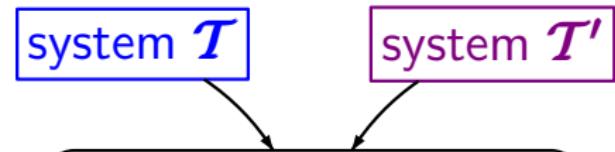
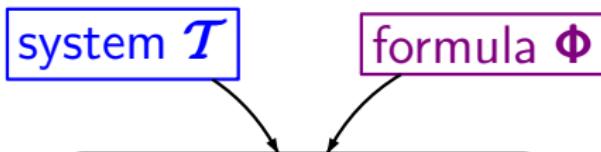




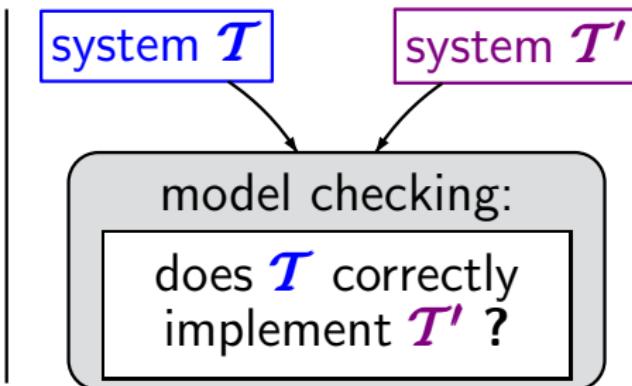
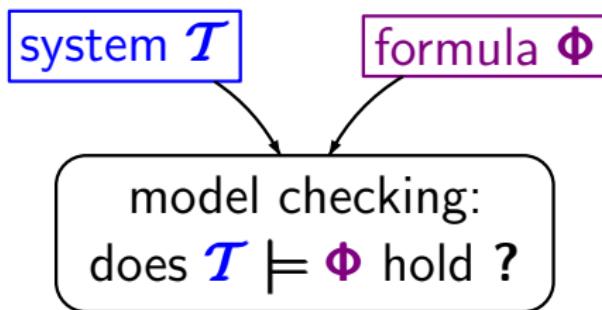
# Heterogeneous/homogeneous model checking

GRM5.5-30



# Heterogeneous/homogeneous model checking

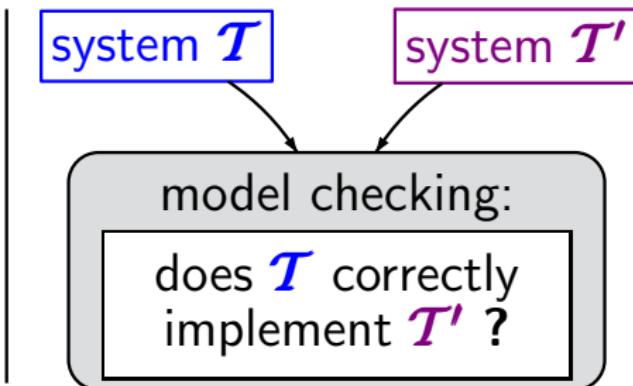
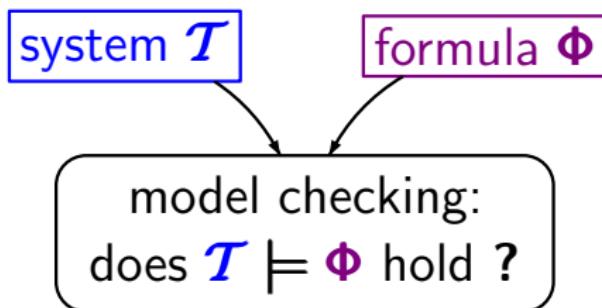
GRM5.5-30



trace inclusion checking  
trace equivalence checking

# Heterogeneous/homogeneous model checking

GRM5.5-30

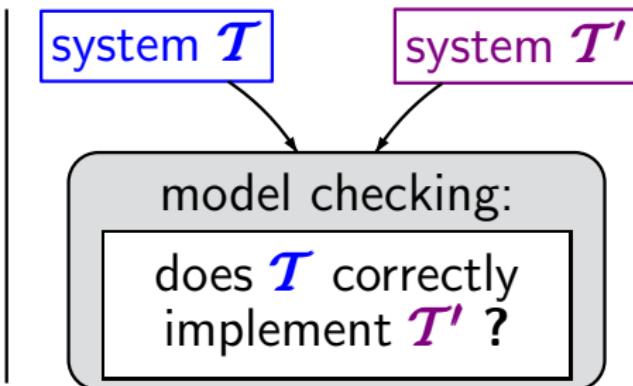
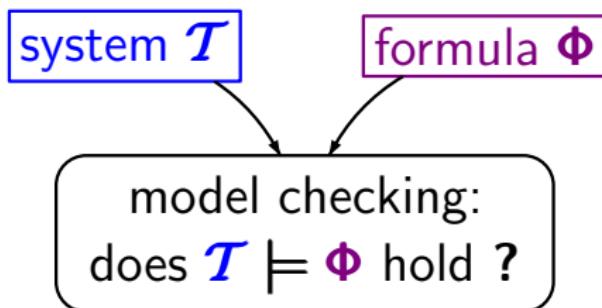


trace inclusion checking  
trace equivalence checking

←  **$PSPACE$ -complete**

# Heterogeneous/homogeneous model checking

GRM5.5-30



trace inclusion checking  
trace equivalence checking

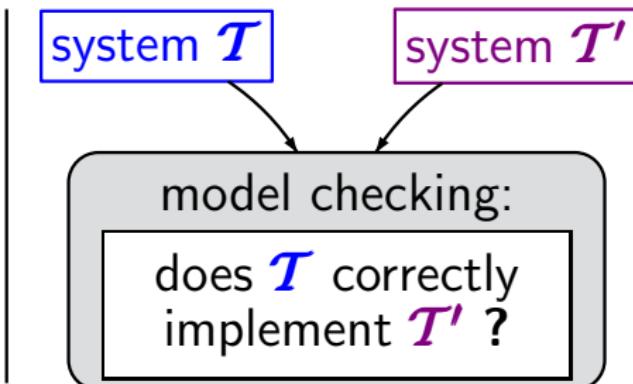
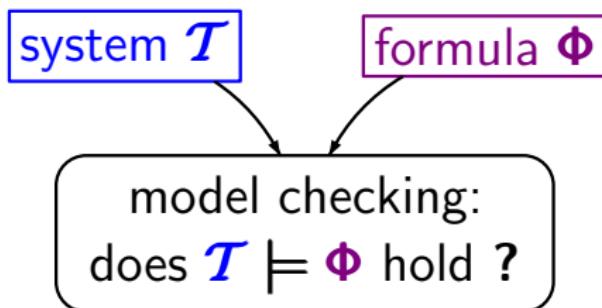
bisimulation equivalence checking

“does  $\mathcal{T} \sim \mathcal{T}'$  hold ?”

← **PSPACE**-complete

# Heterogeneous/homogeneous model checking

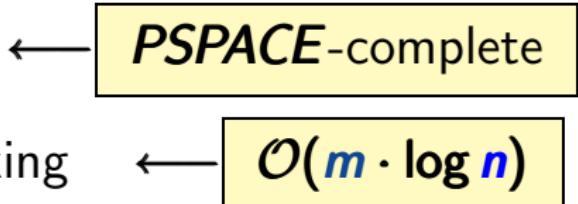
GRM5.5-30



trace inclusion checking  
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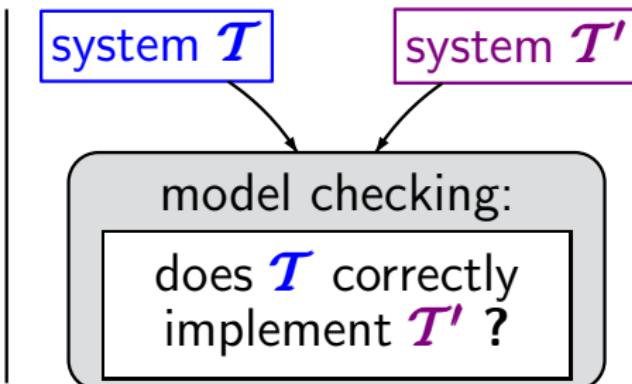
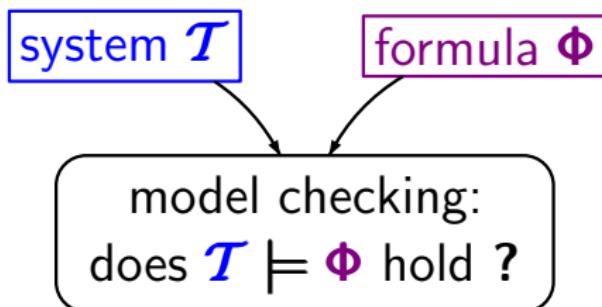


$n$  = #states

$m$  = #transitions

# Heterogeneous/homogeneous model checking

GRM5.5-30



trace inclusion checking  
trace equivalence checking

bisimulation equivalence checking  
“does  $\mathcal{T} \sim \mathcal{T}'$  hold ?”

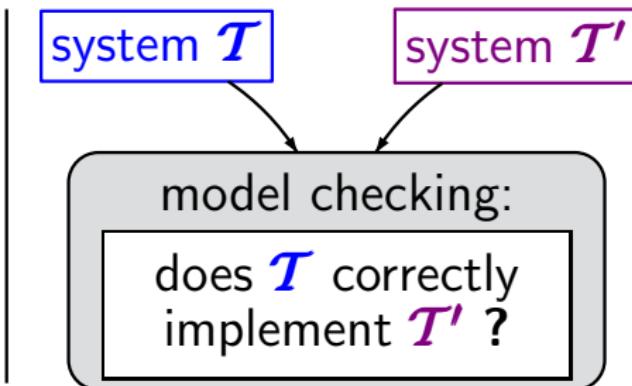
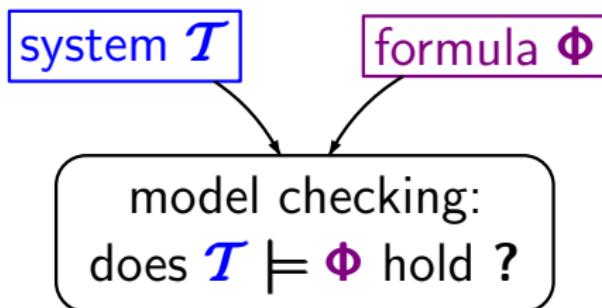
refinement checking via simulation  
“does  $\mathcal{T} \preceq \mathcal{T}'$  hold ?”

