



Semantics and Verification of Software

Summer Semester 2015

Lecture 8: Denotational Semantics of WHILE III
(Fixpoint & Coincidence Theorem)

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<http://moves.rwth-aachen.de/teaching/ss-15/sv-sw/>

Recap: CCPOs and Continuous Functions

Outline of Lecture 8

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The Fixpoint Theorem

Application to $\text{fix}(\Phi)$

Summary: Denotational Semantics

Equivalence of Operational and Denotational Semantics

Recap: CCPOs and Continuous Functions

Characterisation of $\text{fix}(\Phi)$

Goals:

- Prove **existence** of $\text{fix}(\Phi)$ for $\Phi(f) = \text{cond}(\mathcal{B}[[b]], f \circ \mathcal{C}[[c]], \text{id}_\Sigma)$
- Show how it can be “computed” (more exactly: **approximated**)

Sufficient conditions:

on domain $\Sigma \dashrightarrow \Sigma$: **chain-complete partial order**

on function Φ : **monotonicity** and **continuity**

Recap: CCPOs and Continuous Functions

Chains and Least Upper Bounds I

Definition (Chain, (least) upper bound)

Let (D, \sqsubseteq) be a partial order and $S \subseteq D$.

1. S is called a **chain** in D if, for every $s_1, s_2 \in S$,

$$s_1 \sqsubseteq s_2 \text{ or } s_2 \sqsubseteq s_1$$

(that is, S is a totally ordered subset of D).

2. An element $d \in D$ is called an **upper bound** of S if $s \sqsubseteq d$ for every $s \in S$ (notation: $S \sqsubseteq d$).
3. An upper bound d of S is called **least upper bound (LUB)** or **supremum** of S if $d \sqsubseteq d'$ for every upper bound d' of S (notation: $d = \bigsqcup S$).

Recap: CCPOs and Continuous Functions

Chain Completeness

Definition (Chain completeness)

A partial order is called **chain complete (CCPO)** if every of its chains has a least upper bound.

Example

1. $(2^{\mathbb{N}}, \subseteq)$ is a CCPO with $\bigsqcup S = \bigcup_{M \in S} M$ for every chain $S \subseteq 2^{\mathbb{N}}$.
2. (\mathbb{N}, \leq) is not chain complete (since, e.g., the chain \mathbb{N} has no upper bound).

Recap: CCPOs and Continuous Functions

Monotonicity

Definition (Monotonicity)

Let (D, \sqsubseteq) and (D', \sqsubseteq') be partial orders, and let $F : D \rightarrow D'$. F is called **monotonic** (w.r.t. (D, \sqsubseteq) and (D', \sqsubseteq')) if, for every $d_1, d_2 \in D$,

$$d_1 \sqsubseteq d_2 \Rightarrow F(d_1) \sqsubseteq' F(d_2).$$

Interpretation: monotonic functions “preserve information”

Example

1. Let $T := \{S \subseteq \mathbb{N} \mid S \text{ finite}\}$. Then $F_1 : T \rightarrow \mathbb{N} : S \mapsto \sum_{n \in S} n$ is monotonic w.r.t. $(2^{\mathbb{N}}, \subseteq)$ and (\mathbb{N}, \leq) .
2. $F_2 : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : S \mapsto \mathbb{N} \setminus S$ is not monotonic w.r.t. $(2^{\mathbb{N}}, \subseteq)$ (since, e.g., $\emptyset \subseteq \mathbb{N}$ but $F_2(\emptyset) = \mathbb{N} \not\subseteq F_2(\mathbb{N}) = \emptyset$).

Recap: CCPOs and Continuous Functions

Continuity

A function F is continuous if applying F and taking LUBs is commutable:

Definition (Continuity)

Let (D, \sqsubseteq) and (D', \sqsubseteq') be CCPOs and $F : D \rightarrow D'$ monotonic. Then F is called **continuous** (w.r.t. (D, \sqsubseteq) and (D', \sqsubseteq')) if, for every non-empty chain $S \subseteq D$,

$$F\left(\bigsqcup S\right) = \bigsqcup F(S).$$

Lemma

Let $b \in BExp$, $c \in Cmd$, and $\Phi(f) := \text{cond}(\mathfrak{B}[[b]], f \circ \mathfrak{C}[[c]], \text{id}_\Sigma)$. Then Φ is continuous w.r.t. $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$.

