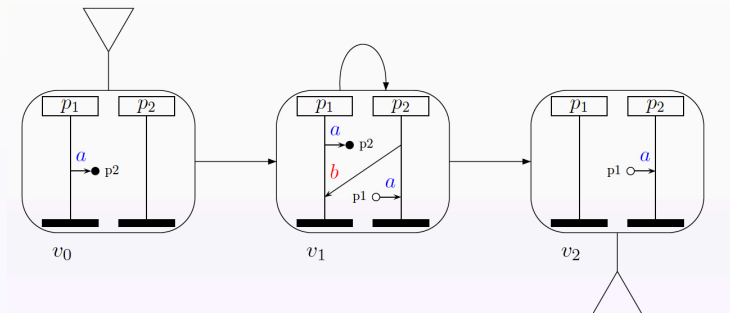


This MSC cannot be modeled for $n > 1$ by:

$$M = M_1 \bullet M_2 \bullet \dots \bullet M_n \quad \text{with} \quad M_i \in \mathbb{M}$$

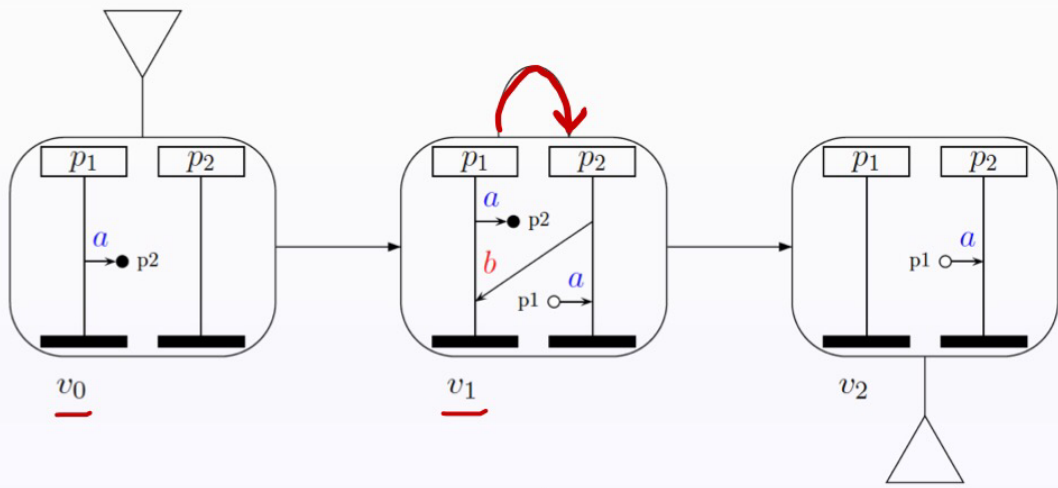
Thus it cannot be modeled by a MSG.

But it can be modeled as compositional MSG:

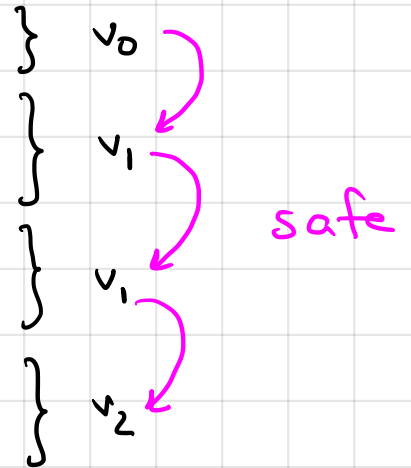
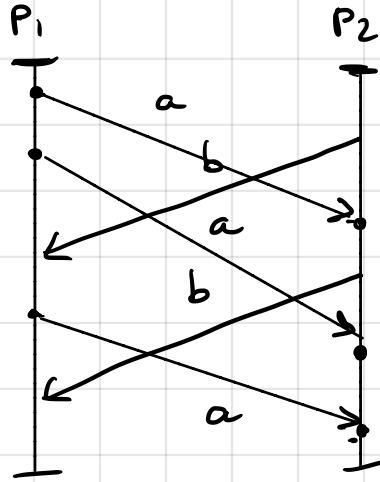


