



Static Program Analysis

Lecture 11: Abstract Interpretation II (Safe Approximation)

Summer Semester 2018

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<https://moves.rwth-aachen.de/teaching/ss-18/spa/>



Vortrag und Diskussion

Process Science and Data Science: A Match Made in Heaven!

Prof. Dr. Wil van der Aalst

Freitag | 08. Juni 2018 | 15.30 - 16.45 Uhr | Aula 2 der RWTH | Ahornstr. 55

Eintritt frei. Anmeldung nicht erforderlich. Der Vortrag findet im Rahmen des Sommerfests der Informatik der RWTH statt. Bitte pünktlich erscheinen.

The Process and Data Science (PADS) group, headed by prof.dr.ir. Wil van der Aalst, is a new research unit in RWTH's Department of Computer Science. The scope of PADS includes all activities where discrete processes are analyzed, reengineered, and/or supported in a data-driven manner. Process-centricity is combined with an array of Data Science techniques.

This talk will introduce Process Mining as a novel way to turn event data into valuable insights, predictions, and decisions. Events refer to

activities executed by resources at particular times and for particular cases. Such event data are collected everywhere; in logistics, manufacturing, finance, healthcare, customer relationship management, e-learning, e-government, and many other domains. Process Mining can be used to discover the real processes, to detect deviations from normative processes, and to analyze bottlenecks and waste.

The interplay between Process Science and Data Science generates many interesting research problems.

For example, how to deal with terabytes of event data scattered over dozens of database tables? How to use process mining responsibly (e.g., ensure fairness and confidentiality)? How to amalgamate Process Mining with Operations Research approaches (optimization, simulation, etc.)? These questions are scientifically interesting and practically relevant. This is illustrated by the 25+ commercial Process Mining tools based on the ideas developed by prof. van der Aalst and his colleagues. His talk will show different tools and their use in a variety of domains.

In Kooperation mit Fachgruppe Informatik, der Regionalgruppe der Gesellschaft für Informatik (RIA) und des Regionalen Industrieclubs Informatik Aachen (Regina e.V.)

Recap: Galois Connections

Outline of Lecture 11

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Recap: Galois Connections

Galois Connections

Definition (Galois connection)

Let (L, \sqsubseteq_L) and (M, \sqsubseteq_M) be complete lattices. A pair (α, γ) of monotonic functions

$$\alpha : L \rightarrow M \quad \text{and} \quad \gamma : M \rightarrow L$$

is called a **Galois connection** if

$$\forall l \in L : l \sqsubseteq_L \gamma(\alpha(l)) \quad \text{and} \quad \forall m \in M : \alpha(\gamma(m)) \sqsubseteq_M m$$



Evariste Galois
(1811–1832)

Interpretation:

- $L = \{\text{sets of concrete values}\}$, $M = \{\text{sets of abstract values}\}$
- $\alpha = \text{abstraction function}$, $\gamma = \text{concretisation function}$
- $l \sqsubseteq_L \gamma(\alpha(l))$: α yields over-approximation
- $\alpha(\gamma(m)) \sqsubseteq_M m$: no loss of precision by abstraction after concretisation
- Usually: $l \neq \gamma(\alpha(l))$, $\alpha(\gamma(m)) = m$ (“Galois insertion”)

Recap: Galois Connections

Properties of Galois Connections

Lemma

Let (α, γ) be a Galois connection with $\alpha : L \rightarrow M$ and $\gamma : M \rightarrow L$, and let $I \in L$, $m \in M$, $L' \subseteq L$, $M' \subseteq M$.

