

Theoretical Foundations of the UML

Lecture 13: Realising Local Choice MSGs

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- 1 Introduction
- 2 Local Choice MSGs
- 3 Regular Expressions over MSCs
- 4 A Realisation Algorithm for MSGs

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Definition (Realisability of MSGs)

- 1 MSG G is **realisable** whenever $\mathcal{L}(G) = \mathcal{L}(\mathcal{A})$ for some CFM \mathcal{A} .
- 2 MSG G is **safely realisable** whenever $\mathcal{L}(G) = \mathcal{L}(\mathcal{A})$ for some deadlock-free CFM \mathcal{A} .

Results so far:

- ① Conditions for (safe) realisability for **finite** sets of MSCs.
- ② Checking these conditions is co-NP complete (in P).
- ③ Regular MSGs are (safely) realisable by \forall -bounded CFMs.
- ④ Checking regularity of MSGs is undecidable.
- ⑤ Communication-closedness implies regularity; its check is co-NP complete.
- ⑥ Local communication-closedness implies regularity, and can be checked in P.

Some remaining questions

- Can results be obtained for **larger classes** of MSGs?
- What happens if we allow **synchronisation messages**?
 - recall that weak CFMs do not involve synchronisation messages
- How do we obtain a CFM realising an MSG **algorithmically**?
 - in particular, for non-local choice MSGs

Today's lecture

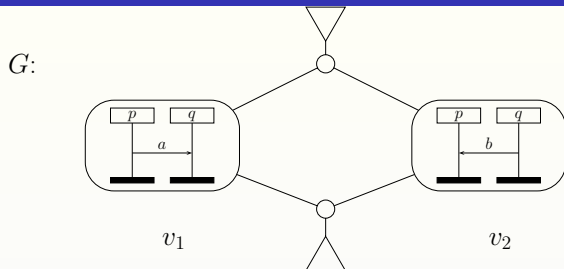
Safe realisability of (a somewhat restricted class of) MSGs. So as to obtain deadlock-free CFMs, the input MSG is required to be **local choice**. The CFM are **not** required to be weak. The algorithm will exploit synchronisation messages.

Results:

- 1 Realisability for constrained regular expressions of local-choice MSGs.
- 2 An algorithm that generates a CFM from such local-choice MSG.

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Non-local choice



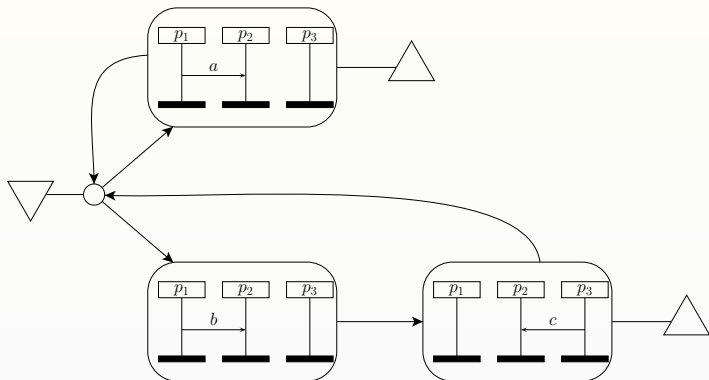
Inconsistency if process p behaves according to vertex v_1 and process q behaves according to vertex v_2

\implies realisation by a CFM may yield a deadlock

Problem:

Subsequent behavior in G is determined by **distinct** processes. When several processes independently decide to initiate behavior, they might start executing different successor MSCs (= vertices). This is called a **non-local choice**.

A (more involved) non-local choice



Problem:

Inconsistency if p_1 decides to send a and p_3 decides to send c .
Which branch to take in the initial vertex?

Definition (Minimal event)

Let (E, \preceq) be a poset. Event $e \in E$ is a **minimal** event wrt. \preceq if $\neg(\exists e' \neq e. e' \preceq e)$.

Intuition: there is no event that has to happen before e happens.
That is to say: the occurrence of e does not depend on any other event.

