



Concurrency Theory

Winter Semester 2019/20

Lecture 10: Variations of π -Calculus

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<https://moves.rwth-aachen.de/teaching/ws-19-20/ct/>

Recap: The Monadic π -Calculus

Outline of Lecture 10

Recap: The Monadic π -Calculus

The Polyadic π -Calculus

Adding Recursive Process Calls

The Asynchronous π -Calculus

Recap: The Monadic π -Calculus

Syntax of the Monadic π -Calculus

Definition (Syntax of monadic π -Calculus)

- Let $A = \{a, b, c, \dots, x, y, z, \dots\}$ be a set of **names**.
- The set of **action prefixes is given by**

$$\begin{array}{l|l} \pi ::= x(y) & \text{(receive } y \text{ along } x) \\ \quad | \bar{x}\langle y \rangle & \text{(send } y \text{ along } x) \\ \quad | \tau & \text{(unobservable action)} \end{array}$$

- The set Prc^π of **π -Calculus process expressions** is defined by the following syntax:

$$\begin{array}{l|l} P ::= \sum_{i \in I} \pi_i.P_i & \text{(guarded sum)} \\ \quad | P_1 \parallel P_2 & \text{(parallel composition)} \\ \quad | \text{new } x P & \text{(restriction)} \\ \quad | !P & \text{(replication)} \end{array}$$

(where I finite index set, $x \in A$)

Conventions: $\text{nil} := \sum_{i \in \emptyset} \pi_i.P_i$, $\text{new } x_1, \dots, x_n P := \text{new } x_1 (\dots \text{new } x_n P)$

Recap: The Monadic π -Calculus

Structural Congruence

Goal: simplify definition of operational semantics by ignoring “purely syntactic” differences between processes

Definition (Structural congruence)

$P, Q \in \text{Prc}^\pi$ are **structurally congruent**, written $P \equiv Q$, if one can be transformed into the other by applying the following operations and equations:

1. renaming of bound names (α -conversion)
2. reordering of terms in a summation (commutativity of $+$)
3. $P \parallel Q \equiv Q \parallel P$, $P \parallel (Q \parallel R) \equiv (P \parallel Q) \parallel R$, $P \parallel \text{nil} \equiv P$ (Abelian monoid laws for \parallel)
4. $\text{new } x \text{ nil} \equiv \text{nil}$, $\text{new } x, y P \equiv \text{new } y, x P$,
 $P \parallel \text{new } x Q \equiv \text{new } x (P \parallel Q)$ if $x \notin \text{fn}(P)$ (scope extension)
5. $!P \equiv P \parallel !P$ (unfolding)

Recap: The Monadic π -Calculus

A Standard Form

Theorem (Standard form)

Every process expression is structurally congruent to a process of the *standard form*

$$\text{new } x_1, \dots, x_k (P_1 \parallel \dots \parallel P_m \parallel !Q_1 \parallel \dots \parallel !Q_n)$$

where each P_i is a non-empty sum, and each Q_j is in standard form.

(If $m = n = 0$: nil; if $k = 0$: restriction absent)

Proof.

by induction on the structure of $R \in \text{Proc}^\pi$ (on the board) □

Recap: The Monadic π -Calculus

The Reaction Relation

Thanks to Theorem 8.7, only processes in standard form need to be considered for defining the operational semantics:

Definition

The **reaction relation** $\longrightarrow \subseteq \text{Prc}^\pi \times \text{Prc}^\pi$ is generated by the rules:

$$\begin{array}{c} \text{(Tau)} \frac{}{\tau.P + Q \longrightarrow P} \\ \\ \text{(React)} \frac{}{(x(y).P + R) \parallel (\bar{x}\langle z \rangle.Q + S) \longrightarrow P[z/y] \parallel Q} \\ \\ \text{(Par)} \frac{P \longrightarrow P'}{P \parallel Q \longrightarrow P' \parallel Q} \qquad \text{(Res)} \frac{P \longrightarrow P'}{\text{new } x P \longrightarrow \text{new } x P'} \\ \\ \text{(Struct)} \frac{P \longrightarrow P'}{Q \longrightarrow Q'} \quad \text{if } P \equiv Q \text{ and } P' \equiv Q' \end{array}$$

- $P[z/y]$ replaces every free occurrence of y in P by z .
- In (React), the pair $(x(y), \bar{x}\langle z \rangle)$ is called a **redex**.

