



# Static Program Analysis

**Lecture 18: Interprocedural Dataflow Analysis II (Fixpoint Solution)**

**Summer Semester 2018**

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<https://moves.rwth-aachen.de/teaching/ss-18/spa/>

# Recap: Interprocedural Dataflow Analysis

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## Outline of Lecture 18

Recap: Interprocedural Dataflow Analysis

The Interprocedural Fixpoint Solution

The Equation System

Correctness of Fixpoint Solution

# Recap: Interprocedural Dataflow Analysis

## Extending the Syntax

### Syntactic categories:

Category	Domain	Meta variable
Procedure identifiers	$Pid = \{P, Q, \dots\}$	$P$
Procedure declarations	$PDec$	$p$
Commands (statements)	$Cmd$	$c$

### Context-free grammar

$$p ::= \text{proc } [P(\text{val } x, \text{res } y)]^{l_n} \text{ is } c \text{ [end]}^{l_x}; p \mid \varepsilon \in PDec$$
$$c ::= [\text{skip}]' \mid [x := a]' \mid c_1; c_2 \mid \text{if } [b]' \text{ then } c_1 \text{ else } c_2 \text{ end} \mid$$
$$\text{while } [b]' \text{ do } c \text{ end} \mid [\text{call } P(a, x)]_{l_r}^{l_c} \in Cmd$$

- All labels and procedure names in **program**  $p$   $c$  distinct
- In  $\text{proc } [P(\text{val } x, \text{res } y)]^{l_n} \text{ is } c \text{ [end]}^{l_x}$ ,  $l_n / l_x$  refers to the **entry** / **exit** of  $P$
- In  $[\text{call } P(a, x)]_{l_r}^{l_c}$ ,  $l_c / l_r$  refers to the **call** of / **return** from  $P$
- First parameter **call-by-value** (input), second **call-by-result** (output)

# Recap: Interprocedural Dataflow Analysis

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## Naive Formulation

- **Attempt:** directly transfer **techniques from intraprocedural analysis**
  - ⇒ treat  $(l_c; l_n)$  like  $(l_c, l_n)$  and  $(l_x; l_r)$  like  $(l_x, l_r)$
- Given: dataflow system  $S = (Lab, E, F, (D, \sqsubseteq), \iota, \varphi)$
- For each procedure call  $[call\ P(a, x)]_{l_r}^{l_c}$ :  
**transfer functions**  $\varphi_{l_c}, \varphi_{l_r} : D \rightarrow D$  (definition later)
- For each procedure declaration  $proc\ [P(val\ x, res\ y)]^{l_n}\ is\ c\ [end]^{l_x}$ :  
**transfer functions**  $\varphi_{l_n}, \varphi_{l_x} : D \rightarrow D$  (definition later)
- Induces **equation system**

$$AI_l = \begin{cases} \iota & \text{if } l \in E \\ \bigsqcup \{ \varphi_{l'}(AI_{l'}) \mid (l', l) \in F \text{ or } (l; l') \in F \} & \text{otherwise} \end{cases}$$

- **Problem:** procedure calls  $(l_c; l_n)$  and procedure returns  $(l_x; l_r)$  treated like goto's
  - ⇒ **nesting** of calls and returns ignored
  - ⇒ **too many paths** considered
  - ⇒ analysis information possibly **imprecise** (but still sound as all “valid” paths covered)

# Recap: Interprocedural Dataflow Analysis

## Valid Paths

- Consider only paths with **correct nesting** of procedure calls and returns
- Yields **MVP** solution (**Meet over all Valid Paths**)

### Definition (Valid path fragments)

Given a dataflow system  $S = (Lab, E, F, (D, \sqsubseteq), \iota, \varphi)$  and  $l_1, l_2 \in Lab$ , the set of **valid paths from  $l_1$  to  $l_2$**  is generated by the nonterminal symbol  $P[l_1, l_2]$  according to the following context-free grammar:

$$\begin{array}{ll} P[l_1, l_2] \rightarrow l_1 & \text{whenever } l_1 = l_2 \\ P[l_1, l_3] \rightarrow l_1, P[l_2, l_3] & \text{whenever } (l_1, l_2) \in F \\ P[l_c, l] \rightarrow l_c, P[l_n, l_x], P[l_r, l] & \text{whenever } (l_c, l_n, l_x, l_r) \in \text{iflow} \end{array}$$

# Recap: Interprocedural Dataflow Analysis

## The MVP Solution I

### Definition (Complete valid paths)

Let  $S = (Lab, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a dataflow system. For every  $l \in Lab$ , the set of **valid paths up to  $l$**  is given by

$$VPath(l) := \{[l_1, \dots, l_{k-1}] \mid k \geq 1, l_1 \in E, l_k = l, [l_1, \dots, l_k] \text{ valid path from } l_1 \text{ to } l_k\}.$$

For  $\pi = [l_1, \dots, l_{k-1}] \in VPath(l)$ , we define the **transfer function**  $\varphi_\pi : D \rightarrow D$  by

$$\varphi_\pi := \varphi_{l_{k-1}} \circ \dots \circ \varphi_{l_1} \circ \text{id}_D$$

(so that  $\varphi_{[]} = \text{id}_D$ ).

