



# Semantics and Verification of Software

Summer Semester 2019

Lecture 7: Denotational Semantics of WHILE II (Algebraic Foundations)

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<https://moves.rwth-aachen.de/teaching/ss-19/sv-sw/>

# Recap: The Denotational Approach

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## Outline of Lecture 7

Recap: The Denotational Approach

Chain-Complete Partial Orders

Monotonic and Continuous Functions

# Recap: The Denotational Approach

## Semantics of Statements

### Definition (Denotational semantics of statements)

The (denotational) semantic functional for statements,

$$\mathcal{E}[\cdot] : Cmd \rightarrow (\Sigma \dashrightarrow \Sigma),$$

is given by:

$$\begin{aligned}\mathcal{E}[\text{skip}] &:= \text{id}_\Sigma \\ \mathcal{E}[x := a]\sigma &:= \sigma[x \mapsto \mathcal{A}[a]\sigma] \\ \mathcal{E}[c_1; c_2] &:= \mathcal{E}[c_2] \circ \mathcal{E}[c_1] \\ \mathcal{E}[\text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}] &:= \text{cond}(\mathcal{B}[b], \mathcal{E}[c_1], \mathcal{E}[c_2]) \\ \mathcal{E}[\text{while } b \text{ do } c \text{ end}] &:= \text{fix}(\Phi)\end{aligned}$$

where  $\Phi : (\Sigma \dashrightarrow \Sigma) \rightarrow (\Sigma \dashrightarrow \Sigma) : f \mapsto \text{cond}(\mathcal{B}[b], f \circ \mathcal{E}[c], \text{id}_\Sigma)$

# Recap: The Denotational Approach

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## Characterisation of $\text{fix}(\Phi)$ I

Now  $\text{fix}(\Phi)$  can be characterised by:

- $\text{fix}(\Phi)$  is a **fixpoint** of  $\Phi$ , i.e.,

$$\Phi(\text{fix}(\Phi)) = \text{fix}(\Phi)$$

- $\text{fix}(\Phi)$  is **minimal** with respect to  $\sqsubseteq$ , i.e., for every  $f_0 : \Sigma \dashrightarrow \Sigma$  such that  $\Phi(f_0) = f_0$ ,

$$\text{fix}(\Phi) \sqsubseteq f_0$$

## Example

For `while true do skip end` we obtain for every  $f : \Sigma \dashrightarrow \Sigma$ :

$$\Phi(f) = \text{cond}(\mathfrak{B}[\text{true}], f \circ \mathfrak{C}[\text{skip}], \text{id}_\Sigma) = f$$

$\Rightarrow \text{fix}(\Phi) = f_\emptyset$  where  $f_\emptyset(\sigma) := \text{undefined}$  for every  $\sigma \in \Sigma$  (that is,  $\text{graph}(f_\emptyset) = \emptyset$ )

# Recap: The Denotational Approach

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## Characterisation of $\text{fix}(\Phi)$ II

### Goals:

- Prove **existence** of  $\text{fix}(\Phi)$  for  $\Phi(f) = \text{cond}(\mathcal{B}[[b]], f \circ \mathcal{C}[[c]], \text{id}_\Sigma)$
- Show how it can be “computed” (more exactly: **approximated**)

### Sufficient conditions:

on domain  $\Sigma \dashrightarrow \Sigma$ : **chain-complete partial order**

on function  $\Phi$ : **monotonicity** and **continuity**

# Chain-Complete Partial Orders

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## Outline of Lecture 7

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Monotonic and Continuous Functions

# Chain-Complete Partial Orders

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## Partial Orders

### Definition 7.1 (Partial order)

A **partial order (PO)**  $(D, \sqsubseteq)$  consists of a set  $D$ , called **domain**, and of a relation  $\sqsubseteq \subseteq D \times D$  such that, for every  $d_1, d_2, d_3 \in D$ ,

reflexivity:  $d_1 \sqsubseteq d_1$

transitivity:  $d_1 \sqsubseteq d_2$  and  $d_2 \sqsubseteq d_3 \Rightarrow d_1 \sqsubseteq d_3$

antisymmetry:  $d_1 \sqsubseteq d_2$  and  $d_2 \sqsubseteq d_1 \Rightarrow d_1 = d_2$

It is called **total** if, in addition, always  $d_1 \sqsubseteq d_2$  or  $d_2 \sqsubseteq d_1$ .

