# Theoretical Foundations of the UML <br> Lecture 6: Compositional Message Sequence Graphs 

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## Outline

(1) A non-decomposable MSC
(2) Compositional Message Sequence Charts
(3) Compositional Message Sequence Graphs

4 Safe Compositional Message Sequence Graphs
(5) Existence of Safe Paths
(6) Universality of Safe Paths

## Overview

(1) A non-decomposable MSC
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## An MSC that cannot be decomposed

This MSC cannot be decomposed as

$$
M_{1} \bullet M_{2} \bullet \ldots \bullet M_{n} \quad \text { for } n>1
$$

This can be seen as follows:

- $e_{1}$ and $e_{2}=m\left(e_{1}\right)$ must both belong to $M_{1}$
- $e_{3} \preceq e_{2}$ and $e_{1} \preceq e_{4}$ thus $e_{3}, e_{4} \notin M_{j}$, for $j<1$ and $j>1$
$\Longrightarrow e_{3}, e_{4}$ must belong to $M_{1}$
- by similar reasoning: $e_{5}, e_{6} \in M_{1}$ etc.


## Problem:

Compulsory matching between send and receive events in the same MSG vertex (i.e., send $e$ and receive $m(e)$ must belong to the same MSC).

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(6) Universality of Safe Paths

## Compositional MSCs

Solution: drop restriction that $e$ and $m(e)$ belong to the same MSC ( $=$ allow for incomplete message transfer)

## Definition (Compositional MSC)

$M=(\mathcal{P}, E, \mathcal{C}, l, m, \preceq)$ is a compositional MSC (CMSC, for short) where $\mathcal{P}, E, \mathcal{C}$ and $l$ are defined as before, and

- $m: E_{!} \rightarrow E_{\text {? }}$ is a partial, injective function such that (as before):

$$
m(e)=e^{\prime} \wedge l(e)=!(p, q, a) \quad \text { implies } \quad l\left(e^{\prime}\right)=?(q, p, a)
$$

- $\preceq=(\bigcup_{p \in \mathcal{P}}<_{p} \quad \cup \quad\{(e, m(e)) \mid e \in \underbrace{\operatorname{dom}(m)}\})^{*}$
domain of $m$
" $m(e)$ is defined"


## Note:

An MSC is a CMSC where $m$ is total and bijective.

## CMSC example



## Concatenation of CMSCs (1)

Let $M_{i}=\left(\mathcal{P}_{i}, E_{i}, \mathcal{C}_{i}, l_{i}, m_{i}, \preceq_{i}\right) \in \mathbb{C M} \quad i \in\{1,2\}$
be CMSCs with $E_{1} \cap E_{2}=\varnothing$
The concatenation of CMSCs $M_{1}$ and $M_{2}$ is the CMSC $M_{1} \bullet M_{2}=\left(\mathcal{P}_{1} \cup \mathcal{P}_{2}, E, \mathcal{C}_{1} \cup \mathcal{C}_{2}, l, m, \preceq\right)$ with:

- $E=E_{1} \cup E_{2}$
- $l(e)=l_{1}(e)$ if $e \in E_{1}, l_{2}(e)$ otherwise
- $m(e)=E_{!} \rightarrow E_{\text {? }}$ satisfies:
(1) $m$ extends $m_{1}$ and $m_{2}$, i.e., $e \in \operatorname{dom}\left(m_{i}\right)$ implies $m(e)=m_{i}(e)$
(2) $m$ matches unmatched send events in $M_{1}$ with unmatched receive events in $M_{2}$ according to order on process (matching from top to bottom)
the $k$-th unmatched send in $M_{1}$ is matched with the $k$-th unmatched receive in $M_{2}$ (of the same "type")
(3) $M_{1} \bullet M_{2}$ is FIFO (when restricted to matched events)


## Concatenation of CMSCs (2)

Let $M_{i}=\left(\mathcal{P}_{i}, E_{i}, \mathcal{C}_{i}, l_{i}, m_{i}, \preceq_{i}\right) \in \mathbb{C M} \quad i \in\{1,2\}$ be CMSCs with $E_{1} \cap E_{2}=\varnothing$