1. $\alpha(I) \sqsubseteq_M m \iff I \sqsubseteq_L \gamma(m)$
2. γ is **uniquely determined by** α as follows: $\gamma(m) = \bigsqcup \{I \in L \mid \alpha(I) \sqsubseteq_M m\}$
3. α is **uniquely determined by** γ as follows: $\alpha(I) = \bigsqcap \{m \in M \mid I \sqsubseteq_L \gamma(m)\}$
4. α is **completely distributive**: for every $L' \subseteq L$, $\alpha(\bigsqcup L') = \bigsqcup \{\alpha(I) \mid I \in L'\}$
5. γ is **completely multiplicative**: for every $M' \subseteq M$, $\gamma(\bigsqcap M') = \bigsqcap \{\gamma(m) \mid m \in M'\}$

Proof.

on the board



Recap: Concrete Semantics of WHILE Programs

Outline of Lecture 11

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

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Examples

Recap: Concrete Semantics of WHILE Programs

Execution of Statements I

Definition (Execution relation for statements)

If $c \in \text{Cmd}_\downarrow$ for $\text{TCmd} := \text{Cmd} \cup \{\downarrow\}$ and $\sigma \in \Sigma$, then $\langle c, \sigma \rangle$ is called a **configuration**. The **execution relation**

$$\rightarrow \subseteq (\text{Cmd} \times \Sigma) \times (\text{Cmd}_\downarrow \times \Sigma)$$

is defined by the following rules:

$$\text{(skip)} \frac{}{\langle \text{skip}, \sigma \rangle \rightarrow \langle \downarrow, \sigma \rangle}$$

$$\text{(asgn)} \frac{}{\langle x := a, \sigma \rangle \rightarrow \langle \downarrow, \sigma[x \mapsto \text{val}_\sigma(a)] \rangle}$$

$$\text{(seq1)} \frac{\langle c_1, \sigma \rangle \rightarrow \langle c'_1, \sigma' \rangle \quad c'_1 \neq \downarrow}{\langle c_1 ; c_2, \sigma \rangle \rightarrow \langle c'_1 ; c_2, \sigma' \rangle}$$

$$\text{(seq2)} \frac{\langle c_1, \sigma \rangle \rightarrow \langle \downarrow, \sigma' \rangle}{\langle c_1 ; c_2, \sigma \rangle \rightarrow \langle c_2, \sigma' \rangle}$$

Recap: Concrete Semantics of WHILE Programs

Execution of Statements II

Definition (Execution relation for statements; continued)

$$\text{(if1)} \frac{val_{\sigma}(b) = \text{true}}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}, \sigma \rangle \rightarrow \langle c_1, \sigma \rangle}$$

$$\text{(if2)} \frac{val_{\sigma}(b) = \text{false}}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}, \sigma \rangle \rightarrow \langle c_2, \sigma \rangle}$$

$$\text{(wh1)} \frac{val_{\sigma}(b) = \text{true}}{\langle \text{while } b \text{ do } c \text{ end}, \sigma \rangle \rightarrow \langle c; \text{while } b \text{ do } c \text{ end}, \sigma \rangle}$$

$$\text{(wh2)} \frac{val_{\sigma}(b) = \text{false}}{\langle \text{while } b \text{ do } c \text{ end}, \sigma \rangle \rightarrow \langle \downarrow, \sigma \rangle}$$

Remark: \downarrow indicates **successful termination** of the program

Recap: Concrete Semantics of WHILE Programs

Determinism Property of Execution Relation

This operational semantics is well defined in the following sense:

Theorem

*The execution relation for statements is **deterministic**, i.e., whenever $c \in \text{Cmd}$, $\sigma \in \Sigma$ and $\kappa_1, \kappa_2 \in \text{Cmd}_\downarrow \times \Sigma$ such that $\langle c, \sigma \rangle \rightarrow \kappa_1$ and $\langle c, \sigma \rangle \rightarrow \kappa_2$, then $\kappa_1 = \kappa_2$.*

Proof.

omitted □

Safe Approximation of Functions

Outline of Lecture 11

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Safe Approximation of Functions

Safe Approximation of Functions I

Definition 11.1 (Safe approximation)

Let (α, γ) be a Galois connection with $\alpha : L \rightarrow M$ and $\gamma : M \rightarrow L$, and let $f : L^n \rightarrow L$ and $f^\# : M^n \rightarrow M$ be functions of rank $n \in \mathbb{N}$. Then $f^\#$ is called a **safe approximation** of f if, whenever $m_1, \dots, m_n \in M$,

$$\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n).$$

Moreover, $f^\#$ is called **most precise** if the reverse inclusion is also true.