Proof.

omitted □

The Fixpoint Theorem

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The Fixpoint Theorem

The Fixpoint Theorem



Alfred Tarski (1901–1983)



Bronislaw Knaster (1893–1990)

Theorem 8.1 (Fixpoint Theorem by Tarski and Knaster)

Let (D, \sqsubseteq) be a CCPO and $F : D \rightarrow D$ continuous. Then

$$\text{fix}(F) := \bigsqcup \left\{ F^n \left(\bigsqcup \emptyset \right) \mid n \in \mathbb{N} \right\}$$

is the **least fixpoint** of F where $F^0(d) := d$ and $F^{n+1}(d) := F(F^n(d))$.

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Proof.

on the board



The Fixpoint Theorem

An Example

Example 8.2

- **Domain:** $(2^{\mathbb{N}}, \subseteq)$ (CCPO with $\bigsqcup S = \bigcup_{N \in S} N$ – see Example 7.7)

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- **Function:** $F : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : N \mapsto N \cup A$ for some fixed $A \subseteq \mathbb{N}$
 - F monotonic: $M \subseteq N \Rightarrow F(M) = M \cup A \subseteq N \cup A = F(N)$
 - F continuous: $F(\bigsqcup S) = F(\bigcup_{N \in S} N) = (\bigcup_{N \in S} N) \cup A = \bigcup_{N \in S} (N \cup A) = \bigcup_{N \in S} F(N) = \bigsqcup F(S)$

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- **Fixpoint iteration:** $N_n := F^n(\bigsqcup \emptyset)$ where $\bigsqcup \emptyset = \emptyset$
 - $N_0 = \bigsqcup \emptyset = \emptyset$
 - $N_1 = F(N_0) = \emptyset \cup A = A$
 - $N_2 = F(N_1) = A \cup A = A = N_n$ for every $n \geq 1$ $\Rightarrow \text{fix}(F) = A$

The Fixpoint Theorem

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- **Fixpoint iteration:** $N_n := F^n(\bigsqcup \emptyset)$ where $\bigsqcup \emptyset = \emptyset$
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 - $N_1 = F(N_0) = \emptyset \cup A = A$
 - $N_2 = F(N_1) = A \cup A = A = N_n$ for every $n \geq 1$ $\Rightarrow \text{fix}(F) = A$
- **Alternatively:** $F(N) := N \cap A$ $\Rightarrow \text{fix}(F) = \emptyset$

Application to $\text{fix}(\Phi)$

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Altogether this completes the definition of $\mathcal{C}[\cdot]$. In particular, for the `while` statement:

Corollary 8.3

Let $b \in BExp$, $c \in Cmd$, and $\Phi(f) := \text{cond}(\mathcal{B}[b], f \circ \mathcal{C}[c], \text{id}_\Sigma)$. Then

$$\text{graph}(\text{fix}(\Phi)) = \bigcup_{n \in \mathbb{N}} \text{graph}(\Phi^n(f_\emptyset))$$

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Proof.

Using

- Lemma 7.9
 - $(\Sigma \dashrightarrow \Sigma, \sqsubseteq)$ CCPO with least element f_\emptyset
 - LUB = union of graphs
- Lemma 7.16 (Φ continuous)
- Theorem 8.1 (Fixpoint Theorem)



Denotational Semantics of Factorial Program I

Example 8.4 (Factorial program)

- Let $c \in \text{Cmd}$ be given by `y:=1; while $\neg(x=1)$ do y:=y*x; x:=x-1 end`

Denotational Semantics of Factorial Program I

Example 8.4 (Factorial program)

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- For every initial state $\sigma_0 \in \Sigma$, Definition 6.3 yields:

$$\mathcal{C}[[c]](\sigma_0) = \text{fix}(\Phi)(\sigma_1)$$

where $\sigma_1 := \sigma_0[y \mapsto 1]$ and, for every $f : \Sigma \dashrightarrow \Sigma$ and $\sigma \in \Sigma$,

$$\begin{aligned} \Phi(f)(\sigma) &= \text{cond}(\mathfrak{B}[\neg(x=1)], f \circ \mathcal{C}[[y:=y*x; x:=x-1]], \text{id}_\Sigma)(\sigma) \\ &= \begin{cases} \sigma & \text{if } \sigma(x) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \end{aligned}$$

with $\sigma' := \sigma[y \mapsto \sigma(y) * \sigma(x), x \mapsto \sigma(x) - 1]$.