The concatenation of CMSCs $M_{1}$ and $M_{2}$ is the CMSC $M_{1} \bullet M_{2}=\left(\mathcal{P}_{1} \cup \mathcal{P}_{2}, E_{1} \cup E_{2}, \mathcal{C}_{1} \cup \mathcal{C}_{2}, l, m, \preceq\right)$ with:

- $l$ and $m$ are defined as on the previous slide
- $\preceq$ is the reflexive and transitive closure of:

$$
\begin{array}{rll}
\left(\bigcup_{p \in \mathcal{P}}<_{p, 1} \cup<_{p, 2}\right) & \cup & \left\{\left(e, e^{\prime}\right) \mid e \in E_{1} \cap E_{p}, e^{\prime} \in E_{2} \cap E_{p}\right\} \\
\cup & \{(e, m(e) \mid e \in \operatorname{dom}(m)\}
\end{array}
$$

## Examples



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## Associativity


$(M \bullet M) \bullet M^{\prime}:$

$M \bullet\left(M \bullet M^{\prime}\right):$

this is non-FIFO
(and thus undefined)

## Note:

Concatenation of CMSCs is not associative.

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(0) Universality of Safe Paths

## Compositional MSG

Let $\mathbb{C M}$ be the set of all CMSCs.

## Definition (Compositional MSG)

A compositional MSG (CMSG) $G=\left(V, \rightarrow, v_{0}, F, \lambda\right)$ with $\lambda: V \rightarrow \mathbb{C M}$, where $V, \rightarrow, v_{0}$, and $F$ as for MSGs.

The difference with an MSG is that the vertices in a CMSG are labeled with compositional MSCs (rather than "real" MSCs).

## Paths

Let $G=\left(V, \rightarrow, v_{0}, F, \lambda\right)$ be a CMSG.

## Definition (Path in a CMSG)

A path $\pi$ of $G$ is a finite sequence $\pi=u_{0} u_{1} \ldots u_{n}$ with $u_{i} \in V(0 \leq i \leq n)$ and $u_{i} \rightarrow u_{i+1} \quad(0 \leq i<n)$

## Definition (Accepting path of a CMSG)

Path $\pi=u_{0} \ldots u_{n}$ is accepting if: $u_{0}=v_{0}$ and $u_{n} \in F$.

## Definition (CMSC of a path)

The CMSC of a path $\pi=u_{0} \ldots u_{n}$ is:

$$
M(\pi)=\left(\ldots\left(\lambda\left(u_{0}\right) \bullet \lambda\left(u_{1}\right)\right) \bullet \lambda\left(u_{2}\right) \ldots\right) \bullet \lambda\left(u_{n}\right)
$$

where CMSC concatenation is left associative.

## The MSC language of a CMSG

## Definition (Language of a CMSG)

The (MSC) language of CMSG $G$ is defined by:

$$
L(G)=\{\underbrace{M(\pi) \in \mathbb{M}}_{\text {only "real" MSCs }} \mid \pi \text { is an accepting path of } G\} .
$$

Accepting paths that give rise to an CMSC (which is not an MSC) are not taken into account in $L(G)$.

## Yannakakis' example as compositional MSG



This MSC cannot be modeled for $n>1$ by:

$$
M=M_{1} \bullet M_{2} \bullet \ldots \bullet M_{n} \quad \text { with } \quad M_{i} \in \mathbb{M}
$$

But it can be modeled as the compositional MSG:


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(1) A non-decomposable MSC
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## Safe paths and CMSGs

## Definition (Safe path)

Path $\pi$ of CMSG $G$ is safe whenever $M(\pi) \in \mathbb{M}$.

## Definition (Safe CMSG)

CMSG $G$ is safe if for every accepting path $\pi$ of $G, M(\pi)$ is an MSC.

## So:

CMSG $G$ is safe if on any of its accepting paths there are no unmatched sends and receipts, i.e., if any of its accepting paths is indeed an MSC.