Abstract		Concrete
\vec{m}	$\xrightarrow{\gamma}$	$\gamma(\vec{m})$
$\downarrow f^\#$		$\downarrow f$
$f^\#(\vec{m}) \sqsupseteq \alpha(f(\gamma(\vec{m})))$	$\xleftarrow{\alpha}$	$f(\gamma(\vec{m}))$

Safe Approximation of Functions

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- **Interpretation:** the abstraction $f^\#$ covers all concrete f -results
- **Note:** monotonicity of f or $f^\#$ is *not* required (but usually given; see Lemma 11.3)

Safe Approximation of Functions II

Example 11.2 (Safeness: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$)

1. Parity abstraction (cf. Example 10.2): $L = (2^{\mathbb{Z}}, \subseteq)$, $M = (2^{\{\text{even}, \text{odd}\}}, \subseteq)$
 - $n = 0$: for $f = \text{one} \subseteq 2^{\mathbb{Z}} : () \mapsto \{1\}$,
 - $\text{one}^\#() = \{\text{odd}\}$ is most precise: $\alpha(\{1\}) = \{\text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}, \text{odd}\}$ is (only) safe: $\alpha(\{1\}) = \{\text{odd}\} \subsetneq \{\text{even}, \text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}\}$ is unsafe: $\alpha(\{1\}) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \text{one}^\#()$

Safe Approximation of Functions II

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 - $\text{one}^\#() = \{\text{even}\}$ is unsafe: $\alpha(\{1\}) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \text{one}^\#()$
 - $n = 1$: for $f = \text{dec} : 2^{\mathbb{Z}} \rightarrow 2^{\mathbb{Z}} : Z \mapsto \{z - 1 \mid z \in Z\}$,
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}\}$ is most precise: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}, \text{even}\}$ is (only) safe:
 $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \subsetneq \{\text{odd}, \text{even}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \emptyset$ is unsafe: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \not\subseteq \emptyset = \text{dec}^\#(\{\text{even}\})$

Safe Approximation of Functions

Safe Approximation of Functions II

Example 11.2 (Safeness: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$)

1. Parity abstraction (cf. Example 10.2): $L = (2^{\mathbb{Z}}, \subseteq)$, $M = (2^{\{\text{even}, \text{odd}\}}, \subseteq)$

- $n = 0$: for $f = \text{one} \subseteq 2^{\mathbb{Z}} : () \mapsto \{1\}$,
 - $\text{one}^\#() = \{\text{odd}\}$ is most precise: $\alpha(\{1\}) = \{\text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}, \text{odd}\}$ is (only) safe: $\alpha(\{1\}) = \{\text{odd}\} \subsetneq \{\text{even}, \text{odd}\} = \text{one}^\#()$
 - $\text{one}^\#() = \{\text{even}\}$ is unsafe: $\alpha(\{1\}) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \text{one}^\#()$
- $n = 1$: for $f = \text{dec} : 2^{\mathbb{Z}} \rightarrow 2^{\mathbb{Z}} : Z \mapsto \{z - 1 \mid z \in Z\}$,
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}\}$ is most precise: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \{\text{odd}, \text{even}\}$ is (only) safe:
 $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \subsetneq \{\text{odd}, \text{even}\} = \text{dec}^\#(\{\text{even}\})$
 - $\text{dec}^\#(\{\text{even}\}) = \emptyset$ is unsafe: $\alpha(\text{dec}(\gamma(\{\text{even}\}))) = \{\text{odd}\} \not\subseteq \emptyset = \text{dec}^\#(\{\text{even}\})$
- $n = 2$: for $f = + : 2^{\mathbb{Z}} \times 2^{\mathbb{Z}} \rightarrow 2^{\mathbb{Z}} : (z_1, z_2) \mapsto z_1 + z_2$,
 - $\{\text{even}\} +^\# \{\text{odd}\} = \{\text{odd}\}$ is m.p.: $\alpha(\gamma(\{\text{even}\}) + \gamma(\{\text{odd}\})) = \{\text{odd}\} = \{\text{even}\} +^\# \{\text{odd}\}$
 - $\{\text{even}\} +^\# \{\text{odd}\} = \{\text{even}, \text{odd}\}$ is (only) safe:
 $\alpha(\gamma(\{\text{even}\}) + \gamma(\{\text{odd}\})) = \{\text{odd}\} \subsetneq \{\text{even}, \text{odd}\} = \{\text{even}\} +^\# \{\text{odd}\}$
 - $\{\text{even}\} +^\# \{\text{odd}\} = \{\text{even}\}$ is unsafe:
 $\alpha(\gamma(\{\text{even}\}) + \gamma(\{\text{odd}\})) = \{\text{odd}\} \not\subseteq \{\text{even}\} = \{\text{even}\} +^\# \{\text{odd}\}$