←  **$PSPACE$ -complete**

←  **$O(m \cdot \log n)$**

# Heterogeneous/homogeneous model checking

GRM5.5-30



trace inclusion checking  
trace equivalence checking

bisimulation equivalence checking

“does  $\mathcal{T} \sim \mathcal{T}'$  hold ?”

refinement checking via simulation

“does  $\mathcal{T} \preceq \mathcal{T}'$  hold ?”

←  **$PSPACE$ -complete**

←  **$O(m \cdot \log n)$**

←  **$O(m \cdot n)$**

## Refinement checking via simulation

GRM5.5-31

*given:* 2 finite transition system  $\mathcal{T}_1$  and  $\mathcal{T}_2$   
over the same set of propositions  $AP$

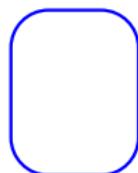
*question:* does  $\mathcal{T}_1 \preceq \mathcal{T}_2$  hold ?

# Refinement checking via simulation

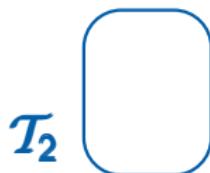
GRM5.5-31

*given:* 2 finite transition system  $\mathcal{T}_1$  and  $\mathcal{T}_2$   
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*question:* does  $\mathcal{T}_1 \preceq \mathcal{T}_2$  hold ?



$\mathcal{T}_1$



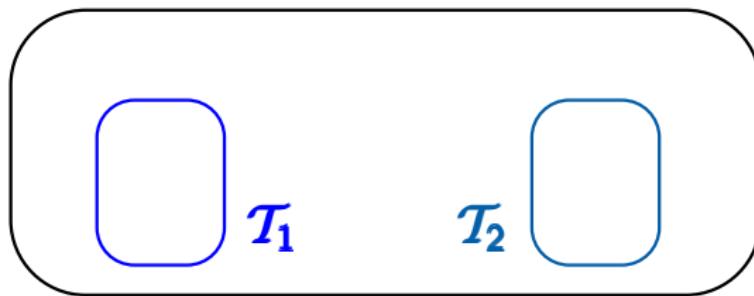
$\mathcal{T}_2$

# Refinement checking via simulation

GRM5.5-31

given: 2 finite transition system  $\mathcal{T}_1$  and  $\mathcal{T}_2$   
over the same set of propositions  $AP$

question: does  $\mathcal{T}_1 \preceq \mathcal{T}_2$  hold ?



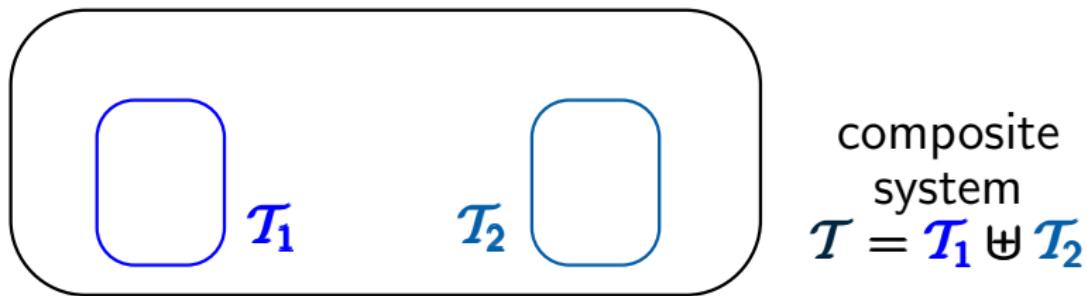
composite  
system  
 $\mathcal{T} = \mathcal{T}_1 \uplus \mathcal{T}_2$

# Refinement checking via simulation

GRM5.5-31

given: 2 finite transition system  $\mathcal{T}_1$  and  $\mathcal{T}_2$   
over the same set of propositions  $AP$

question: does  $\mathcal{T}_1 \preceq \mathcal{T}_2$  hold ?



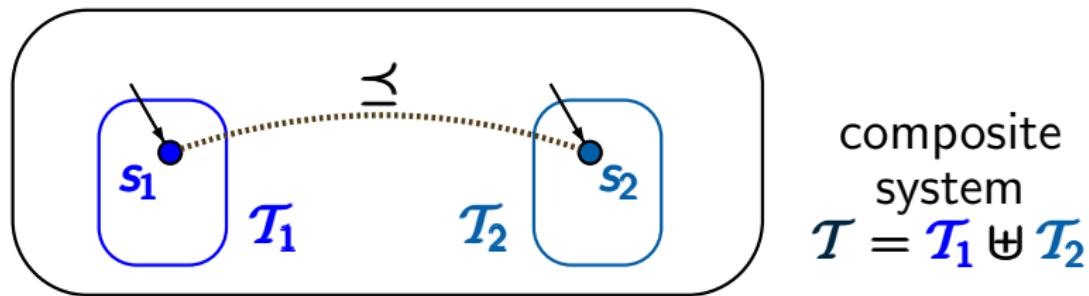
- compute the simulation preorder  $\preceq_{\mathcal{T}}$  on  $\mathcal{T}$

# Refinement checking via simulation

GRM5.5-31

given: 2 finite transition system  $\mathcal{T}_1$  and  $\mathcal{T}_2$   
over the same set of propositions  $AP$

question: does  $\mathcal{T}_1 \preceq \mathcal{T}_2$  hold ?



- compute the simulation preorder  $\preceq_{\mathcal{T}}$  on  $\mathcal{T}$
- check whether for all initial states  $s_1$  of  $\mathcal{T}_1$   
there is an initial state  $s_2$  of  $\mathcal{T}_2$  s.t.  $s_1 \preceq_{\mathcal{T}} s_2$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32

*given:* finite TS  $\mathcal{T} = (\mathcal{S}, \mathcal{Act}, \rightarrow, \mathcal{S}_0, \mathcal{AP}, \mathcal{L})$   
possibly with terminal states

*goal:* compute the simulation preorder  $\preceq_{\mathcal{T}}$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32

given: finite TS  $\mathcal{T} = (\mathcal{S}, \text{Act}, \rightarrow, \mathcal{S}_0, \text{AP}, \mathcal{L})$   
possibly with terminal states

goal: compute the simulation preorder  $\preceq_{\mathcal{T}}$

- ~~> simulation equivalence classes
- ~~> simulation quotient  $\mathcal{T}/\simeq$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32

given: finite TS  $\mathcal{T} = (S, Act, \rightarrow, S_0, AP, L)$   
possibly with terminal states

goal: compute the simulation preorder  $\preceq_{\mathcal{T}}$

- ~~> simulation equivalence classes
- ~~> simulation quotient  $\mathcal{T}/\simeq$

method: iterative refinement of relation  $\mathcal{R} \subseteq S \times S$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32A

$$\mathcal{R} := \{ (s_1, s_2) \in S \times S : L(s_1) = L(s_2) \};$$

WHILE  $\mathcal{R}$  is no simulation DO

choose  $(s_1, s_2) \in \mathcal{R}$  s.t.  $s_1 \rightarrow s'_1$ , but there is  
no transition  $s_2 \rightarrow s'_2$  with  $(s'_1, s'_2) \in \mathcal{R}$

OD     $\mathcal{R} := \mathcal{R} \setminus \{(s_1, s_2)\}$

return  $\mathcal{R}$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32A

$$\mathcal{R} := \{ (s_1, s_2) \in S \times S : L(s_1) = L(s_2) \};$$

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OD     $\mathcal{R} := \mathcal{R} \setminus \{(s_1, s_2)\}$

return  $\mathcal{R} \leftarrow$   $\mathcal{R}$  is the coarsest simulation on  $\mathcal{T}$   
and therefore  $\mathcal{R} = \preceq_{\mathcal{T}}$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32A

$$\mathcal{R} := \{ (\textcolor{blue}{s_1}, \textcolor{blue}{s_2}) \in S \times S : L(\textcolor{blue}{s_1}) = L(\textcolor{blue}{s_2}) \};$$

WHILE  $\mathcal{R}$  is no simulation DO

choose  $(\textcolor{blue}{s_1}, \textcolor{blue}{s_2}) \in \mathcal{R}$  s.t.  $s_1 \rightarrow \textcolor{magenta}{s'_1}$ , but there is  
no transition  $s_2 \rightarrow \textcolor{magenta}{s'_2}$  with  $(\textcolor{magenta}{s'_1}, \textcolor{magenta}{s'_2}) \in \mathcal{R}$

OD     $\mathcal{R} := \mathcal{R} \setminus \{(\textcolor{blue}{s_1}, \textcolor{blue}{s_2})\}$

return  $\mathcal{R}$

#iterations:  $\mathcal{O}(|S|^2)$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-32A

$$\mathcal{R} := \{ (\textcolor{blue}{s}_1, \textcolor{blue}{s}_2) \in S \times S : L(\textcolor{blue}{s}_1) = L(\textcolor{blue}{s}_2) \};$$

WHILE  $\mathcal{R}$  is no simulation DO

choose  $(\textcolor{blue}{s}_1, \textcolor{blue}{s}_2) \in \mathcal{R}$  s.t.  $\textcolor{blue}{s}_1 \rightarrow \textcolor{magenta}{s}'_1$ , but there is  
no transition  $\textcolor{blue}{s}_2 \rightarrow \textcolor{magenta}{s}'_2$  with  $(\textcolor{blue}{s}'_1, \textcolor{magenta}{s}'_2) \in \mathcal{R}$