The Polyadic π -Calculus

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- **Now:** arbitrary number

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$$x(y_1, \dots, y_n) \quad \text{and} \quad \bar{x}\langle z_1, \dots, z_n \rangle$$

where $n \in \mathbb{N}$ and all y_i distinct

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- Expected **behaviour** (cf. Example 9.2):

$$\text{(React')} \frac{}{(x(\vec{y}).P + R) \parallel (\bar{x}\langle \vec{z} \rangle.Q + S) \longrightarrow P[\vec{z}/\vec{y}] \parallel Q}$$

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- Obvious attempt for **encoding**:

$$\begin{aligned} x(y_1, \dots, y_n).P &\mapsto x(y_1) \dots x(y_n).P \\ \bar{x}\langle z_1, \dots, z_n \rangle.Q &\mapsto \bar{x}\langle z_1 \rangle \dots \bar{x}\langle z_n \rangle.Q \end{aligned}$$

Polyadic Communication II

- But consider the following **counterexample**.

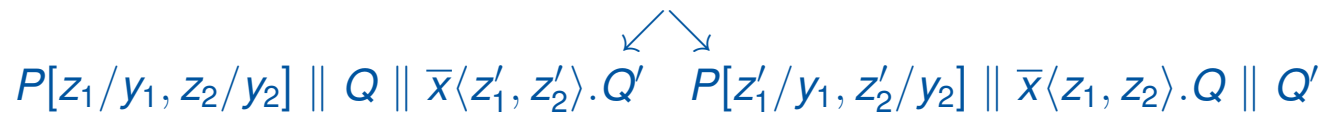
Polyadic representation: $x(y_1, y_2).P \parallel \bar{x}\langle z_1, z_2 \rangle.Q \parallel \bar{x}\langle z'_1, z'_2 \rangle.Q'$

$$P[z_1/y_1, z_2/y_2] \parallel Q \parallel \bar{x}\langle z'_1, z'_2 \rangle.Q' \quad P[z'_1/y_1, z'_2/y_2] \parallel \bar{x}\langle z_1, z_2 \rangle.Q \parallel Q'$$

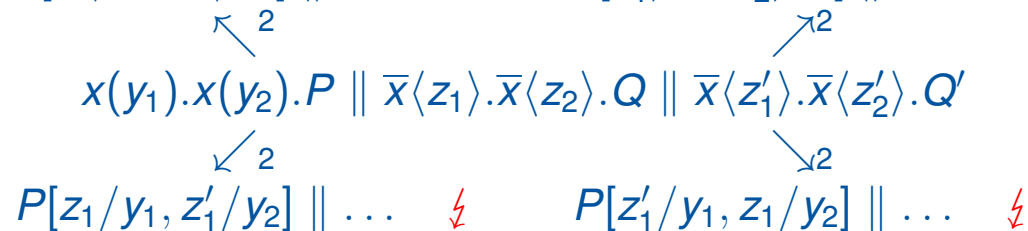
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Monadic encoding: $P[z_1/y_1, z_2/y_2] \parallel \dots \checkmark \quad P[z'_1/y_1, z'_2/y_2] \parallel \dots \checkmark$



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$$P[z_1/y_1, z'_1/y_2] \parallel \dots \not\checkmark \quad P[z'_1/y_1, z_1/y_2] \parallel \dots \not\checkmark$$

- Solution:** avoid interferences by first introducing a **fresh communication channel**:

$$x(y_1, \dots, y_n).P \mapsto x(w).w(y_1) \dots w(y_n).P$$

$$\bar{x}\langle z_1, \dots, z_n \rangle.Q \mapsto \text{new } w (\bar{x}\langle w \rangle.\bar{w}\langle z_1 \rangle \dots \bar{w}\langle z_n \rangle.Q)$$

where $w \notin fn(Q) \cup \{y_1, \dots, y_n, z_1, \dots, z_n\}$

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- Correctness:** see exercises

