

# Theoretical Foundations of the UML

## Lecture 12: Regular MSCs

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- 1 Realisability and safe realisability
- 2 Regular MSCs
- 3 Regularity and realisability for MSCs
- 4 Regularity and realisability for MSGs
  - Communication closedness

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## Definition (Realisability)

- 1 MSC  $M$  is **realisable** whenever  $\{M\} = \mathcal{L}(\mathcal{A})$  for some CFM  $\mathcal{A}$ .
- 2 A finite set  $\{M_1, \dots, M_n\}$  of MSCs is **realisable** whenever  $\{M_1, \dots, M_n\} = \mathcal{L}(\mathcal{A})$  for some CFM  $\mathcal{A}$ .
- 3 MSG  $G$  is **realisable** whenever  $\mathcal{L}(G) = \mathcal{L}(\mathcal{A})$  for some CFM  $\mathcal{A}$ .

## Definition (Safe realisability)

Same as above except that the CFM should be **deadlock-free**.

## Approach so far:

The (safe) realisation of a (finite) set of MSCs by a weak CFM is the one where the automaton  $\mathcal{A}_p$  of process  $p$  generates the projections of these MSCs on  $p$ .

## Results so far:

- 1 Conditions for (safe) realisability for finite sets of MSCs.
- 2 Checking safe realisability for finite sets of MSCs is in P.
- 3 Checking realisability for finite sets of MSCs is co-NP complete.

## Some remaining questions

- Can similar results be obtained for **larger classes** of MSGs?
- What happens if we allow **synchronisation messages**?
  - recall that weak CFMs do not involve synchronisation messages
- How do we obtain a CFM realising an MSG **algorithmically**?
  - in particular, for non-local choice MSGs
- Are there **simple conditions on MSGs that guarantee realisability**?
  - e.g., easily identifiable subsets of (safe) realisable MSGs

## Today's setting

(Safe) Realisability of a **regular** set of MSCs.

Or, equivalently: (safe) realisability of a **regular** set of well-formed words (that is, a regular language).

## Results:

- 1 Checking whether a regular language  $L$  is well-formed is decidable.
- 2 For well-formed language  $L$ :
  - $L$  is regular iff it is (safely) realisable by a  $\forall$ -bounded CFM.
- 3 Checking whether an MSG is regular is undecidable.
- 4 Every (locally) communication-closed MSG is regular.
- 5 Checking whether an MSG is comm.-closed is coNP-complete.
- 6 Checking whether an MSG is locally communication-closed is in P.

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Let  $\mathcal{M}$  be the set of MSCs over  $\mathcal{P}$  and  $\mathcal{C}$ .

## Definition (Regular)

- 1  $\mathcal{M} = \{M_1, \dots, M_n\}$  with  $n \in \mathbb{N} \cup \{\infty\}$  is called **regular** if  $Lin(\mathcal{M}) = \bigcup_{i=1}^n Lin(M_i)$  is a regular word language over  $Act^*$ .
- 2 MSG  $G$  is **regular** if  $Lin(G)$  is a regular word language over  $Act^*$ .
- 3 CFM  $\mathcal{A}$  is **regular** if  $Lin(\mathcal{A})$  is a regular word language over  $Act^*$ .

Here,  $Act$  is the set of actions in  $\mathcal{M}$ ,  $G$ , and  $\mathcal{A}$ , respectively.

## Lemma:

Every  $\forall$ -bounded CFM is regular.

Why?

On the black board.

## Theorem

[Henriksen *et. al*, 2005]

The decision problem “is a regular language  $L \subseteq Act^*$  well-formed”?—that is, does  $L$  represent a set of MSCs?— is decidable.

## Proof.

Since  $L$  is regular, there exists a minimal DFA  $\mathcal{A} = (S, Act, s_0, \delta, F)$  with  $\mathcal{L}(\mathcal{A}) = L$ . Consider the productive states in this DFA, i.e., all states from which some state in  $F$  can be reached. We label every productive state  $s$  with a **channel-capacity** function  $K_s : Ch \rightarrow \mathbb{N}$  such that four constraints (cf. next slide) are fulfilled. Then:  **$L$  is well-formed iff each productive state in the DFA  $\mathcal{A}$  can be labelled with  $K_s$  satisfying these constraints.** In fact, if a state-labelling violates any of these constraints, it is due to a word that is not well-formed. □

# Constraints on state-labelling

- 1  $s \in F \cup \{s_0\}$ , implies  $K_s((p, q)) = 0$  for every channel  $(p, q)$ .
- 2  $\delta(s, !(p, q, a)) = s'$  implies

$$K_{s'}(c) = \begin{cases} K_s(c) + 1 & \text{if } c = (p, q) \\ K_s(c) & \text{otherwise.} \end{cases}$$