OD     $\mathcal{R} := \mathcal{R} \setminus \{(\textcolor{blue}{s}_1, \textcolor{blue}{s}_2)\}$

return  $\mathcal{R}$

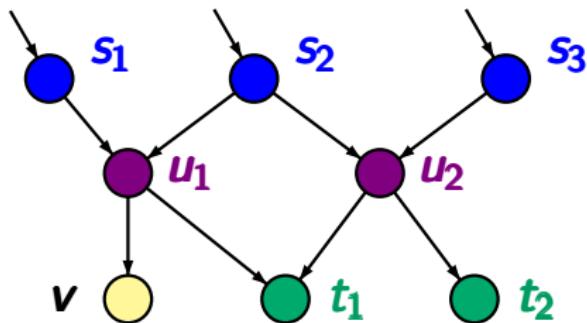
#iterations:  $\mathcal{O}(|S|^2)$

representation of  $\mathcal{R}$  by simulator sets

$$Sim_{\mathcal{R}}(\textcolor{blue}{s}_1) = \{ \textcolor{blue}{s}_2 \in S : (\textcolor{blue}{s}_1, \textcolor{blue}{s}_2) \in \mathcal{R} \}$$

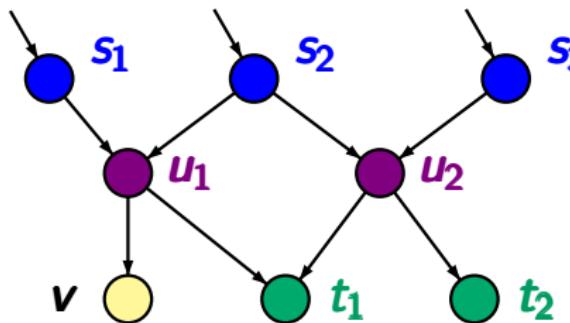
# Example: computation of $\preceq$

GRM5.5-33



## Example: computation of $\preceq$

GRM5.5-33



initially:

$$\text{Sim}(s_i) = \{s_1, s_2, s_3\}$$

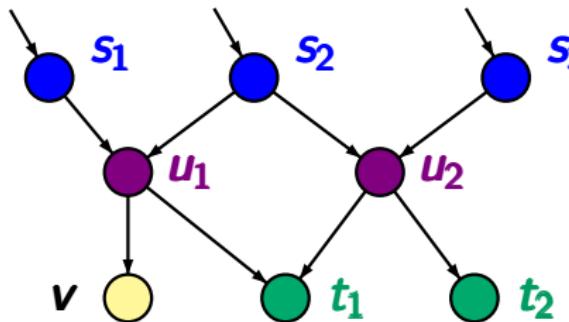
$$\text{Sim}(u_1) = \text{Sim}(u_2) = \{u_1, u_2\}$$

$$\text{Sim}(v) = \{v\}$$

$$\text{Sim}(t_1) = \text{Sim}(t_2) = \{t_1, t_2\}$$

## Example: computation of $\preceq$

GRM5.5-33



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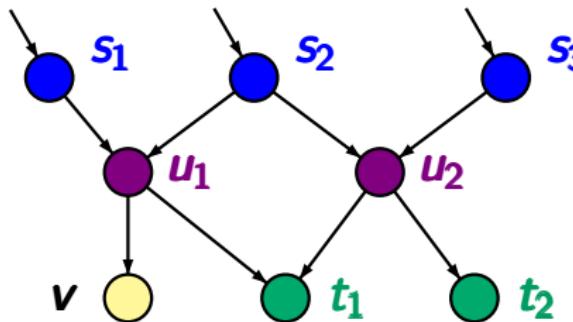
$$\text{Sim}(t_1) = \text{Sim}(t_2) = \{t_1, t_2\}$$

---

$u_1 \not\preceq u_2$ , as  $u_1 \rightarrow v$ ,  $u_2 \not\rightarrow \text{Sim}(v)$

## Example: computation of $\preceq$

GRM5.5-33



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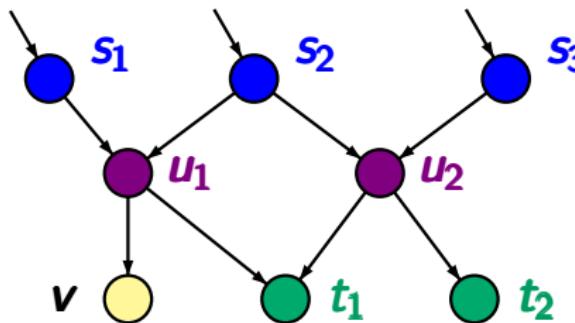
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## Example: computation of $\preceq$

GRM5.5-33



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---

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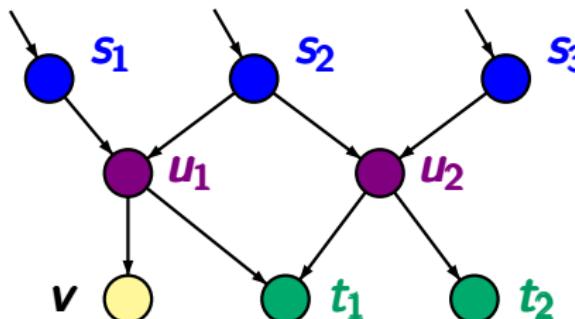
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---

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## Example: computation of $\preceq$

GRM5.5-33



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---

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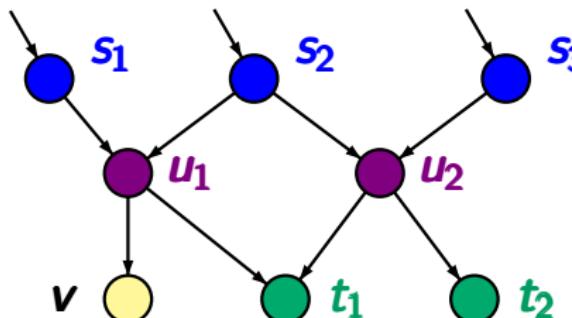
---

$s_1 \not\preceq s_3$ , as  $s_1 \rightarrow u_1$ ,  $s_3 \not\rightarrow \text{Sim}(u_1)$

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## Example: computation of $\preceq$

GRM5.5-33



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---

$s_1 \not\preceq s_3$ , as  $s_1 \rightarrow u_1$ ,  $s_3 \not\rightarrow \text{Sim}(u_1)$

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---

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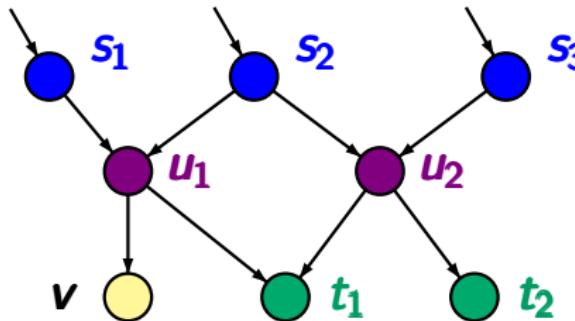
$$\boxed{\text{Sim}(s_1) = \{s_1, s_2\}}$$

---

$s_2 \not\preceq s_3$ , as  $s_2 \rightarrow u_1$ ,  $s_3 \not\rightarrow \text{Sim}(u_1)$

## Example: computation of $\preceq$

GRM5.5-33



initially:

$$\text{Sim}(s_i) = \{s_1, s_2, s_3\}$$

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$$\boxed{\text{Sim}(u_1) = \{u_1\}}$$

$s_1 \not\preceq s_3$ , as  $s_1 \rightarrow u_1$ ,  $s_3 \not\rightarrow \text{Sim}(u_1)$

$$\boxed{\text{Sim}(s_1) = \{s_1, s_2\}}$$

$s_2 \not\preceq s_3$ , as  $s_2 \rightarrow u_1$ ,  $s_3 \not\rightarrow \text{Sim}(u_1)$

$$\boxed{\text{Sim}(s_2) = \{s_1, s_2\}}$$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM5.5-34

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM 5.5-34

FOR ALL  $s_1 \in S$  DO

    OD      $Sim(s_1) := \{s_2 \in S : L(s_1) = L(s_2)\}$

WHILE  $\exists s_1 \in S \exists s_2 \in Sim(s_1) \exists s'_1 \in Post(s_1)$

              s.t.  $Post(s_2) \cap Sim(s'_1) = \emptyset$      DO

              choose such states  $s_1, s_2$

    OD      $Sim(s_1) := Sim(s_1) \setminus \{s_2\}$

return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$

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GRM 5.5-34

FOR ALL  $s_1 \in S$  DO

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OD

return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$

$$s_1 \longrightarrow s'_1$$

$$s_2$$

# Computing the simulation preorder $\preceq_{\mathcal{T}}$

GRM 5.5-34

FOR ALL  $s_1 \in S$  DO

$Sim(s_1) := \{s_2 \in S : L(s_1) = L(s_2)\}$   
    OD

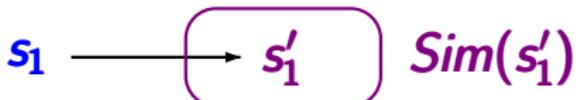
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$Sim(s_1) := Sim(s_1) \setminus \{s_2\}$   
        OD

    return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$



$s_2$

# Computing the simulation preorder $\preceq_T$

GRM 5.5-34

FOR ALL  $s_1 \in S$  DO

$Sim(s_1) := \{s_2 \in S : L(s_1) = L(s_2)\}$   
    OD

    WHILE  $\exists s_1 \in S \exists s_2 \in Sim(s_1) \exists s'_1 \in Post(s_1)$

        s.t.  $Post(s_2) \cap Sim(s'_1) = \emptyset$      DO

        choose such states  $s_1, s_2$

$Sim(s_1) := Sim(s_1) \setminus \{s_2\}$   
    OD

    return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$



# Computing the simulation preorder $\preceq_T$

GRM5.5-34

FOR ALL  $s_1 \in S$  DO

$Sim(s_1) := \{s_2 \in S : L(s_1) = L(s_2)\}$   
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                s.t.  $Post(s_2) \cap Sim(s'_1) = \emptyset$       DO

        choose such states  $s_1, s_2$

$Sim(s_1) := Sim(s_1) \setminus \{s_2\} \leftarrow$   $s_1 \not\preceq_T s_2$   
        OD

    return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$



# Computing the simulation preorder $\preceq_T$

GRM 5.5-34

FOR ALL  $s_1 \in S$  DO

$Sim(s_1) := \{s_2 \in S : L(s_1) = L(s_2)\}$   
OD

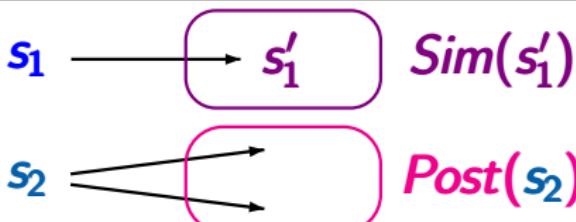
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choose such states  $s_1, s_2$