Adding Recursive Process Calls

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Adding Recursive Process Calls

Recursive Process Calls I

- **So far:** process replication $!P$
- **Now:** parametric process definitions of the form

$$A(x_1, \dots, x_n) = P_A$$

where $A \in \text{Pid}$ is a process identifier and $P_A \in \text{Prc}^\pi$ a process expression containing calls of A (and possibly other parametric processes)

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- Semantic interpretation by new congruence rule (cf. Example 9.2):

$$A(y_1, \dots, y_n) \equiv P_A[y_1/x_1, \dots, y_n/x_n]$$

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- Again: possible to **simulate in basic calculus** by using
 - message passing to model parameter passing to A
 - replication to model the multiple activations of A
 - restriction to model the scope of the definition of A

Adding Recursive Process Calls

Recursive Process Calls II

The **encoding**

- of a **process definition** $A(\vec{x}) = P_A$
- with **right-hand side** $P_A = \dots A(\vec{u}) \dots A(\vec{v}) \dots \in \text{Prc}^\pi$
- for **main process** $Q = \dots A(\vec{y}) \dots A(\vec{z}) \dots \in \text{Prc}^\pi$

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is defined as follows:

1. Let $a \in A$ be a new name (standing for A).
2. For any process R , let \hat{R} be the result of replacing every call $A(\vec{w})$ by $\bar{a}\langle\vec{w}\rangle.\text{nil}$.
3. Replace Q by $Q' := \text{new } a(\hat{Q} \parallel !a(\vec{x}).\hat{P}_A)$.

(In the presence of more than one process identifier, Q' will contain a replicated component for each definition.)

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Example 10.1

- One-place buffer: $B(\text{in}, \text{out}) = \text{in}(x).\overline{\text{out}}\langle x\rangle.B(\text{in}, \text{out})$
- Main process: $Q := \overline{\text{in}}\langle y\rangle.\text{nil} \parallel B(\text{in}, \text{out}) \parallel \text{out}(z).\text{nil}$

(encoding on the board)

The Asynchronous π -Calculus

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Asynchronous Communication

- So far: CCS and π -Calculus feature **synchronous** communication: interaction involves joint participation of processes (“handshaking”)
- Prefix operator expresses **temporal precedence**:
 - $\bar{x}\langle y \rangle.P$ requires y to be sent before executing P
 - $x(z).Q$ requires (of course) z to be received before executing Q

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 - Often involves explicit **medium** of certain characteristic
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 - Now: introduce **subcalculus** of π -Calculus with asynchronous communication
 - “Trick”: **output prefix can only be followed by nil**
 - (unguarded) subprocess $\bar{x}\langle y \rangle.nil$ (“output particle”) can be understood as message y in (implicit) communication medium
 - available for reception to any (unguarded) subprocess of the form $x(z).Q$
 - y is sent when $\bar{x}\langle y \rangle.nil$ becomes unguarded
 - y is received when $\bar{x}\langle y \rangle.nil$ disappears via reaction $\bar{x}\langle y \rangle.nil \parallel (x(z).Q + R) \longrightarrow Q[y/z]$
- ⇒ **syntactic modification sufficient, no change of semantics**

The Asynchronous π -Calculus

The Asynchronous π -Calculus I

Definition 10.2 (Syntax of asynchronous π -Calculus)

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The Asynchronous π -Calculus

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- The set $\text{Proc}^{a\pi}$ of **asynchronous π -Calculus process expressions** is defined by the following syntax:

$$\begin{array}{l} P ::= \sum_{i \in I} \pi_i.P_i \quad (\text{guarded sum}) \\ \quad \quad \quad | \bar{x}\langle y \rangle.\text{nil} \quad (\text{asynchronous output}) \\ \quad \quad \quad | P_1 \parallel P_2 \quad (\text{parallel composition}) \\ \quad \quad \quad | \text{new } x P \quad (\text{restriction}) \\ \quad \quad \quad | !P \quad (\text{replication}) \end{array}$$