# Recap: Interprocedural Dataflow Analysis

## The MVP Solution II

### Definition (MVP solution)

Let  $S = (Lab, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a dataflow system where  $Lab = \{l_1, \dots, l_n\}$ . The **MVP solution** for  $S$  is determined by

$$\text{mvp}(S) := (\text{mvp}(l_1), \dots, \text{mvp}(l_n)) \in D^n$$

where, for every  $l \in Lab$ ,

$$\text{mvp}(l) := \bigsqcup \{ \varphi_\pi(\iota) \mid \pi \in VPath(l) \}.$$

### Corollary

1.  $\text{mvp}(S) \sqsubseteq \text{mop}(S)$
2. *The MVP solution is undecidable.*

### Proof.

1. since  $VPath(l) \subseteq Path(l)$  for every  $l \in Lab$
2. as  $\text{mvp}(S) = \text{mop}(S)$  in intraprocedural case and MOP solution undecidable (Thm. 6.6)  $\square$

# The Interprocedural Fixpoint Solution

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# The Interprocedural Fixpoint Solution

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- **Approach:** represent combined effect of procedure execution by **procedure summaries**  
(to be used in node transfer function of return label)

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  - **Approach:** represent combined effect of procedure execution by **procedure summaries** (to be used in node transfer function of return label)
- ⇒ Two types of functions:
- **Node transfer functions**  $\varphi_l$ :
    - for non-procedural constructs (**skip**, assignments, tests): as before (specific to analysis)
    - for procedure call ( $l_c$ ): parameter passing (specific to analysis)
    - for procedure entry ( $l_n$ ): initialisation (specific to analysis)
    - for procedure exit ( $l_x$ ): always identity
    - for procedure return ( $l_r$ ): combine call context with procedure result obtained from summary function

$$\varphi_{l_r}(d) = \text{combine}(d, \Phi_{l_x}(\varphi_{l_c}(d)))$$

(**combine** specific to analysis)

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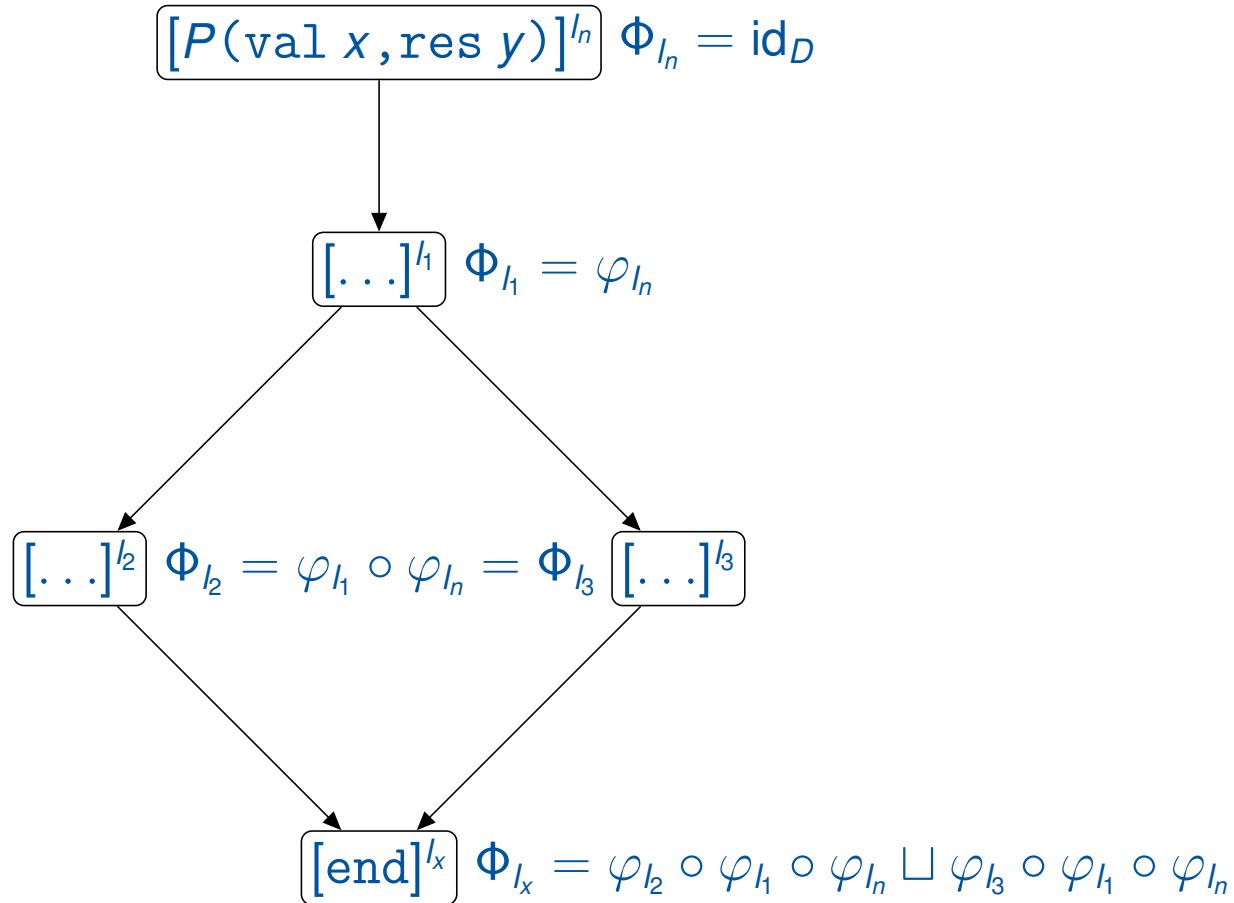
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- **Procedure summary functions**  $\Phi_I$ :
  - for procedure entry ( $I_n$ ): identity
  - for procedure exit ( $I_x$ ): full effect
  - inductively defined by stepwise composition of node transfer functions