CMSG

$g:$



MSC $M_1 \in L(g)$



Every accepting path π for G : $M(\pi)$ is an MSC

$\rightarrow M(\pi) \in L(g)$

CMSG g is called safe

Safe paths and CMSGs

Definition (Safe path)

Path π of CMSG G is **safe** whenever $M(\pi) \in \text{MI}$.

is an MSC

CMSC of π

Existence of a safe accepting path

Theorem: undecidability of existence of a safe path

The decision problem “does CMSG G have **at least one** safe, accepting path?” is undecidable.

Proof.

By a reduction from Post’s Correspondence Problem (PCP).

... black board ...



The complement decision problem “does CMSG G have **no** safe, accepting path?” is undecidable too.

Universality of safe accepting paths

Theorem: undecidability of existence of a safe path

The decision problem “does CMSG G have at least one safe, accepting path?” is **undecidable**.

Universality of safe accepting paths

Theorem: undecidability of existence of a safe path

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Theorem: decidability of universality of safe paths

The decision problem “are **all** accepting paths of CMSG G safe?” is **decidable** in PTIME.

Universality of safe accepting paths

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Theorem: decidability of universality of safe paths

The decision problem “are **all** accepting paths of CMSG G safe?” is **decidable** in PTIME.

Proof.

Polynomial reduction to reachability problem in (non-deterministic) pushdown automata.

... see details on the next slides ...



Pushdown automata

Definition (Pushdown automaton)

A **pushdown** automaton (PDA, for short) $K = (Q, q_0, \Gamma, \Sigma, \Delta)$ with

- Q , a finite set of control states
- $q_0 \in Q$, the initial state
- Γ , a finite **stack** alphabet — which symbols can be put on the stack
- Σ , a finite **input** alphabet — a, b, c
- $\Delta \subseteq Q \times \Sigma \times \Gamma \times Q \times \Gamma^*$, the transition relation.



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Transition relation

$(q, a, \gamma, q', \text{pop}) \in \Delta$ means: in state q , on reading input symbol a and top of stack is symbol γ , change to q' and pop γ from the stack.