(where I finite index set, $x, y \in A$)

The Asynchronous π -Calculus

The Asynchronous π -Calculus II

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 - output particles can only be followed by **nil** (as discussed before)
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 - output particles cannot be summands in an expression $\sum_{i \in I} \pi_i.P_i$ where $|I| > 1$
- Second restriction also in line with asynchronous communication:
 - (unguarded) particle $\bar{x}\langle y \rangle.nil$ represents message that *has been sent*
 - process $\bar{x}\langle y \rangle.nil + v(w).Q$ is *capable* of sending via x , but also capable of receiving via v (which disables sending)
 - thus: correspondence between sent (but unreceived) message and presence of (unguarded) output particle would get lost

The Asynchronous π -Calculus

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- Here: encoding carried out in **two steps**
 1. encoding (monadic) synchronous by polyadic asynchronous communication
 2. encoding polyadic asynchronous by monadic asynchronous communication

The Asynchronous π -Calculus

Encoding Synchronous by Polyadic Asynchronous Communication

- **Encoding:**

- sending: $\bar{x}\langle y \rangle.P \mapsto \text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P)$

- receiving: $x(z).Q \mapsto x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q)$

where $v \notin \text{fn}(P) \cup \text{fn}(Q) \cup \{x, y\}$ (“acknowledgement channel”)

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is mimicked by polyadic asynchronous transition sequence

$$\text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P) \parallel x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q) \quad (\text{encoding})$$

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$$\begin{aligned} & \text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P) \parallel x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q) && \text{(encoding)} \\ \equiv & \text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P \parallel x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q)) && \text{(scope extension)} \\ \longrightarrow & \text{new } v (v().P \parallel \bar{v}\langle \rangle.\text{nil} \parallel Q[y/z]) && \text{(reaction)} \\ \longrightarrow & \text{new } v (P \parallel Q[y/z]) && \text{(reaction)} \end{aligned}$$

The Asynchronous π -Calculus

Encoding Synchronous by Polyadic Asynchronous Communication

- **Encoding:**

- sending: $\bar{x}\langle y \rangle.P \mapsto \text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P)$
- receiving: $x(z).Q \mapsto x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q)$

where $v \notin \text{fn}(P) \cup \text{fn}(Q) \cup \{x, y\}$ (“acknowledgement channel”)

- **Correctness:** synchronous transition

$$\bar{x}\langle y \rangle.P \parallel x(z).Q \longrightarrow P \parallel Q[y/z]$$

is mimicked by polyadic asynchronous transition sequence

$$\begin{aligned} & \text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P) \parallel x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q) && \text{(encoding)} \\ \equiv & \text{new } v (\bar{x}\langle y, v \rangle.\text{nil} \parallel v().P \parallel x(z, v).(\bar{v}\langle \rangle.\text{nil} \parallel Q)) && \text{(scope extension)} \\ \longrightarrow & \text{new } v (v().P \parallel \bar{v}\langle \rangle.\text{nil} \parallel Q[y/z]) && \text{(reaction)} \\ \longrightarrow & \text{new } v (P \parallel Q[y/z]) && \text{(reaction)} \\ \equiv & P \parallel Q[y/z] && \text{(congruence)} \end{aligned}$$

Encoding Synchronous by Polyadic Asynchronous Communication

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- **Note:** P only executable after completion of Q 's input

Encoding Polyadic by Monadic Asynchronous Communication

- **Encoding:** (for two parameters, using v/w for sender from/to receiver)
 - sending: $\bar{x}\langle y_1, y_2 \rangle.\text{nil} \mapsto \text{new } v (\bar{x}\langle v \rangle.\text{nil} \parallel v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil}))$
 - receiving: $x(z_1, z_2).R \mapsto x(v).\text{new } w (\bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R))$where $v, w \notin \text{fn}(R) \cup \{x, y_1, y_2\}$