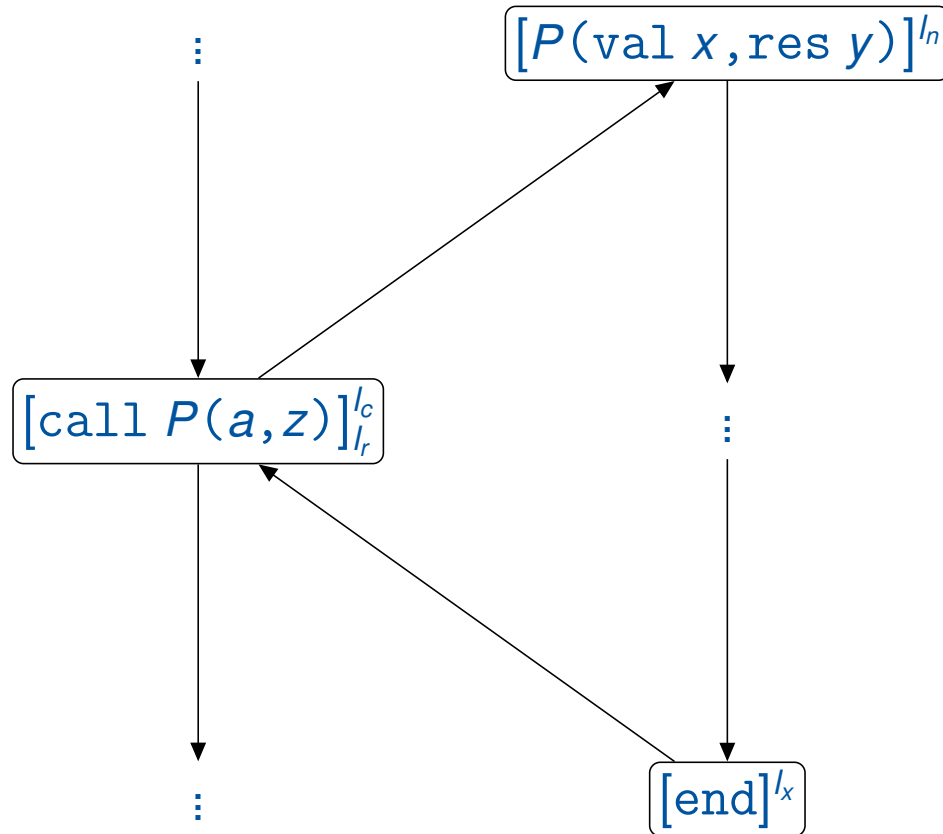
# The Interprocedural Fixpoint Solution

## Procedure Summaries



# The Interprocedural Fixpoint Solution

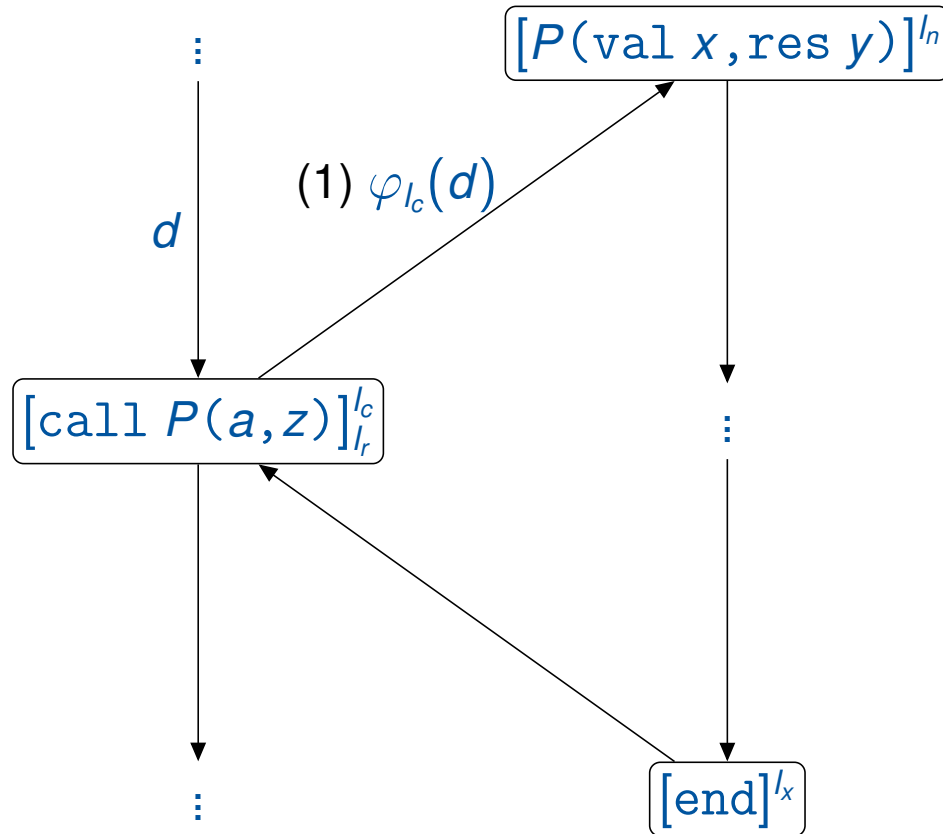