Safe Approximation of Functions

Safe Approximation of Functions III

Reminder: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$

Example 11.2 (continued)

Most precise approximations (with $L = (2^{\mathbb{Z}}, \subseteq)$):

2. Sign abstraction (cf. Example 10.3): $M = (2^{\{+, -, 0\}}, \subseteq)$

- $n = 0$: $\text{one}^\#() = \{+\}$
- $n = 1$: $\text{dec}^\#(\{+\}) = \{+, 0\}$, $-^\#(\{+\}) = \{-\}$
- $n = 2$: $\{+\} +^\# \{+\} = \{+\}$, $\{+\} -^\# \{+\} = \{+, -, 0\}$, $\{+\} \cdot^\# \{-\} = \{-\}$

Safe Approximation of Functions III

Reminder: $\alpha(f(\gamma(m_1), \dots, \gamma(m_n))) \sqsubseteq_M f^\#(m_1, \dots, m_n)$

Example 11.2 (continued)

Most precise approximations (with $L = (2^{\mathbb{Z}}, \subseteq)$):

2. Sign abstraction (cf. Example 10.3): $M = (2^{\{+, -, 0\}}, \subseteq)$

- $n = 0$: $\text{one}^\#() = \{+\}$
- $n = 1$: $\text{dec}^\#(\{+\}) = \{+, 0\}$, $-^\#(\{+\}) = \{-\}$
- $n = 2$: $\{+\} +^\# \{+\} = \{+\}$, $\{+\} -^\# \{+\} = \{+, -, 0\}$, $\{+\} \cdot^\# \{-\} = \{-\}$

3. Interval abstraction (cf. Example 10.4): $M = ((\mathbb{Z} \cup \{-\infty\}) \times (\mathbb{Z} \cup \{+\infty\}) \cup \{\emptyset\}, \subseteq)$

- $n = 0$: $\text{one}^\#() = [1, 1]$
- $n = 1$: $\text{dec}^\#([z_1, z_2]) = [z_1 - 1, z_2 - 1]$, $-^\#([z_1, z_2]) = [-z_2, -z_1]$
- $n = 2$: $[y_1, y_2] +^\# [z_1, z_2] = [y_1 + z_1, y_2 + z_2]$
 $[y_1, y_2] -^\# [z_1, z_2] = [y_1 - z_2, y_2 - z_1]$
 $[y_1, y_2] \cdot^\# [z_1, z_2] = [\bigcap\{y_1 z_1, y_1 z_2, y_2 z_1, y_2 z_2\}, \bigcup\{y_1 z_1, y_1 z_2, y_2 z_1, y_2 z_2\}]$

(thus, $+^\#/-^\#/\cdot^\# = \oplus/\ominus/\odot$ from Slide 7.8)

Safe Approximation of Functions IV

Lemma 11.3

If $f : L^n \rightarrow L$ and $f^\# : M^n \rightarrow M$ are monotonic, then $f^\#$ is a safe approximation of f iff, for all $l_1, \dots, l_n \in L$,

$$\alpha(f(l_1, \dots, l_n)) \sqsubseteq_M f^\#(\alpha(l_1), \dots, \alpha(l_n)).$$

Safe Approximation of Functions

Safe Approximation of Functions IV

Lemma 11.3

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Proof.

on the board



Safe Approximation of Execution Relations

Outline of Lecture 11

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Encoding Execution Relations by Transition Functions I