The Asynchronous π -Calculus

Encoding Polyadic by Monadic Asynchronous Communication

- **Encoding:** (for two parameters, using v/w for sender from/to receiver)
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- **Correctness:** polyadic transition

$$\bar{x}\langle y_1, y_2 \rangle.\text{nil} \parallel x(z_1, z_2).R \longrightarrow R[y_1/z_1, y_2/z_2]$$

The Asynchronous π -Calculus

Encoding Polyadic by Monadic Asynchronous Communication

- **Encoding:** (for two parameters, using v/w for sender from/to receiver)
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$$\begin{aligned} & \text{new } v (\bar{x}\langle v \rangle.\text{nil} \parallel v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil})) \parallel \\ & x(v).\text{new } w (\bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R)) \end{aligned}$$

(encoding)

Encoding Polyadic by Monadic Asynchronous Communication

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The Asynchronous π -Calculus

Encoding Polyadic by Monadic Asynchronous Communication

- **Encoding:** (for two parameters, using v/w for sender from/to receiver)
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Encoding Polyadic by Monadic Asynchronous Communication

- **Encoding:** (for two parameters, using v/w for sender from/to receiver)
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where $v, w \notin \text{fn}(R) \cup \{x, y_1, y_2\}$

- **Correctness:** polyadic transition

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Encoding Polyadic by Monadic Asynchronous Communication

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where $v, w \notin \text{fn}(R) \cup \{x, y_1, y_2\}$

- **Correctness:** polyadic transition

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is mimicked by monadic transition sequence

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Encoding Polyadic by Monadic Asynchronous Communication

- **Encoding:** (for two parameters, using v/w for sender from/to receiver)
 - sending: $\bar{x}\langle y_1, y_2 \rangle.\text{nil} \mapsto \text{new } v (\bar{x}\langle v \rangle.\text{nil} \parallel v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil}))$
 - receiving: $x(z_1, z_2).R \mapsto x(v).\text{new } w (\bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R))$

where $v, w \notin \text{fn}(R) \cup \{x, y_1, y_2\}$

- **Correctness:** polyadic transition

$$\bar{x}\langle y_1, y_2 \rangle.\text{nil} \parallel x(z_1, z_2).R \longrightarrow R[y_1/z_1, y_2/z_2]$$

is mimicked by monadic transition sequence

$$\begin{aligned}
 & \text{new } v (\bar{x}\langle v \rangle.\text{nil} \parallel v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil})) \parallel && \text{(encoding)} \\
 & x(v).\text{new } w (\bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R)) \\
 \equiv & \text{new } v (\bar{x}\langle v \rangle.\text{nil} \parallel v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil})) \parallel && \text{(scope extension)} \\
 & x(v).\text{new } w (\bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R)) \\
 \longrightarrow & \text{new } v (v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil})) \parallel \text{new } w (\bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R)) && \text{(reaction)} \\
 \equiv & \text{new } v, w (v(w).(\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil})) \parallel \bar{v}\langle w \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R) && \text{(scope extension)} \\
 \longrightarrow & \text{new } v, w (\bar{w}\langle y_1 \rangle.\text{nil} \parallel v(w).\bar{w}\langle y_2 \rangle.\text{nil} \parallel w(z_1).(\bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R)) && \text{(reaction)} \\
 \longrightarrow & \text{new } v, w (v(w).\bar{w}\langle y_2 \rangle.\text{nil} \parallel \bar{v}\langle w \rangle.\text{nil} \parallel w(z_2).R[y_1/z_1]) && \text{(reaction)} \\
 \longrightarrow & \text{new } v, w (\bar{w}\langle y_2 \rangle.\text{nil} \parallel w(z_2).R[y_1/z_1]) && \text{(reaction)} \\
 \longrightarrow & \text{new } v, w R[y_1/z_1, y_2/z_2] && \text{(reaction)} \\
 \equiv & R[y_1/z_1, y_2/z_2] && \text{(congruence)}
 \end{aligned}$$