



# Compiler Construction

## Lecture 13: Semantic Analysis III (Circularity Check)

Summer Semester 2017

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RWTH Aachen University

<https://moves.rwth-aachen.de/teaching/ss-17/cc/>

# Recap: Attribute Grammars

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## Outline of Lecture 13

Recap: Attribute Grammars

Attribute Dependency Graphs

Checking Attribute Grammars for Circularity

The Circularity Check

Correctness and Complexity of the Circularity Check

# Recap: Attribute Grammars

## Formal Definition of Attribute Grammars

### Definition (Attribute grammar)

Let  $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$  with  $X := N \uplus \Sigma$ .

- Let  $Att = Syn \uplus Inh$  be a set of (synthesized or inherited) attributes, and let  $V = \bigcup_{\alpha \in Att} V^{\alpha}$  be a union of value sets.
- Let  $att : X \rightarrow 2^{Att}$  be an attribute assignment, and let  $\text{syn}(Y) := att(Y) \cap Syn$  and  $\text{inh}(Y) := att(Y) \cap Inh$  for every  $Y \in X$ .

- Every production  $\pi = Y_0 \rightarrow Y_1 \dots Y_r \in P$  determines the set

$$Var_{\pi} := \{\alpha.i \mid \alpha \in att(Y_i), i \in \{0, \dots, r\}\}$$

of attribute variables of  $\pi$  with the subsets of inner and outer variables:

$$In_{\pi} := \{\alpha.i \mid (i = 0, \alpha \in \text{syn}(Y_i)) \text{ or } (i \in [r], \alpha \in \text{inh}(Y_i))\} \quad Out_{\pi} := Var_{\pi} \setminus In_{\pi}$$

- A semantic rule of  $\pi$  is an equation of the form

$$\alpha_0.i_0 = f(\alpha_1.i_1, \dots, \alpha_n.i_n)$$

where  $n \in \mathbb{N}$ ,  $\alpha_0.i_0 \in In_{\pi}$ ,  $\alpha_j.i_j \in Out_{\pi}$ , and  $f : V^{\alpha_1} \times \dots \times V^{\alpha_n} \rightarrow V^{\alpha_0}$ .

- For each  $\pi \in P$ , let  $E_{\pi}$  be a set with exactly one semantic rule for every inner variable of  $\pi$ , and let  $E := (E_{\pi} \mid \pi \in P)$ .

Then  $\mathfrak{A} := \langle G, E, V \rangle$  is called an attribute grammar:  $\mathfrak{A} \in AG$ .

# Recap: Attribute Grammars

## Attribution of Syntax Trees

### Definition (Attribution of syntax trees)

Let  $\mathcal{A} = \langle G, E, V \rangle \in AG$ , and let  $t$  be a syntax tree of  $G$  with the set of nodes  $K$ .

- $K$  determines the set of **attribute variables of  $t$** :

$$Var_t := \{\alpha.k \mid k \in K \text{ labelled with } Y \in X, \alpha \in \text{att}(Y)\}.$$

- Let  $k_0 \in K$  be an (inner) node where production  $\pi = Y_0 \rightarrow Y_1 \dots Y_r \in P$  is applied, and let  $k_1, \dots, k_r \in K$  be the corresponding successor nodes. The **attribute equation system  $E_{k_0}$**  of  $k_0$  is obtained from  $E_\pi$  by substituting every attribute index  $i \in \{0, \dots, r\}$  by  $k_i$ .
- The **attribute equation system** of  $t$  is given by

$$E_t := \bigcup \{E_k \mid k \text{ inner node of } t\}.$$

## Recap: Attribute Grammars

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### Solvability of Attribute Equation System

#### Definition (Solution of attribute equation system)

Let  $\mathfrak{A} = \langle G, E, V \rangle \in AG$ , and let  $t$  be a syntax tree of  $G$ . A **solution** of  $E_t$  is a mapping

$$v : Var_t \rightarrow V$$

such that, for every  $\alpha_0.k_0 \in Var_t$  and  $\alpha_0.k_0 = f(\alpha_1.k_1, \dots, \alpha_n.k_n) \in E_t$ ,

$$v(\alpha_0.k_0) = f(v(\alpha_1.k_1), \dots, v(\alpha_n.k_n)).$$

In general, the attribute equation system  $E_t$  of a given syntax tree  $t$  can have

- no solution,
- exactly one solution, or
- several solutions.