- **Reminder: concrete semantics** of WHILE
 - **statements** $\text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end} \mid \text{while } b \text{ do } c \text{ end} \in \text{Cmd}$
 - **states** $\Sigma := \{\sigma \mid \sigma : \text{Var} \rightarrow \mathbb{Z}\}$ (Definition 10.6)
 - **execution relation** $\rightarrow \subseteq (\text{Cmd} \times \Sigma) \times ((\text{Cmd} \cup \{\downarrow\}) \times \Sigma)$ (Definition 10.9)

Safe Approximation of Execution Relations

Encoding Execution Relations by Transition Functions I

- **Reminder: concrete semantics** of WHILE
 - **statements** $\text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end} \mid \text{while } b \text{ do } c \text{ end} \in \text{Cmd}$
 - **states** $\Sigma := \{\sigma \mid \sigma : \text{Var} \rightarrow \mathbb{Z}\}$ (Definition 10.6)
 - **execution relation** $\rightarrow \subseteq (\text{Cmd} \times \Sigma) \times ((\text{Cmd} \cup \{\downarrow\}) \times \Sigma)$ (Definition 10.9)
- Yields **concrete domain** $L := (2^\Sigma, \subseteq)$ and concrete transition function:

Definition 11.4 (Concrete transition function)

The **concrete transition function** of WHILE is defined by the family of functions

$$\text{next}_{c,c'} : 2^\Sigma \rightarrow 2^\Sigma$$

where $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$ and, for every $S \subseteq \Sigma$,

$$\text{next}_{c,c'}(S) := \{\sigma' \in \Sigma \mid \exists \sigma \in S : \langle c, \sigma \rangle \rightarrow \langle c', \sigma' \rangle\}.$$

Encoding Execution Relations by Transition Functions II

Remarks: `next` satisfies the following properties

- “**Determinism**” (cf. Theorem 10.11):
 - for all $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$ and $\sigma \in \Sigma$, $|\text{next}_{c,c'}(\{\sigma\})| \leq 1$
 - for all $c \in \text{Cmd}$ and $\sigma \in \Sigma$ there exists exactly one $c' \in \text{Cmd} \cup \{\downarrow\}$ such that $\text{next}_{c,c'}(\{\sigma\}) \neq \emptyset$

Encoding Execution Relations by Transition Functions II

Remarks: `next` satisfies the following properties

- “**Determinism**” (cf. Theorem 10.11):
 - for all $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$ and $\sigma \in \Sigma$, $|\text{next}_{c,c'}(\{\sigma\})| \leq 1$
 - for all $c \in \text{Cmd}$ and $\sigma \in \Sigma$ there exists exactly one $c' \in \text{Cmd} \cup \{\downarrow\}$ such that $\text{next}_{c,c'}(\{\sigma\}) \neq \emptyset$
- When is $\text{next}_{c,c'}(S) = \emptyset$? Possible reasons:
 1. $S = \emptyset$
 2. c' is not a possible successor statement of c , e.g.,
 - $c = (x := 0)$
 - $c' = \text{skip}$
 3. c' is unreachable for all $\sigma \in S$, e.g.,
 - $c = (\text{if } x = 0 \text{ then } x := 1 \text{ else skip end})$
 - $c' = \text{skip}$
 - $\sigma(x) = 0$ for each $\sigma \in S$

Safe Approximation of Execution Relations

Safe Approximation of Execution Relations

Reminder: abstraction determined by **Galois connection** (α, γ) with $\alpha : L \rightarrow M$,
 $\gamma : M \rightarrow L$

- here: $L := 2^\Sigma$, M not fixed
- often $M = \text{Var} \rightarrow \dots$ (more efficient) or $M = 2^{\text{Var} \rightarrow \dots}$ (more precise)
- write Abs in place of M
- thus $\alpha : 2^\Sigma \rightarrow Abs$ and $\gamma : Abs \rightarrow 2^\Sigma$

Safe Approximation of Execution Relations

Safe Approximation of Execution Relations

Reminder: abstraction determined by **Galois connection** (α, γ) with $\alpha : L \rightarrow M$, $\gamma : M \rightarrow L$