## Information Flow



# The Interprocedural Fixpoint Solution

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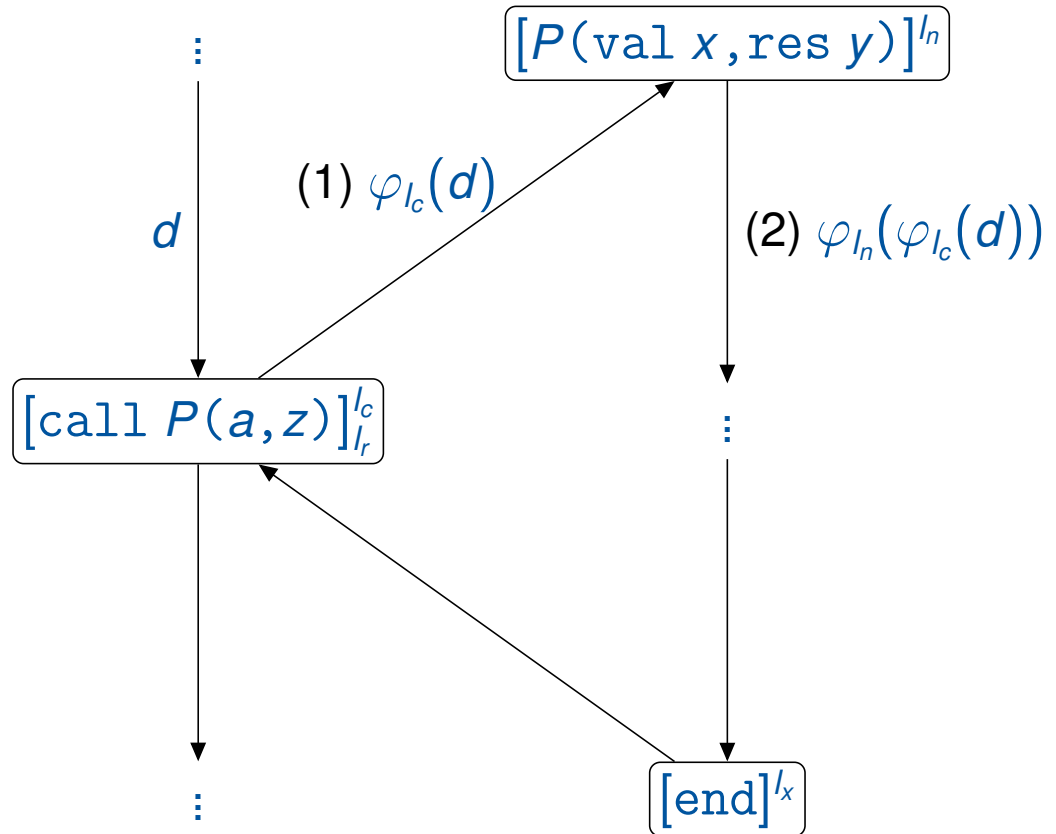
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# The Interprocedural Fixpoint Solution

