



Compiler Construction

Lecture 15: Code Generation I (Intermediate Code)

Summer Semester 2016

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<https://moves.rwth-aachen.de/teaching/ss-16/cc/>

Seminar *Analysis and Verification of Pointer Programs* (WS 2016/17)



Problem: Pointer Errors

- Dereferencing invalid pointers
- Creation of memory leaks
- Invalidation of data structures
- Deadlocks
- Data races, ...

Solution: Abstraction

- Automata-based: regular model checking, forest automata
- Logic-based: shape analysis, separation logic
- Graph-based: graph grammars, graph transformation systems
- Extensions for concurrency

Registration via <https://www.graphics.rwth-aachen.de/apse/>

Generation of Intermediate Code

Outline of Lecture 15

Generation of Intermediate Code

The Example Programming Language EPL

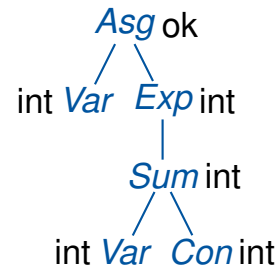
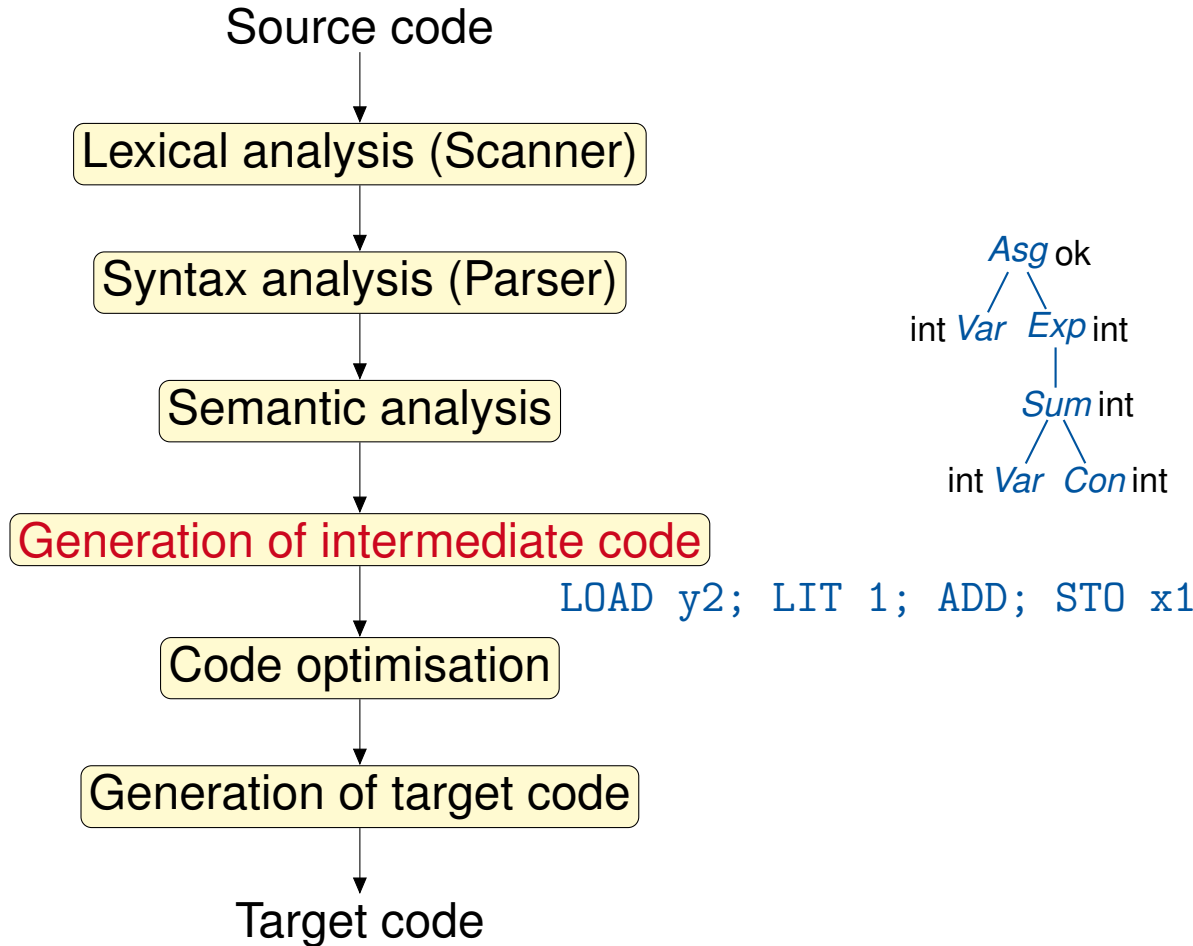
Semantics of EPL