Definition (Partial order of a path)

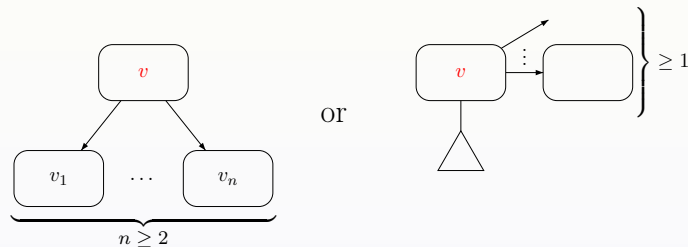
For finite path $\pi = v_1 \dots v_n$ in MSG G , let $<_{M(\pi)}$ be the partial order of the MSC $M(\pi) = \lambda(v_1) \bullet \dots \bullet \lambda(v_n)$.

Let $\min(\pi)$ be the **set of minimal events** wrt. $<_{M(\pi)}$ along finite path π .

Branching vertices

A **branching** vertex in MSG G either has at least two successors, or is a final vertex with at least one successor.

Pictorially, vertex v is **branching** if either:



Without loss of generality we assume that branching final vertices do not occur. They can be always be removed at the expense of copying such vertices.

Local choice property

Definition (Local choice)

Let MSG $G = (V, \rightarrow, v_0, F, \lambda)$. MSG G is **local choice** if for every branching vertex $v \in V$ it holds:

$$\exists \text{process } p. (\forall \pi \in \text{Paths}(v). |\min(\pi')| = 1 \wedge \min(\pi') \subseteq E_p)$$

where for $\pi = vv_1v_2 \dots v_n$ we have $\pi' = v_1v_2 \dots v_n$.

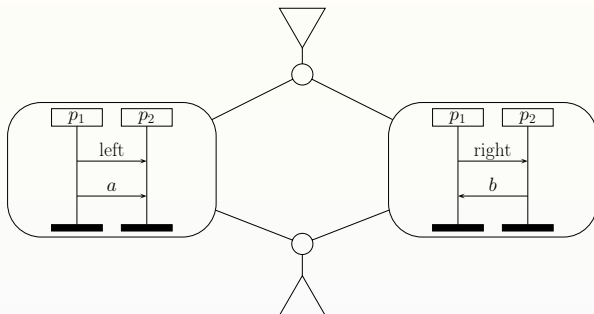
Intuition:

There is a single process that initiates behavior along every path from the branching vertex v . This process decides how to proceed. In a realisation by a CFM, it can inform the other processes how to proceed.

Local choice or not?

Deciding whether MSG G is local choice or not is in P. (Exercise.)

G :



How to resolve a non-local choice?

Amend your MSG and add control messages (cf. above example)

- 1 Introduction
- 2 Local Choice MSGs
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Definition (Asynchronous iteration)

For $\mathcal{M}_1, \mathcal{M}_2 \subseteq \mathbb{M}$ sets of MSCs, let:

$$\mathcal{M}_1 \bullet \mathcal{M}_2 = \{ M_1 \bullet M_2 \mid M_1 \in \mathcal{M}_1, M_2 \in \mathcal{M}_2 \}$$

For $\mathcal{M} \subseteq \mathbb{M}$ let

$$\mathcal{M}^i = \begin{cases} \{M_\epsilon\} & \text{if } i=0, \text{ where } M_\epsilon \text{ denotes the empty MSC} \\ \mathcal{M} \bullet \mathcal{M}^{i-1} & \text{if } i > 0 \end{cases}$$

The **asynchronous iteration** of \mathcal{M} is now defined by:

$$\mathcal{M}^* = \bigcup_{i \geq 0} \mathcal{M}^i.$$

Definition (Regular expressions over MSCs)

The set $\text{REX}_{\mathbb{M}}$ of **regular expressions** over \mathbb{M} is given by the grammar:

$$\alpha ::= \emptyset \mid M \mid \alpha_1 \cdot \alpha_2 \mid \alpha_1 + \alpha_2 \mid \alpha^*$$

where MSC $M \in \mathbb{M}$.