- 3  $\delta(s, ?(p, q, a)) = s'$  implies  $K_s((q, p)) > 0$  and

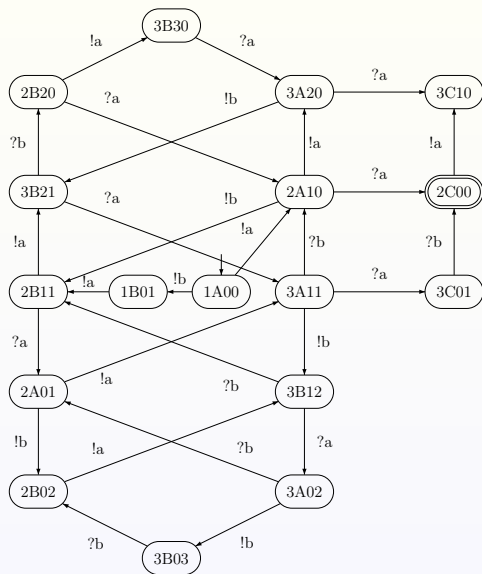
$$K_{s'}(c) = \begin{cases} K_s(c) - 1 & \text{if } c = (q, p) \\ K_s(c) & \text{otherwise.} \end{cases}$$

- 4  $\delta(s, \alpha) = s_1$  and  $\delta(s_1, \beta) = s_2$  with  $\alpha \in Act_p$  and  $\beta \in Act_q$ ,  $p \neq q$ , implies

not  $(\alpha = !(p, q, a)$  and  $\beta = ?(q, p, a))$ , or  $K_s((p, q)) > 0$   
implies  $\delta(s, \beta) = s'_1$  and  $\delta(s'_1, \alpha) = s_2$  for some  $s'_1 \in S$ .

These constraints can be checked in linear time in the size of relation  $\delta$ .

# Yannakakis' example



## Definition ( $B$ -bounded words)

Let  $B \in \mathbb{N}$  and  $B > 0$ . A word  $w \in Act^*$  is called  **$B$ -bounded** if for any prefix  $u$  of  $w$  and any channel  $(p, q) \in Ch$ :

$$0 \leq \sum_{a \in \mathcal{C}} |u|_{!(p,q,a)} - \sum_{a \in \mathcal{C}} |u|_{?(q,p,a)} \leq B$$

## Corollary:

For any regular, well-formed language  $L$ , there exists  $B \in \mathbb{N}$  and  $B > 0$  such that every  $w \in L$  is  $B$ -bounded.

## Proof.

The bound  $B$  is the largest value attained by the channel-capacity functions assigned to productive states in the proof of the previous theorem.  $\square$

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## Theorem:

[Henriksen *et al.*, 2005], [Baudru & Morin, 2007]

For any set  $L$  of well-formed words, the following four statements are equivalent:

- 1  $L$  is regular.
- 2  $L$  is realisable by a  $\forall$ -bounded CFM.
- 3  $L$  is realisable by a deterministic  $\forall$ -bounded CFM.
- 4  $L$  is safely realisable by a  $\forall$ -bounded CFM.

## Lemma:

The maximal size of the CFM realising  $L$  is such that for each process  $p$ , the number  $|Q_p|$  of states of local automaton  $\mathcal{A}_p$  is:

- 1 double exponential in the bound  $B$  and  $k^2$ , where  $k = |\mathcal{P}|$ , and
- 2 exponential in  $m \log m$  where  $m$  is the size of the minimal DFA for  $L$ .



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# Regularity for MSGs is undecidable

## Theorem

[Henriksen *et. al*, 2005]

The decision problem “is MSG  $G$  regular“? is **undecidable**.

## Proof

Outside the scope of this lecture.

- MSG  $G$  is regular if  $Lin(G)$  is a regular language
- Regularity yields deterministic, or safe, but bounded CFMs
- But, “is MSG  $G$  regular“? is unfortunately **undecidable**
- Is it possible to impose **structural** conditions on MSGs that guarantee regularity?
- **Yes we can.** For instance, by constraining:
  - ① the communication structure of the MSCs in loops of  $G$ , or
  - ② the structure of expressions describing the MSCs in  $G$

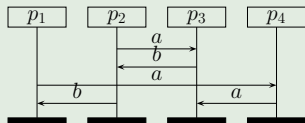
# Communication graph

## Definition (Communication graph)

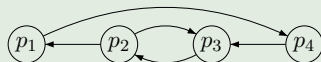
The **communication graph** of the MSC  $M = (\mathcal{P}, E, \mathcal{C}, l, m, <)$  is the directed graph  $(V, \rightarrow)$  with:

- $V = \mathcal{P} \setminus \{p \in \mathcal{P} \mid E_p = \emptyset\}$ , the set of **active** processes
- $(p, q) \in \rightarrow$  if and only if  $\mathcal{L}(e) = !(p, q, a)$  for some  $e \in E$  and  $a \in \mathcal{C}$

## Example



an example MSC



its communication graph

# Strongly connected components

Let  $G = (V, \rightarrow)$  be a directed graph.