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(Compositional Message Sequence Charts
(3) Compositional Message Sequence Graphs

Ca Safe Compositional Message Sequence Graphs
(5) Existence of Safe Paths
(6) Universality of Safe Paths

## Existence of a safe accepting path

## Theorem: undecidability of existence of a safe path

The decision problem "does CMSG $G$ have at least one safe, accepting path?" is undecidable.

## Proof.

By a reduction from Post's Correspondence Problem (PCP).
... black board ...

The complement decision problem "does CMSG $G$ have no safe, accepting path?" is undecidable too.

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(1) A non-decomposable MSC
(1) Compositional Message Sequence Charts
(3) Compositional Message Sequence Graphs
C) Safe Compositional Message Sequence Graphs
(5) Existence of Safe Paths
(6) Universality of Safe Paths

## Universality of safe accepting paths

## Theorem: undecidability of existence of a safe path

The decision problem "does CMSG $G$ have at least one safe, accepting path?" is undecidable.

## Theorem: decidability of universality of safe paths

The decision problem "are all accepting paths of CMSG $G$ safe?" is decidable in PTIME.

## Proof.

Polynomial reduction to reachability problem in (non-deterministic) pushdown automata.
... see details on the next slides ...

## Pushdown automata

## Definition (Pushdown automaton)

A pushdown automaton (PDA, for short) $K=\left(Q, q_{0}, \Gamma, \Sigma, \Delta\right)$ with

- $Q$, a finite set of control states
- $q_{0} \in Q$, the initial state
- $\Gamma$, a finite stack alphabet
- $\Sigma$, a finite input alphabet
- $\Delta \subseteq Q \times \Sigma \times \Gamma \times Q \times \Gamma^{*}$, the transition relation.


## Transition relation

$\left(q, a, \gamma, q^{\prime}\right.$, pop $) \in \Delta$ means: in state $q$, on reading input symbol $a$ and top of stack is symbol $\gamma$, change to $q^{\prime}$ and pop $\gamma$ from the stack.

## Reachability in pushdown automata

## Definition

A configuration $c$ is a triple (state $q$, stack content $Z$, rest input $w$ ).

## Definition

Given a transition in $\Delta$, a (direct) successor configuration $c^{\prime}$ of $c$ is obtained: $c \vdash c^{\prime}$.

## Reachability problem

For configuration $c$, and initial configuration $c_{0}: c_{0} \vdash^{*} c$ ?

## Theorem:

[Esparza et al. 2000]
The reachability problem for PDA is decidable in PTIME.

## Checking whether a CMSG is safe is decidable

- Consider any ordered pair $\left(p_{i}, p_{j}\right)$ of processes in CMSG $G$
- Proof idea: construct a PDA $K_{i, j}=\left(Q, q_{0}, \Gamma, \Sigma, \Delta\right)$ such that

CMSG $G$ is not safe wrt. $\left(p_{i}, p_{j}\right)$ iff PDA $K_{i, j}$ accepts

- For accepting path $u_{0} \ldots u_{k}$ in $G$, feed $K_{i, j}$ with the word

$$
\rho_{0} \ldots \rho_{k} \text { where } \rho_{i} \in \operatorname{Lin}\left(\lambda\left(u_{i}\right)\right)
$$

such that unmatched sends (of some type) precede all unmatched receipts (of the same type)

- Possible violations that $K_{i, j}$ may encounter:
(1) nr. of unmatched ! $\left(p_{i}, p_{j}, \cdot\right)>\mathrm{nr}$. of unmatched ? $\left(p_{j}, p_{i}, \cdot\right)$
(2) type of $k$-th unmatched send $\neq$ type of $k$-th unmatched receive
(3) non-FIFO communication


## The nondeterministic PDA $K_{i, j}$

Let $\left\{a_{1}, \ldots, a_{k}\right\}$ be the message contents in CMSG $G$ for $\left(p_{i}, p_{j}\right)$.
Nondeterministic PDA $K_{i, j}=\left(Q, q_{0}, \Gamma, \Sigma, \Delta\right)$ where:

- Control states $Q=\left\{q_{0}, q_{a_{1}}, \ldots, q_{a_{k}}, q_{e r r}, q_{F}\right\}$
- Stack alphabet $\Gamma=\{1, \#\}$

1 counts nr. of unmatched ! $\left(p_{i}, p_{j}, a_{m}\right)$, and \# is bottom of stack

- Input alphabet $\Sigma=\left\{\begin{array}{l}\text { unmatched action }!\left(p_{i}, p_{j}, a_{m}\right) \\ \text { unmatched action } ?\left(p_{j}, p_{i}, a_{m}\right) \\ \text { matched actions !? }\left(p_{i}, p_{j}, a_{m}\right), ?!\left(p_{j}, p_{i}, a_{m}\right)\end{array}\right.$
- Transition function $\Delta$ is described on next slide


## Safeness of CMSGs (2)

- Initial configuration is $\left(q_{0}, \#, w\right)$
- $w$ is linearization of actions at $p_{i}$ and $p_{j}$ on an accepting path of $G$
- On reading ! $\left(p_{i}, p_{j}, a_{m}\right)$ in $q_{0}$, push 1 on stack
- nondeterministically move to state $q_{a_{m}}$ or stay in $q_{0}$
- On reading ? $\left(p_{j}, p_{i}, a_{m}\right)$ in $q_{0}$, proceed as follows:
- if 1 is on stack, pop it
- otherwise, i.e., if stack is empty, accept (i.e., move to $q_{F}$ )
- On reading matched send !? $\left(p_{i}, p_{j}, a_{k}\right)$ in $q_{0}$
- stack empty (i.e., equal to \#)? ignore input; otherwise, accept
- Ignore the following inputs in state $q_{0}$ :
- matched send events !? $\left(p_{j}, p_{i}, a_{k}\right)$, and
- unmatched sends or receipts not related to $p_{i}$ and $p_{j}$
- Remaining input $w$ empty? Accept, if stack non-empty; else reject


## Safeness of CMSGs (3)

The behaviour in state $q_{a_{m}}$ for $0<m \leqslant k$ :

- Ignore all actions except ? $\left(p_{j}, p_{i}, a_{\ell}\right)$ for all $0<\ell \leqslant k$
- On reading ? $\left(p_{j}, p_{i}, a_{\ell}\right)$ (for some $\left.0<\ell \leqslant k\right)$ in state $q_{a_{m}}$ do:
- if 1 is on top of stack, pop it
- If stack is empty:
- if last receive differs from $a_{m}$, accept
- otherwise reject, while ignoring the rest (if any) of the input


## Safeness of CMSGs (4)

It follows: PDA $K_{i, j}$ accepts iff CMSG $G$ is not safe wrt. $\left(p_{i}, p_{j}\right)$
$\Longrightarrow$ CMSG $G$ is not safe wrt. $\left(p_{i}, p_{j}\right)$ iff configuration $\left(q_{F}, \cdot, \cdot\right)$ is reachable.
$\Longrightarrow$ reachability of a configuration in a PDA is in PTIME, hence checking safeness wrt. $\left(p_{i}, p_{j}\right)$ is in PTIME.

## Time complexity

The worst-case time complexity of checking whether CMSG $G$ is safe is in $\mathcal{O}\left(k^{2} \cdot N^{2} \cdot L \cdot|E|^{2}\right)$ where $k=|\mathcal{P}|, N=|V|$, and $L=|\mathcal{C}|$.

## Proof.

Checking reachability in PDA $K_{i, j}$ is in $\mathcal{O}\left(L \cdot|E|^{2}\right)$. The number of PDAs is $k^{2}$, as we consider ordered pairs in $\mathcal{P}$. The number of paths in the CMSG $G$ for each pair that need to be checked is in $\mathcal{O}\left(N^{2}\right)$, as a single traversal for each loop in $G$ suffices.

