



# Static Program Analysis

Lecture 1: Introduction to Program Analysis

Summer Semester 2018

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Software Modeling and Verification Group

RWTH Aachen University

<https://moves.rwth-aachen.de/teaching/ss-18/spa/>

# Preliminaries

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## Outline of Lecture 1

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The Imperative Model Language WHILE

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Additional Literature

## People

- Lectures:
  - **Thomas Noll** ([noll@cs.rwth-aachen.de](mailto:noll@cs.rwth-aachen.de))
- Exercise classes:
  - **Christoph Matheja** ([matheja@cs.rwth-aachen.de](mailto:matheja@cs.rwth-aachen.de))
- Student assistant:
  - **Hannah Arndt**

## Target Audience

- **MSc Informatik:**
  - Theoretische Informatik
- **MSc Software Systems Engineering:**
  - Theoretical Foundations of SSE

## Expectations

- What **you** can expect:
  - foundations of static analysis of computer software
  - implementation and tool support
  - applications in, e.g., program optimisation and software validation

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  - foundations of static analysis of computer software
  - implementation and tool support
  - applications in, e.g., program optimisation and software validation
- What **we** expect: basic knowledge in
  - programming
    - essential concepts of imperative and object-oriented programming languages
    - elementary programming techniques, ...
  - formal languages and automata theory
    - regular and context-free languages
    - finite and pushdown automata, ...
  - helpful but not mandatory:
    - theory of programming (semantics of programming languages, software verification, ...)
    - implementation of programming languages (compiler construction, ...)

## Organisation

- **Schedule:**
  - Lecture Mon 14:15–15:45 AH 6 (starting April 16)
  - Lecture Tue 14:15–15:45 AH 2 (starting April 17)
  - Exercise class Tue 12:15–13:45 AH 2 (starting April 24)
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- Written material in **English**, lecture and exercise classes “on demand”, rest up to you

# Introduction

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## What Is It All About?

### Static (Program) Analysis

**Static analysis** is a general method for **automated reasoning** on artefacts such as requirements, design models, and **programs**.

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**Static analysis** is a general method for **automated reasoning** on artefacts such as requirements, design models, and **programs**.

### Distinguishing features:

**Static:** based on source code, not on (dynamic) execution  
(in contrast to testing, profiling, or run-time verification)

**Automated:** “push-button” technology, i.e., little user intervention  
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### (Main) Applications:

**Optimising compilers:** exploit program properties to improve **runtime or memory efficiency** of generated code (dead code elimination, constant propagation,...)

**Software validation:** verify **program correctness** (bytecode verification, shape analysis, ...)

# Introduction

## Dream of Static Program Analysis

### Program

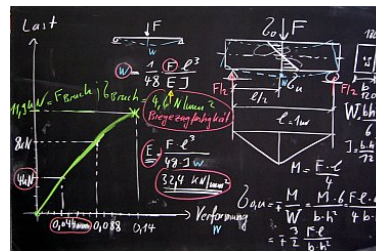
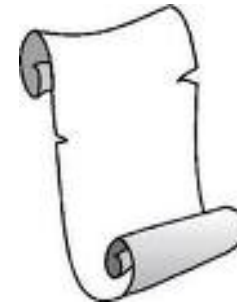
```
... socket.error: (errno, strerror):  
print "ncfiles: Socket error (%s) for host %s (%d)" % (errno,  
for h3 in page.findAll("h3"):  
value = (h3.contents[0])  
if value != "Afdeling":  
print >> txt, value  
import codecs  
f = codecs.open("alle.txt", "r", encoding="utf-8")  
text = f.read()  
f.close()  
# open the file again for writing  
f = codecs.open("alle.txt", "w", encoding="utf-8")  
f.write(value+"\n")  
# write the original contents
```



### Analyzer



### Result



### Property specification

## Fundamental Limits

### Theorem 1.1 (Theorem of Rice (1953))

*All non-trivial semantic questions about programs from a universal programming language are **undecidable**.*



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### Example 1.2 (Detection of constants)

<pre>read(x); if x &gt; 0 then   P;y := x; else   y := 1; end; write(y);</pre>	?	<pre>read(x); if x &gt; 0 then   P;y := x; else   y := 1; end; write(1);</pre>
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`write(y)` equivalently replaceable by `write(1)` iff program  $P$  does never terminate

**Thus:** constant detection is **undecidable**

## Two Solutions

### 1. Weaker models:

- employ **abstract models** of systems
  - finite automata, labelled transition systems, ...
- perform **exact analyses**
  - model checking, theorem proving, ...