# Chain-Complete Partial Orders

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### Example 7.2

1.  $(\mathbb{N}, \leq)$  is a total partial order



# Chain-Complete Partial Orders

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1.  $(\mathbb{N}, \leq)$  is a total partial order
2.  $(2^{\mathbb{N}}, \subseteq)$  is a (non-total) partial order
3.  $(\mathbb{N}, <)$  is not a partial order (since not reflexive)

# Chain-Complete Partial Orders

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## Application to $\text{fix}(\Phi)$

### Lemma 7.3

$(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$  is a partial order.

# Chain-Complete Partial Orders

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## Application to $\text{fix}(\Phi)$

### Lemma 7.3

$(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$  is a partial order.

### Proof.

Using the equivalence  $f \sqsubseteq g \iff \text{graph}(f) \subseteq \text{graph}(g)$  and the partial-order property of  $\subseteq$  □

# Chain-Complete Partial Orders

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## Chains and Least Upper Bounds I

### Definition 7.4 (Chain, (least) upper bound)

Let  $(D, \sqsubseteq)$  be a partial order and  $S \subseteq D$ .

1.  $S$  is called a **chain** in  $D$  if, for every  $s_1, s_2 \in S$ ,

$$s_1 \sqsubseteq s_2 \text{ or } s_2 \sqsubseteq s_1$$

(that is,  $S$  is a totally ordered subset of  $D$ ).

# Chain-Complete Partial Orders

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2. An element  $d \in D$  is called an **upper bound** of  $S$  if  $s \sqsubseteq d$  for every  $s \in S$  (notation:  $S \sqsubseteq d$ ).

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3. An upper bound  $d$  of  $S$  is called **least upper bound (LUB)** or **supremum** of  $S$  if  $d \sqsubseteq d'$  for every upper bound  $d'$  of  $S$  (notation:  $d = \bigsqcup S$ ).



## Chains and Least Upper Bounds II

### Example 7.5

1. Every subset  $S \subseteq \mathbb{N}$  is a chain in  $(\mathbb{N}, \leq)$ .  
It has a supremum (its greatest element) iff it is finite.

## Chains and Least Upper Bounds II

### Example 7.5

1. Every subset  $S \subseteq \mathbb{N}$  is a chain in  $(\mathbb{N}, \leq)$ .  
It has a supremum (its greatest element) iff it is finite.
2.  $\{\emptyset, \{0\}, \{0, 1\}, \dots\}$  is a chain in  $(2^{\mathbb{N}}, \subseteq)$  with supremum  $\mathbb{N}$ .

# Chain-Complete Partial Orders

## Chains and Least Upper Bounds II

### Example 7.5

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It has a supremum (its greatest element) iff it is finite.
2.  $\{\emptyset, \{0\}, \{0, 1\}, \dots\}$  is a chain in  $(2^{\mathbb{N}}, \subseteq)$  with supremum  $\mathbb{N}$ .
3. Let  $x \in \text{Var}$ , and let  $f_i : \Sigma \dashrightarrow \Sigma$  for every  $i \in \mathbb{N}$  be given by

$$f_i(\sigma) := \begin{cases} \sigma[x \mapsto \sigma(x) + 1] & \text{if } \sigma(x) \leq i \\ \text{undefined} & \text{otherwise} \end{cases}$$

Then  $\{f_0, f_1, f_2, \dots\}$  is a chain in  $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$ , since for every  $i \in \mathbb{N}$  and  $\sigma, \sigma' \in \Sigma$ :

$$\begin{aligned} & f_i(\sigma) = \sigma' \\ \Rightarrow & \sigma(x) \leq i, \sigma' = \sigma[x \mapsto \sigma(x) + 1] \\ \Rightarrow & \sigma(x) \leq i + 1, \sigma' = \sigma[x \mapsto \sigma(x) + 1] \\ \Rightarrow & f_{i+1}(\sigma) = \sigma' \\ \Rightarrow & f_i \sqsubseteq f_{i+1} \end{aligned}$$

# Chain-Complete Partial Orders

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## Chain Completeness

### Definition 7.6 (Chain completeness)

A partial order is called **chain complete (CCPO)** if each of its chains has a least upper bound.