# Recap: Attribute Grammars

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## Circularity of Attribute Grammars

**Goal:** **unique solvability** of equation system

⇒ avoid cyclic dependencies

### Definition (Circularity)

An attribute grammar  $\mathcal{A} = \langle G, E, V \rangle \in AG$  is called **circular** if there exists a syntax tree  $t$  such that the attribute equation system  $E_t$  is recursive (i.e., some attribute variable of  $t$  depends on itself). Otherwise it is called **noncircular**.

**Remark:** because of the division of  $Var_\pi$  into  $In_\pi$  and  $Out_\pi$ , cyclic dependencies cannot occur at production level.

# Attribute Dependency Graphs

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Correctness and Complexity of the Circularity Check

# Attribute Dependency Graphs

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## Attribute Dependency Graphs I

**Goal:** graphic representation of attribute dependencies

### Definition 13.1 (Production dependency graph)

Let  $\mathcal{A} = \langle G, E, V \rangle \in AG$  with  $G = \langle N, \Sigma, P, S \rangle$ . Every production  $\pi \in P$  determines the **dependency graph**  $D_\pi := \langle Var_\pi, \rightarrow_\pi \rangle$  where the set of edges  $\rightarrow_\pi \subseteq Var_\pi \times Var_\pi$  is given by

$$x \rightarrow_\pi y \quad \text{iff} \quad y = f(\dots, x, \dots) \in E_\pi.$$



# Attribute Dependency Graphs

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**Goal:** graphic representation of attribute dependencies

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### Corollary 13.2

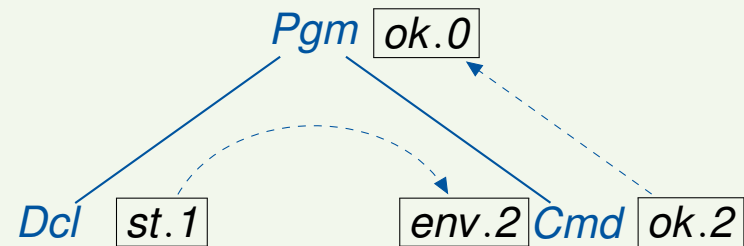
*The dependency graph of a production is acyclic  
(since  $\rightarrow_\pi \subseteq Out_\pi \times In_\pi$  and  $Out_\pi \cap In_\pi = \emptyset$ ).*

# Attribute Dependency Graphs

## Attribute Dependency Graphs II

### Example 13.3 (cf. Example 11.2)

1.  $Pgm \rightarrow Dcl\ Cmd :$   $\implies D_{Pgm \rightarrow Dcl\ Cmd} :$   
 $ok.0 = ok.2$   
 $env.2 = st.1$