- here: $L := 2^\Sigma$, M not fixed
- often $M = \text{Var} \rightarrow \dots$ (more efficient) or $M = 2^{\text{Var} \rightarrow \dots}$ (more precise)
- write Abs in place of M
- thus $\alpha : 2^\Sigma \rightarrow Abs$ and $\gamma : Abs \rightarrow 2^\Sigma$

Definition 11.5 (Abstract semantics of WHILE)

Given $\alpha : 2^\Sigma \rightarrow Abs$, an **abstract semantics** is defined by a family of functions

$$\text{next}_{c,c'}^\# : Abs \rightarrow Abs$$

where $c \in \text{Cmd}$, $c' \in \text{Cmd} \cup \{\downarrow\}$, and each $\text{next}_{c,c'}^\#$ is a safe approximation of $\text{next}_{c,c'}$, i.e.,

$$\alpha(\text{next}_{c,c'}(\gamma(abs))) \sqsubseteq_{Abs} \text{next}_{c,c'}^\#(abs)$$

for every $abs \in Abs$ (notation: $\langle c, abs \rangle \Rightarrow \langle c', abs' \rangle$ for $\text{next}_{c,c'}^\#(abs) = abs'$).

Examples

Outline of Lecture 11

Recap: Galois Connections

Recap: Concrete Semantics of WHILE Programs

Safe Approximation of Functions

Safe Approximation of Execution Relations

Examples

Examples

Example: Parity Abstraction

Example 11.6 (Parity abstraction (cf. Example 10.2))

- $Var = \{n\}$
- $Abs = 2^{Var \rightarrow \{\text{even}, \text{odd}\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{\text{even}, \text{odd}\}$

Examples

Example: Parity Abstraction

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- $Var = \{n\}$
- $Abs = 2^{Var \rightarrow \{\text{even}, \text{odd}\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{\text{even}, \text{odd}\}$
- Some abstract transitions:

$$\langle n := 3 * n + 1, \{[n \mapsto \text{odd}]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto \text{even}]\} \rangle$$

Examples

Example: Parity Abstraction

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Example 11.6 (Parity abstraction (cf. Example 10.2))

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- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$
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$$\langle n := 2 * n + 1, \{[n \mapsto even], [n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

Examples

Example: Parity Abstraction

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- $Var = \{n\}$
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$$\langle n := 2 * n + 1, \{[n \mapsto even], [n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle c; \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle$$

Examples

Example: Parity Abstraction

Example 11.6 (Parity abstraction (cf. Example 10.2))

- $Var = \{n\}$
- $Abs = 2^{Var \rightarrow \{even, odd\}}$
- Notation: $[n \mapsto p] \in abs \in Abs$ for $p \in \{even, odd\}$
- Some abstract transitions:

$$\langle n := 3 * n + 1, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto even]\} \rangle$$

$$\langle n := 2 * n + 1, \{[n \mapsto even], [n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle \downarrow, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle \Rightarrow \langle c; \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto odd]\} \rangle$$

$$\langle \text{while } \neg(n=1) \text{ do } c \text{ end}, \{[n \mapsto even]\} \rangle \Rightarrow \langle \downarrow, \emptyset \rangle$$

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Example: Hailstone Sequences

Example 11.7 (Hailstone Sequences)

```
[skip]1;  
while  $[\neg(n = 1)]^2$  do  
  if  $[\text{even}(n)]^3$  then  
     $[n := n / 2]^4$ ;  $[\text{skip}]^5$   
  else  
     $[n := 3 * n + 1]^6$ ;  $[\text{skip}]^7$   
  end  
end
```

- `skip` statements only for labels
- abstract transition system for $\sigma(n) \in \mathbb{Z}_{\text{odd}}$:
on the board
- formal derivation later

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while [¬(n = 1)]2 do  
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    [n := 3 * n + 1]6; [skip]7  
  end  
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```

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- **Collatz Conjecture**: given any $n > 0$, the program finally returns 1
(that is, every Hailstone Sequence terminates)
- aka $3n + 1$ Conjecture, Ulam Conjecture, Kakutani's Problem, Thwaites' Conjecture, Hasse's Algorithm, or Syracuse Problem
- Generally assumed to be true (experimental evidence, heuristic arguments)
- Latest (faulty) proof attempt by Gerhard Opfer from Hamburg University (2011)