## Information Flow

1. Parameter passing ( $\varphi_{I_c}$ )
2. Procedure entry ( $\varphi_{I_n}$ )

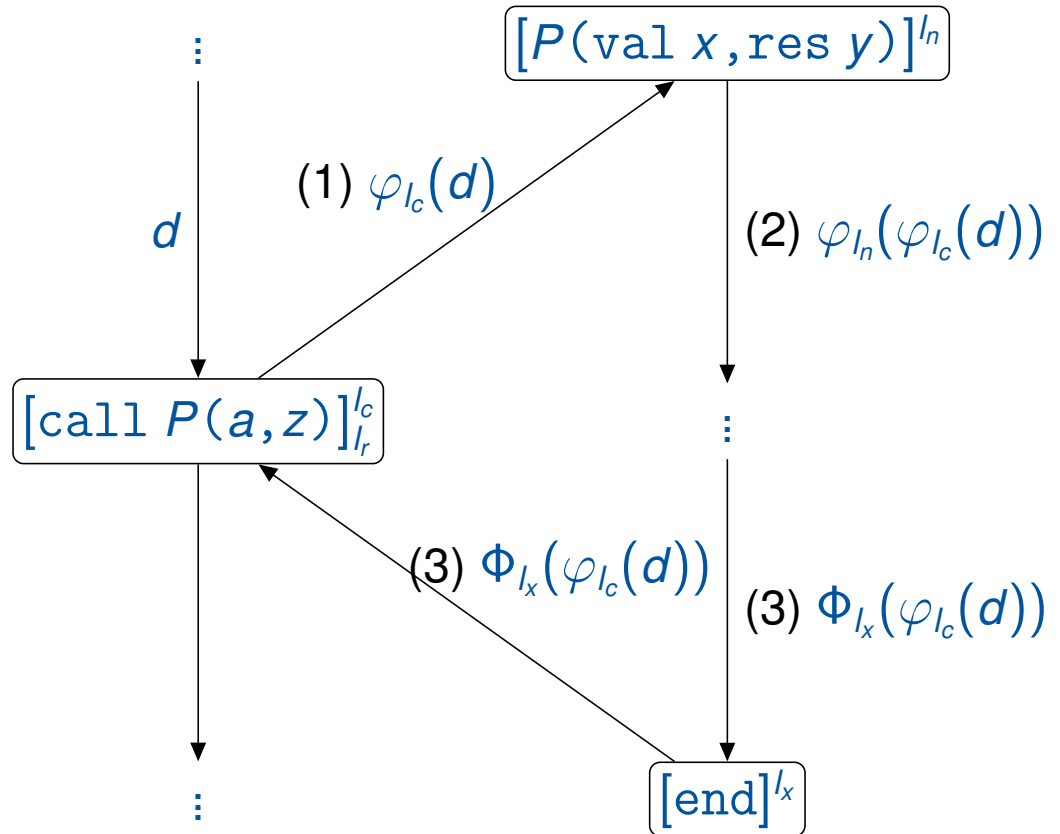




# The Interprocedural Fixpoint Solution

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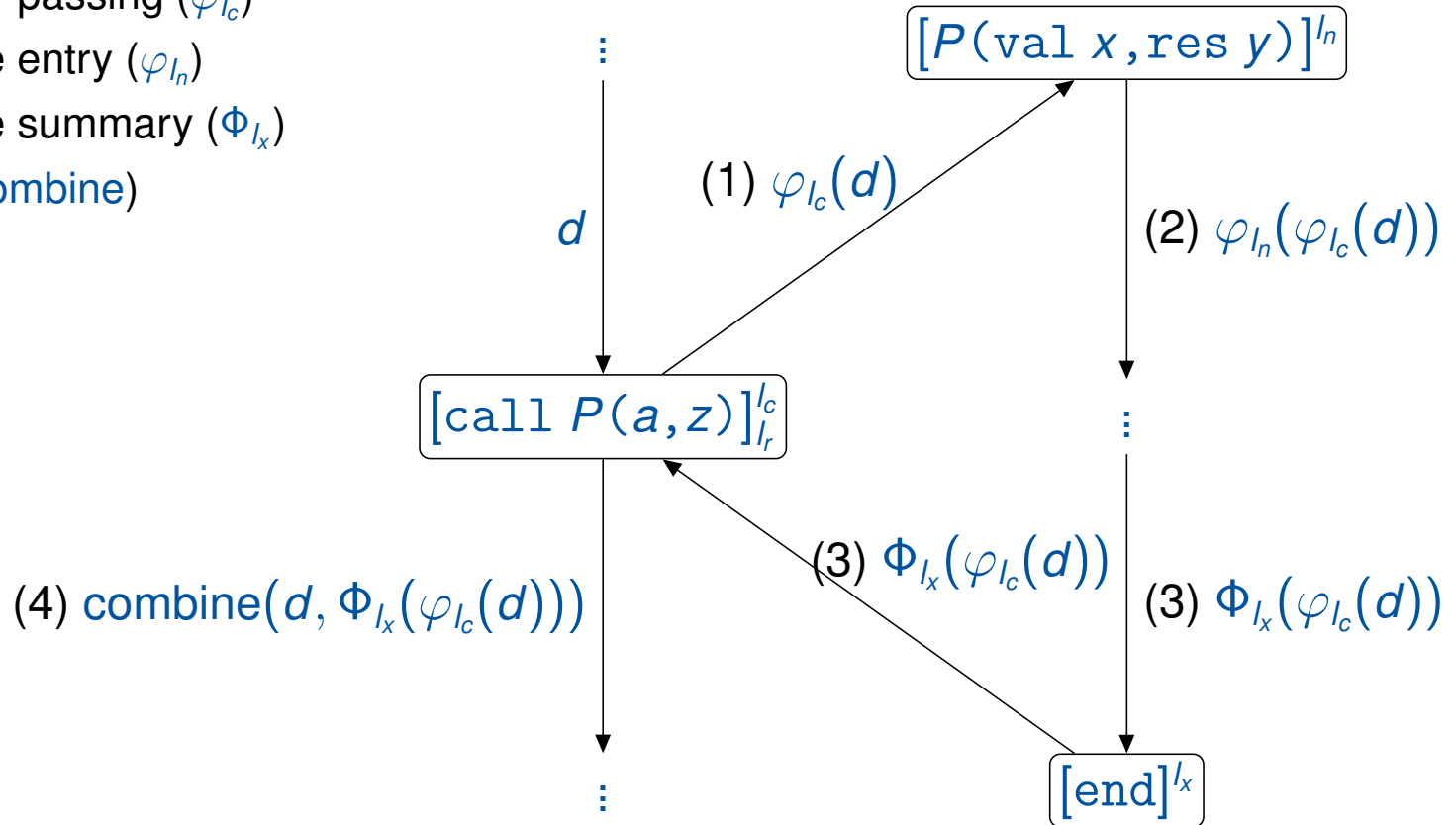
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3. Procedure summary ( $\Phi_{I_x}$ )