$Sim(s_1) := Sim(s_1) \setminus \{s_2\}$   
OD

return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$

complexity:  
 $O(m \cdot |S|^3)$



$$m = \#\text{edges} \geq |S|$$

# Computing the simulation preorder $\preceq_T$

GRM 5.5-34

FOR ALL  $s_1 \in S$  DO

$Sim(s_1) := \{s_2 \in S : L(s_1) = L(s_2)\}$   
OD

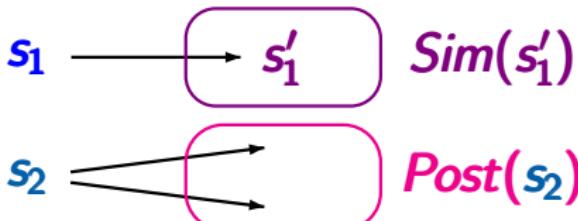
WHILE  $\exists s_1 \in S \exists s_2 \in Sim(s_1) \exists s'_1 \in Post(s_1)$   
                  s.t.  $Post(s_2) \cap Sim(s'_1) = \emptyset$      DO

choose such states  $s_1, s_2$

$Sim(s_1) := Sim(s_1) \setminus \{s_2\}$   
OD

return  $\{(s_1, s_2) : s_2 \in Sim(s_1)\}$

complexity:  
 $O(m \cdot |S|^2)$



$$m = \#\text{edges} \geq |S|$$

# $\mathcal{O}(m \cdot |S|^2)$ -algorithm for computing $\preceq_{\mathcal{T}}$

GRM5.5-35

reformulation of the algorithm to compute  $\preceq_{\mathcal{T}}$   
by means of

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- counters  $\delta(s'_1, s_2)$  for  $|Post(s_2) \cap Sim(s'_1)|$

reformulation of the algorithm to compute  $\preceq_{\mathcal{T}}$   
by means of

- counters  $\delta(s'_1, s_2)$  for  $|Post(s_2) \cap Sim(s'_1)|$
- a set  $V$  that organizes all pairs  $(s'_1, s_2)$   
where  $\delta(s'_1, s_2) = 0$

FOR ALL  $s_1 \in S$  DO  $Sim(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

OD

FOR ALL  $s_1 \in S$  DO  $Sim(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap Sim(s'_1)|$  OD

OD

FOR ALL  $s_1 \in S$  DO  $Sim(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap Sim(s'_1)|$  OD

$V := \{(s'_1, s_2) : \delta(s'_1, s_2) = 0\}$

OD

FOR ALL  $s_1 \in S$  DO  $Sim(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

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$V := \{(s'_1, s_2) : \delta(s'_1, s_2) = 0\}$

WHILE  $V \neq \emptyset$  DO

OD

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap \text{Sim}(s'_1)|$  OD

$V := \{(s'_1, s_2) : \delta(s'_1, s_2) = 0\}$

WHILE  $V \neq \emptyset$  DO

choose  $(s'_1, s_2) \in V$  and remove  $(s'_1, s_2)$  from  $V$

OD

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap \text{Sim}(s'_1)|$  OD

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WHILE  $V \neq \emptyset$  DO

choose  $(s'_1, s_2) \in V$  and remove  $(s'_1, s_2)$  from  $V$

FOR ALL  $s_1 \in Pre(s'_1)$  with  $s_2 \in \text{Sim}(s_1)$  DO

$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \{s_2\}$

OD

OD

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap \text{Sim}(s'_1)|$  OD

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FOR ALL  $u_2 \in \text{Pre}(s_2)$  DO

OD

OD

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

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$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \{s_2\}$

FOR ALL  $u_2 \in \text{Pre}(s_2)$  DO

$\delta(s_1, u_2) := \delta(s_1, u_2) - 1$

OD

OD

```

FOR ALL  $s_1 \in S$  DO  $Sim(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD
FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap Sim(s'_1)|$  OD
 $V := \{(s'_1, s_2) : \delta(s'_1, s_2) = 0\}$ 
WHILE  $V \neq \emptyset$  DO
    choose  $(s'_1, s_2) \in V$  and remove  $(s'_1, s_2)$  from  $V$ 
    FOR ALL  $s_1 \in Pre(s'_1)$  with  $s_2 \in Sim(s_1)$  DO
         $Sim(s_1) := Sim(s_1) \setminus \{s_2\}$ 
        FOR ALL  $u_2 \in Pre(s_2)$  DO
             $\delta(s_1, u_2) := \delta(s_1, u_2) - 1$ 
            IF  $\delta(s_1, u_2) = 0$  THEN insert  $(s_1, u_2)$  in  $V$  FI
        OD
    OD
OD

```

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD  
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 IF  $\delta(s_1, u_2) = 0$  THEN insert  $(s_1, u_2)$  in  $V$  FI  
 OD  
 OD  
 OD

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

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$\delta(s_1, u_2) := \delta(s_1, u_2) - 1$

in total:  
 $O(m \cdot |S|)$

IF  $\delta(s_1, u_2) = 0$  THEN insert  $(s_1, u_2)$  in  $V$  FI

OD

OD

OD

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

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 OD  
 OD  
 OD

cost per iteration  
 $\mathcal{O}(m)$

FOR ALL  $s_1 \in S$  DO  $\text{Sim}(s_1) := \{s_2 : L(s_1) = L(s_2)\}$  OD

FOR ALL  $s'_1, s_2$  DO  $\delta(s'_1, s_2) := |Post(s_2) \cap \text{Sim}(s'_1)|$  OD

$V := \{(s'_1, s_2) : \delta(s'_1, s_2) = 0\}$

WHILE  $V \neq \emptyset$  DO

← #iterations  $\leq |S|^2$

choose  $(s'_1, s_2) \in V$  and remove  $(s'_1, s_2)$  from  $V$

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cost per iteration  
 $O(m)$

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OD

OD

OD

# An $\mathcal{O}(m \cdot |S|)$ -algorithm for computing $\preceq_{\mathcal{T}}$

GRM5.5-36

... algorithm by Henzinger, Henzinger, and Kopke

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relies on the following observations:

- suppose  $s_1 \rightarrow s'_1$  and  $s_2 \rightarrow s'_2$  are transitions s.t.  
 $s_2 \in Sim(s_1)$  and  $s'_2 \in Sim(s'_1)$ .

# An $\mathcal{O}(m \cdot |S|)$ -algorithm for computing $\preceq_T$

GRM5.5-36

... algorithm by Henzinger, Henzinger, and Kopke

relies on the following observations:

- suppose  $s_1 \rightarrow s'_1$  and  $s_2 \rightarrow s'_2$  are transitions s.t.  $s_2 \in Sim(s_1)$  and  $s'_2 \in Sim(s'_1)$ .
- suppose that  $s'_2$  will be removed from  $Sim(s'_1)$ .

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GRM5.5-36

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- suppose  $s_1 \rightarrow s'_1$  and  $s_2 \rightarrow s'_2$  are transitions s.t.  $s_2 \in Sim(s_1)$  and  $s'_2 \in Sim(s'_1)$ .
- suppose that  $s'_2$  will be removed from  $Sim(s'_1)$ .

Then: if  $Post(s_2) \cap Sim(s'_1) = \{s'_2\}$  then  $s_1 \not\preceq s_2$  and  $s_2$  can be removed from  $Sim(s_1)$ .

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Then: if  $Post(s_2) \cap Sim(s'_1) = \{s'_2\}$  then  $s_1 \not\preceq s_2$  and  $s_2$  can be removed from  $Sim(s_1)$ .



idea: collect all such states  $s_2$  in  $Remove(s'_1)$

If  $s'_2$  is removed from  $\text{Sim}(s'_1)$  then regard all direct predecessors  $s_1$  of  $s'_1$  and remove all states in

$$\text{Remove}(s'_1) = \text{Pre}(\text{Sim}_{\text{old}}(s'_1)) \setminus \text{Pre}(\text{Sim}(s'_1))$$

from  $\text{Sim}(s_1)$ .