Intermediate Code for EPL

The Procedure Stack

Generation of Intermediate Code

Conceptual Structure of a Compiler



tree translations

Generation of Intermediate Code

Modularisation of Code Generation I

Splitting of code generation for programming language PL:

$$PL \xrightarrow{\text{trans}} IC \xrightarrow{\text{code}} MC$$

Frontend: `trans` generates **machine-independent intermediate code** (IC) for abstract (stack) machine

Backend: `code` generates **actual machine code** (MC)

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Backend: `code` generates **actual machine code** (MC)

Advantages: IC machine independent \implies

Portability: much easier to write IC compiler/interpreter for a new machine (as opposed to rewriting the whole compiler)

Fast compiler implementation: generating IC much easier than generating MC

Code size: IC programs usually smaller than corresponding MC programs

Code optimisation: division into machine-independent and machine-dependent parts

Generation of Intermediate Code

Modularisation of Code Generation II

Example 15.1

1. UNiversal Computer-Oriented Language (UNCOL; \approx 1960; <http://en.wikipedia.org/wiki/UNCOL>): **universal** intermediate language for compilers (never fully specified or implemented; too ambitious)



only $n + m$ translations
(in place of $n \cdot m$)

Generation of Intermediate Code

Modularisation of Code Generation II

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Generation of Intermediate Code

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5. Common Intermediate Language (CIL; Microsoft .NET; \approx 2002; http://en.wikipedia.org/wiki/Common_Intermediate_Language)

Language Structures I

Structures in high-level programming languages

- Basic data types and basic operations
- Static and dynamic data structures
- Expressions and assignments
- Control structures (branching, loops, ...)
- Procedures and functions
- Modularity: blocks, modules, and classes

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Use of procedures and blocks

- FORTRAN: non-recursive and non-nested procedures
 - ⇒ **static** memory management (requirements determined at compile time)
- C: recursive and non-nested procedures
 - ⇒ dynamic memory management using **runtime stack** (requirements only known at runtime), no static links
- Algol-like languages (Pascal, Modula): recursive and nested procedures
 - ⇒ dynamic memory management using **runtime stack with static links**
- Object-oriented languages (C++, Java): object creation and removal
 - ⇒ dynamic memory management using **heap**

Language Structures II

Structures in machine code (von Neumann/SISD)

Memory hierarchy: accumulators, registers, cache, main memory, background storage

Instruction types: arithmetic/Boolean/... operation, test/jump instruction, transfer instruction, I/O instruction, ...

Addressing modes: direct/indirect, absolute/relative, ...

Architectures: RISC (few [fast but simple] instructions, many registers), CISC (many [complex but slow] instructions, few registers)

Generation of Intermediate Code

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Structures in intermediate code

- **Data types and operations** like PL
- **Data stack** with basic operations
- **Jumping instructions** for control structures
- **Runtime stack** for blocks, procedures, and static data structures
- **Heap** for dynamic data structures

The Example Programming Language EPL

Outline of Lecture 15

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The Example Programming Language EPL

Semantics of EPL

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The Example Programming Language EPL

The Example Programming Language EPL

Structures of EPL:

- Only integer and Boolean **values**
- Arithmetic and Boolean **expressions** with strict and non-strict semantics
- **Control structures**: sequence, branching, iteration
- Nested **blocks** and recursive **procedures** with local and global variables
(\implies dynamic memory management using runtime stack with static links)
- (not considered: procedure **parameters** and [dynamic] **data structures**)

The Example Programming Language EPL

Syntax of EPL

Definition 15.2 (Syntax of EPL)

The **syntax of EPL** is defined as follows:

$$\begin{aligned} \mathbb{Z} : & \quad z && \text{(* } z \text{ is an integer *)} \\ Ide : & \quad I && \text{(* } I \text{ is an identifier *)} \\ AExp : & \quad A ::= z \mid I \mid A_1 + A_2 \mid \dots \\ BExp : & \quad B ::= A_1 < A_2 \mid \text{not } B \mid B_1 \text{ and } B_2 \mid B_1 \text{ or } B_2 \\ Cmd : & \quad C ::= I := A \mid C_1 ; C_2 \mid \text{if } B \text{ then } C_1 \text{ else } C_2 \mid \text{while } B \text{ do } C \mid I() \\ Dcl : & \quad D ::= D_C D_V D_P \\ & \quad D_C ::= \varepsilon \mid \text{const } l_1 := z_1, \dots, l_n := z_n; \\ & \quad D_V ::= \varepsilon \mid \text{var } l_1, \dots, l_n; \\ & \quad D_P ::= \varepsilon \mid \text{proc } l_1 ; K_1 ; \dots ; \text{proc } l_n ; K_n; \\ Blk : & \quad K ::= D C \\ Pgm : & \quad P ::= \text{in/out } l_1, \dots, l_n ; K. \end{aligned}$$