$$L = \{0^n 1^n \mid n > 0\}$$

$$01 \in L$$

$$0011 \in L$$

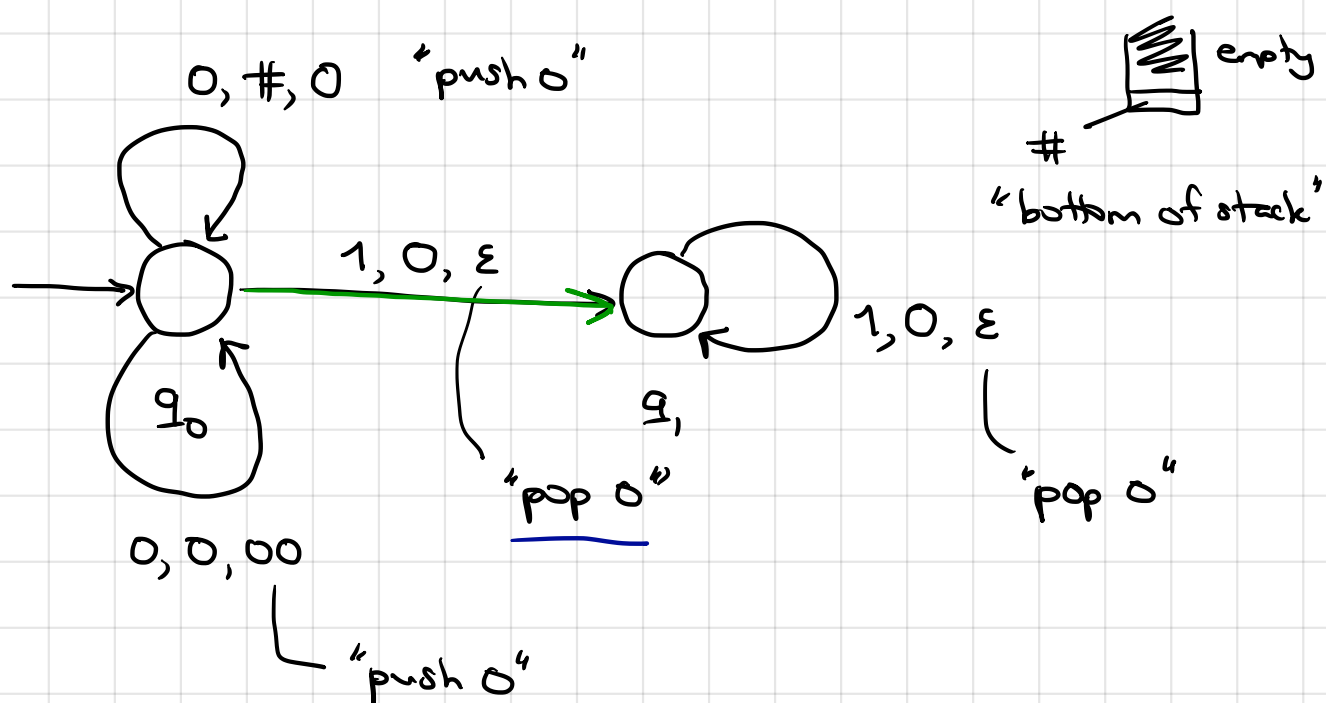
$$011 \notin L$$

$$010 \notin L$$

Construct a PDA K such that K accepts the language L

Intuition

- PDA K starts in initial control state q_0
- if input word $w = \epsilon$ or if w start with a "1" : reject
- otherwise, "scan" all 0s and push them on the stack
- on reading the first "1", move to control state q_1 + pop 0 from the stack
- in q_1 , on reading a "1", we pop a "0" from the stack
- in q_1 : reject if a "0" is read, or if input word is ϵ but the stack is not
nr. of 0s > 1s
- in q_1 : accept if input word and the stack are both empty.



$$Q = \{q_0, q_1\}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, \#\}$$

$$q_0 = q_0$$

$$\text{transitions: } (q_0, 1, 0, q_1, \varepsilon) \in \Delta$$

$$(q_0, 0, 0, q_0, 00) \in \Delta$$

$$(q_1, 1, 0, q_1, \varepsilon) \in \Delta$$

$$(q_0, 0, \#, q_0, 0) \in \Delta$$

Example configurations:

$$(q_0, \underbrace{0011}_w, \underbrace{\#}_z), \quad (q_1, \underbrace{1}_w, \underbrace{0}_z)$$

$$z \left\{ \begin{array}{|c|} \hline 0 \\ \hline \# \\ \hline \end{array} \right\}$$

change of configuration

$$(q_0, 0011, \#) \vdash (q_0, 011, 0) \vdash (q_0, 11, 00) \vdash$$

$$(q_1, 1, 0) \vdash (q_1, \varepsilon, \varepsilon)$$

Is $(q_1, \varepsilon, \varepsilon)$ reachable from $(q_0, 0011, \#)$?

Reachability in pushdown automata

Definition

A configuration c is a triple (state q , stack content Z , rest input w).

control

Reachability in pushdown automata

Definition

A **configuration** c is a triple (state q , stack content Z , rest input w).

Definition

Given a transition in Δ , a (direct) **successor** configuration c' of c is obtained: $c \vdash c'$.

Reachability in pushdown automata

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Given a transition in Δ , a (direct) **successor** configuration c' of c is obtained: $c \vdash c'$.

Reachability problem

For configuration c , and initial configuration c_0 $c_0 \vdash^* c?$

Reachability in pushdown automata

Definition

A **configuration** c is a triple (state q , stack content Z , rest input w).