## Idea of the HHK-algorithm

GRM5.5-36A

If  $s'_2$  is removed from  $\text{Sim}(s'_1)$  then regard all direct predecessors  $s_1$  of  $s'_1$  and remove all states in

$$\text{Remove}(s'_1) = \text{Pre}(\text{Sim}_{\text{old}}(s'_1)) \setminus \text{Pre}(\text{Sim}(s'_1))$$

from  $\text{Sim}(s_1)$ . I.e., we put

$$\text{Sim}_{\text{old}}(s'_1) := \text{Sim}(s'_1)$$

$$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \text{Remove}(s'_1) \text{ for } s_1 \in \text{Pre}(s'_1)$$

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$s'_1$

$\text{Sim}_{\text{old}}(s'_1)$

## Idea of the HHK-algorithm

GRM5.5-36A

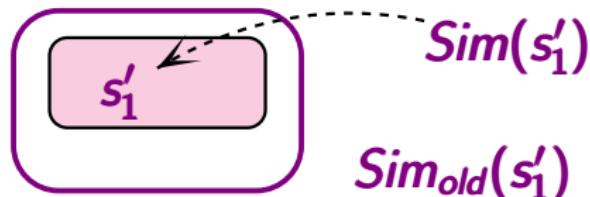
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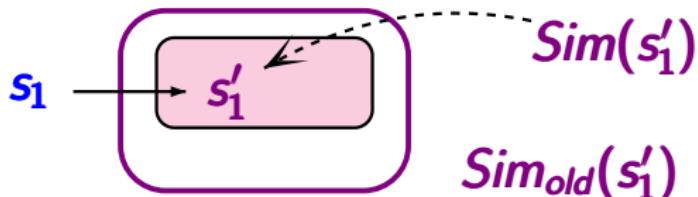
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GRM5.5-36A

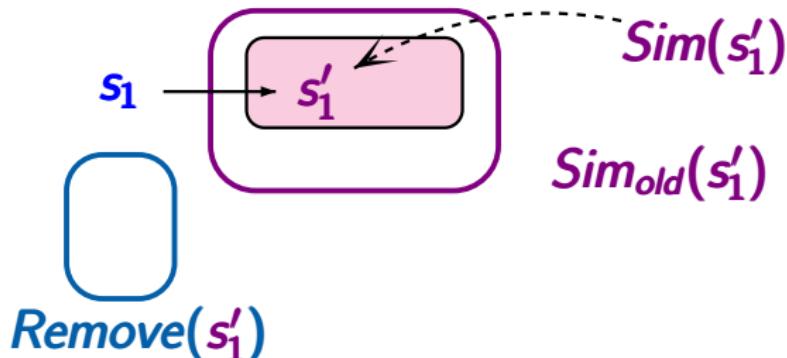
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# Idea of the HHK-algorithm

GRM5.5-36A

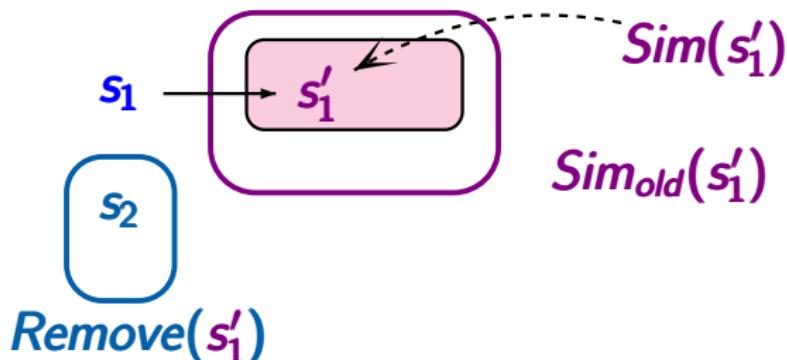
If  $s'_2$  is removed from  $\text{Sim}(s'_1)$  then regard all direct predecessors  $s_1$  of  $s'_1$  and remove all states in

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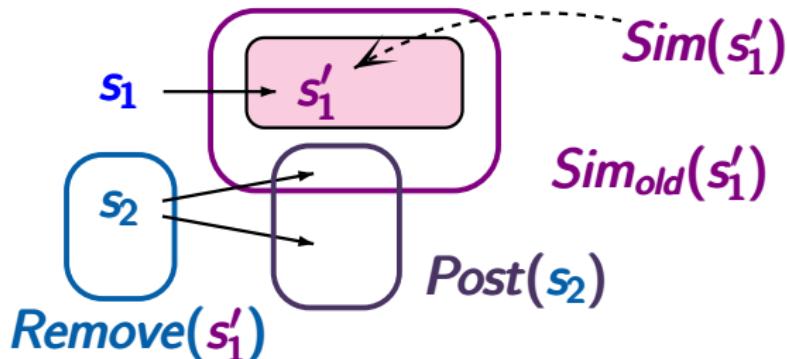
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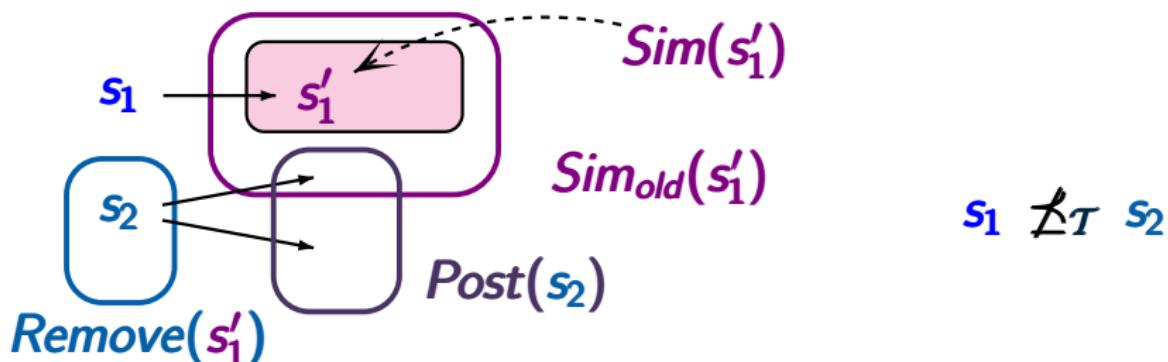
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# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := S$

$Sim(s'_1) := \{ s'_2 \in S : L(s'_1) = L(s'_2) \}$

OD

# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := S$

$Sim(s'_1) := \{s'_2 \in S : L(s'_1) = L(s'_2)\}$

OD

WHILE  $\exists$  state  $s'_1$  with  $Sim(s'_1) \neq Sim_{old}(s'_1)$  DO

# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := S$

$Sim(s'_1) := \{s'_2 \in S : L(s'_1) = L(s'_2)\}$

OD

WHILE  $\exists$  state  $s'_1$  with  $Sim(s'_1) \neq Sim_{old}(s'_1)$  DO

choose such a state  $s'_1$ ;

# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := S$

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OD

WHILE  $\exists$  state  $s'_1$  with  $Sim(s'_1) \neq Sim_{old}(s'_1)$  DO

choose such a state  $s'_1$ ;

$Remove(s'_1) := Pre(Sim_{old}(s'_1)) \setminus Pre(Sim(s'_1));$

# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := S$

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OD

WHILE  $\exists$  state  $s'_1$  with  $Sim(s'_1) \neq Sim_{old}(s'_1)$  DO

choose such a state  $s'_1$ ;

$Remove(s'_1) := Pre(Sim_{old}(s'_1)) \setminus Pre(Sim(s'_1));$

FOR ALL  $s_1 \in Remove(s'_1)$  DO

# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

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choose such a state  $s'_1$ ;

$Remove(s'_1) := Pre(Sim_{old}(s'_1)) \setminus Pre(Sim(s'_1))$ ;

FOR ALL  $s_1 \in Pre(s'_1)$  DO

$Sim(s_1) := Sim(s_1) \setminus Remove(s'_1)$

OD ;

# HHK-algorithm (first version)

GRM5.5-36B

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := S$

$Sim(s'_1) := \{ s'_2 \in S : L(s'_1) = L(s'_2) \}$

OD

WHILE  $\exists$  state  $s'_1$  with  $Sim(s'_1) \neq Sim_{old}(s'_1)$  DO

choose such a state  $s'_1$ ;

$Remove(s'_1) := Pre(Sim_{old}(s'_1)) \setminus Pre(Sim(s'_1))$ ;

FOR ALL  $s_1 \in Pre(s'_1)$  DO

$Sim(s_1) := Sim(s_1) \setminus Remove(s'_1)$

OD ;

$Sim_{old}(s'_1) := Sim(s'_1)$

OD

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GRM5.5-36B

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$Sim_{old}(s'_1) := Sim(s'_1)$

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return  $\{(s_1, s'_2) : s'_2 \in Sim(s'_1)\}$

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GRM5.5-36B

FOR ALL states  $s'_1$  DO

$\text{Sim}_{\text{old}}(s'_1) := S$

$\text{Sim}(s'_1) := \{s'_2 \in S : L(s'_1) = L(s'_2) \text{ and } \dots\}$

OD

WHILE  $\exists$  state  $s'_1$  with  $\text{Sim}(s'_1) \neq \text{Sim}_{\text{old}}(s'_1)$  DO

choose such a state  $s'_1$ ;

$\text{Remove}(s'_1) := \text{Pre}(\text{Sim}_{\text{old}}(s'_1)) \setminus \text{Pre}(\text{Sim}(s'_1))$ ;

FOR ALL  $s_1 \in \text{Pre}(s'_1)$  DO

$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \text{Remove}(s'_1)$

OD ;

$\text{Sim}_{\text{old}}(s'_1) := \text{Sim}(s'_1)$

OD

return  $\{(s_1, s'_2) : s'_2 \in \text{Sim}(s'_1)\}$

if  $s'_2$  is terminal then so is  $s'_1$



# HHK-algorithm (first version)

GRM5.5-36C

FOR ALL states  $s'_1$  DO

$Sim_{old}(s'_1) := \text{"undefined"}$

$Sim(s'_1) := \{s'_2 \in s : L(s'_1) = L(s'_2) \text{ and } \dots\}$

OD

WHILE  $\exists$  state  $s'_1$  with  $Sim(s'_1) \neq Sim_{old}(s'_1)$  DO

choose such a state  $s'_1$

IF  $Sim_{old}(s'_1) = \text{"undefined"}$

THEN  $Remove(s'_1) := S \setminus Pre(Sim(s'_1))$

ELSE  $Remove(s'_1) := Pre(Sim_{old}(s'_1)) \setminus Pre(Sim(s'_1))$

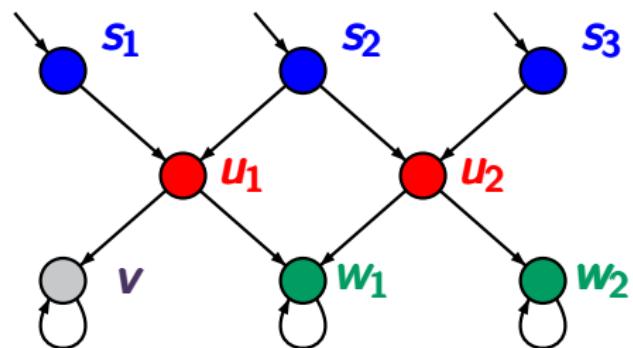
FI

FOR ALL  $s_1 \in Pre(s'_1)$  DO

...