# Chain-Complete Partial Orders

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### Example 7.7

1.  $(2^{\mathbb{N}}, \subseteq)$  is a CCPO with  $\bigsqcup S = \bigcup_{M \in S} M$  for every chain  $S \subseteq 2^{\mathbb{N}}$ .

# Chain-Complete Partial Orders

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2.  $(\mathbb{N}, \leq)$  is not chain complete

# Chain-Complete Partial Orders

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### Example 7.7

1.  $(2^{\mathbb{N}}, \subseteq)$  is a CCPO with  $\bigsqcup S = \bigcup_{M \in S} M$  for every chain  $S \subseteq 2^{\mathbb{N}}$ .
2.  $(\mathbb{N}, \leq)$  is not chain complete (since, e.g., the chain  $\mathbb{N}$  has no upper bound).

# Chain-Complete Partial Orders

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## Least Elements in CCPOs

### Corollary 7.8

*Every CCPO has a least element  $\sqcup \emptyset$ .*



# Chain-Complete Partial Orders

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*Every CCPO has a least element  $\sqcup \emptyset$ .*

### Proof.

Let  $(D, \sqsubseteq)$  be a CCPO.

- By definition,  $\emptyset$  is a chain in  $D$ .

# Chain-Complete Partial Orders

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## Least Elements in CCPOs

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### Proof.

Let  $(D, \sqsubseteq)$  be a CCPO.

- By definition,  $\emptyset$  is a chain in  $D$ .
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# Chain-Complete Partial Orders

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## Least Elements in CCPOs

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Every CCPO has a least element  $\sqcup \emptyset$ .

### Proof.

Let  $(D, \sqsubseteq)$  be a CCPO.

- By definition,  $\emptyset$  is a chain in  $D$ .
- By definition, every  $d \in D$  is an upper bound of  $\emptyset$ .
- Thus  $\sqcup \emptyset$  exists and is the least element of  $D$ .



# Chain-Complete Partial Orders

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## Application to $\text{fix}(\Phi)$

### Lemma 7.9

- $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$  is a CCPO with least element  $f_\emptyset$  where  $\text{graph}(f_\emptyset) = \emptyset$ .
- In particular, for every chain  $S \subseteq \Sigma \dashrightarrow \Sigma$ ,  $\text{graph}(\bigsqcup S) = \bigcup_{f \in S} \text{graph}(f)$ .

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### Proof.

on the board □

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- In particular, for every chain  $S \subseteq \Sigma \dashrightarrow \Sigma$ ,  $\text{graph}(\bigsqcup S) = \bigcup_{f \in S} \text{graph}(f)$ .

### Proof.

on the board □

### Example 7.10 (cf. Example 7.5(3))

Let  $x \in \text{Var}$ , and let  $f_i : \Sigma \dashrightarrow \Sigma$  for every  $i \in \mathbb{N}$  be given by

$$f_i(\sigma) := \begin{cases} \sigma[x \mapsto \sigma(x) + 1] & \text{if } \sigma(x) \leq i \\ \text{undefined} & \text{otherwise} \end{cases}$$

Then  $S := \{f_0, f_1, f_2, \dots\}$  is a chain (cf. Example 7.5(3)) with  $\bigsqcup S = f$  where

$$f : \Sigma \rightarrow \Sigma : \sigma \mapsto \sigma[x \mapsto \sigma(x) + 1]$$

# Monotonic and Continuous Functions

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## Outline of Lecture 7

Recap: The Denotational Approach

Chain-Complete Partial Orders

Monotonic and Continuous Functions

# Monotonic and Continuous Functions

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## Monotonicity I

### Definition 7.11 (Monotonicity)

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be partial orders, and let  $F : D \rightarrow D'$ .  $F$  is called **monotonic** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every  $d_1, d_2 \in D$ ,

$$d_1 \sqsubseteq d_2 \Rightarrow F(d_1) \sqsubseteq' F(d_2).$$



# Monotonic and Continuous Functions

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**Interpretation:** monotonic functions “preserve information”

# Monotonic and Continuous Functions

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$$d_1 \sqsubseteq d_2 \Rightarrow F(d_1) \sqsubseteq' F(d_2).$$

**Interpretation:** monotonic functions “preserve information”

### Example 7.12

1. Let  $T := \{S \subseteq \mathbb{N} \mid S \text{ finite}\}$ . Then  $F_1 : T \rightarrow \mathbb{N} : S \mapsto \sum_{n \in S} n$  is monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$  and  $(\mathbb{N}, \leq)$ .