Definition

Given a transition in Δ , a (direct) **successor** configuration c' of c is obtained: $c \vdash c'$.

Reachability problem

For configuration c , and initial configuration c_0 : $c_0 \vdash^* c$?

Theorem:

[Esparza et al. 2000]

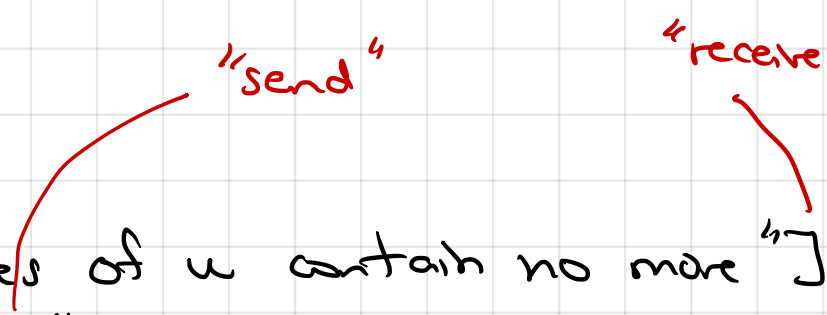
The reachability problem for PDA is decidable in PTIME.

Remark: Dyck language

$\Sigma = \{ [,] \}$ square brackets

Dyck language

$\{ u \in \Sigma^* \}$ all prefixes of u contain no more "]"
linearization than "[", and the number of
"[" equals the number of "]"
in u }



Exercise construct a PDA that accepts the
Dyck language.

$$(q_0, \underbrace{00111}, \#) \vdash (q_0, 0011, 0)$$

$\notin L$

$$\vdash (q_0, 011, 00)$$

$$\vdash (q_0, 11, 000)$$

$$\vdash (q_1, 1, 00)$$

$$\vdash (q_1, \varepsilon, 0) \quad \text{"reject"}$$

Sometimes "reject" is modeled explicitly by a separate control state q_{err} ; similarly "accept" by a control state q_F .

In our example this means that there are transitions:

$$(q_0, 1, \#, q_{err}, \#)$$

$$(q_1, 0, \#, q_{err}, \#)$$

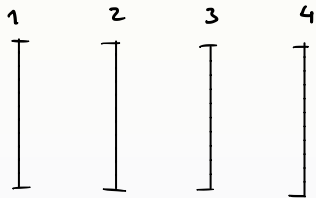
$$(q_1, 0, 0, q_{err}, \#)$$

etcetera.

Checking whether a CMSG is safe is decidable

- Consider any ordered pair (p_i, p_j) of processes in CMSG G

is every accepting path of G safe?



$(1, 2)$	$(1, 3)$	$(1, 4)$
$(2, 1)$	$(3, 1)$	$(4, 1)$
$(2, 3)$	$(3, 2)$	$(3, 4)$
$(2, 4)$	$(4, 2)$	$(4, 3)$

Checking whether a CMSG is safe is decidable

- Consider any ordered pair (p_i, p_j) of processes in CMSG G
- Proof idea: construct a PDA $K_{i,j} = (Q, q_0, \Gamma, \Sigma, \Delta)$ such that

CMSG G is not safe wrt. (p_i, p_j) iff PDA $K_{i,j}$ accepts

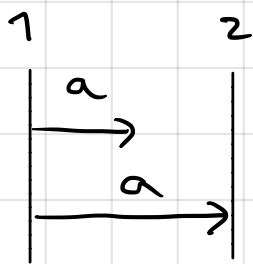
in fact "not left-closed"

$K_{i,j}$ is going check
for possible violations
of being safe

Definition (left-closed CMSC)

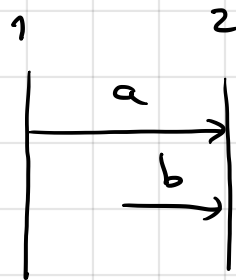
A CMSC is left-closed if it does not contain unmatched receive events, or any send events that are not yet matched and precede other matched send events (of the same type).