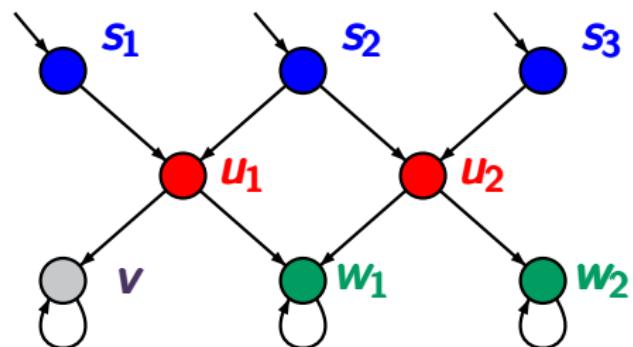
## Example: HHK-algorithm

GRM5.5-37



## Example: HHK-algorithm

GRM5.5-37



initially:

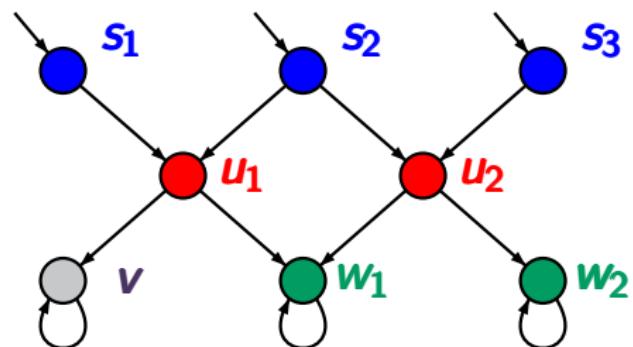
$$\text{Sim}_{\text{old}}(t) = \perp \text{ for all states } t$$

$$\text{Sim}(s_1) = \{s_1, s_2, s_3\}$$

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## Example: HHK-algorithm

GRM5.5-37



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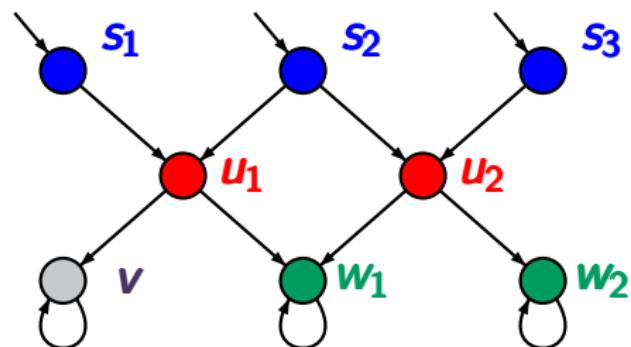
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choose state  $s'_1 = v$  with  $\text{Sim}_{\text{old}}(v) \neq \text{Sim}(v)$ :

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GRM5.5-37



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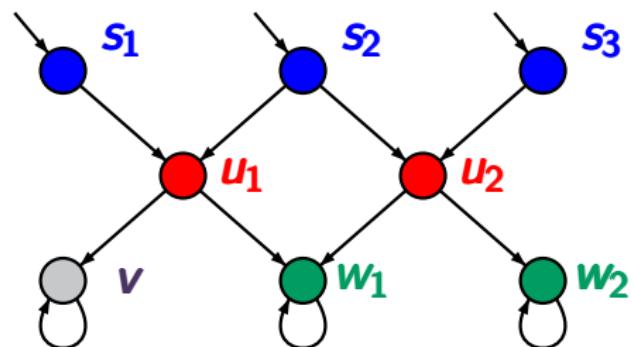
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choose state  $s'_1 = v$  with  $\text{Sim}_{\text{old}}(v) \neq \text{Sim}(v)$ :

$$\text{Remove}(v) = S \setminus \text{Pre}(\text{Sim}(v)) = S \setminus \{u_1\}$$

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GRM5.5-37



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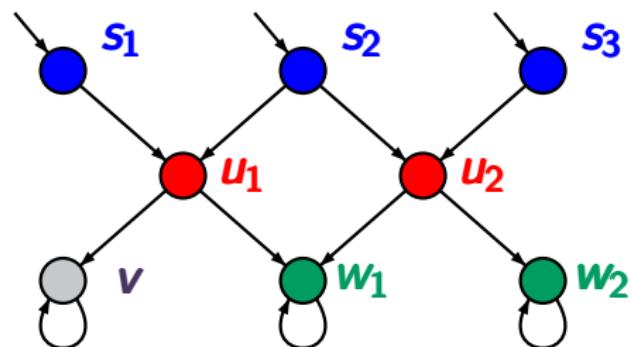
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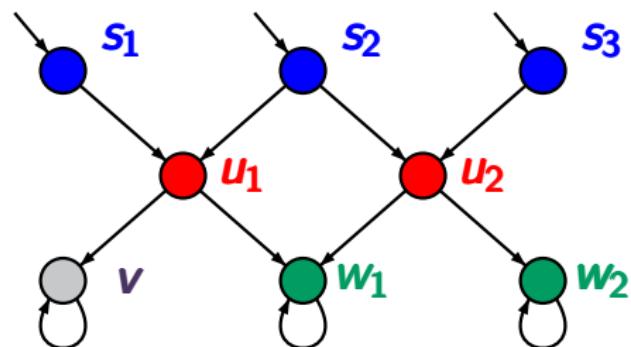
$$\text{Sim}(u_1) := \text{Sim}(u_1) \setminus \text{Remove}(v) = \{u_1\}$$



$u_1 \longrightarrow v$  can't be simulated by any  
of the states in  $\text{Remove}(v)$

## Example: HHK-algorithm

GRM5.5-37



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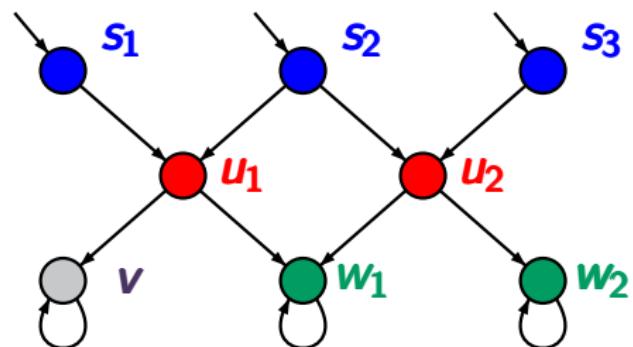
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## Example: HHK-algorithm

GRM5.5-37



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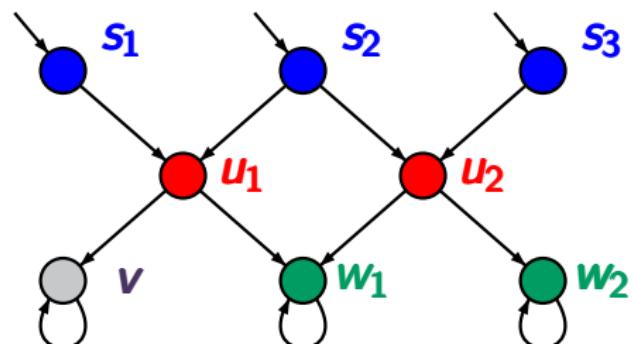
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choose next state  $s'_1$

## Example: HHK-algorithm

GRM5.5-37



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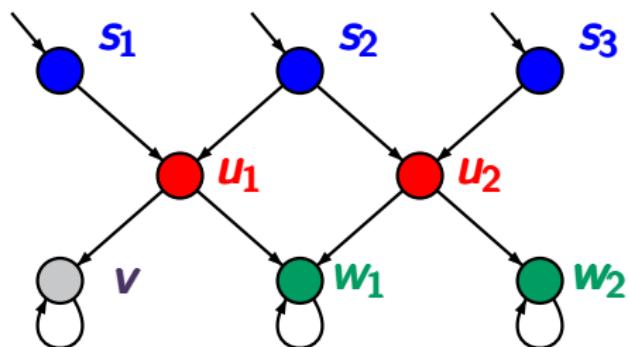
$$\text{Sim}_{\text{old}}(v) := \text{Sim}(v) = \{v\}$$

choose next state  $s'_1 = s_1$  with  $\text{Sim}_{\text{old}}(s_1) \neq \text{Sim}(s_1)$ :

no change in  $\text{Sim}(\dots)$ , as  $\text{Pre}(s_1) = \emptyset$

## Example: HHK-algorithm

GRM5.5-38



initially:

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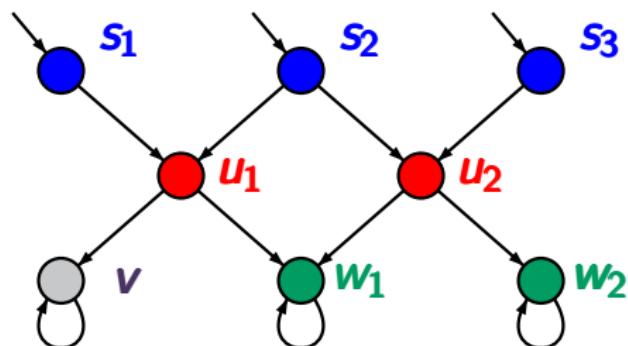
:

$$s'_1 = v: \text{Sim}(u_1) = \{u_1\}, \text{Sim}_{\text{old}}(v) = \text{Sim}(v) = \{v\}$$

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## Example: HHK-algorithm

GRM5.5-38



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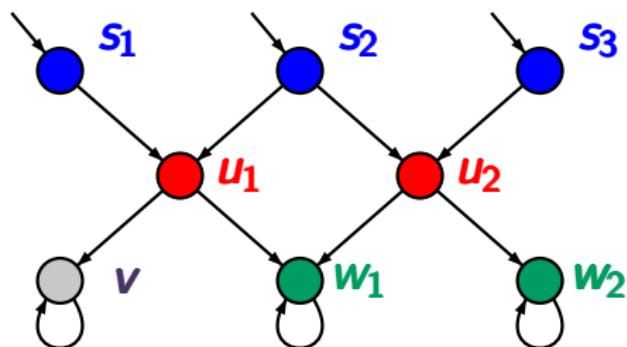
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GRM5.5-38