Definition (Semantics of regular expressions, $\mathcal{L}(\cdot) : \text{REX}_{\mathbb{M}} \rightarrow 2^{\mathbb{M}}$)

- $\mathcal{L}(\emptyset) = \emptyset$
- $\mathcal{L}(M) = \{M\}$
- $\mathcal{L}(\alpha_1 \cdot \alpha_2) = \mathcal{L}(\alpha_1) \bullet \mathcal{L}(\alpha_2)$
- $\mathcal{L}(\alpha_1 + \alpha_2) = \mathcal{L}(\alpha_1) \cup \mathcal{L}(\alpha_2)$
- $\mathcal{L}(\alpha^*) = \mathcal{L}(\alpha)^*$

Definition (Locally accepting CFM)

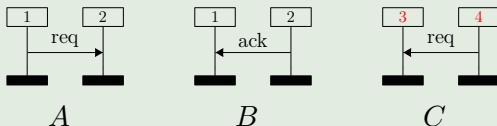
CFM $\mathcal{A} = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F)$ is **locally accepting** (la, for short) if

$$F = \prod_{p \in \mathcal{P}} F_p \quad \text{where} \quad F_p \subseteq S_p.$$

Regular expressions for MSCs

Let $\mathcal{P} = \{1, 2, 3, 4\}$ and $\mathcal{C} = \{\text{req}, \text{ack}\}$.

Example



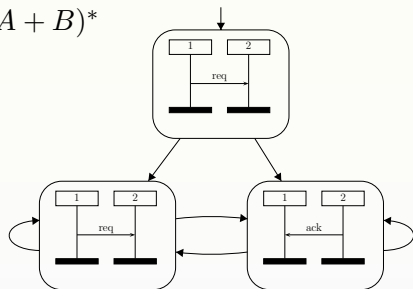
Consider the following regular expressions over \mathbb{M} :

- $\alpha_1 = (A \cdot B)^*$ det. $\forall 1$ -bounded deadlock-free weak la CFM
- $\alpha_2 = (A + B)^*$ det. $\exists 1$ -bounded la CFM
- $\alpha_3 = (A \cdot C)^*$ not realisable
- $\alpha_4 = A \cdot (A + B)^*$ $\exists 1$ -bounded deadlock-free la CFM

How about realisability of $\mathcal{L}(\alpha_i)$?

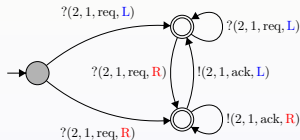
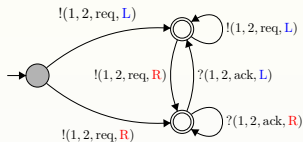
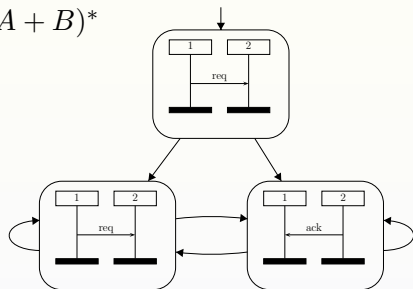
Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$



Realising local-choice expressions by deadlock-free CFMs

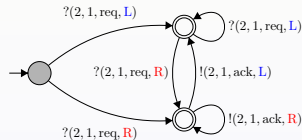
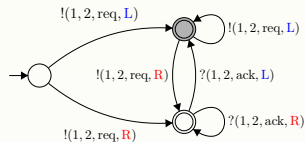
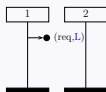
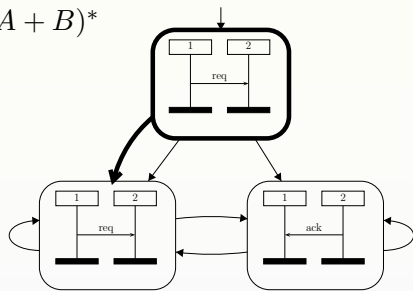
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1 → 2 :
2 → 1 :