Denotational Semantics of Factorial Program I

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with $\sigma' := \sigma[y \mapsto \sigma(y) * \sigma(x), x \mapsto \sigma(x) - 1]$.

- Approximations of least fixpoint of Φ according to Theorem 8.1:

$$\text{fix}(\Phi) = \bigsqcup \{ \Phi^n(f_\emptyset) \mid n \in \mathbb{N} \}$$

(where $\text{graph}(f_\emptyset) = \emptyset$)

Application to $\text{fix}(\Phi)$

Denotational Semantics of Factorial Program II

Reminder: $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(\mathbf{x}), \mathbf{x} \mapsto \sigma(\mathbf{x}) - 1]$

Example 8.4 (Factorial program; continued)

$$\begin{aligned} f_0(\sigma) &:= \Phi^0(f_\emptyset)(\sigma) \\ &= f_\emptyset(\sigma) \\ &= \text{undefined} \end{aligned}$$

Application to $\text{fix}(\Phi)$

Denotational Semantics of Factorial Program II

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Reminder: $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(\mathbf{x}), \mathbf{x} \mapsto \sigma(\mathbf{x}) - 1]$

Example 8.4 (Factorial program; continued)

$$\begin{aligned} f_0(\sigma) &:= \Phi^0(f_\emptyset)(\sigma) & f_2(\sigma) &:= \Phi^2(f_\emptyset)(\sigma) \\ &= f_\emptyset(\sigma) & &= \Phi(f_1)(\sigma) \\ &= \text{undefined} & &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f_1(\sigma') & \text{otherwise} \end{cases} \\ \\ f_1(\sigma) &:= \Phi^1(f_\emptyset)(\sigma) & &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) = 1 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) \neq 1 \end{cases} \\ &= \Phi(f_0)(\sigma) & &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) = 2 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma(\mathbf{x}) \neq 2 \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f_0(\sigma') & \text{otherwise} \end{cases} & &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma[y \mapsto 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 2 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma(\mathbf{x}) \neq 2 \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \text{undefined} & \text{otherwise} \end{cases} & & \end{aligned}$$

Application to $\text{fix}(\Phi)$

Denotational Semantics of Factorial Program III

Reminder: $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(\mathbf{x}), \mathbf{x} \mapsto \sigma(\mathbf{x}) - 1]$

Example 8.4 (Factorial program; continued)

$$\begin{aligned} f_3(\sigma) &:= \Phi^3(f_0)(\sigma) \\ &= \Phi(f_2)(\sigma) \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f_2(\sigma') & \text{otherwise} \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) = 1 \\ \sigma'[y \mapsto 2 * \sigma'(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) = 2 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) \neq 1, \sigma'(\mathbf{x}) \neq 2 \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma' & \text{if } \sigma(\mathbf{x}) = 2 \\ \sigma'[y \mapsto 2 * \sigma'(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 3 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \notin \{1, 2, 3\} \end{cases} \\ &= \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ \sigma[y \mapsto 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 2 \\ \sigma[y \mapsto 3 * 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 3 \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \notin \{1, 2, 3\} \end{cases} \end{aligned}$$

Application to $\text{fix}(\Phi)$

Denotational Semantics of Factorial Program IV

Reminder: $\Phi(f)(\sigma) = \begin{cases} \sigma & \text{if } \sigma(\mathbf{x}) = 1 \\ f(\sigma') & \text{otherwise} \end{cases} \quad \sigma' = \sigma[y \mapsto \sigma(y) * \sigma(\mathbf{x}), \mathbf{x} \mapsto \sigma(\mathbf{x}) - 1]$