# The Interprocedural Fixpoint Solution

## Information Flow

1. Parameter passing ( $\varphi_{I_c}$ )
2. Procedure entry ( $\varphi_{I_n}$ )
3. Procedure summary ( $\Phi_{I_x}$ )
4. Return (**combine**)



# The Interprocedural Fixpoint Solution

## Example: Constant Propagation

### Example 18.1 (Constant Propagation; cf. Lecture 5/6)

$\hat{S} := (Lab, E, F, (D, \sqsubseteq), \iota, \varphi, \Phi)$  is determined by

- $D := \{\delta \mid \delta : Var_c \rightarrow \mathbb{Z} \cup \{\perp, \top\}\}$  (constant/undefined/overdefined)
- $\perp \sqsubseteq z \sqsubseteq \top$  for every  $z \in \mathbb{Z}$
- $\iota := \delta_{\top} \in D$
- For each  $I \in Lab \setminus \{I_c, I_n, I_x, I_r \mid (I_c, I_n, I_x, I_r) \in \text{iflow}\}$ ,

$$\varphi_I(\delta) := \begin{cases} \delta & \text{if } B' = \text{skip or } B' \in BExp \\ \delta[x \mapsto val_{\delta}(a)] & \text{if } B' = (x := a) \end{cases}$$

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- For each  $l \in Lab \setminus \{l_c, l_n, l_x, l_r \mid (l_c, l_n, l_x, l_r) \in \text{iflow}\}$ ,

$$\varphi_l(\delta) := \begin{cases} \delta & \text{if } B^l = \text{skip or } B^l \in BExp \\ \delta[x \mapsto val_{\delta}(a)] & \text{if } B^l = (x := a) \end{cases}$$

- Whenever  $pc$  contains  $[call\ P(a, z)]_{l_r}^{l_c}$  and  $proc\ [P(val\ x, res\ y)]^{l_n}$  is  $c\ [end]^{l_x}$ :
  - **call/entry**: set input and reset output parameter

$$\varphi_{l_c}(\delta) := \delta[x \mapsto val_{\delta}(a), y \mapsto \top], \quad \varphi_{l_n}(\delta) := \delta$$

- **return**: reset parameters ( $x, y$  possibly used in calling context) and set return value

$$\varphi_{l_r}(\delta) := \text{combine}(\delta, \Phi_{l_x}(\varphi_{l_c}(\delta))), \quad \text{combine}(\delta, \delta') := \delta'[x \mapsto \delta(x), y \mapsto \delta(y), z \mapsto \delta'(z)]$$

(note: order of  $\delta'$  updates important in case  $z \in \{x, y\}$ ; definition of  $\Phi$ : later)

# The Equation System

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## Types of Equations

For an interprocedural dataflow system  $\hat{S} := (Lab, E, F, (D, \sqsubseteq), \iota, \varphi, \Phi)$  the **intraprocedural equation system** (cf. Definition 4.3)

$$AI_I = \begin{cases} \iota & \text{if } I \in E \\ \bigsqcup \{ \varphi_{I'}(AI_{I'}) \mid (I', I) \in F \} & \text{otherwise} \end{cases}$$

is **extended** to a system with three kinds of equations (for  $I \in Lab$ ):

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- for actual **dataflow information**:  $AI_I \in D$ 
  - counterpart of intraprocedural  $AI$

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  - counterpart of intraprocedural AI
- for **node transfer functions**:  $\varphi_I : D \rightarrow D$ 
  - extension of intraprocedural transfer functions by handling of procedure calls



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- for actual **dataflow information**:  $AI_I \in D$ 
  - counterpart of intraprocedural  $AI$
- for **node transfer functions**:  $\varphi_I : D \rightarrow D$ 
  - extension of intraprocedural transfer functions by handling of procedure calls
- for **procedure summary functions of complete procedures**:  $\Phi_I : D \rightarrow D$ 
  - $\Phi_I(d)$  yields information at (entry of)  $I$  if corresponding procedure is called with information  $d$
  - thus  $\Phi_{I_n}(d) = d$  and complete procedure effect represented by  $\Phi_{I_x}(d)$

# The Equation System

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## Formal Definition of Equation System