Examples



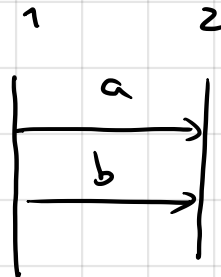
is not
left-closed
(unsafe)

accept



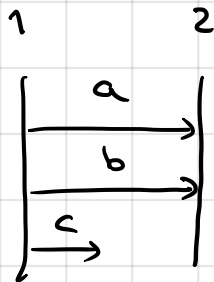
not left-
closed
(unsafe)

accept



left-
closed
(and safe)

reject



left-
closed
(not-safe)

reject

Checking whether a CMSG is safe is decidable

- Consider any ordered pair (p_i, p_j) of processes in CMSG G
- Proof idea: construct a PDA $K_{i,j} = (Q, q_0, \Gamma, \Sigma, \Delta)$ such that

CMSG G is not lc wrt. (p_i, p_j) iff PDA $K_{i,j}$ accepts

- For accepting path $u_0 \dots u_k$ in G , feed $K_{i,j}$ with **all** words

$$\underline{\rho_0 \dots \rho_k} \text{ where } \rho_i \in \underline{Lin(\lambda(u_i))}$$

such that unmatched sends (of some type) precede all unmatched receipts (of the same type)

+ assume that matched events are indicated explicitly

↳ not a restriction, because such linearisations do always exist

!?(p,q,a)

Checking whether a CMSG is safe is decidable

- Consider any ordered pair (p_i, p_j) of processes in CMSG G
- Proof idea: construct a PDA $K_{i,j} = (Q, q_0, \Gamma, \Sigma, \Delta)$ such that

CMSG G is not **Lc** wrt. (p_i, p_j) iff PDA $K_{i,j}$ accepts

- For accepting path $u_0 \dots u_k$ in G , feed $K_{i,j}$ with **all** words

$$\rho_0 \dots \rho_k \text{ where } \rho_i \in \text{Lin}(\lambda(u_i))$$

such that unmatched sends (of some type) precede all unmatched receipts (of the same type)

- Possible violations that $K_{i,j}$ may encounter:

- nr. of unmatched $!(p_i, p_j, \cdot) >$ nr. of unmatched $?(p_j, p_i, \cdot)$
- type of k -th unmatched send \neq type of k -th unmatched receive
- non-FIFO communication

The nondeterministic PDA $K_{i,j}$

Let $\{a_1, \dots, a_k\}$ be the message contents in CMSG G for (p_i, p_j) .

all message in CMSG G
send from i to j , or
received at j from i .

The nondeterministic PDA $K_{i,j}$

Let $\{a_1, \dots, a_k\}$ be the message contents in CMSG G for (p_i, p_j) .

Nondeterministic PDA $K_{i,j} = (Q, q_0, \Gamma, \Sigma, \Delta)$ where:

- Control states $Q = \{q_0, q_{a_1}, \dots, q_{a_k}, q_{err}, q_F\}$



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- Control states $Q = \{q_0, q_{a_1}, \dots, q_{a_k}, q_{err}, q_F\}$
- Stack alphabet $\Gamma = \{1, \#\}$
1 counts nr. of unmatched $!(p_i, p_j, a_m)$, and $\#$ is bottom of stack

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- Stack alphabet $\Gamma = \{1, \#\}$
1 counts nr. of unmatched $!(p_i, p_j, a_m)$, and $\#$ is bottom of stack
- Input alphabet $\Sigma = \begin{cases} \text{unmatched action } !(p_i, p_j, a_m) & \checkmark \\ \text{unmatched action } ?(p_j, p_i, a_m) & \checkmark \\ \text{matched actions } !?(p_i, p_j, a_m) & \checkmark \end{cases}$
possible symbols in the linearisations

The nondeterministic PDA $K_{i,j}$

Let $\{a_1, \dots, a_k\}$ be the message contents in CMSG G for (p_i, p_j) .