# Monotonic and Continuous Functions

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Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be partial orders, and let  $F : D \rightarrow D'$ .  $F$  is called **monotonic** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every  $d_1, d_2 \in D$ ,

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### Example 7.12

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2.  $F_2 : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : S \mapsto \mathbb{N} \setminus S$  is not monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$  (since, e.g.,  $\emptyset \subseteq \mathbb{N}$  but  $F_2(\emptyset) = \mathbb{N} \not\subseteq F_2(\mathbb{N}) = \emptyset$ ).

# Monotonic and Continuous Functions

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## Application to $\text{fix}(\Phi)$

### Lemma 7.13

Let  $b \in BExp$ ,  $c \in Cmd$ , and  $\Phi : (\Sigma \dashrightarrow \Sigma) \rightarrow (\Sigma \dashrightarrow \Sigma)$  with  $\Phi(f) := \text{cond}(\mathfrak{B}[[b]], f \circ \mathcal{C}[[c]], \text{id}_\Sigma)$ . Then  $\Phi$  is monotonic w.r.t.  $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$ .

# Monotonic and Continuous Functions

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Proof.

omitted □

# Monotonic and Continuous Functions

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## Monotonicity II

The following lemma states how chains behave under monotonic functions.

### Lemma 7.14

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be CCPOs,  $F : D \rightarrow D'$  monotonic, and  $S \subseteq D$  a chain in  $D$ .  
Then:

1.  $F(S) := \{F(d) \mid d \in S\}$  is a chain in  $D'$ .
2.  $\bigsqcup F(S) \sqsubseteq' F(\bigsqcup S)$ .

# Monotonic and Continuous Functions

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### Proof.

on the board □

# Monotonic and Continuous Functions

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## Continuity

A function  $F$  is continuous if applying  $F$  and taking suprema is commutable:

### Definition 7.15 (Continuity)

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be CCPOs and  $F : D \rightarrow D'$  monotonic. Then  $F$  is called **continuous** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every non-empty chain  $S \subseteq D$ ,

$$F\left(\bigsqcup S\right) = \bigsqcup F(S).$$

**Remark:** according to Lemma 7.14(1), the monotonicity of  $F$  guarantees the existence of  $\bigsqcup F(S)$ .



# Monotonic and Continuous Functions

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## Application to $\text{fix}(\Phi)$

### Lemma 7.16

Let  $b \in BExp$ ,  $c \in Cmd$ , and  $\Phi(f) := \text{cond}(\mathcal{B}[[b]], f \circ \mathcal{C}[[c]], \text{id}_\Sigma)$ . Then  $\Phi$  is continuous w.r.t.  $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$ .

# Monotonic and Continuous Functions

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## Application to $\text{fix}(\Phi)$

### Lemma 7.16

Let  $b \in BExp$ ,  $c \in Cmd$ , and  $\Phi(f) := \text{cond}(\mathfrak{B}[[b]], f \circ \mathfrak{C}[[c]], \text{id}_\Sigma)$ . Then  $\Phi$  is continuous w.r.t.  $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$ .

### Proof.

Let  $S \subseteq \Sigma \dashrightarrow \Sigma$  be a non-empty chain. We have to show that  $\Phi(\bigsqcup S) = \bigsqcup \Phi(S)$ .

“ $\bigsqcup \Phi(S) \sqsubseteq \Phi(\bigsqcup S)$ ”: follows from Lemma 7.13 and 7.14(2)

“ $\Phi(\bigsqcup S) \sqsubseteq \bigsqcup \Phi(S)$ ”: on the board

□