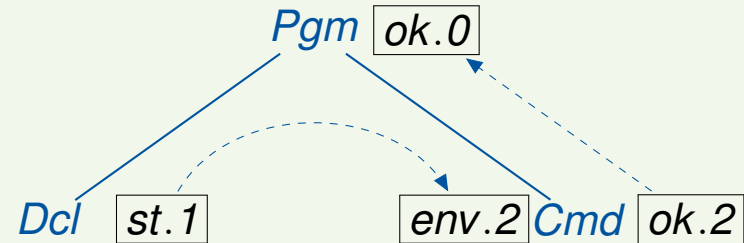
# Attribute Dependency Graphs

## Attribute Dependency Graphs II

### Example 13.3 (cf. Example 11.2)

1.  $Pgm \rightarrow Dcl\ Cmd :$   $\implies D_{Pgm \rightarrow Dcl\ Cmd} :$

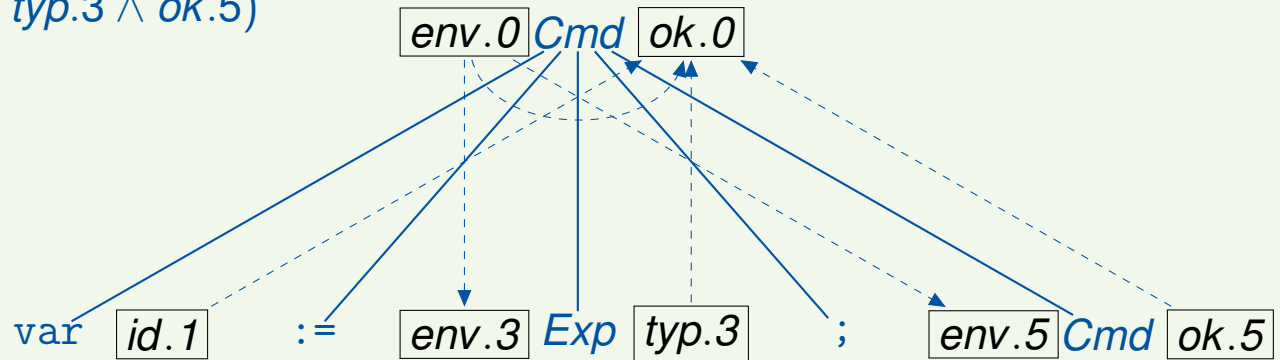
$ok.0 = ok.2$   
 $env.2 = st.1$



2.  $Cmd \rightarrow var := Exp; Cmd :$

$ok.0 = (env.0(id.1) = typ.3 \wedge ok.5)$   
 $env.3 = env.0$   
 $env.5 = env.0$

$\implies D_{Cmd \rightarrow var := Exp; Cmd} :$



## Attribute Dependency Graphs III

Just as the attribute equation system  $E_t$  of a syntax tree  $t$  is obtained from the semantic rules of the contributing productions, the dependency graph of  $t$  is obtained by “glueing together” the dependency graphs of the productions.

# Attribute Dependency Graphs

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### Definition 13.4 (Tree dependency graph)

Let  $\mathfrak{A} = \langle G, E, V \rangle \in AG$ , and let  $t$  be a syntax tree of  $G$ .

- The **dependency graph** of  $t$  is defined by  $D_t := \langle Var_t, \rightarrow_t \rangle$  where the set of edges,  $\rightarrow_t \subseteq Var_t \times Var_t$ , is given by

$$x \rightarrow_t y \quad \text{iff} \quad y = f(\dots, x, \dots) \in E_t.$$

- $D_t$  is called **cyclic** if there exists  $x \in Var_t$  such that  $x \rightarrow_t^+ x$ .