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- Stack alphabet $\Gamma = \{1, \#\}$
1 counts nr. of unmatched $!(p_i, p_j, a_m)$, and $\#$ is bottom of stack
- Input alphabet
$$\Sigma = \begin{cases} \text{unmatched action } !(p_i, p_j, a_m) \\ \text{unmatched action } ?(p_j, p_i, a_m) \\ \text{matched action } !?(p_i, p_j, a_m) \end{cases}$$
- Transition function Δ is described on next slide

Safeness of CMSGs (2)

- Initial configuration is $(q_0, \#, w)$
 - w is linearization of actions at p_i and p_j on an accepting path of G

Safeness of CMSGs (2)

- Initial configuration is $(q_0, \#, w)$
 - w is linearization of actions at p_i and p_j on an accepting path of G
- On reading $!(p_i, p_j, a_m)$ in q_0 , push 1 on stack
 - nondeterministically move to state q_{a_m} or stay in q_0

"seen an unmatched send
from p_i to p_j with
content a_m "

Safeness of CMSGs (2)

- Initial configuration is $(q_0, \#, w)$
 - w is linearization of actions at p_i and p_j on an accepting path of G
- On reading $!(p_i, p_j, a_m)$ in q_0 , push 1 on stack
 - nondeterministically move to state q_{a_m} or stay in q_0
- On reading $?(p_j, p_i, a_m)$ in $\underline{q_0}$, proceed as follows:
 - if 1 is on stack, pop it
 - otherwise, i.e., if stack is empty, **accept** (i.e., move to q_F)

pending unmatched send $p_i \rightarrow p_j$



not left-closed

Safeness of CMSGs (2)

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 - w is linearization of actions at p_i and p_j on an accepting path of G
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 - if 1 is on stack, pop it
 - otherwise, i.e., if stack is empty, **accept** (i.e., move to q_F)
- On reading matched send $!?(p_i, p_j, a_k)$ in q_0
 - stack empty (i.e., equal to $\#$)? ignore input; otherwise, **accept**

↓
not left-closed

Safeness of CMSGs (2)

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- On reading matched send $!?(p_i, p_j, a_k)$ in q_0
 - stack empty (i.e., equal to $\#$)? ignore input; otherwise, **accept**
- Ignore the following inputs in state q_0 :
 - matched send events $!?(p_j, p_i, a_k)$, and
 - unmatched sends or receipts not related to p_i and p_j

Safeness of CMSGs (2)

- Initial configuration is $(q_0, \#, w)$
 - w is linearization of actions at p_i and p_j on an accepting path of G
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 - nondeterministically move to state q_{a_m} or stay in q_0
- On reading $?(p_j, p_i, a_m)$ in q_0 , proceed as follows:
 - if 1 is on stack, pop it
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- X On reading matched send $!?(p_i, p_j, a_k)$ in q_0
 - stack empty (i.e., equal to $\#$)? ignore input; otherwise, **accept**
- Ignore the following inputs in state q_0 :
 - matched send events $!?(p_j, p_i, a_k)$, and
 - unmatched sends or receipts not related to p_i and p_j
- Remaining input w empty? **Accept**, if stack non-empty; else reject

left-closed.

still pending
send/
receive

Safeness of CMSGs (3)

The behaviour in state q_{a_m} for $0 < m \leq k$:

- Ignore all actions except $?(p_j, p_i, a_\ell)$ for all $0 < \ell \leq k$

Safeness of CMSGs (3)

The behaviour in state q_{a_m} for $0 < m \leq k$:

- Ignore all actions except $?(p_j, p_i, a_\ell)$ for all $0 < \ell \leq k$
- On reading $?(p_j, p_i, a_\ell)$ (for some $0 < \ell \leq k$) in state q_{a_m} do:
 - if 1 is on top of stack, pop it