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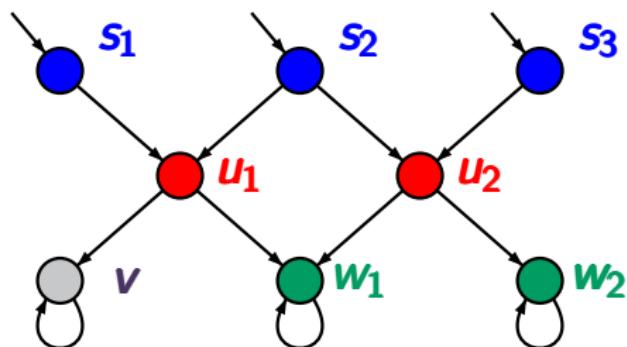
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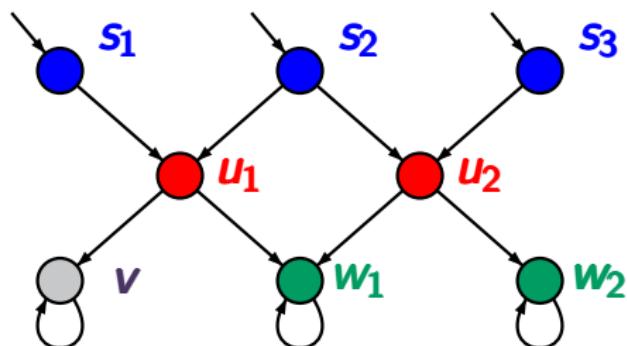
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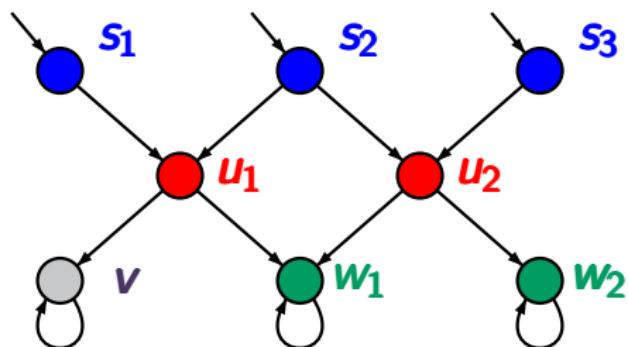
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GRM5.5-38



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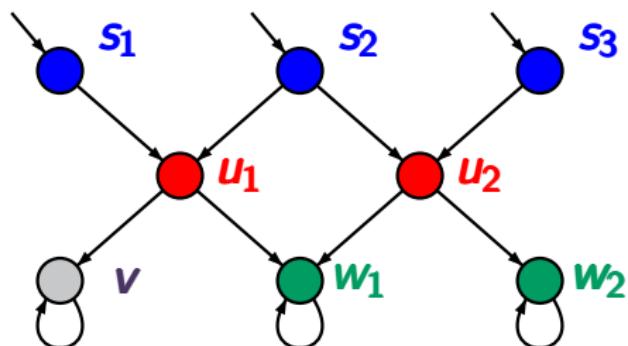
$$\text{Remove}(u_1) = S \setminus \text{Pre}(\text{Sim}(u_1)) = S \setminus \{s_1, s_2\}$$

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$s_1 \rightarrow u_1$  can't be simulated by any state  $t \in \text{Remove}(u_1)$

## Example: HHK-algorithm

GRM5.5-38



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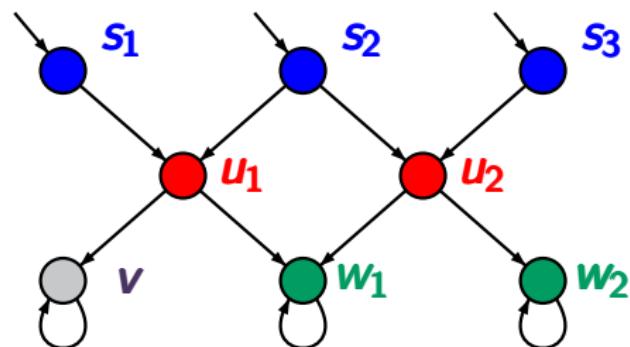
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## Example: HHK-algorithm

GRM5.5-39



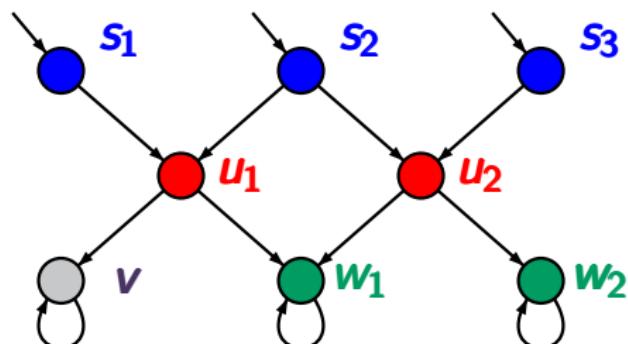
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$u_1$ :  $\text{Sim}(s_i) = \{s_1, s_2\}$ ,  $i=1, 2$ ,  $\text{Sim}_{old}(u_1) = \text{Sim}(u_1) = \{u_1\}$

choose state  $s'_1 = u_2$ :

## Example: HHK-algorithm

GRM5.5-39



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⋮

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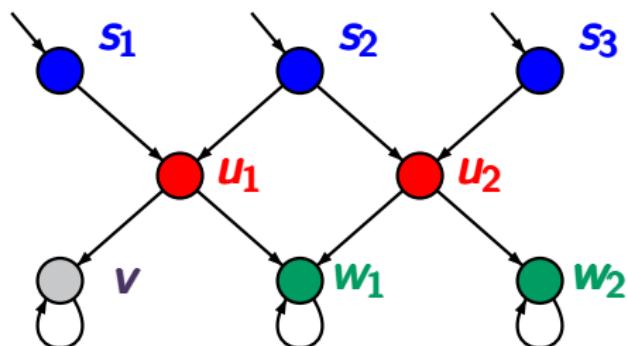
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## Example: HHK-algorithm

GRM5.5-39



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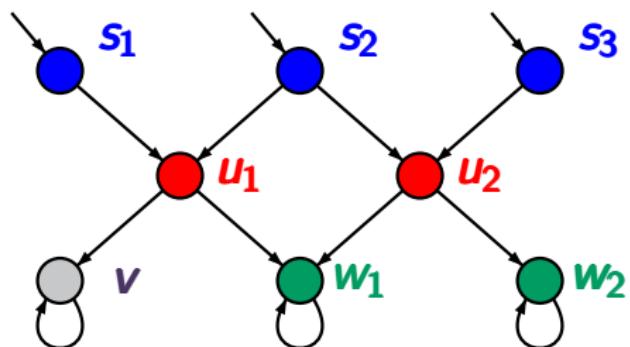
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GRM5.5-39



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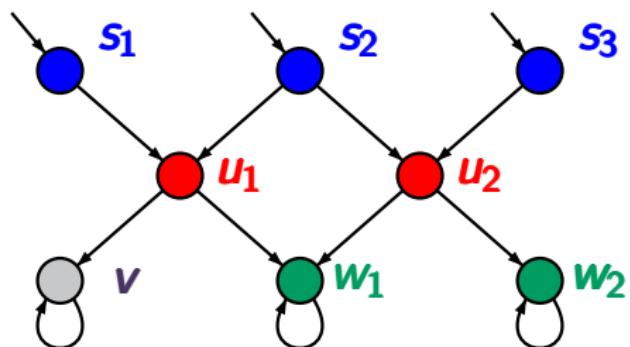
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GRM5.5-39



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# **HHK-algorithm (second version)**

GRM5.5-40

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GRM5.5-40

- an  $\mathcal{O}(m \cdot |S|)$ -algorithm for computing  $\preceq_T$

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- relies on the techniques sketched so far
- but avoids the explicit use of the “old” simulator sets  $\text{Sim}_{\text{old}}(s)$ ,
- adds dynamically elements to  $\text{Remove}(s)$

# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP

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GRM5.5-40-LOOP

$$(1) \text{ } \textcolor{blue}{Sim}(s'_1) \supseteq \{s'_2 \in S : \textcolor{blue}{s'_1} \preceq_{\mathcal{T}} \textcolor{violet}{s'_2}\}$$

# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP

- (1)  $\text{Sim}(s'_1) \supseteq \{s'_2 \in S : s'_1 \preceq_{\mathcal{T}} s'_2\}$
- (2)  $\text{Remove}(s'_1) \subseteq S \setminus \text{Pre}(\text{Sim}(s'_1)),$

# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP

$$(1) \text{ } \textcolor{blue}{Sim}(s'_1) \supseteq \{s'_2 \in S : s'_1 \preceq_{\mathcal{T}} s'_2\}$$

$$(2) \text{ } Remove(s'_1) \subseteq S \setminus Pre(Sim(s'_1)),$$

i.e., for all  $s_2 \in Remove(s'_1)$ :

$$Post(s_2) \cap Sim(s'_1) = \emptyset$$

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GRM5.5-40-LOOP

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i.e., for all  $s_2 \in Remove(s'_1)$ :

$$Post(s_2) \cap Sim(s'_1) = \emptyset$$

hence: if  $s_1 \rightarrow s'_1$  then  $s_1 \not\preceq_{\mathcal{T}} s_2$

# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP

$$(1) \text{ } \textcolor{blue}{Sim}(s'_1) \supseteq \{s'_2 \in S : s'_1 \preceq_T s'_2\}$$

$$(2) \text{ } Remove(s'_1) \subseteq S \setminus Pre(Sim(s'_1)),$$

i.e., for all  $s_2 \in Remove(s'_1)$ :

$$Post(s_2) \cap Sim(s'_1) = \emptyset$$

hence: if  $s_1 \rightarrow s'_1$  then  $s_1 \not\preceq_T s_2$

$$(3) \text{ if } s_2 \in Sim(s_1) \text{ and } s_1 \rightarrow s'_1 \text{ then}$$