# Attribute Dependency Graphs

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### Corollary 13.5

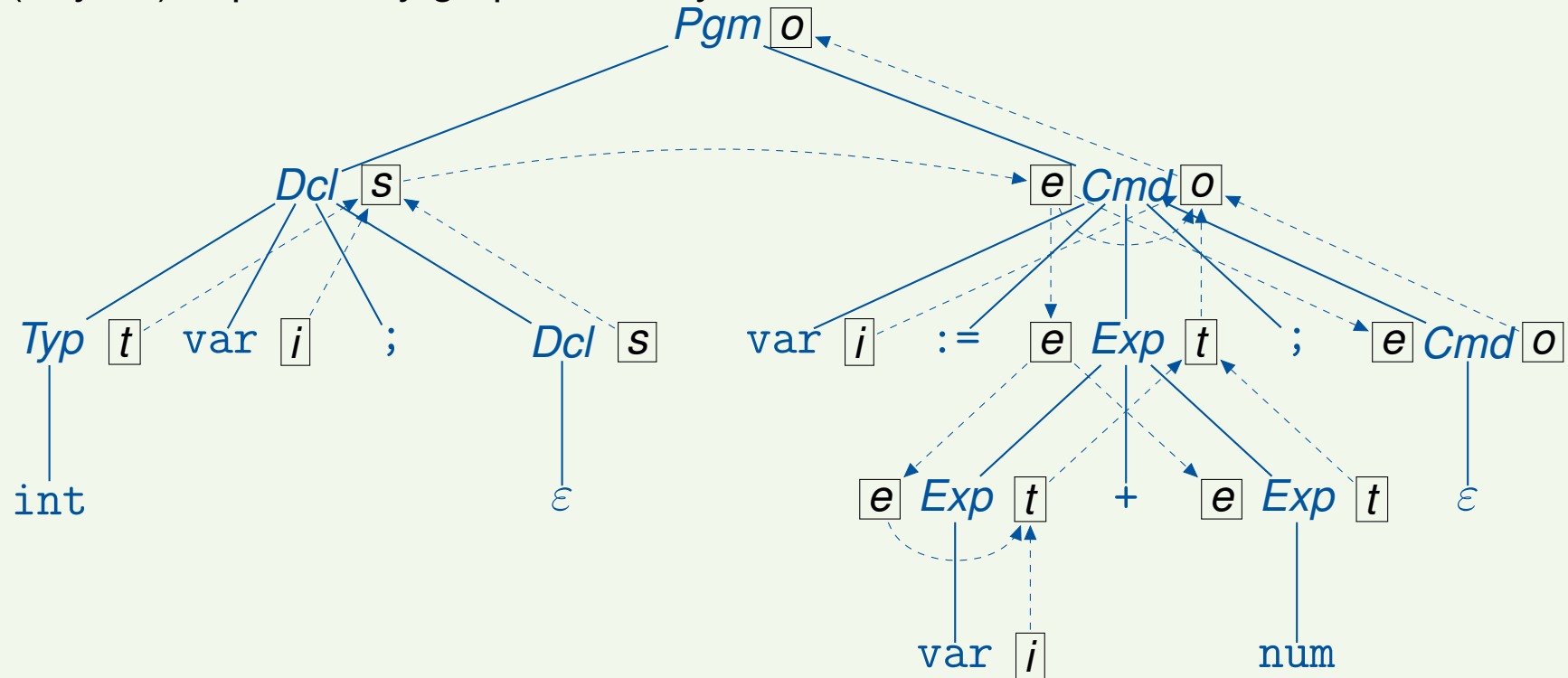
An attribute grammar  $\mathfrak{A} = \langle G, E, V \rangle \in AG$  is **circular** iff there exists a syntax tree  $t$  of  $G$  such that  $D_t$  is **cyclic**.

# Attribute Dependency Graphs

## Attribute Dependency Graphs IV

### Example 13.6 (cf. Example 11.2)

(Acyclic) dependency graph of the syntax tree for `int x; x := x+1;`



# Checking Attribute Grammars for Circularity

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## Attribute Dependency Graphs and Circularity I

**Observation:** a cycle in the dependency graph  $D_t$  of a given syntax tree  $t$  is caused by the occurrence of a “cover” production  $\pi = A_0 \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  in a node  $k_0$  of  $t$  such that

- the dependencies in  $E_{k_0}$  yield the “upper end” of the cycle and
- for at least one  $i \in [r]$ , some attributes in  $\text{syn}(A_i)$  depend on attributes in  $\text{inh}(A_i)$ .

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### Example 13.7

on the board

# Checking Attribute Grammars for Circularity

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### Example 13.7

on the board

To identify such “critical” situations we need to determine for each  $i \in [r]$  the possible ways in which attributes in  $\text{syn}(A_i)$  can depend on attributes in  $\text{inh}(A_i)$ .