The Example Programming Language EPL

EPL Example: Factorial Function

Example 15.3 (Factorial function)

```
in/out x;  
var y;  
proc F;  
    if x > 1 then  
        y := y * x;  
        x := x - 1;  
        F()  
    y := 1;  
    F();  
    x := y.
```

Semantics of EPL

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Scoping and Visibility of Identifiers

Scope of an identifier

The **scope** (or: range of validity/visibility) of an identifier's declaration refers to the part of the program where a usage of that identifier can refer to that declaration.

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Important aspects:

Static (aka “lexical”) **scoping**: name resolution at **compile time**, depends on location in source code and lexical context (here)

- “part” = area of source code (**block**)
- usually admits at most one declaration of same identifier per block
- but allows additional declarations within **nested** blocks
- **innermost** principle: hide outer declarations (still **valid**, but not **visible**)

Dynamic scoping: name resolution at **runtime**, depends on execution context

- “part” = runtime history, esp. procedure calls

Levels: expression/**block**/procedure/file/module/...

Static Semantics of EPL I

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 - $I := \dots J \dots \implies I$ variable, J constant or variable
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- **Multiple declarations** of an identifier in different blocks are possible. Each usage in a command C refers to the **“innermost” declaration**.
- **Static scoping**: the usage of an identifier in the body of a called procedure refers to its declaration environment (and not to its calling environment).