Dataflow equations (for each  $l \in Lab$ ):

$$AI_l = \begin{cases} \perp & \text{if } l \in E \\ AI_{l_c} & \text{if } l = l_r \text{ for some } (l_c, l_n, l_x, l_r) \in \text{iflow} \\ \bigsqcup \{ \varphi_{l'}(AI_{l'}) \mid (l', l) \in F \text{ or } (l'; l) \in F \} & \text{otherwise} \end{cases}$$

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Node transfer functions (for each  $I \in Lab \setminus \{I_x \mid (I_c, I_n, I_x, I_r) \in \text{iflow}\}$ ):

$$\varphi_I(d) = \begin{cases} \text{combine}(d, \Phi_{I_x}(\varphi_{I_c}(d))) & \text{if } I = I_r \text{ for some } (I_c, I_n, I_x, I_r) \in \text{iflow} \\ \text{specific to analysis} & \text{otherwise} \end{cases}$$

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**Procedure summary functions** (for each  $l \in Lab$  occurring in some procedure):

$$\Phi_l(d) = \begin{cases} d & \text{if } l = l_n \text{ for some } (l_c, l_n, l_x, l_r) \in \text{iflow} \\ \Phi_{l_c}(d) & \text{if } l = l_r \text{ for some } (l_c, l_n, l_x, l_r) \in \text{iflow} \\ \bigsqcup \{ \varphi_{l'}(\Phi_{l'}(d)) \mid (l', l) \in F \} & \text{otherwise} \end{cases}$$

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**Note:** introduces recursive equations for  $AI_l$  (of type  $D$ ) and  $\Phi_l$  (of type  $D \rightarrow D$ )

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# The Equation System

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## Solving the Equation System

- Monotonicity of  $\varphi_I$  implies **monotonicity** of  $\Phi_I$  ( $\Phi_I : D \rightarrow_{\text{mon}} D$ )

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## Solving the Equation System

- Monotonicity of  $\varphi_I$  implies **monotonicity** of  $\Phi_I$  ( $\Phi_I : D \rightarrow_{\text{mon}} D$ )
- Complete lattice property of  $(D, \sqsubseteq)$  ensures that  $(D \rightarrow_{\text{mon}} D, \sqsubseteq)$  is **complete lattice**
  - $\Phi_1 \sqsubseteq \Phi_2$  iff  $\forall d \in D : \Phi_1(d) \sqsubseteq \Phi_2(d)$  (pointwise extension)

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- Equation system induces **monotonic functional on complete lattice**

$$\Psi_{\hat{S}} : \underbrace{D^n}_{\text{AI}} \times \underbrace{(D \rightarrow_{\text{mon}} D)^m}_{\Phi} \rightarrow D^n \times (D \rightarrow_{\text{mon}} D)^m$$

where  $n = |\text{Lab}|$  and  $m \leq n$  (procedure labels)



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where  $n = |Lab|$  and  $m \leq n$  (procedure labels)

- Thus least solution effectively computable by **fixpoint iteration** (Theorem 3.15):

$$\text{fix}(\Psi_{\hat{S}}) = \bigsqcup \{ \Psi_{\hat{S}}^k(\perp) \mid k \in \mathbb{N} \} \in D^n \times (D \rightarrow_{\text{mon}} D)^m$$

where  $\perp = (\perp_D^n, [d \mapsto \perp_D \mid d \in D]^m)$

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where  $\perp = (\perp_D^n, [d \mapsto \perp_D \mid d \in D]^m)$

- Moreover:
  - $(D \rightarrow_{\text{mon}} D, \sqsubseteq)$  satisfies **ACC** if  $(D, \sqsubseteq)$  satisfies ACC
  - $\Phi_l$  **distributive** if  $\varphi_l$  distributive

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  - $\Phi_1 \sqsubseteq \Phi_2$  iff  $\forall d \in D : \Phi_1(d) \sqsubseteq \Phi_2(d)$  (pointwise extension)
- Equation system induces **monotonic functional on complete lattice**

$$\Psi_{\hat{S}} : \underbrace{D^n}_{AI} \times \underbrace{(D \rightarrow_{\text{mon}} D)^m}_{\Phi} \rightarrow D^n \times (D \rightarrow_{\text{mon}} D)^m$$

where  $n = |Lab|$  and  $m \leq n$  (procedure labels)

- Thus least solution effectively computable by **fixpoint iteration** (Theorem 3.15):

$$\text{fix}(\Psi_{\hat{S}}) = \bigsqcup \{ \Psi_{\hat{S}}^k(\perp) \mid k \in \mathbb{N} \} \in D^n \times (D \rightarrow_{\text{mon}} D)^m$$

where  $\perp = (\perp_D^n, [d \mapsto \perp_D \mid d \in D]^m)$

- Moreover:
  - $(D \rightarrow_{\text{mon}} D, \sqsubseteq)$  satisfies **ACC** if  $(D, \sqsubseteq)$  satisfies ACC
  - $\Phi_l$  **distributive** if  $\varphi_l$  distributive
- **Problem: effective and efficient representation** of procedure summaries
  - $D$  finite  $\implies D \rightarrow_{\text{mon}} D$  finite  $\implies$  can use (compact representation of) value tables
  - often: demand-driven approach (restrict analysis to values occurring in calls)
  - example: Constant Propagation (see following slide)