# Checking Attribute Grammars for Circularity

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## Attribute Dependency Graphs and Circularity II

### Definition 13.8 (Attribute dependence)

Let  $\mathcal{A} = \langle G, E, V \rangle \in AG$  with  $G = \langle N, \Sigma, P, S \rangle$ .

- If  $t$  is a syntax tree with root label  $A \in N$  and root node  $k$ ,  $\alpha \in \text{syn}(A)$ , and  $\beta \in \text{inh}(A)$  such that  $\beta.k \rightarrow_t^+ \alpha.k$ , then  $\alpha$  is dependent on  $\beta$  below  $A$  in  $t$  (notation:  $\beta \xrightarrow{A} \alpha$ ).

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- For every syntax tree  $t$  with root label  $A \in N$ ,

$$is(A, t) := \{(\beta, \alpha) \in \text{inh}(A) \times \text{syn}(A) \mid \beta \xrightarrow{A} \alpha \text{ in } t\}.$$

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**Remark:** it is important that  $IS(A)$  is a **system** of attribute dependence sets, not a **union** (otherwise: **strong noncircularity** – see exercises).

# Checking Attribute Grammars for Circularity

## Attribute Dependency Graphs and Circularity II

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### Example 13.9

on the board



# The Circularity Check

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# The Circularity Check

## The Circularity Check I

In the circularity check, the dependency systems  $IS(A)$  are iteratively computed. The following notation is employed:

### Definition 13.10

Given  $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  and  $is_i \subseteq \text{inh}(A_i) \times \text{syn}(A_i)$  for each  $i \in [r]$ ,

$$is[\pi; is_1, \dots, is_r] \subseteq \text{inh}(A) \times \text{syn}(A)$$

is defined by

$$is[\pi; is_1, \dots, is_r] := \left\{ (\beta, \alpha) \mid (\beta.0, \alpha.0) \in (\rightarrow_\pi \cup \bigcup_{i=1}^r \{(\beta'.p_i, \alpha'.p_i) \mid (\beta', \alpha') \in is_i\})^+ \right\}$$

where  $p_i := \sum_{j=1}^i |w_{j-1}| + i$ .

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### Example 13.11

on the board

# The Circularity Check

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## The Circularity Check II

Algorithm 13.12 (Circularity check for attribute grammars)

*Input:*  $\mathcal{A} = \langle G, E, V \rangle \in AG$  with  $G = \langle N, \Sigma, P, S \rangle$

# The Circularity Check

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## The Circularity Check II

### Algorithm 13.12 (Circularity check for attribute grammars)

*Input:*  $\mathcal{A} = \langle G, E, V \rangle \in AG$  with  $G = \langle N, \Sigma, P, S \rangle$

*Procedure:* 1. for every  $A \in N$ , *iteratively construct*  $IS(A)$  as follows:

i. if  $\pi = A \rightarrow w \in P$ , then

$$is[\pi] \in IS(A)$$

ii. if  $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  and  $is_i \in IS(A_i)$  for every  $i \in [r]$ , then

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## The Circularity Check II

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$$is[\pi; is_1, \dots, is_r] \in IS(A)$$

2. **test whether**  $\mathfrak{A}$  **is circular** by checking if there exist  $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  and  $is_i \in IS(A_i)$  for every  $i \in [r]$  such that the following relation is cyclic:

$$\rightarrow_{\pi} \cup \bigcup_{i=1}^r \{(\beta.p_i, \alpha.p_i) \mid (\beta, \alpha) \in is_i\}$$

(where  $p_i := \sum_{j=1}^i |w_{j-1}| + i$ )