Realising local-choice expressions by deadlock-free CFMs

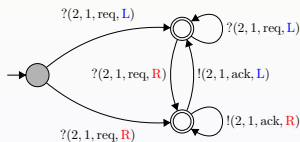
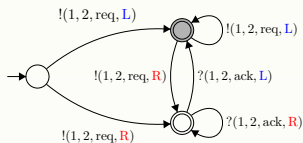
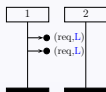
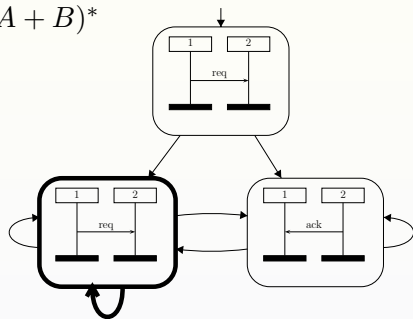
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1 → 2 : (req,L)
2 → 1 :

Realising local-choice expressions by deadlock-free CFMs

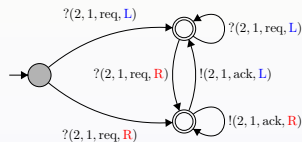
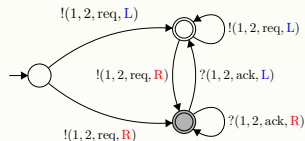
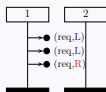
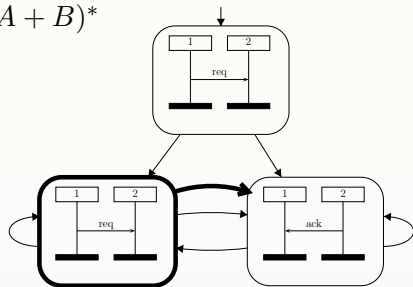
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 : (\text{req}, L) \ (\text{req}, L)$ $2 \rightarrow 1 :$
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Realising local-choice expressions by deadlock-free CFMs

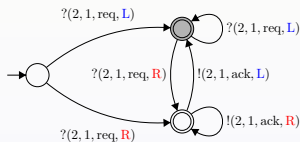
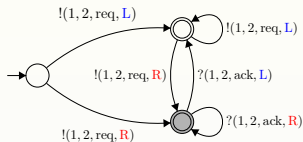
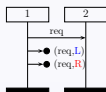
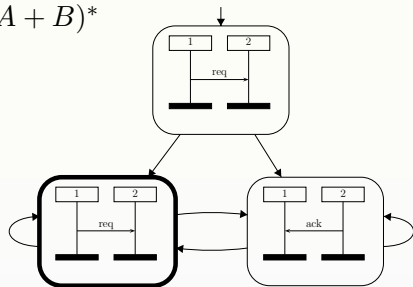
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1	→	2	:	(req,L)	(req,L)	(req,R)
2	→	1	:			

Realising local-choice expressions by deadlock-free CFMs

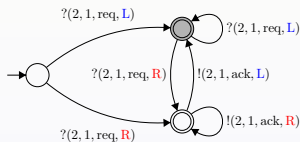
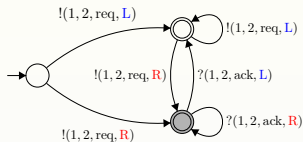
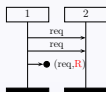
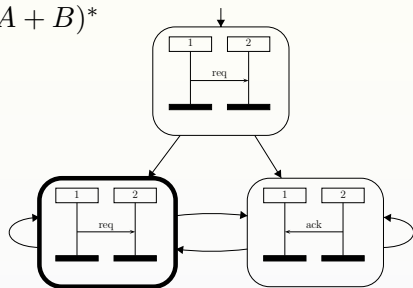
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 : (\text{req},L) (\text{req},R)$ $2 \rightarrow 1 :$
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Realising local-choice expressions by deadlock-free CFMs