## Strongly connected component

- $T \subseteq V$  is **strongly connected** if for every  $v, w \in T$ , vertices  $v$  and  $w$  are mutually reachable (via  $\rightarrow$ ) from each other.
- $T$  is a **strongly connected component** (SCC) of  $G$  if  $T$  is strongly connected and  $T$  is not properly contained in another SCC.

Determining the SCCs of a digraph can be done in linear time in the size of  $V$  and  $\rightarrow$ .

A loop is **simple** if it visits a vertex at most once, except for the start- and end-vertex which are visited twice.

## Definition (Communication closedness)

MSG  $G$  is **communication-closed** if for every simple loop  $\pi = v_1v_2 \dots v_n$  (with  $v_1 = v_n$ ) in  $G$ , the communication graph of the MSC  $M(\pi) = \lambda(v_1) \bullet \lambda(v_2) \bullet \dots \bullet \lambda(v_n)$  is strongly connected.

## Example

On the black board.

## Theorem:

Every communication-closed MSG  $G$  is regular.

## Example

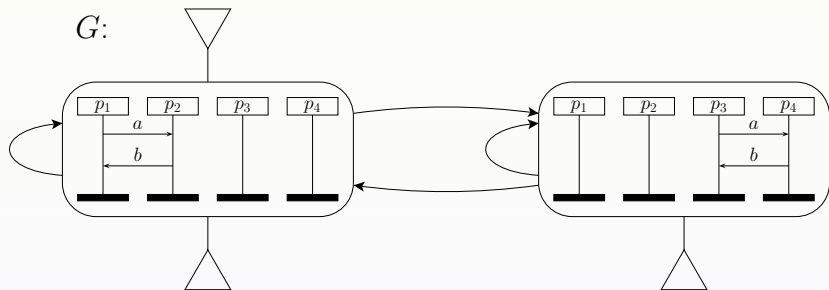
Example on the black board.

## Note:

The converse does not hold (cf. next slide).

# Communication-closed vs. regularity

Communication-closedness is not a necessary condition for regularity:



MSG  $G$  is **not** communication-closed, but  $Lin(G)$  is **regular**.



Theorem:

[Genest *et. al*, 2006]

The decision problem “is MSG  $G$  communication closed?” is co-NP complete.

## Proof

- 1 Membership in co-NP can be proven in a standard way: guess a sub-graph of  $G$ , check in polynomial time whether this sub-graph has a loop passing through all its vertices, and check whether its communication graph is not strongly connected.
- 2 Co-NP hardness can be shown by a reduction from the 3-SAT problem.

## Definition (Asynchronous iteration)

For  $\mathcal{M}_1, \mathcal{M}_2 \subseteq \mathbb{M}$  sets of MSCs, let:

$$\mathcal{M}_1 \bullet \mathcal{M}_2 = \{ M_1 \bullet M_2 \mid M_1 \in \mathcal{M}_1, M_2 \in \mathcal{M}_2 \}$$

For  $\mathcal{M} \subseteq \mathbb{M}$  let

$$\mathcal{M}^i = \begin{cases} \{M_\epsilon\} & \text{if } i=0, \text{ where } M_\epsilon \text{ denotes the empty MSC} \\ \mathcal{M} \bullet \mathcal{M}^{i-1} & \text{if } i > 0 \end{cases}$$

The **asynchronous iteration** of  $\mathcal{M}$  is now defined by:

$$\mathcal{M}^* = \bigcup_{i \geq 0} \mathcal{M}^i.$$

## Definition (Finitely generated)

Set of MSCs  $\mathcal{M}$  is **finitely generated** if there is a **finite** set of MSCs  $\widehat{\mathcal{M}}$  such that  $\mathcal{M} \subseteq \widehat{\mathcal{M}}^*$ .

## Remarks:

- 1 Each set of MSCs defined by an MSG  $G$  is finitely generated.
- 2 Not every regular well-formed language is finitely generated.
- 3 Not every finitely generated set of MSCs is regular.
- 4 It is decidable to check whether a set of MSCs is finitely generated.

Theorem:

[Henriksen *et. al*, 2005]

Let  $\mathcal{M}$  be a (possibly infinite) set of MSCs. Then:

$\mathcal{M}$  is finitely generated and regular

iff

$\mathcal{M} = \mathcal{L}(G)$  for some communication-closed MSG  $G$ .

## Definition (Local communication-closedness)

MSG  $G$  is **locally** communication-closed if for each vertex  $(v, v')$  in  $G$ , the MSCs  $\lambda(v)$ ,  $\lambda(v')$ , and  $\lambda(v) \bullet \lambda(v')$  all have **weakly** connected communication graphs.

## Notes:

- 1 A directed graph is weakly connected if its induced **undirected** graph (obtained by ignoring the directions of edges) is strongly connected.
- 2 Checking whether MSG  $G$  is locally communication-closed can be done in linear time.

## Theorem:

[Genest *et al.*, 2006]

Every locally communication-closed MSG  $G$  is realisable by a CFM  $\mathcal{A}$  of size  $m^{\mathcal{O}(|\mathcal{P}|)}$  where  $m$  is the number of vertices in  $G$ .