Example 8.4 (Factorial program; continued)

- n -th approximation:

$$\begin{aligned} f_n(\sigma) &:= \Phi^n(f_\emptyset)(\sigma) \\ &= \begin{cases} \sigma[y \mapsto \sigma(\mathbf{x}) * (\sigma(\mathbf{x}) - 1) * \dots * 2 * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } 1 \leq \sigma(\mathbf{x}) \leq n \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \notin \{1, \dots, n\} \end{cases} \\ &= \begin{cases} \sigma[y \mapsto (\sigma(\mathbf{x}))! * \sigma(y), \mathbf{x} \mapsto 1] & \text{if } 1 \leq \sigma(\mathbf{x}) \leq n \\ \text{undefined} & \text{if } \sigma(\mathbf{x}) \notin \{1, \dots, n\} \end{cases} \end{aligned}$$

Application to $\text{fix}(\Phi)$

Denotational Semantics of Factorial Program IV

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Example 8.4 (Factorial program; continued)

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- Fixpoint:

$$\mathcal{C}[[c]](\sigma_0) = \text{fix}(\Phi)(\sigma_1) = \begin{cases} \sigma[y \mapsto (\sigma(x))!, x \mapsto 1] & \text{if } \sigma(x) \geq 1 \\ \text{undefined} & \text{otherwise} \end{cases}$$

Summary: Denotational Semantics

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- Semantic model: **partial state transformations** ($\Sigma \dashrightarrow \Sigma$)

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- **Compositional definition** of functional $\mathcal{C}[\cdot] : \text{Cmd} \rightarrow (\Sigma \dashrightarrow \Sigma)$

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- Semantic model: **partial state transformations** ($\Sigma \dashrightarrow \Sigma$)
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- Capturing the recursive nature of loops by a **fixpoint definition** (for a continuous function on a CCPO)

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- Semantic model: **partial state transformations** ($\Sigma \dashrightarrow \Sigma$)
- **Compositional definition** of functional $\mathcal{C}[\cdot] : \text{Cmd} \rightarrow (\Sigma \dashrightarrow \Sigma)$
- Capturing the recursive nature of loops by a **fixpoint definition** (for a continuous function on a CCPO)
- Approximation by **fixpoint iteration**

Equivalence of Operational and Denotational Semantics

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Equivalence of Operational and Denotational Semantics

Equivalence of Operational and Denotational Semantics

Equivalence of Semantics I

Remember: in Definition 4.1, $\mathcal{D}[\cdot] : Cmd \rightarrow (\Sigma \dashrightarrow \Sigma)$ was given by

$$\mathcal{D}[c](\sigma) = \sigma' \iff \langle c, \sigma \rangle \rightarrow \sigma'$$

Equivalence of Operational and Denotational Semantics

Equivalence of Semantics I

Remember: in Definition 4.1, $\mathcal{D}[\cdot] : Cmd \rightarrow (\Sigma \dashrightarrow \Sigma)$ was given by

$$\mathcal{D}[c](\sigma) = \sigma' \iff \langle c, \sigma \rangle \rightarrow \sigma'$$

Theorem 8.5 (Coincidence Theorem)

For every $c \in Cmd$,

$$\mathcal{D}[c] = \mathcal{E}[c],$$

i.e., $\langle c, \sigma \rangle \rightarrow \sigma'$ iff $\mathcal{E}[c](\sigma) = \sigma'$, and thus $\mathcal{D}[\cdot] = \mathcal{E}[\cdot]$.