# The Circularity Check

## The Circularity Check II

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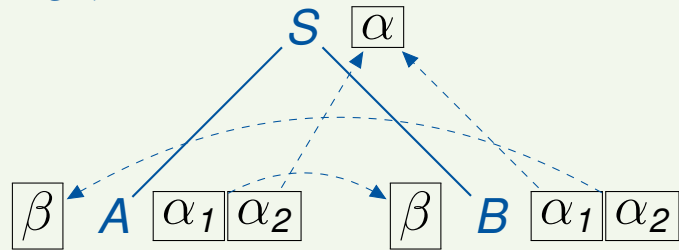
*Output:* “yes” or “no”

# The Circularity Check

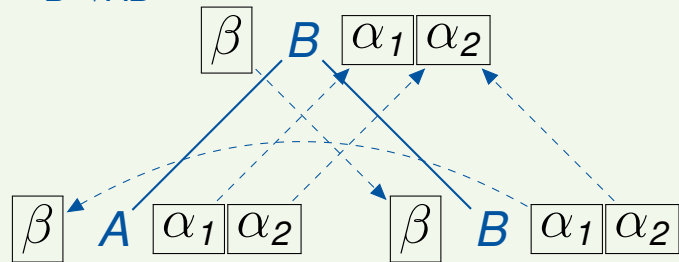
## The Circularity Check III

### Example 13.13

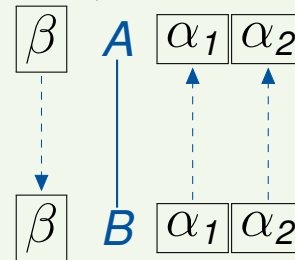
$D_{S \rightarrow AB}$ :



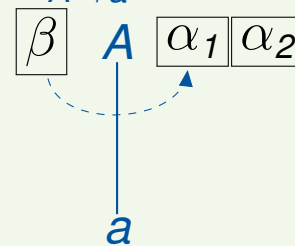
$D_{B \rightarrow AB}$ :



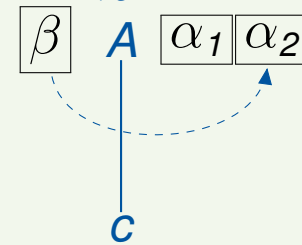
$D_{A \rightarrow B}$ :



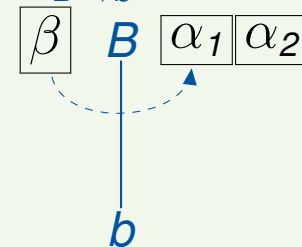
$D_{A \rightarrow a}$ :



$D_{A \rightarrow c}$ :



$D_{B \rightarrow b}$ :



Application of Algorithm 13.12: on the board



# Correctness and Complexity of the Circularity Check

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# Correctness and Complexity of the Circularity Check

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## Correctness and Complexity of Circularity Check

### Theorem 13.14 (Correctness of circularity check)

*An attribute grammar is circular iff Algorithm 13.12 yields the answer “yes”*

# Correctness and Complexity of the Circularity Check

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## Correctness and Complexity of Circularity Check

Theorem 13.14 (Correctness of circularity check)

*An attribute grammar is circular iff Algorithm 13.12 yields the answer “yes”*

Proof.

by induction on the syntax tree  $t$  with cyclic  $D_t$  □

# Correctness and Complexity of the Circularity Check

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### Theorem 13.14 (Correctness of circularity check)

*An attribute grammar is circular iff Algorithm 13.12 yields the answer “yes”*

### Proof.

by induction on the syntax tree  $t$  with cyclic  $D_t$  □

### Lemma 13.15

*The time complexity of the circularity check is **exponential** in the size of the attribute grammar (= maximal length of right-hand sides of productions).*

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by reduction of the word problem of alternating Turing machines (see M. Jazayeri: *A Simpler Construction for Showing the Intrinsic Exponential Complexity of the Circularity Problem for Attribute Grammars*, Comm. ACM 28(4), 1981, pp. 715–720) □