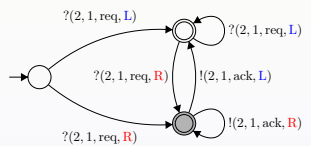
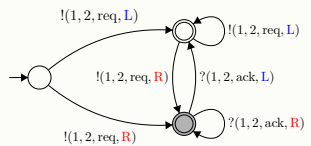
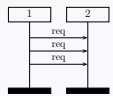
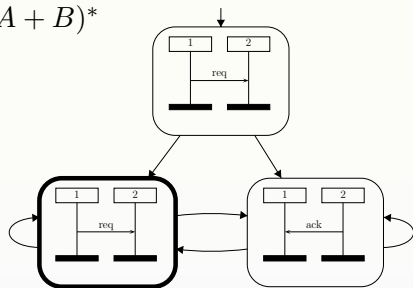
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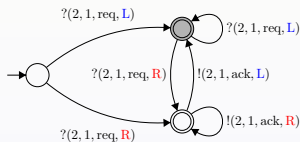
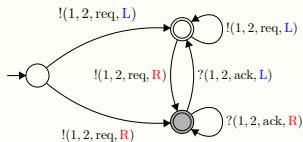
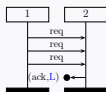
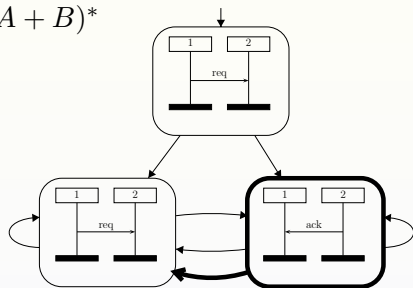
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2 → 1 :

Realising local-choice expressions by deadlock-free CFMs

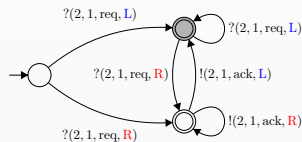
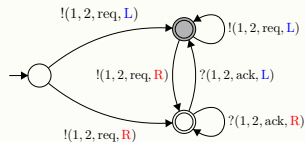
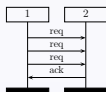
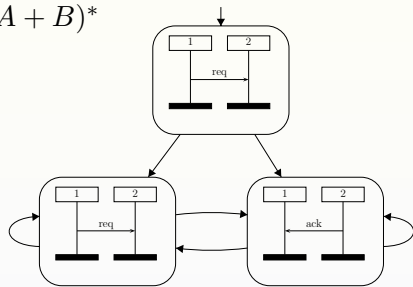
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 :$
 $2 \rightarrow 1 : (\text{ack}, L)$

Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$



1 → 2 :
2 → 1 :

Definition (Connected MSC)

An MSC $M = (\mathcal{P}, E, \mathcal{C}, l, m, <) \in \mathbb{M}$ is **connected** if:

$$\forall e, e' \in E. (e, e') \in (< \cup <^{-1})^*$$

Definition (Star-connected)

Regular expression $\alpha \in \text{REX}_{\mathbb{M}}$ is **star-connected** if, for any subexpression β^* of α , $\mathcal{L}(\beta)$ is a set of connected MSCs.

Examples on the black board.

Definition (Finitely generated)

Set of MSCs $\mathcal{M} \subseteq \mathbb{M}$ is **finitely generated** if there is a finite set of MSCs $\widehat{\mathcal{M}} \subseteq \mathbb{M}$ such that $\mathcal{M} \subseteq \widehat{\mathcal{M}}^*$.

Theorem

[Morin 2002]

Let \mathcal{M} be finitely generated. Then:

\mathcal{M} is realisable

iff

there exists a **star-connected** regular expression α with $\mathcal{L}(\alpha) = \mathcal{M}$.

- 1 Introduction
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An example local-choice MSG on black board.

Theorem

[Genest *et al.*, 2005]

Any local-choice MSG G is safely realisable by a CFM with additional synchronisation data (which is of size linear in G).