Safeness of CMSGs (3)

The behaviour in state q_{a_m} for $0 < m \leq k$:

- Ignore all actions except $?(p_j, p_i, a_\ell)$ for all $0 < \ell \leq k$
- On reading $?(p_j, p_i, a_\ell)$ (for some $0 < \ell \leq k$) in state q_{a_m} do:
 - if 1 is on top of stack, pop it

→ • If stack is empty:

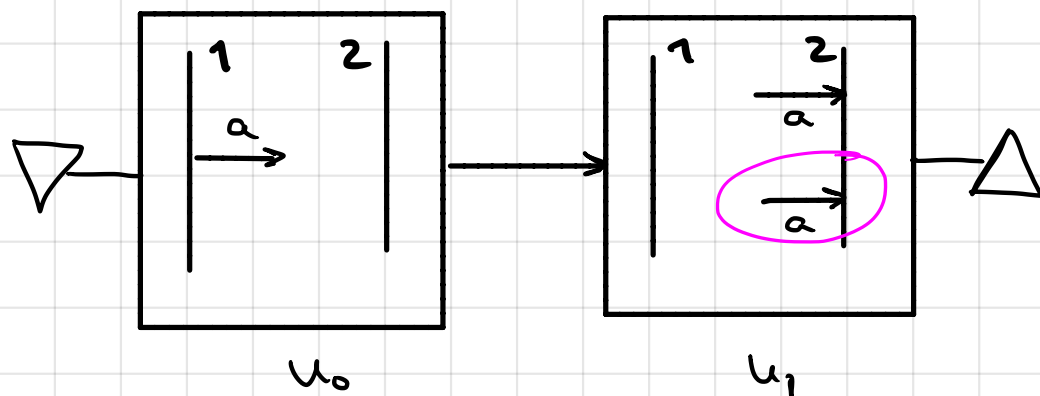
- if last receive differs from a_m , **accept**
- otherwise reject, while ignoring the rest (if any) of the input

not left-closed

left-closed

Example 1.

CMSG G_1



$(q_0, \#, !a?a?a)$ \vdash $(q_a, 1, ?a?a)$
Initial configuration

\vdash
 $(q_0, 1, ?a?a)$

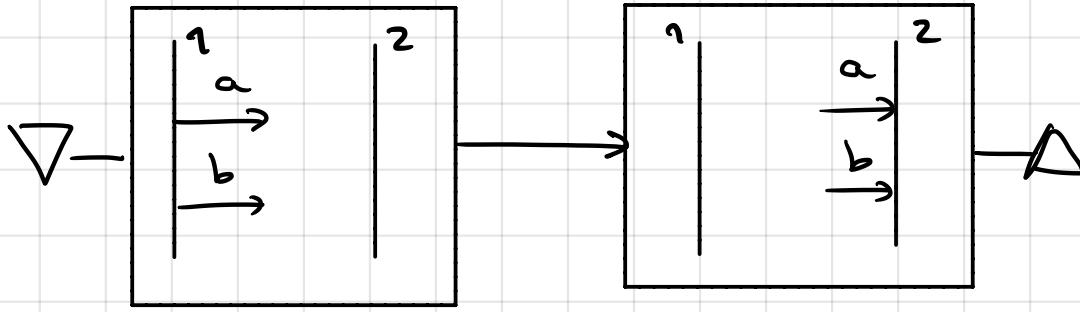
\vdash
 $(q_0, \#, ?a)$ **accept**

\vdash
 $(q_a, \#, ?a)$
reject

Thus the PDA $k_{1,2}$ accepts the
input word $\Rightarrow G_1$ is not
left-closed

Example 2

CMSG g_2 :
safe.