- either  $Post(s_2) \cap Sim(s'_1) \neq \emptyset$
- or  $s_2 \in Remove(s'_1)$

# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP2

- (1)  $\text{Sim}(s'_1) \supseteq \{s'_2 \in S : s'_1 \preceq_T s'_2\}$
- (2)  $\text{Remove}(s'_1) \subseteq S \setminus \text{Pre}(\text{Sim}(s'_1))$
- (3) if  $s_2 \in \text{Sim}(s_1)$  and  $s_1 \rightarrow s'_1$  then
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# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP2

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  - either  $\text{Post}(s_2) \cap \text{Sim}(s'_1) \neq \emptyset$
  - or  $s_2 \in \text{Remove}(s'_1)$

if  $\text{Remove}(s'_1) = \emptyset$  for all states  $s'_1$  then by (3):

$$s_2 \in \text{Sim}(s_1) \wedge s_1 \rightarrow s'_1 \implies \text{Post}(s_2) \cap \text{Sim}(s'_1) \neq \emptyset$$

# Loop invariant of the HHK-algorithm

GRM5.5-40-LOOP2

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hence:  $\{(s'_1, s'_2) : s'_2 \in \text{Sim}(s'_1)\}$  is a simulation

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hence:  $\{(s'_1, s'_2) : s'_2 \in \text{Sim}(s'_1)\}$  is a simulation

since  $\preceq_T$  is the coarsest simulation, (1) yields:

$$s'_2 \in \text{Sim}(s'_1) \quad \text{iff} \quad s'_1 \preceq_T s'_2$$

# **HHK-algorithm (second version)**

GRM5.5-40B

## HHK-algorithm (second version)

GRM5.5-40B

FOR ALL states  $s'_1$  DO

$$Sim(s'_1) := \{s'_2 \in S : L(s'_1) = L(s'_2)\}$$

OD

## HHK-algorithm (second version)

GRM5.5-40B

FOR ALL states  $s'_1$  DO

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DO       $\text{Remove}(s'_1) := \emptyset$

## HK-algorithm (second version)

GRM5.5-40C

⋮

choose such a state  $s'_1$  with  $\text{Remove}(s'_1) \neq \emptyset$

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choose such a state  $s'_1$  with  $\text{Remove}(s'_1) \neq \emptyset$

FOR ALL  $s_2 \in \text{Remove}(s'_1)$  DO

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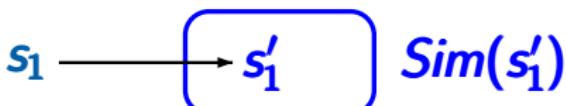
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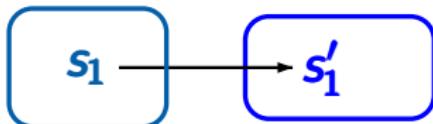
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⋮

$\text{Sim}(s_1)$



$\text{Sim}(s'_1)$

$s_2$

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GRM5.5-40C

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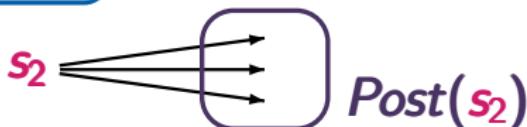
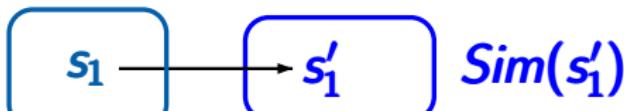
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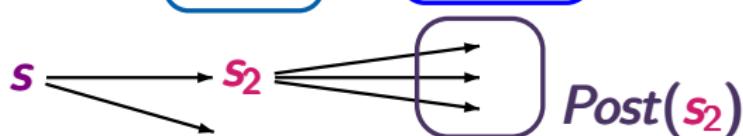
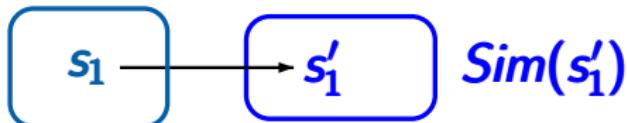
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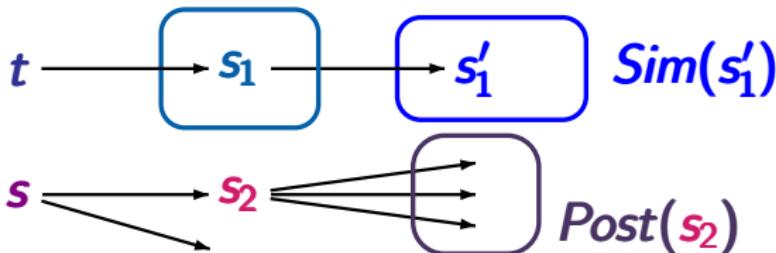
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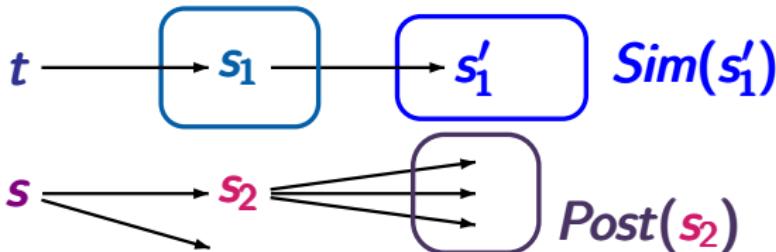
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...

$\text{Sim}(s_1)$



$t \not\sqsubset_T s$

# Termination of the HHK-algorithm

GRM5.5-40A

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GRM5.5-40A

for each pair  $(s_2, s'_1)$  of states:  $s_2$  is inserted in  
(and removed from) **Remove( $s'_1$ )** at most once

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FI
:
```

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if  $s$  is inserted in  $\text{Remove}(s_1)$  then there exists a state  $s_2$   
s.t. ...

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s.t.  $s \rightarrow s_2$  and ...

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FI
:
```

if  $s$  is inserted in  $\text{Remove}(s_1)$  then there exists a state  $s_2$   
s.t.  $s \rightarrow s_2$  and  $\text{Post}(s) \cap \text{Sim}(s_1) = \{s_2\}$  immediately  
before  $s_2$  has been removed from  $\text{Sim}(s_1)$

# Complexity of the HHK-algorithm

GRM5.5-41

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GRM5.5-41

show that the HHK-algorithm can be realized in time:

$$\mathcal{O}(m \cdot |S|)$$

where  $m$  = number of edges

$S$  = state space

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$$m \geq |S|$$

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show that the HHK-algorithm can be realized in time:

$$\mathcal{O}(m \cdot |S|)$$

where  $m$  = number of edges

$S$  = state space

$$m \geq |S|$$

and  $AP$  is fixed

# Complexity of the initialization

GRM5.5-41A

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GRM5.5-41A

FOR ALL states  $s'_1$  DO

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if  $s'_2$  is terminal then so is  $s'_1$  }

OD

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if  $s'_2$  is terminal then so is  $s'_1\}$

OD



time complexity:  $\mathcal{O}(|S| \cdot AP) = \mathcal{O}(|S|)$   
(as in the bisimulation algorithms)

# Complexity of the while-loop

GRM5.5-41B

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GRM5.5-41B

WHILE there exists a state  $s'_1$  with  $\text{Remove}(s'_1) \neq \emptyset$  DO  
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FOR ALL  $s_2 \in \text{Remove}(s'_1)$  DO

FOR ALL  $s_1 \in \text{Pre}(s'_1)$  DO

IF  $s_2 \in \text{Sim}(s_1)$  THEN

$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \{s_2\}$

$\text{Remove}(s_1) := \text{Remove}(s_1) \cup$

$\{s \in \text{Pre}(s_2) : \text{Post}(s) \cap \text{Sim}(s_1) = \emptyset\}$

FI

OD

OD

$\text{Remove}(s'_1) := \emptyset$

DO

# Complexity of the while-loop

GRM5.5-41B

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FI

in total:

$\mathcal{O}(m \cdot |S|)$

OD

OD

$\text{Remove}(s'_1) := \emptyset$

DO

# Complexity of the while-loop

GRM5.5-41C

WHILE there exists a state  $s'_1$  with  $\text{Remove}(s'_1) \neq \emptyset$  DO  
choose such a state  $s'_1$

FOR ALL  $s_2 \in \text{Remove}(s'_1)$  DO

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IF  $s_2 \in \text{Sim}(s_1)$  THEN

$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \{s_2\}$

$\text{Remove}(s_1) := \text{Remove}(s_1) \cup$

$\{s \in \text{Pre}(s_2) : \text{Post}(s) \cap \text{Sim}(s_1) = \emptyset\}$

FI

in total:  
 $\mathcal{O}(m \cdot |S|)$

OD

OD

$\text{Remove}(s'_1) := \emptyset$

DO

# Complexity of the while-loop

GRM5.5-41C

WHILE there exists a state  $s'_1$  with  $\text{Remove}(s'_1) \neq \emptyset$  DO  
choose such a state  $s'_1$

FOR ALL  $s_2 \in \text{Remove}(s'_1)$  DO

in total:  $\mathcal{O}(m \cdot |S|)$

FOR ALL  $s_1 \in \text{Pre}(s'_1)$  DO

IF  $s_2 \in \text{Sim}(s_1)$  THEN

$\text{Sim}(s_1) := \text{Sim}(s_1) \setminus \{s_2\}$

$\text{Remove}(s_1) := \text{Remove}(s_1) \cup$

$\{s \in \text{Pre}(s_2) : \text{Post}(s) \cap \text{Sim}(s_1) = \emptyset\}$

in total:  
 $\mathcal{O}(m \cdot |S|)$

FI

OD

OD

$\text{Remove}(s'_1) := \emptyset$

DO

# **Summary: linear vs. branching time**

GRM5.5-42

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GRM5.5-42

	linear time	branching time
temporal logic	LTL	CTL
implementation relation	trace equivalence trace inclusion	bisimulation simulation

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bisimulation  $\sim$ :  $\mathcal{O}(m \cdot \log |S|)$

stutter bisimulation  $\approx$  or  $\approx^{\text{div}}$ :  $\mathcal{O}(m \cdot |S|)$

simulation  $\preceq$ :  $\mathcal{O}(m \cdot |S|)$