# The Equation System

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## Example of Equation System

### Example 18.2 (Constant Propagation)

Program:

```
proc [P(val x, res y)]1 is
  [y := 2*(x-1)]2;
[end]3;
[call P(2, z)]4;
[call P(z, z)]6;
[skip]8
```

# The Equation System

## Example of Equation System

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```

Dataflow equations:

$$AI_1 = \varphi_4(AI_4) \sqcup \varphi_6(AI_6)$$
$$AI_2 = \varphi_1(AI_1)$$
$$AI_3 = \varphi_2(AI_2)$$
$$AI_4 = \iota = [x, y, z \mapsto \top]$$
$$AI_5 = AI_4$$
$$AI_6 = \varphi_5(AI_5)$$
$$AI_7 = AI_6$$
$$AI_8 = \varphi_7(AI_7)$$

# The Equation System

## Example of Equation System

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Node transfer functions:

$$\begin{aligned} \varphi_1(\delta) &= \delta \\ \varphi_2(\delta) &= \delta[y \mapsto \text{val}_\delta(2*(x-1))] \\ \varphi_4(\delta) &= \delta[x \mapsto 2, y \mapsto \top] \\ \varphi_5(\delta) &= \text{combine}(\delta, \Phi_3(\varphi_4(\delta))) \\ \varphi_6(\delta) &= \delta[x \mapsto \delta(z), y \mapsto \top] \\ \varphi_7(\delta) &= \text{combine}(\delta, \Phi_3(\varphi_6(\delta))) \\ \text{combine}(\delta, \delta') &= \delta'[x \mapsto \delta(x), y \mapsto \delta(y), z \mapsto \delta'(y)] \end{aligned}$$

# The Equation System

## Example of Equation System

### Example 18.2 (Constant Propagation)

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proc [P(val x, res y)]1 is
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Node transfer functions:

$$\begin{aligned} \varphi_1(\delta) &= \delta \\ \varphi_2(\delta) &= \delta[y \mapsto val_\delta(2*(x-1))] \\ \varphi_4(\delta) &= \delta[x \mapsto 2, y \mapsto \top] \\ \varphi_5(\delta) &= \text{combine}(\delta, \Phi_3(\varphi_4(\delta))) \\ \varphi_6(\delta) &= \delta[x \mapsto \delta(z), y \mapsto \top] \\ \varphi_7(\delta) &= \text{combine}(\delta, \Phi_3(\varphi_6(\delta))) \\ \text{combine}(\delta, \delta') &= \delta'[x \mapsto \delta(x), y \mapsto \delta(y), z \mapsto \delta'(y)] \end{aligned}$$

Procedure summary functions:

$$\begin{aligned} \Phi_1(\delta) &= \delta \\ \Phi_2(\delta) &= \varphi_1(\Phi_1(\delta)) = \delta \\ \Phi_3(\delta) &= \varphi_2(\Phi_2(\delta)) = \delta[y \mapsto val_\delta(2*(x-1))] \end{aligned}$$

# The Equation System

## Example of Equation System

### Example 18.2 (Constant Propagation)

Program:

```
proc [P(val x, res y)]1 is
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Procedure summary functions:

$$\begin{aligned} \Phi_1(\delta) &= \delta \\ \Phi_2(\delta) &= \varphi_1(\Phi_1(\delta)) = \delta \\ \Phi_3(\delta) &= \varphi_2(\Phi_2(\delta)) = \delta[y \mapsto val_\delta(2*(x-1))] \end{aligned}$$

Fixpoint iteration: on the board



# Correctness of Fixpoint Solution

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## Outline of Lecture 18

Recap: Interprocedural Dataflow Analysis

The Interprocedural Fixpoint Solution

The Equation System

Correctness of Fixpoint Solution

# Correctness of Fixpoint Solution

## Soundness and Completeness

The following results carry over from the intraprocedural case:

### Theorem 18.3

Let  $S := (Lab, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a dataflow system with interprocedural extension  $\hat{S} := (Lab, E, F, (D, \sqsubseteq), \iota, \varphi, \Phi)$  with MVP solution  $\text{mvp}(S)$  (Def. 17.9) and fixpoint solution  $\text{fix}(\Psi_{\hat{S}}) = (d_1, \dots, d_n, f_1, \dots, f_m)$ . Then:

1. (cf. Theorem 6.2)

$$\text{mvp}(S) \sqsubseteq^n (d_1, \dots, d_n)$$

2. (cf. Theorem 6.5)

$$\text{mvp}(S) = (d_1, \dots, d_n) \text{ if all } \varphi_l \text{ are distributive}$$

### Proof.

see J. Knoop, B. Steffen: *The Interprocedural Coincidence Theorem*, Proc. CC '92, LNCS 641, Springer, 1992, 125–140<sup>1</sup> □

<sup>1</sup>[https://link.springer.com/chapter/10.1007/3-540-55984-1\\_13](https://link.springer.com/chapter/10.1007/3-540-55984-1_13)