Static Semantics of EPL II

Example 15.4

```
in/out x;
  const c = 10;
  var y;
  proc P;
    var y, z;
    proc Q;
      var x, z;
      [... z := 1; P() ...]
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- “Innermost” principle: use of `x` in main program

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- “Innermost” principle: use of z in Q
- **Static scoping**: call of P in Q can refer to x, y, z

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- “Innermost” principle: use of `x` in main program
- “Innermost” principle: use of `z` in `Q`
- Static scoping: call of `P` in `Q` can refer to `x`, `y`, `z`
- **Later declaration**: call of `R` in `P` followed by declaration (in older languages: `forward` declarations for one-pass compilation)

Semantics of EPL

Dynamic Semantics of EPL

(omitting the details; cf. *Semantics of Programming Languages*)

- To “run” program, execute main block in **state** (location \mapsto value) determined by input values

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 - assignment $I := A$: update of I by current value of A
 - composition $C_1 ; C_2$: sequential execution
 - branching **if** B **then** C_1 **else** C_2 : test of B , followed by jump to respective branch
 - iteration **while** B **do** C : execution of C as long as B is true
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$$\llbracket P \rrbracket : \mathbb{Z}^n \dashrightarrow \mathbb{Z}^n$$

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Example 15.5 (Factorial function; cf. Example 15.3)

here $n = 1$ and $\llbracket P \rrbracket(x) = x!$ (where $x! := 1$ for $x \leq 1$)

Intermediate Code for EPL

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The Abstract Machine AM

Definition 15.6 (Abstract machine for EPL)

The **abstract machine for EPL (AM)** is defined by the **state space**

$$S := PC \times DS \times PS$$

with

- the **program counter** $PC := \mathbb{N}$,
- the **data stack** $DS := \mathbb{Z}^*$ (top of stack to the right), and
- the **procedure stack** (or: **runtime stack**) $PS := \mathbb{Z}^*$ (top of stack to the left).

Intermediate Code for EPL

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Thus a state $s = (pc, d, p) \in S$ is given by

- a program counter $pc \in PC$,
- a data stack $d = d.r : \dots : d.1 \in DS$, and
- a procedure stack $p = p.1 : \dots : p.t \in PS$.

AM Instructions

Definition 15.7 (AM instructions)

The set of **AM instructions** is divided into

arithmetic instructions: ADD, MULT, ...

Boolean instructions: NOT, AND, OR, LT, ...

jumping instructions: JMP(*ca*), JFALSE(*ca*) (*ca* ∈ PC)

procedure instructions: CALL(*ca*, *dif*, *loc*) (*ca* ∈ PC, *dif*, *loc* ∈ ℕ), RET

transfer instructions: LOAD(*dif*, *off*), STORE(*dif*, *off*) (*dif*, *off* ∈ ℕ), LIT(*z*) (*z* ∈ ℤ)

Semantics of Instructions

Definition 15.8 (Semantics of AM instructions (1st part))

The semantics of an AM instruction O

$$\llbracket O \rrbracket : S \dashrightarrow S$$

is defined as follows:

$$\llbracket \text{ADD} \rrbracket (pc, d : z_1 : z_2, p) := (pc + 1, d : z_1 + z_2, p)$$

$$\llbracket \text{NOT} \rrbracket (pc, d : b, p) := (pc + 1, d : \neg b, p) \quad \text{if } b \in \{0, 1\}$$

$$\llbracket \text{AND} \rrbracket (pc, d : b_1 : b_2, p) := (pc + 1, d : b_1 \wedge b_2, p) \quad \text{if } b_1, b_2 \in \{0, 1\}$$

$$\llbracket \text{OR} \rrbracket (pc, d : b_1 : b_2, p) := (pc + 1, d : b_1 \vee b_2, p) \quad \text{if } b_1, b_2 \in \{0, 1\}$$

$$\llbracket \text{LT} \rrbracket (pc, d : z_1 : z_2, p) := \begin{cases} (pc + 1, d : 1, p) & \text{if } z_1 < z_2 \\ (pc + 1, d : 0, p) & \text{if } z_1 \geq z_2 \end{cases}$$

$$\llbracket \text{JMP}(ca) \rrbracket (pc, d, p) := (ca, d, p)$$

$$\llbracket \text{JFALSE}(ca) \rrbracket (pc, d : b, p) := \begin{cases} (ca, d, p) & \text{if } b = 0 \\ (pc + 1, d, p) & \text{if } b = 1 \end{cases}$$

The Procedure Stack

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The Procedure Stack

Structure of Procedure Stack I

The semantics of procedure and transfer instructions requires a particular structure of the procedure stack $p \in PS$: it must be composed of **frames** (or: **activation records**) of the form

$$sl : dl : ra : v_1 : \dots : v_k$$

where

static link sl : points to frame of surrounding declaration environment

⇒ used to access non-local variables

dynamic link dl : points to previous frame (i.e., of calling procedure)

⇒ used to remove topmost frame after termination of procedure call

return address ra : program counter after termination of procedure call

⇒ used to continue program execution after termination of procedure call

local variables v_j : values of locally declared variables

The Procedure Stack

Structure of Procedure Stack II

- Frames are **created** whenever a procedure call is performed
- Two **special frames**:
 - I/O frame: for keeping values of **in/out** variables
(*sl = dl = ra = 0*)
 - MAIN frame: for keeping values of top-level block
(*sl = dl = I/O frame*)

The Procedure Stack

Structure of Procedure Stack III

Example 15.9 (cf. Example 15.4)

```
in/out x;  
  const c = 10;  
  var y;  
  proc P;  
    var y, z;  
    proc Q;  
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      [... P() ...]  
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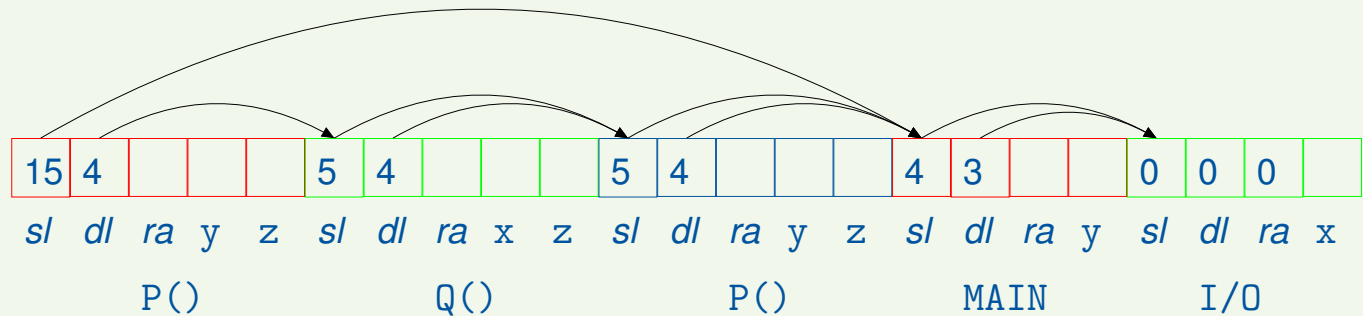
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Procedure stack after second call of P:



The Procedure Stack

Structure of Procedure Stack IV

Observation:

- The usage of a variable in a procedure body refers to its **innermost declaration**.
- If the level difference between the usage and the declaration is *dif*, then a **chain of *dif* static links** has to be followed to access the corresponding frame.