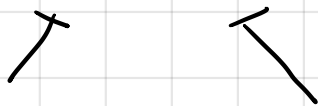
PDA $K_{1,2}$

$$L(G) = \{ \overset{1}{\underset{b}{\overset{a}{\mid}}}\overset{2}{\mid} \}$$

$$(q_0, \#, !a!b?a?b) \vdash (q_a, 1, !b?a?b)$$

$$\begin{array}{c} \top \\ (q_0, 1, !b?a?b) \end{array}$$

$$\begin{array}{c} \top \\ (q_a, 1, ?a?b) \end{array}$$



$$\begin{array}{c} \top \\ (q_a, \#, ?b) \end{array}$$

$$(q_0, 11, ?a?b) \quad (q_b, 11, ?a?b)$$

$$\begin{array}{c} \top \\ (q_a, \#, \varepsilon) \end{array}$$

reject

$$\begin{array}{c} \top \\ (q_0, 1, ?b) \end{array}$$

$$\begin{array}{c} \top \\ (q_b, 1, ?b) \end{array}$$

$$\begin{array}{c} \top \\ (q_0, \#, \varepsilon) \end{array}$$

$$\begin{array}{c} \top \\ (q_b, \#, \varepsilon) \end{array}$$

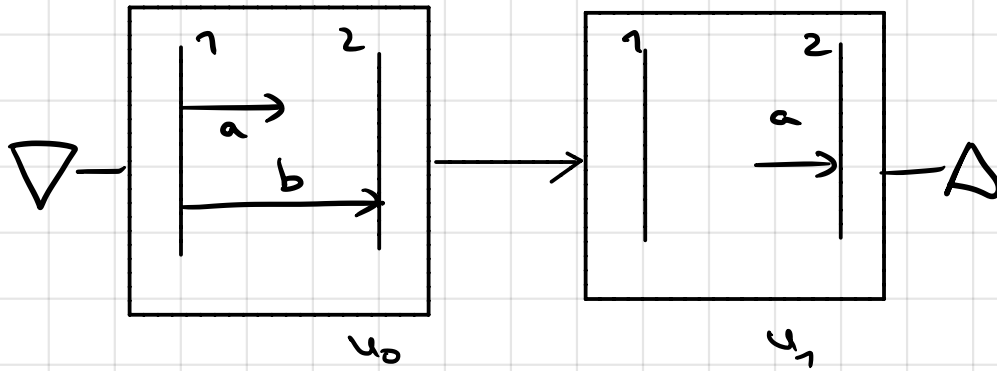
reject

reject

PDA $K_{1,2}$ has no accepting run on $!a!b?a?b$
and thus CMSG g_2 is left-closed

Example

CMSC g_3



PDA $K_{1,2}$

$(q_0, \#, !a !?b ?a) \vdash (q_0, 1, !?b ?a)$

\top

accept

$(q_a, 1, !?b ?a)$

→ violation of
FIFO property.

\top

$(q_a, 1, ?a)$

\top

$(q_a, \#, \varepsilon)$

reject

PDA $K_{1,2}$ accepts

\Rightarrow CMSC g_3 is not
left-closed

\Rightarrow is not safe.

Safeness of CMSGs (4)

It follows: PDA $K_{i,j}$ accepts iff CMSG G is not lc wrt. (p_i, p_j)
 \implies CMSG G is not lc wrt. (p_i, p_j) iff configuration (q_F, \cdot, \cdot) is
reachable. accept

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Esparza et al.

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Time complexity

The worst-case **time complexity** of checking whether CMSG G is safe is in $\mathcal{O}(k^2 \cdot N^2 \cdot L \cdot |E|^2)$ where $k = |\mathcal{P}|$, $N = |V|$, and $L = |\mathcal{C}|$.

lc

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Proof.

Checking reachability in PDA $K_{i,j}$ is in $\mathcal{O}(L \cdot |E|^2)$. The number of PDAs is k^2 , as we consider ordered pairs in \mathcal{P} . The number of paths in the CMSG G for each pair that need to be checked is in $\mathcal{O}(N^2)$, as a single traversal for each loop in G suffices. \square