Proof

As MSG G is local choice, at every branch v of G there is a unique process, $p(v)$, say, such that on every path from v the unique minimal event occur at $p(v)$. Then:

- 1 Process $p(v)$ determines the successor vertex of v .
- 2 Process $p(v)$ informs all other processes about its decision by adding synchronisation data to the exchanged messages.
- 3 Synchronisation data is the path (in G) from v to the next branching vertex along the direction chosen by $p(v)$.

Maximal non-branching paths

Definition (Maximal non-branching paths)

For MSG $G = (V, \rightarrow, v_0, F, \lambda)$, let $nbp : V \rightarrow V^*$ be defined by:

$$nbp(v) = \begin{cases} v & \text{if } v \in F \text{ or } v \text{ is a branching vertex} \\ v_1 \dots v_n & \text{otherwise} \end{cases}$$

where $v_1 \dots v_n \in V^*$ is a maximal path (i.e., a path that cannot be prolonged) satisfying:

- 1 $v_i = v$ for some i , $0 < i \leq n$, and
- 2 $v_n \in F$ or is a branching vertex, and
- 3 $v_1 = v_0$ or is a direct successor of a branching vertex, and
- 4 $v_2, \dots, v_{n-1} \notin F$ and are all non-branching vertices

Intuition

$nbp(v)$ is the **maximal non-branching path** to which v belongs.

Structure of the CFM of local choice MSG G

Let MSG $G = (V, \rightarrow, v_0, F, \lambda)$ be local choice.

Define the CFM $\mathcal{A}_G = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F')$ with:

- 1 Local automaton $\mathcal{A}_p = (S_p, \Delta_p)$ as defined on next slides
- 2 $\mathbb{D} = \{ npb(v) \mid v \in V \}$
synchronisation data = maximal non-branching paths in G
- 3 $s_{init} = \{ (v_0, \emptyset) \}^n$ where $n = |\mathcal{P}|$
each local automaton \mathcal{A}_p starts in initial state (v_0, \emptyset) , i.e.,
in initial vertex v_0 while no events of p have been performed
- 4 $\bar{s} \in F'$ iff for all $p \in \mathcal{P}$, local state $\bar{s}[p] = (v, E)$ with $E \subseteq E_p$ and:
 - 1 $v \in F$ and E contains a maximal event wrt. $<_p$ in MSC $\lambda(v)$, or
 - 2 $v \notin F$ and $\pi = v \dots w$ is a path in G with $w \in F$ and E contains a maximal event wrt. $<_p$ in MSC $\lambda(\pi)$.

- $S_p = V \times E_p$ such that for any $s = (v, E) \in S_p$:

$$\forall e, e' \in \lambda(v). (e <_p e' \text{ and } e' \in E \text{ implies } e \in E)$$

that is, E is downward-closed with respect to $<_p$ in MSC $\lambda(v)$

- Intuition: a state (v, E) means that process p is currently in vertex v of G and has already performed the events E of $\lambda(v)$
- Initial state of \mathcal{A}_p is $\overline{s_{init}}[p] = (v_0, \emptyset)$

- Executing events within a vertex of the MSG G :

$$\frac{e \in E_p \cap \lambda(v) \text{ and } e \notin E}{(v, E) \xrightarrow{l(e), nbp(v)}_p (v, E \cup \{e\})}$$

Note: since $E \cup \{e\}$ is downward-closed wrt. $<_p$, e is enabled

- Taking an edge (possibly a self-loop) of the MSG G :

$$\frac{E = E_p \cap \lambda(v) \text{ and } e \in E_p \cap \lambda(w) \text{ and } vu_0 \dots u_n w \in V^* \text{ with } p \text{ not active in } u_0 \dots u_n}{(v, E) \xrightarrow{l(e), nbp(w)}_p (w, \{e\})}$$

Note: vertex w is the first successor vertex of v on which p is active

A couple of examples on the black board.