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- employ **abstract models** of systems
  - finite automata, labelled transition systems, ...
- perform **exact analyses**
  - model checking, theorem proving, ...

### 2. Weaker analyses (here):

- employ **concrete models** of systems
  - source code
- perform **approximate analyses**
  - dataflow analysis, abstract interpretation, type checking, ...

## Soundness vs. Completeness

### Soundness

- Predicted results must apply to every system execution
- Examples:
  - constant detection: replacing expression by appropriate constant does not change program results
  - pointer analysis: analysis finds pointer variable  $x \neq \text{null}$ 
    - $\implies$  no run-time exception when dereferencing  $x$
- Absolutely mandatory for **trustworthiness** of analysis results!

## Soundness vs. Completeness

### Soundness

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- Examples:
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  - pointer analysis: analysis finds pointer variable  $x \neq \text{null}$ 
    - $\implies$  no run-time exception when dereferencing  $x$
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### Completeness

- Behavior of every system execution caught by analysis
- Examples:
  - program always terminates  $\implies$  analysis must be able to detect
  - value of variable in  $[0, 255]$   $\implies$  interval analysis finds out
- Usually not guaranteed due to (necessary) **approximation**
- Degree of completeness determines **precision** of analysis

## Correctness, Scalability, and Practicability

Correctness  $:=$  Soundness  $\wedge$  Completeness

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### Scalability

- Realistic programs can be handled with reasonable effort

### Practicability

- Minimal specification effort and comprehensible results

# The Imperative Model Language WHILE

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# The Imperative Model Language WHILE

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## Syntactic Categories

**WHILE**: simple imperative programming language without procedures or advanced data structures

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**WHILE**: simple imperative programming language without procedures or advanced data structures

Syntactic categories:

Category	Domain	Meta variable
Numbers	$\mathbb{Z} = \{0, 1, -1, \dots\}$	$z$
Truth values	$\mathbb{B} = \{\text{true}, \text{false}\}$	$t$
Variables	$Var = \{x, y, \dots\}$	$x$
Arithmetic expressions	$AExp$ (next slide)	$a$
Boolean expressions	$BExp$ (next slide)	$b$
Commands (statements)	$Cmd$ (next slide)	$c$

# The Imperative Model Language WHILE

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## Syntax of WHILE Programs

### Definition 1.3 (Syntax of WHILE)

The **syntax of WHILE Programs** is defined by the following context-free grammar:

$$a ::= z \mid x \mid a_1 + a_2 \mid a_1 - a_2 \mid a_1 * a_2 \in AExp$$
$$b ::= t \mid a_1 = a_2 \mid a_1 > a_2 \mid \neg b \mid b_1 \wedge b_2 \mid b_1 \vee b_2 \in BExp$$
$$c ::= \text{skip} \mid x := a \mid c_1 ; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end} \mid \text{while } b \text{ do } c \text{ end} \in Cmd$$

# The Imperative Model Language WHILE

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**Remarks:** we assume that

- the syntax of numbers, truth values and variables is predefined (i.e., no “lexical analysis”)
- the syntax of ambiguous constructs is uniquely determined (by brackets, priorities, or indentation)