The Procedure Stack

Structure of Procedure Stack IV

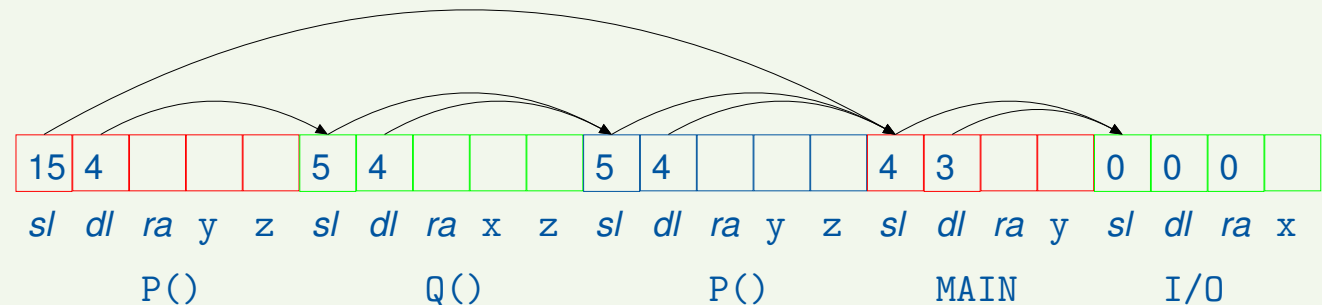
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The Procedure Stack

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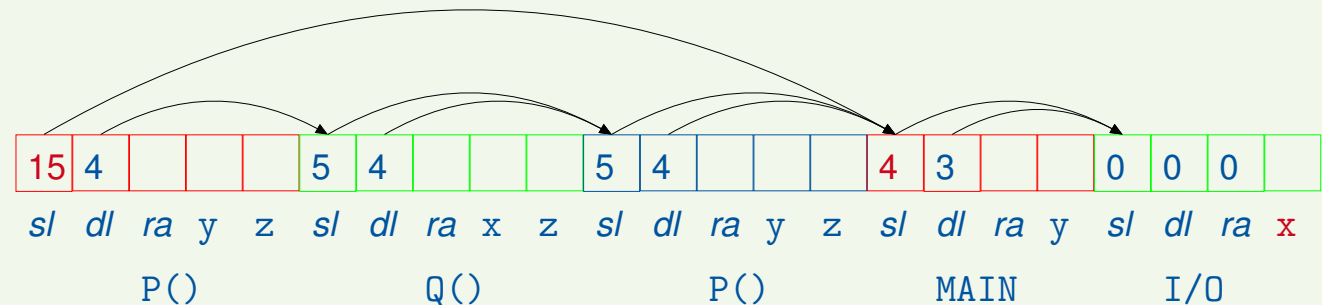
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P uses x $\implies dif = 2$

The Procedure Stack

Structure of Procedure Stack IV

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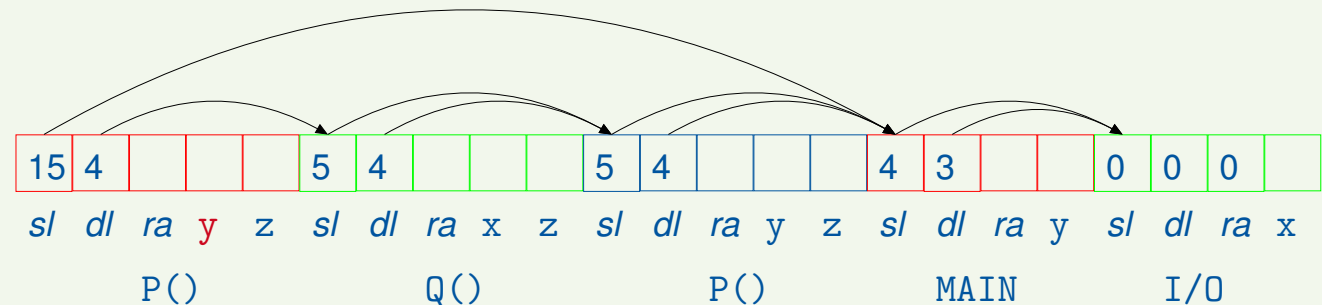
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Procedure stack after second call of P:



P uses x $\implies dif = 2$

P uses y $\implies dif = 0$