Equivalence of Operational and Denotational Semantics

Equivalence of Semantics II

The proof of Theorem 8.5 employs the following auxiliary propositions:

Lemma 8.6

1. For every $a \in AExp$, $\sigma \in \Sigma$, and $z \in \mathbb{Z}$:

$$\langle a, \sigma \rangle \rightarrow z \iff \mathcal{A}[[a]](\sigma) = z.$$

Equivalence of Operational and Denotational Semantics

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Lemma 8.6

1. For every $a \in AExp$, $\sigma \in \Sigma$, and $z \in \mathbb{Z}$:

$$\langle a, \sigma \rangle \rightarrow z \iff \mathcal{A}[[a]](\sigma) = z.$$

2. For every $b \in BExp$, $\sigma \in \Sigma$, and $t \in \mathbb{B}$:

$$\langle b, \sigma \rangle \rightarrow t \iff \mathcal{B}[[b]](\sigma) = t.$$

Equivalence of Operational and Denotational Semantics

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1. For every $a \in AExp$, $\sigma \in \Sigma$, and $z \in \mathbb{Z}$:

$$\langle a, \sigma \rangle \rightarrow z \iff \mathcal{A}[[a]](\sigma) = z.$$

2. For every $b \in BExp$, $\sigma \in \Sigma$, and $t \in \mathbb{B}$:

$$\langle b, \sigma \rangle \rightarrow t \iff \mathcal{B}[[b]](\sigma) = t.$$

Proof.

1. structural induction on a
2. structural induction on b



Equivalence of Operational and Denotational Semantics

Equivalence of Semantics III

Proof (Theorem 8.5).

We have to show that

$$\langle c, \sigma \rangle \rightarrow \sigma' \iff \mathcal{E}[[c]](\sigma) = \sigma'$$

\Rightarrow by structural induction over the derivation tree of $\langle c, \sigma \rangle \rightarrow \sigma'$

\Leftarrow by structural induction over c (with a nested complete induction over fixpoint index n)

(on the board)



Equivalence of Operational and Denotational Semantics

Overview: Operational/Denotational Semantics

Definition (3.2; Execution relation for statements)

$$\begin{array}{c} \text{(skip)} \frac{}{\langle \text{skip}, \sigma \rangle \rightarrow \sigma} \qquad \text{(asgn)} \frac{\langle a, \sigma \rangle \rightarrow z}{\langle x := a, \sigma \rangle \rightarrow \sigma[x \mapsto z]} \\ \text{(seq)} \frac{\langle c_1, \sigma \rangle \rightarrow \sigma' \quad \langle c_2, \sigma' \rangle \rightarrow \sigma''}{\langle c_1 ; c_2, \sigma \rangle \rightarrow \sigma''} \qquad \text{(if-t)} \frac{\langle b, \sigma \rangle \rightarrow \text{true} \quad \langle c_1, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}, \sigma \rangle \rightarrow \sigma'} \\ \text{(if-f)} \frac{\langle b, \sigma \rangle \rightarrow \text{false} \quad \langle c_2, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}, \sigma \rangle \rightarrow \sigma'} \qquad \text{(wh-f)} \frac{\langle b, \sigma \rangle \rightarrow \text{false}}{\langle \text{while } b \text{ do } c \text{ end}, \sigma \rangle \rightarrow \sigma} \\ \text{(wh-t)} \frac{\langle b, \sigma \rangle \rightarrow \text{true} \quad \langle c, \sigma \rangle \rightarrow \sigma' \quad \langle \text{while } b \text{ do } c \text{ end}, \sigma' \rangle \rightarrow \sigma''}{\langle \text{while } b \text{ do } c \text{ end}, \sigma \rangle \rightarrow \sigma''} \end{array}$$

Definition (6.3; Denotational semantics of statements)

$$\begin{aligned} \mathcal{C}[\text{skip}] &:= \text{id}_{\Sigma} \\ \mathcal{C}[x := a] \sigma &:= \sigma[x \mapsto \mathcal{A}[a] \sigma] \\ \mathcal{C}[c_1 ; c_2] &:= \mathcal{C}[c_2] \circ \mathcal{C}[c_1] \\ \mathcal{C}[\text{if } b \text{ then } c_1 \text{ else } c_2 \text{ end}] &:= \text{cond}(\mathcal{B}[b], \mathcal{C}[c_1], \mathcal{C}[c_2]) \\ \mathcal{C}[\text{while } b \text{ do } c \text{ end}] &:= \text{fix}(\Phi) \text{ where } \Phi(f) := \text{cond}(\mathcal{B}[b], f \circ \mathcal{C}[c], \text{id}_{\Sigma}) \end{aligned}$$