# The Imperative Model Language WHILE

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## A WHILE Program

### Example 1.4

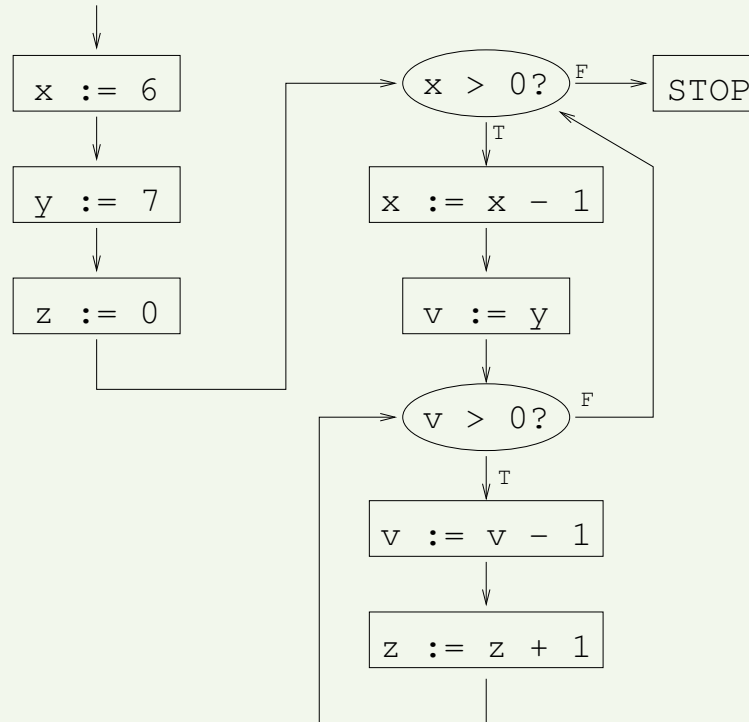
```
x := 6;  
y := 7;  
z := 0;  
while x > 0 do  
  x := x - 1;  
  v := y;  
  while v > 0 do  
    v := v - 1;  
    z := z + 1  
  end  
end  
end
```

# The Imperative Model Language WHILE

## A WHILE Program and its Flow Diagram

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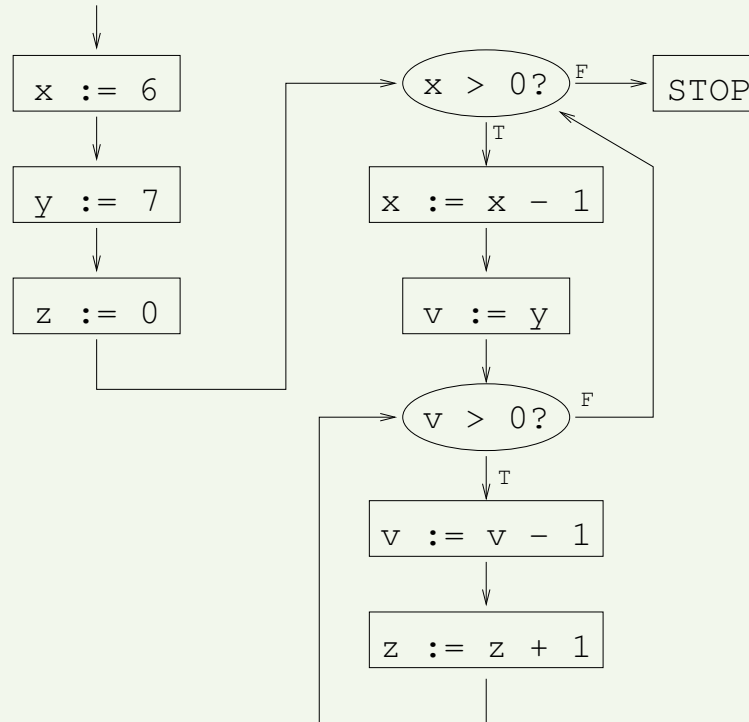


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## A WHILE Program and its Flow Diagram

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**Effect:**  $z := x * y = 42$

# Overview of the Lecture

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## (Preliminary) Overview of Contents

1. Introduction to Program Analysis
2. Dataflow analysis (DFA)
  - i. Available expressions problem
  - ii. Live variables problem
  - iii. The DFA framework
  - iv. Solving DFA equations
    - v. The meet-over-all-paths (MOP) solution
  - vi. Case study: Java bytecode verifier
3. Abstract interpretation (AI)
  - i. Working principle
  - ii. Program semantics & correctness
  - iii. Galois connections
  - iv. Instantiations (sign analysis, interval analysis, ...)
    - v. Counterexample-Guided Abstraction Refinement (CEGAR)
  - vi. Case study: 16-bit multiplication
4. Interprocedural analysis
5. Shape analysis

# Additional Literature

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### Additional Literature

- Flemming Nielson, Hanne R. Nielson, Chris Hankin: **Principles of Program Analysis**, 2nd edition, Springer, 2005 [available in CS Library]
- Michael I. Schwartzbach: **Lecture Notes on Static Analysis**  
[<http://www.itu.dk/people/brabrand/UFPE/Data-Flow-Analysis/static.pdf>]
- Helmut Seidl, Reinhard Wilhelm, Sebastian Hack: **Übersetzerbau 3: Analyse und Transformation**, Springer, 2010 [available in CS Library]
- Some papers and web links (cf. course web page)